

**GENERALIZED FOURIER TRANSFORMS AND THEIR  
APPLICATIONS**

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## Abstract

This thesis centers around a generalization of the classical discrete Fourier transform. We first present a general diagrammatic approach to the construction of efficient algorithms for computing the Fourier transform of a function on a finite group or semisimple algebra. By extending work which connects Bratteli diagrams to the construction of Fast Fourier Transform algorithms [65], we make explicit use of the path algebra connection to the construction of Gel'fand-Tsetlin bases and work in the setting of general semisimple algebras and quivers. We relate this framework to the construction of a *configuration space* derived from a Bratteli diagram. In this setting the complexity of an algorithm for computing a Fourier transform reduces to the calculation of the dimension of the associated configuration space.

We give explicit counting results to find the dimension of these configuration spaces, and thus the complexity of the associated Fourier transform. Our methods give improved upper bounds for the general linear groups over finite fields, the classical Weyl groups, and homogeneous spaces of finite groups, while also recovering the best known algorithms for the symmetric group and compact Lie groups. We extend these results further to semisimple algebras, giving the first results for non-trivial upper bounds for computing Fourier transforms on the Brauer and Birman-Murakami-Wenzl (BMW) algebras.

The extension of our algorithm to Fourier transforms on semisimple algebras is motivated by emerging applications of such transforms. In particular, Fourier transforms on the Iwahori-Hecke algebras have been used to analyze Metropolis-based systematic scanning strategies for generating Coxeter group elements [25]. We consider the Metropolis algorithm in the context of the Brauer and BMW monoids and provide systematic scanning strategies for generating monoid elements. As the BMW monoid consists of tangle diagrams, these scanning strategies can be rephrased as random walks on links and tangles. We translate these walks into left multiplication operators in the corresponding BMW algebra. Taking this algebraic perspective enables the use of tools from representation theory to analyze the walks; in particular, we develop a norm arising from a trace function on the BMW algebra to analyze the time to stationarity of the walks.

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# Chapter 1

## Introduction

This thesis unites discrete mathematics with representation theory and algebraic combinatorics through the analysis and application of the construction of efficient algorithms for the computation of a generalization of the classical discrete Fourier transform (DFT) in the setting of finite groups and semisimple algebras.

Since Cooley and Tukey's (re-)discovery of Gauss' efficient algorithm for computing Fourier transforms, there have been far-reaching applications of the classical DFT in many areas, including (but not limited to) digital signal processing, image processing, spectral analysis, data compression, and astrophysics [2, 3, 11, 19, 31, 89, 91]. A *generalized Fourier transform* extends the concept behind the DFT to algebraic structures wherein applications have been found in many domains, including VLSI design, the design of filters, machine learning, conditional probability models, group convolution algorithms, and crossover designs (see, e.g., [4, 6, 14, 80, 53, 55, 90, 97]).

In this thesis, we focus on generalized Fourier transforms; in particular, we develop efficient algorithms to compute Fourier transforms on finite groups and semisimple algebras, and also analyze applications to random walks on the Brauer and Birman-

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Murakami-Wenzl (BMW) algebras.

An algebraic framing of the DFT allows for its generalization to groups and semisimple algebras. We view the DFT map that arises from expressing a function  $f : \mathbb{Z}/N\mathbb{Z} \rightarrow \mathbb{C}$  as a sum of complex exponentials:

$$f(j) = \sum_{k=0}^{N-1} \hat{f}(k) \zeta_k(j).$$

Here,  $\mathbb{Z}/N\mathbb{Z} = \{0, 1, \dots, N - 1\}$  is identified with the cyclic group of order  $N$  and  $\zeta_k : \mathbb{Z}/N\mathbb{Z} \rightarrow \mathbb{C}$  is defined by  $\zeta_k(j) = \frac{1}{\sqrt{N}} e^{2\pi i j k / N}$ . The coefficient  $\hat{f}(k)$  is called the *kth Fourier coefficient of f*.

The *group algebra* of  $\mathbb{Z}/N\mathbb{Z}$ , denoted  $\mathbb{C}[\mathbb{Z}/N\mathbb{Z}]$ , is the space of formal complex linear combinations of group elements. By identifying complex-valued functions on  $\mathbb{Z}/N\mathbb{Z}$  with elements of the group algebra

$$f \longleftrightarrow \sum_{j \in \mathbb{Z}/N\mathbb{Z}} f(j)j,$$

the DFT can be seen as a change of basis of  $\mathbb{C}[\mathbb{Z}/n\mathbb{Z}]$  from the natural basis of indicator functions  $\{\delta_k \mid k \in \mathbb{Z}/N\mathbb{Z}\}$  to the orthonormal basis  $\{\zeta_k \mid k \in \mathbb{Z}/N\mathbb{Z}\}$ :

$$\sum_{j \in \mathbb{Z}/n\mathbb{Z}} f(j)j \xrightarrow{DFT} \sum_{j \in \mathbb{Z}/n\mathbb{Z}} \hat{f}(j)\zeta_j$$

(for  $\zeta_j = \sum_{k \in \mathbb{Z}/n\mathbb{Z}} \zeta_j(k)k$ ). In signal processing,  $f(j)$  is identified with a sample of some continuous function  $f : \mathbb{R} \rightarrow \mathbb{R}$  and the DFT effects a Fourier representation of the function  $f$ , thought of as a map from the *time domain* to the *frequency domain* [71].

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There is a natural inner product on  $\mathbb{C}[\mathbb{Z}/N\mathbb{Z}]$  given by  $\langle f, g \rangle = \sum_{j=0}^{N-1} f(j)\overline{g(j)}$ . The orthonormality of the complex exponentials  $\{\zeta_k \mid k \in \mathbb{Z}/N\mathbb{Z}\}$  under this inner product allows for the determination of the  $k$ th Fourier coefficient as an inner product,

$$\hat{f}(k) = \langle f, \zeta_k \rangle = \sum_{j=0}^{N-1} f(j)\overline{\zeta_k(j)}.$$

Moreover, the functions  $\{\zeta_k\}$  comprise a complete set of *inequivalent irreducible representations* of  $\mathbb{Z}/N\mathbb{Z}$ . It is this beautiful result that underlies the generalization of the DFT to finite groups and semisimple algebras: consider an arbitrary finite group  $G$  and let  $f$  be a complex-valued function on  $G$ . Given a matrix representation  $\rho$  of  $G$ , the (*generalized*) *Fourier transform of  $f$  at  $\rho$*  is given by the complex matrix sum:

$$\hat{f}(\rho) = \sum_{x \in G} f(x)\rho(x).$$

The computation of the set of Fourier transforms of  $f$  at each representation in a complete set  $R$  of inequivalent irreducible matrix representations of  $G$  is the *computation of a (generalized) Fourier transform of  $f$  at  $R$* . The problem of efficient computation of generalized Fourier transforms is an area of active research (see e.g. [6, 13, 15, 26, 54]). Some of the first results in this area are due to Willsky [94], motivated by a search for efficient algorithms for filter design.

Let  $T_G(R)$  denote the computational complexity of the Fourier transform on a group  $G$  at a set of inequivalent irreducible representations  $R$ . This is basically the least upper bound for the number of arithmetic operations needed to compute the Fourier transform of an arbitrary complex-valued function  $f$  on  $G$  at  $R$ . We let  $C(G)$

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denote the *complexity of the group*  $G$ , defined as

$$C(G) := \min_R \{T_G(R)\}.$$

For  $N$  a “highly composite” number, Cooley and Tukey’s algorithm gives  $C(\mathbb{Z}/N\mathbb{Z}) \leq O(N \log_2 N)$ . More recently, Johnson and Frigo [51] and Lundy and Van Buskirk [59] have independently shown that  $C(\mathbb{Z}/N\mathbb{Z}) \leq (\frac{8}{3} + o(1)) N \log_2 N$  for  $N = 2^m$ . More generally, for  $A$  an abelian group of size  $N$ , it is known that  $C(A) \leq O(N \log_2 N)$  [23], versus the naive bound,  $C(A) \leq N^2$  that comes from considering a direct computation.

Cooley and Tukey give a divide-and-conquer algorithm whose key step rewrites the DFT on  $\mathbb{Z}/N\mathbb{Z}$  as a linear combination of DFTs on subgroups. This step applies in the nonabelian group setting as well: if  $H$  is a subgroup of any group  $G$ , the Fourier transform of a complex-valued function on  $G$  reduces to a linear combination of Fourier transforms on  $H$ . This basic generalization of Cooley and Tukey’s algorithm has been used in [65] to give efficient algorithms for generalized Fourier transforms and has been extended to develop efficient algorithms for computing Fourier transforms on finite inverse semigroups [61].

A more sophisticated approach that builds on the subgroup chain is given in [63, 65], where a general algorithm is presented for computing Fourier transforms on semisimple algebras as a sequence of bilinear maps written in terms of the *path algebra* associated to a derived *Bratteli diagram* — a directed graph based on a subgroup chain which depicts how representations factor when restricted to subgroups. In the path algebra, basis vectors correspond to paths in the Bratteli diagram and matrix elements (indexed by pairs of vectors) correspond to very specific kinds of subgraphs. Matrix

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element multiplication also has a diagrammatic formulation. Factorizations of the associated Fourier transform correspond to decompositions of the Bratteli diagram in terms of recurrent subgraphs. The complexity counts for an associated algorithm then amount to counting the number of morphisms of subgraphs into the Bratteli diagram.

The work in this thesis builds on these earlier ideas by using the formalism of *quivers* [36]. We produce efficient algorithms for computing the Fourier transform of functions on the general linear groups over finite fields, classical Weyl groups, and their homogeneous spaces, improving on [63, 65]. We also provide new results for general semisimple algebras including the Brauer and BMW algebras.

These new results are motivated in part by emerging applications of Fourier transforms on semisimple algebras. For example, in [25] Diaconis and Ram consider the problem of systematically generating elements of a finite Coxeter group,  $W$ . This problem stated in terms of the group algebra  $\mathbb{C}[W]$  is equivalent to generating elements of the basis  $W$  of  $\mathbb{C}[W]$ . They show that a Metropolis-type formulation gives rise to systematic *scanning strategies* — choices of an ordering of a succession of Markov chains. This corresponds to the successive multiplication of group generators — which translates into an applications of left multiplication operators in the Iwahori-Hecke algebra. The algebraic setting enables the use of Fourier analysis on the Iwahori-Hecke algebra to analyze the time to stationarity of the corresponding random walks. Their work gives a comparison between systematic scan algorithms and random scan algorithms. This is of interest in the study of Ising models [10] and Gibbs sampling for image processing [34].

We extend these Metropolis-type ideas to *diagram algebras* in the consideration

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of random walks on the monoid bases of the Brauer and BMW algebras. Elements of these monoid bases have a natural interpretation as diagrams in which multiplication becomes concatenation of diagrams. The Metropolis algorithm in the context of the Brauer and BMW monoids gives rise to systematic scanning strategies for generating basis elements via multiplication of generators. As the diagrams forming the BMW monoid basis of the BMW algebra are tangles (see [40] or Chapter 3 for a description of this basis), scanning strategies for generating BMW monoid elements have relations to physics: random generation of links and tangles has been of use in [28, 60, 96]. As in [25] the walks arising from the Metropolis algorithm can be reinterpreted as left multiplication operators in the BMW algebra and studied via a new trace norm. This allows us to use the tools of representation theory to analyze the time to stationarity.

The two parts of this thesis split naturally into two self-contained chapters. In Chapter 2, we develop the Separation of Variables (SOV) approach for the efficient computation of Fourier transforms on finite groups and semisimple algebras. We end the chapter by using the algorithm to provide efficiency counts for the Brauer and BMW algebras. In Chapter 3, we explore the application of Fourier transforms on these algebras to random walks on the Brauer and BMW monoids. We close in Chapter 4 with some thoughts about future directions of work.

# Chapter 2

## Fourier Transforms on Finite Groups and Semisimple Algebras

### 2.1 Introduction

The (classical) *Fast Fourier Transform* (FFT) remains among the most important family of algorithms in information processing [81]. In its simplest form, it effects the efficient computation of the *discrete Fourier transform* (DFT), which up to normalization and indexing is the computation, for each  $k \in \mathbb{Z}/N\mathbb{Z}$ , of the sums

$$\hat{f}(k) = \sum_{j=0}^{N-1} f(j)e^{2\pi ijk/N}, \quad (2.1)$$

as described in Chapter 1. While this calculation can be framed in a number of ways, we take a representation theoretic point of view and cast the DFT as a change of basis in  $\mathbb{C}[\mathbb{Z}/N\mathbb{Z}]$ , the complex group algebra of the cyclic group of order  $N$  (naturally identified with  $\mathbb{Z}/N\mathbb{Z}$ ), from the natural basis of indicator functions to a basis of

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irreducible matrix elements for  $\mathbb{Z}/N\mathbb{Z}$ . This perspective suggests a generalization of the DFT to finite nonabelian groups  $G$ , that is, as the computation of a change of basis in  $\mathbb{C}[G]$  from the basis of indicator functions to a basis of irreducible matrix elements, with concomitant questions of computational complexity (see e.g., [67]).

In the case of finite abelian groups, the various fast Fourier transform algorithms can be pooled together in such a way that it is possible to say that the complexity of the DFT on an abelian group of size  $N$  is bounded above by  $O(N \log_2 N)$  [23]. Using different approaches, Lundy and Van Buskirk [59] and Johnson and Frigo [51] independently determined complexity bounds of  $(\frac{8}{3} + o(1))N \log_2 N$  for the case  $N = 2^m$ . The deep and ongoing study of this problem has been motivated by a wide range of applications in digital signal processing and beyond (see e.g. [2, 3, 11, 19, 31, 89, 91]).

The Cooley-Tukey algorithm is undoubtedly the most famous of the FFTs [17]. It is a divide-and-conquer algorithm, in fact, first recorded by Gauss in unpublished work (see e.g. [47] for a brief history of the algorithm). The key step is to rewrite the DFT on a cyclic group  $\mathbb{Z}/N\mathbb{Z}$  as a linear combination of DFTs on  $m\mathbb{Z}/N\mathbb{Z} < \mathbb{Z}/N\mathbb{Z}$  (for  $N = mn$ ). Iterating this step for a chain of subgroups of  $\mathbb{Z}/N\mathbb{Z}$  yields efficient algorithms. In this chapter we continue a line of work that generalizes this approach to nonabelian groups [64, 80, 65, 66], finding subgroup factorizations compatible with bases of irreducible matrix elements that combine to provide the elementary ingredients of efficient DFT algorithms for arbitrary finite groups and more general algebraic structures.

In particular, we build on the “Separation of Variables” (SOV) approach [65] by integrating the approach detailed in [63] with the formalism of *quivers*. This



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gives a general algorithm for computing Fourier transforms on semisimple algebras as a sequence of bilinear maps written in terms of the *path algebra* associated to a derived *Bratteli diagram* — a directed graph based on a subgroup chain which depicts how representations factor when restricted to subgroups. In the path algebra, basis vectors correspond to paths in the Bratteli diagram, matrix elements correspond to very specific kinds of subgraphs. Factorizations of the associated Fourier transform correspond to decompositions of the Bratteli diagram in terms of recurrent subgraphs. The complexity counts for an associated algorithm amount to counting the number of morphisms of these subgraphs into the Bratteli diagram.

We use quivers to define spaces associated to morphisms of a graph with dimension equal to the number of morphisms of the graph into the Bratteli diagram. The Fourier transform is then realized as an accumulation of sequences of bilinear maps on these “configuration spaces”. The associated complexity of such an algorithm is derived by identifying each subgraph in a “gluing process” and computing the dimension of its configuration space. As in [65], if our choice of factorization makes use of subgroup and centralizer algebra structure, the configuration spaces of the subgraphs identified in the gluing process have low dimension. With well chosen subgroup (subalgebra) chains, we improve on upper bounds for the complexity of computing DFTs on the general linear groups over finite fields, classical Weyl groups, and the homogeneous spaces of these groups. Moreover, our results hold for arbitrary chains of semisimple algebras rather than just chains of group algebras.

In Section 2.2 we outline the preliminaries needed for our results, defining the Fourier transform and complexity and discussing the main ideas behind the SOV approach. We then state our new complexity results for Fourier transforms on the

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general linear groups  $Gl_n(\mathbb{F}_q)$ , the Weyl groups  $B_n$  and  $D_n$ , and their homogeneous spaces. In Section 2.3 we present the SOV approach in detail, rewriting an iterated product in the path algebra as a sequence of bilinear maps on the newly defined configuration spaces. The complexity of the algorithm is determined by the dimensions of the configuration spaces and we generalize some results of Stanley on differential posets [87, 88] to give explicit methods for finding these dimensions.

In Section 2.4 we give specific factorizations and counts to prove the specific group complexity results and also recover previously known bounds for  $S_n$  [63] and compact Lie groups [62]. Finally, we extend these ideas in Section 2.5 to general semisimple algebras and work out complexity bounds for computing DFTs on the Brauer and BMW algebras. The last of these provides material and motivation for Chapter 3.

## 2.2 Background

The usual discrete Fourier transform of a finite data sequence may be viewed as a special case of Fourier transforms on finite groups, which in turn arise as a special case of Fourier transforms on semisimple algebras. Here we review the basic concepts and definitions. For more background on the representation theory of finite groups we refer the reader to [86], while for semisimple algebras see [76]. We'll work in the general semisimple setting.

**Definition 2.1.** A *matrix representation* of a  $\mathbb{C}$ -algebra  $A$  is an algebra homomorphism

$$\rho : A \rightarrow M_d(\mathbb{C}),$$

where  $M_d(\mathbb{C})$  denotes the complex algebra of  $d \times d$  matrices with entries in  $\mathbb{C}$ . We

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call  $d$  the **dimension** of  $\rho$ .

An algebra  $A$  is **simple** if  $A \cong M_n(\mathbb{C})$  for some  $n \geq 1$  and **semisimple** if it decomposes as a direct sum of simple algebras:

$$A \cong \bigoplus_{\lambda \in \Lambda} M_\lambda(\mathbb{C}),$$

for a finite index set  $\Lambda$ .

**Definition 2.2.** Let  $A$  be a semisimple algebra,  $\{a_i\}_{i \in I}$  a basis for  $A$  and  $f = \sum_{i \in I} f(a_i)a_i \in A$ .

(i) Let  $\rho$  be a matrix representation of  $A$ . Then the **Fourier transform of  $f$  at  $\rho$** , denoted  $\hat{f}(\rho)$ , is the matrix sum

$$\hat{f}(\rho) = \sum_{i \in I} f(a_i)\rho(a_i).$$

(ii) Let  $R$  be a set of matrix representations of  $A$ . Then the **Fourier transform of  $f$  on  $R$**  is the direct sum of Fourier transforms of  $f$  at the representations in  $R$ :

$$\mathcal{F}_R(f) = \bigoplus_{\rho \in R} \hat{f}(\rho) \in \bigoplus_{\rho \in R} M_{\dim \rho}(\mathbb{C}).$$

**Example 2.1.** Our main interest will be the case in which  $A = \mathbb{C}[G]$ , the complex group algebra for a finite group  $G$ . The group algebra  $\mathbb{C}[G]$  is the space of all formal complex linear combinations of group elements under the product

$$\left( \sum_{s \in G} f(s)s \right) \left( \sum_{t \in G} h(t)t \right) = \sum_{s,t \in G} f(s)h(t)st.$$

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Elements of  $\mathbb{C}[G]$  are in one-to-one correspondence with complex-valued functions on  $G$ , and the group algebra product corresponds to convolution of functions. The Fourier transform of  $\sum_{s \in G} f(s)s \longleftrightarrow f : G \rightarrow \mathbb{C}$  at a matrix representation  $\rho$  of  $G$  is

$$\hat{f}(\rho) = \sum_{s \in G} f(s)\rho(s).$$

This is equivalent to the  $d_\rho^2$  individual Fourier transforms at the corresponding matrix elements

$$\hat{f}(\rho_{ij}) = \sum_{s \in G} f(s)\rho_{ij}(s).$$

When we compute the Fourier transform for a complete set of inequivalent irreducible representations  $R$  of  $G$  we refer to the calculation as the **computation of a Fourier transform on  $G$**  (with respect to  $R$ ).

**Definition 2.3.** *Let  $G$  be a finite group,  $R$  a set of matrix representations of  $G$ .*

- (i) A **straight-line program** is a list of instructions for performing the operations  $\times, \div, +, -$  on inputs and precomputed values [9].
- (ii) The **arithmetic complexity** of a Fourier transform on  $R$ , denoted  $T_G(R)$ , is the minimum number of complex arithmetic operations needed to compute the Fourier transform of  $f$  on  $R$  via a straight-line program for an arbitrary complex-valued function  $f$  defined on  $G$ . Let  $C(G)$  denote the **complexity of the group  $G$** :

$$C(G) := \min_R \{T_G(R)\},$$

where  $R$  varies over all complete sets of inequivalent irreducible representations of  $G$ .

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(iii) The **reduced complexity**, denoted  $t_G(R)$ , is defined by

$$t_G(R) = \frac{1}{|G|} T_G(R).$$

Given a finite-dimensional semisimple algebra  $A$ , we have analogous definitions for the arithmetic complexity,  $T_A(R)$ , and reduced complexity,  $t_A(R) = \frac{1}{\dim(A)} T_A(R)$ . As in [63] we will always define the arithmetic complexity of an algorithm to be the maximum of the number of complex multiplications and the number of complex additions.

A complete set  $R$  of inequivalent irreducible matrix representations of a group  $G$  determines a basis for  $\mathbb{C}[G]$  and a Fourier transform determines  $f$  through the Fourier inversion formula.

**Theorem 2.1** (Fourier inversion (see e.g. [24])). *Let  $G$  be a group,  $f$  a complex-valued function on  $G$ , and  $R$  a complete set of inequivalent irreducible matrix representations of  $G$ . Then*

$$f(s) = \frac{1}{|G|} \sum_{\rho \in R} \dim_{\rho} \text{Trace}(\hat{f}(\rho) \rho(s^{-1})).$$

Thus, the Fourier transform is a change of basis algorithm to a basis of irreducible matrix elements. Reorganization using the obvious change of basis matrix gives a naive complexity bound. Let  $\rho_1, \dots, \rho_m$  be a complete set of inequivalent irreducible matrix representations of a group  $G$  of dimensions  $d_1, \dots, d_m$ , respectively. A direct computation of a Fourier transform would require at most  $|G| \sum_i d_i^2 = |G|^2$  arithmetic operations. Rewriting, for a direct computation we have

$$C(G) \leq T_G(R) \leq |G|^2.$$

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**Fast Fourier transforms** (FFTs) are algorithms for computing Fourier transforms that improve on this naive upper bound. A priori, the number of operations needed to compute the Fourier transform may depend on the specific representations used.

**Example 2.2.** The classical DFT and FFT. For  $G = \mathbb{Z}/N\mathbb{Z}$ , identified with the cyclic group of order  $N$ , all irreducible representations are 1-dimensional, defined by  $\zeta_k(j) = e^{2\pi ijk/N}$  for  $k = 0, \dots, N-1$ . The corresponding Fourier transform on  $\mathbb{Z}/N\mathbb{Z}$  is the usual discrete Fourier transform. Cooley and Tukey's algorithm showed that for  $N$  'highly composite,' i.e.,  $N$  factors completely as a product of small prime numbers,  $C(\mathbb{Z}/N\mathbb{Z}) \leq O(N \log_2 N)$  [17].

### 2.2.1 Gel'fand-Tsetlin Bases and Bratteli diagrams

The fundamental idea underlying the Cooley-Tukey FFT is a divide-and-conquer approach dependent on the use of a subgroup. In the best-known case of the Fourier transform on  $\mathbb{Z}/N\mathbb{Z}$ , when  $N = nm$  the subgroup  $m\mathbb{Z}/N\mathbb{Z} \cong \mathbb{Z}/n\mathbb{Z}$  enables a factorization of the associated DFT matrix and related computation. This can be framed in terms of a factorization of the path algebra of the *Bratteli diagram* of the associated subgroup chain  $\mathbb{Z}/N\mathbb{Z} > m\mathbb{Z}/N\mathbb{Z} > \{0\}$ , an idea which extends to the computation of the DFT for general group algebras and their associated irreducible matrix elements [65].

Let  $A$  be a complex semisimple algebra with chain of subalgebras

$$A = A_n > A_{n-1} > \cdots > A_1 > A_0 = \mathbb{C},$$

## 2.2 Background

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where for ease of notation we let  $A_i > A_{i-1}$  denote that  $A_{i-1}$  is a subalgebra of  $A_i$ . We will see that for any such chain of subalgebras there is a natural isomorphism with a chain of path algebras arising from the Bratteli diagram associated to the chain. We will take advantage of a formulation in terms of *graded quivers*.

**Definition 2.4.** A **quiver**  $Q$  is a directed multigraph with vertex set  $V(Q)$  and edge set  $E(Q)$ . For an arrow,  $e \in E(Q)$  from vertex  $\beta$  to vertex  $\alpha$ , we call  $\alpha$  the **head** of  $e$  and  $\beta$  the **tail** of  $e$ .

**Example 2.3.** The directed multigraph of Figure 2.1 is an example of a quiver.

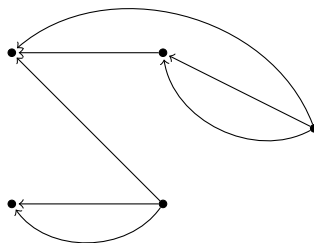


Figure 2.1: A quiver

Let  $Q$  be a quiver. For each  $e \in E(Q)$ , let  $e^+$  denote the head of  $e$  and  $e^-$  the tail of  $e$ .

**Definition 2.5.** A quiver  $Q$  is **graded** if there is a function  $gr : V(Q) \rightarrow \mathbb{N}$  such that  $gr(e^+) > gr(e^-)$ , for each  $e \in E(Q)$ .

**Example 2.4.** Figure 2.2 is an example of a graded quiver. Each vertex  $v$  is labeled by its grading,  $gr(v)$ .

## 2.2 Background

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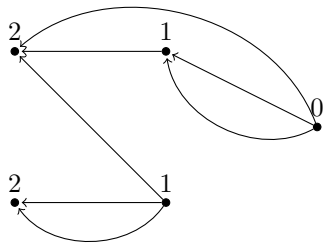


Figure 2.2: A graded quiver

**Definition 2.6.** A *Bratteli diagram* is a finite graded quiver such that:

- (i) there is a unique vertex with grading 0, called the **root**,
- (ii) if  $v \in V(Q)$  is not the root then  $v$  is the head of at least one arrow,
- (iii) if  $v \in V(Q)$  does not have grading of maximum value then  $v$  is the tail of at least one arrow,
- (iv) for each  $e \in E(Q)$ ,  $gr(e^+) = 1 + gr(e^-)$ .

**Example 2.5.** Note that the quiver of Figure 2.2 is not a Bratteli diagram. However, a slight modification produces the Bratteli diagram of Figure 2.3.

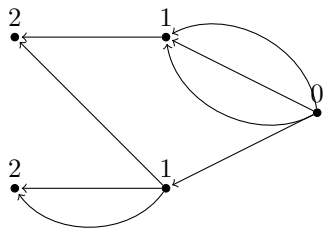


Figure 2.3: A Bratteli Diagram

Let  $A$  be a semisimple algebra with chain of subalgebras



## 2.2 Background

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$$A = A_n > A_{n-1} > \cdots > A_1 > A_0 = \mathbb{C}.$$

To associate a Bratteli diagram to this chain we follow the language of [77]. Let  $\rho$  be an irreducible representation of  $A_i$ , i.e., an irreducible  $A_i$ -module. Upon restriction to  $A_{i-1}$ ,  $\rho \downarrow_{A_{i-1}}$  decomposes as a direct sum of irreducible  $A_{i-1}$ -modules. For  $\gamma$  an irreducible representation of  $A_{i-1}$ , let  $M(\rho, \gamma)$  denote the multiplicity of  $\gamma$  in  $\rho \downarrow_{A_{i-1}}$ .

**Definition 2.7.** *Given the chain of subalgebras,*

$$A = A_n > A_{n-1} > \cdots > A_1 > A_0 = \mathbb{C},$$

*the (associated) Bratteli diagram is described by*

- (i) *The vertices of grading  $i$  are labeled by the (equivalence classes of) irreducible representations of  $A_i$ ;*
- (ii) *A vertex labeled by an irreducible representation  $\gamma$  of  $A_{i-1}$  is connected to a vertex labeled by an irreducible representation  $\rho$  of  $A_i$  by  $M(\rho, \gamma)$  arrows.*

**Example 2.6.** Let  $A = M_N(\mathbb{C})$ . The identity map  $\mathbf{1}_N$  is an irreducible representation of  $A$  with representation space  $\mathbb{C}^N$ . Similarly, the identity map  $\mathbf{1}$  is an irreducible representation of  $\mathbb{C}$  with representation space  $\mathbb{C}$ . Then  $M(\mathbb{C}^N, \mathbb{C}) = N$  and so the Bratteli diagram for the chain  $M_N(\mathbb{C}) > \mathbb{C}$  is the quiver of Figure 2.4, with  $N$  paths between the two vertices.

## 2.2 Background

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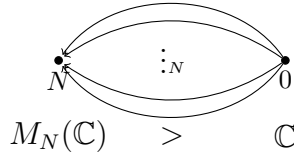


Figure 2.4

**Example 2.7.** Figure 2.5 shows two examples of Bratteli diagrams, with the gradings listed at the top.

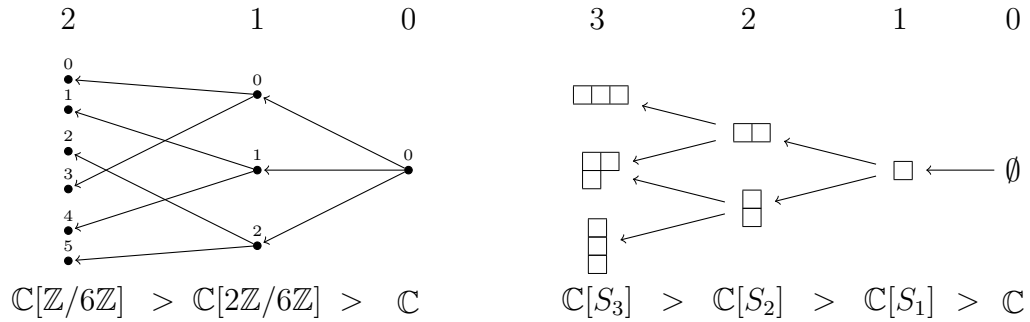


Figure 2.5

On the left we see the Bratteli diagram for a chain of group algebras for the group  $\mathbb{Z}/6\mathbb{Z}$ :

$$\mathbb{C}[\mathbb{Z}/6\mathbb{Z}] > \mathbb{C}[2\mathbb{Z}/6\mathbb{Z}] > \mathbb{C}.$$

On the right we see the Bratteli diagram for a chain of group algebras for the symmetric group  $S_3$ :

$$\mathbb{C}[S_3] > \mathbb{C}[S_2] > \mathbb{C}[S_1] > \mathbb{C}.$$

Note that we distinguish  $\mathbb{C}[S_1]$  from  $\mathbb{C}$  only so that vertices at level  $i$ ,  $i > 0$ , correspond to representations of  $\mathbb{C}[S_i]$ . For the group algebra  $\mathbb{C}[\mathbb{Z}/N\mathbb{Z}]$ , irreducible representations are naturally indexed at each level by the integers  $0, \dots, N - 1$ , while

## 2.2 Background

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for  $\mathbb{C}[S_n]$ , the irreducible representations are indexed by partitions of  $n$  (as determined by Young in [95]; see [49] for an introduction to the representation theory of  $S_n$ ). For  $N = mn$ ,  $m\mathbb{Z}/N\mathbb{Z} = \{0, m, \dots, (n-1)m\} < \mathbb{Z}/mn\mathbb{Z} = \mathbb{Z}/N\mathbb{Z}$ , while  $S_i$  will be the subgroup of  $S_n$  fixing the elements  $\{i+1, \dots, n\}$ . This gives a natural way to embed  $\mathbb{C}[\mathbb{Z}/n\mathbb{Z}]$  as a subalgebra of  $\mathbb{C}[\mathbb{Z}/N\mathbb{Z}]$  and  $\mathbb{C}[S_i]$  as a subalgebra of  $\mathbb{C}[S_n]$ .

Both Bratteli diagrams in Figure 2.5 are examples of **multiplicity-free** diagrams in that there is at most one edge from any vertex of grading  $i$  to any vertex of grading  $i+1$ .

Given a chain of subalgebras with Bratteli diagram  $\mathcal{B}$ , there is a canonical chain of algebras associated to  $\mathcal{B}$  called the chain of path algebras.

**Definition 2.8.** *Let  $\mathcal{B}$  be a Bratteli diagram. The **path algebra (at level  $i$ )**, denoted  $\mathbb{C}[\mathcal{B}_i]$ , is the  $\mathbb{C}$ -vector space with basis given by ordered pairs of paths in  $\mathcal{B}$  of length  $i$  which start at the root of  $\mathcal{B}$  and end at the same vertex at level  $i$  in  $\mathcal{B}$ .*

The path algebra  $\mathbb{C}[\mathcal{B}_i]$  is an algebra under the multiplication that linearly by the distributive laws extends  $(P, Q) * (P', Q') = \delta_{QP'}(P, Q')$ :

$$\sum_{(P,Q)} a_{PQ}(P, Q) * \sum_{(P',Q')} b_{P'Q'}(P', Q') = \sum_Q \left( \sum_Q a_{PQ} b_{QQ'} \right) (P, Q').$$

Pictorially, the path algebra multiplication corresponds to Figure 2.6. The first arrow represents gluing the pairs of paths along identical middle paths  $Q = P'$  and the second arrow represents summation over all possible gluings.

## 2.2 Background

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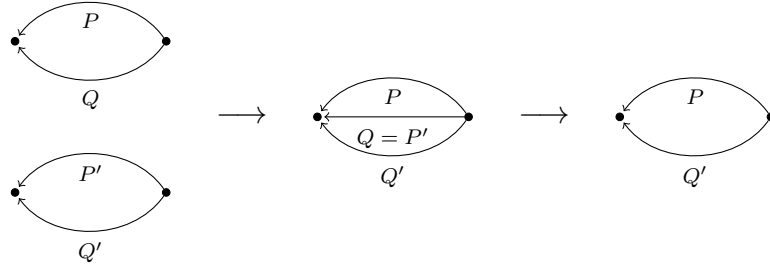


Figure 2.6: Multiplication in the Path Algebra

**Example 2.8.** By indexing matrix entries by pairs of paths in the Bratteli diagram for  $M_n(\mathbb{C}) > \mathbb{C}$  (Figure 2.4), the path algebra multiplication is matrix multiplication.

**Example 2.9.** For the Bratteli diagram  $\mathcal{B}$  of Figure 2.7 associated to the chain  $\mathbb{C}[S_3] > \mathbb{C}[S_2] > \mathbb{C}[S_1] > \mathbb{C}$ , let  $P_1, P_2, P_3, P_4$  be the paths from the root to level 3 in  $\mathcal{B}$ , labeled from top to bottom. Then  $\mathbb{C}[\mathcal{B}_3]$  has basis

$$\{(P_1, P_1), (P_2, P_2), (P_2, P_3), (P_3, P_2), (P_3, P_3), (P_4, P_4)\}.$$

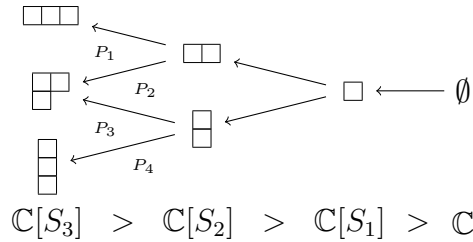


Figure 2.7: Paths  $P_1, P_2, P_3, P_4$ , with label on the last arrow of the path.

Note that for a vertex  $v$ , labeled by a representation  $\rho$ , the dimension of  $\rho$  is given by the number of paths from the root to  $v$ . Moreover, each path corresponds to a subgroup-equivariant embedding of  $\mathbb{C}$  into the representation space of  $\rho$  (for more

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details, see Appendix A.1).

Further,  $\mathbb{C}[\mathcal{B}_i]$  embeds into  $\mathbb{C}[\mathcal{B}_{i+1}]$  as a subalgebra by mapping any pair of paths  $(P, Q) \in \mathbb{C}[\mathcal{B}_i]$  to the sum

$$\sum_e (e \circ P, e \circ Q),$$

over all arrows  $e$  such that the tail of  $e$  is the head of  $P$  (equivalently, of  $Q$ ), and  $\circ$  denotes concatenation of paths.

**Example 2.10.** Let  $\mathcal{B}$  be the Bratteli diagram of Example 2.9 associated to the chain  $\mathbb{C}[S_3] > \mathbb{C}[S_2] > \mathbb{C}[S_1] > \mathbb{C}$  with paths  $P_1, P_2, P_3, P_4$  from the root to level 3. Then  $\mathbb{C}[\mathcal{B}_2]$  has basis  $\{(p_1, p_1), (p_2, p_2)\}$  for  $p_1$  and  $p_2$  the paths of Figure 2.8 from the root to level 2 in  $\mathcal{B}$ . The basis element  $(p_1, p_1)$  sits inside  $\mathbb{C}[\mathcal{B}_3]$  as  $(P_1, P_1) + (P_2, P_2)$  and similarly  $p_2$  corresponds with  $(P_3, P_3) + (P_4, P_4)$ . Together this determines an embedding  $\mathbb{C}[\mathcal{B}_2] \hookrightarrow \mathbb{C}[\mathcal{B}_3]$ .

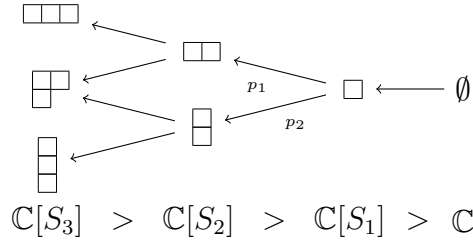


Figure 2.8: Paths  $p_1$  and  $p_2$ , with label on the last arrow of path.

For a Bratteli diagram  $\mathcal{B}$  with highest grading  $n$ , consider the chain of path algebras associated to  $\mathcal{B}$ :

$$\mathbb{C}[\mathcal{B}_n] > \mathbb{C}[\mathcal{B}_{n-1}] > \cdots > \mathbb{C}[\mathcal{B}_1] > \mathbb{C}[\mathcal{B}_0] = \mathbb{C}.$$

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It is not too difficult to see that the Bratteli diagram associated to the chain of path algebras is  $\mathcal{B}$ .

For example, for  $\mathbb{C}[\mathcal{B}_N] > \mathbb{C}[\mathcal{B}_0] = \mathbb{C}$  the path algebra chain of Example 2.6, letting pairs of paths index matrix entries yields a canonical representation  $\mathbb{C}[\mathcal{B}_N] \rightarrow M_n(\mathbb{C})$ , and under this identification the Bratteli diagram for the chain is exactly  $\mathcal{B}$ .

In fact:

**Lemma 2.1.** *Any chain of semisimple algebras is uniquely determined up to isomorphism by its Bratteli diagram.*

For further explanation see Appendix A and Section 2.3 of [39].

**Remark 2.1.** Quivers were first introduced by Gabriel in the study of modular representation theory [36]. Bratteli diagrams were first introduced to classify inductive limits of  $C^*$ -algebras [8]. After Elliot's use of Bratteli diagrams in the classification of AF-algebras [32], these ideas motivated a program to classify  $C^*$ -algebras in terms of their *K-theory* [82]. In terms of the representation theory of semisimple algebras, Bratteli diagrams have been of interest because those satisfying certain properties correspond to algebras satisfying the *Jones Basic Construction* [45, 46]. Bratteli diagrams have also been used to explicitly construct complete sets of irreducible representations that are analogs of *Young's seminormal form* in the symmetric group, and to describe restriction relations of representations [20, 43, 44, 56].

### 2.2.2 Adapted Representations and Gel'fand-Tsetlin Bases

As in [63, 65] we use adapted sets of bases to compute Fourier transforms efficiently.

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**Definition 2.9.** Given a group  $G$  with subgroup  $H \leq G$ , a complete set  $R$  of inequivalent irreducible matrix representations of  $G$  is **H-adapted** if there exists a complete set  $R_H$  of inequivalent irreducible matrix representations of  $H$  such that for all  $\rho \in R$ ,  $\rho \downarrow_H = \bigoplus \gamma_s$ , for (not necessarily distinct) representations  $\gamma_s$  in  $R_H$ . The set  $R$  is **adapted to the chain**

$$G = G_n > G_{n-1} > \cdots > G_0$$

if for each  $1 \leq i \leq n$  there is a complete set  $R_i$  of inequivalent representations of  $G_i$  such that  $R_i$  is  $G_{i-1}$ -adapted and  $R_n = R$ . A set of bases for the representation spaces that give rise to adapted representations is an **adapted basis**.

The notion of an adapted basis coincides with that of a set, for each  $1 \leq i \leq n$ , of  $G_i$ -equivariant maps between the representation spaces of representations in  $R_i$  and those in  $R_{i+1}$ . For further details, see Appendix A.1.

For the FFT results of the following sections we assume the ability to construct adapted sets of representations. This requirement is not a limitation, as any set of representations is equivalent to an adapted set of representations. One such construction is outlined in [65].

The analogous concept in the path algebra associated to the group algebra chain under the isomorphism of Lemma 2.1 is a *system of Gel'fand-Tsetlin bases*:

**Definition 2.10.** For a Bratteli diagram  $\mathcal{B}$  associated to a chain of subalgebras of a semisimple algebra  $A$ , a **system of Gel'fand-Tsetlin bases for  $\mathcal{B}$**  consists of a collection of bases for the representation spaces  $\{V_\alpha \mid \alpha \in V(\mathcal{B})\}$  of the representations corresponding to  $\alpha$  indexed by paths from the root to  $\alpha$ , along with maps from the

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paths to the basis vectors; *i.e.*, a set of basis vectors along with knowledge of the path corresponding to each vector.

**Example 2.11.** Let  $\mathcal{B}$  be the Bratteli diagram of Figure 2.5 associated to the chain  $\mathbb{C}[S_3] > \mathbb{C}[S_2] > \mathbb{C}[S_1] > \mathbb{C}$ . Then for the paths  $P_1, P_2, P_3, P_4$  defined in Example 2.9, a basis  $\{w_{P_2}, w_{P_3}\}$  for the two-dimensional representation space  $V_{\square}$  is part of a system of Gel'fand-Tsetlin bases for  $\mathcal{B}$ . Note that the entries of the matrix of this representation are indexed by pairs  $\{(w_{P_i}, w_{P_j}) \mid i, j = 1, 2\}$  and so correspond to basis elements of the path algebra  $\mathbb{C}[\mathcal{B}_3]$ .

Systems of Gel'fand-Tsetlin bases were originally developed by Gel'fand and Tsetlin to calculate the matrix coefficients of compact groups [37]. Clausen was the first to apply them to the efficient computation of Fourier transforms on finite groups [13].

In Remark A.1 of Appendix A.1, we show that systems of Gel'fand-Tsetlin bases for the chain of path algebras corresponding to a group algebra chain are equivalent to adapted bases for the chain of subgroups.

### 2.2.3 The Separation of Variables Idea

Gel'fand-Tsetlin bases provide a means to better understand the isomorphism of Lemma 2.1 between a chain of group algebras and the corresponding chain of path algebras. To see this, first note that the Fourier transform of a function  $f$  on  $G$  with respect to a complete set of inequivalent irreducible representations  $R$  of  $G$  is an algebra isomorphism

$$\mathbb{C}[G] \xrightarrow{\mathcal{F}_R} \bigoplus_{\rho \in R} M_{\dim(\rho)}(\mathbb{C}),$$



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where

$$f \longleftrightarrow \sum_{s \in G} f(s)s \xrightarrow{\mathcal{F}_R} \bigoplus_{\rho \in R} \hat{f}(\rho) = \bigoplus_{\rho \in R} \sum_{s \in G} f(s)\rho(s).$$

Now for a chain of subgroups  $G = G_n > G_{n-1} > \cdots > G_0 = \{e\}$ , let  $\mathcal{B}$  be the Bratteli diagram of the chain of subalgebras  $\mathbb{C}[G_n] > \mathbb{C}[G_{n-1}] > \cdots > \mathbb{C}[G_0] = \mathbb{C}$ , with corresponding chain of path algebras  $\mathbb{C}[\mathcal{B}_n] > \mathbb{C}[\mathcal{B}_{n-1}] > \cdots > \mathbb{C}[\mathcal{B}_1] > \mathbb{C}$ . Indexing the matrices  $\rho(s)$  by paths of length  $n$  in the Bratteli diagram corresponding to a Gel'fand-Tsetlin basis for  $\mathcal{B}$ , the isomorphism above becomes

$$\sum_{s \in G} f(s)s \xrightarrow{\mathcal{F}_R} \bigoplus_{\rho \in R} \sum_{s \in G} f(s)\tilde{\rho}(s),$$

where  $\tilde{\rho}$  represents the matrix  $\rho$  written with respect to a Gel'fand-Tsetlin basis for  $\mathcal{B}$ .

Since Gel'fand-Tsetlin bases are indexed by paths in  $\mathcal{B}$  and a basis for the path algebra  $\mathbb{C}[\mathcal{B}_n]$  consists of pairs of paths, we identify the group algebra  $\mathbb{C}[G]$  with its realization in coordinates relative to the Gel'fand-Tsetlin basis, indexed by pairs of paths of length  $n$  in  $\mathcal{B}$  that share the same endpoint. Then

$$\sum_{s \in G} f(s)\tilde{s} := \sum_{s \in G} f(s) \sum_{(P,Q) \in \mathbb{C}[\mathcal{B}_n]} [s]_{P,Q}(P,Q) \longleftrightarrow \sum_{s \in G} f(s)s,$$

and so

$$\sum_{s \in G} f(s)\tilde{s} \longleftrightarrow \sum_{s \in G} f(s)s \xrightarrow{\mathcal{F}_R} \bigoplus_{\rho \in R} \hat{f}(\rho) = \bigoplus_{\rho \in R} \sum_{s \in G} f(s)\tilde{\rho}(s).$$

In other words, as in [13, 63]:

**Lemma 2.2.** *For  $\mathbb{C}[G] = \mathbb{C}[G_n] > \mathbb{C}[G_{n-1}] > \cdots > \mathbb{C}[G_1] > \mathbb{C}[G_0] = \mathbb{C}$  a chain of*

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subalgebras for  $\mathbb{C}[G]$  with Bratteli diagram  $\mathcal{B}$ , and  $R$  a complete set of inequivalent irreducible representations of  $G$  adapted to the chain of subgroups  $G_n > G_{n-1} > \cdots > G_0$ , the computation of the Fourier transform of a function  $f$  on  $G$  with respect to  $R$  is equivalent to computation of

$$\sum_{s \in G} f(s)s$$

in the group algebra, relative to the Gel'fand-Tsetlin basis for  $\mathcal{B}$  associated to  $R$ . Identifying the group algebra with its realization in coordinates with respect to this basis, this computation is equivalent to computation of

$$\sum_{s \in G} f(s)\tilde{s}$$

in the path algebra  $\mathbb{C}[\mathcal{B}_n]$ .

**Example 2.12.** Young's orthogonal form gives an example of a complete set of irreducible matrix representations for  $S_n$  adapted to the chain  $S_n > S_{n-1} > \cdots > S_1$ . Since restriction of representations from  $S_n$  to  $S_{n-1}$  is multiplicity-free, the basis vectors of a system of Gel'fand-Tsetlin bases for the irreducible representations relative to this chain are determined up to scalar multiplies, and in the case of  $n = 3$ , the paths are the paths  $P_1, P_2, P_3, P_4$  of Example 2.9. In [63], Maslen gives an efficient algorithm for computation of the Fourier transform of a function on  $S_n$  by considering the computation of  $\sum_{s \in S_n} f(s)s$  in the group algebra for  $S_n$  relative to this Gel'fand-Tsetlin basis.

One motivation for Lemma 2.2 is that translation of matrix sums into path algebra elements takes advantage of the direct sum structure of these matrices in that the matrix elements corresponding to paths ending at different vertices in the Bratteli

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diagram (and thus zero) are ignored in the path algebra. For matrices with a more structured form, e.g., those lying in subalgebras or centralizer algebras, the nonzero entries are indexed by specific pairs of paths in the Bratteli diagram. Further, recall that the definition of multiplication in the path algebra corresponds to gluing and summing operations on quivers. Then to compute a product of elements in the path algebra, we glue together subquivers corresponding to each element in the product and find that the complexity estimates can be computed in terms of counts of the number of such configurations in the Bratteli diagram. This was done in [63] for the symmetric group case. Our translation to the path algebra and a reframing in terms of quivers and configuration spaces enables an extension to arbitrary groups and semisimple algebras.

Key to this is the introduction in Section 2.3 of a diagrammatic technique of gluing and summing quivers to keep track of the nonzero entries.

The first steps of the SOV approach involve expressing a path algebra element as a factorization over subsets of the Bratteli diagram in such a way as to disentangle the dependencies in the sum  $\hat{f}$ . To do so we first factor the Fourier transform through the subalgebras  $\mathbb{C}[G_i]$ . We illustrate with an example before stating the general result.

**Example 2.13.** To compute  $\sum_{s \in S_3} f(s)s$ , consider the set  $Y = \{e, t_1 t_2, t_2\} \subseteq S_3$ , for  $t_i$  the simple transposition  $(i \ i+1)$ . This is a complete set of left coset representatives for  $S_3/S_2$ . In particular,  $S_3 = \{ee, et_1, t_1 t_2 e, t_1 t_2 t_1, t_2 e, t_2 t_1\}$ . The reason for keeping the identity element  $e$  in the factorizations will become apparent. Then

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$$\begin{aligned}
\sum_{s \in S_3} f(s)s &= f(ee)ee + f(et_1)et_1 + f(t_1t_2e)t_1t_2e + \\
&\quad f(t_1t_2t_1)t_1t_2t_1 + f(t_2e)t_2e + f(t_2t_1)t_2t_1 \\
&= \sum_{y \in Y} y \sum_{s \in S_2} f(ys)s.
\end{aligned}$$

Thus, the Fourier transform of  $f$  on  $\mathbb{C}[S_3]$  becomes a linear combination of Fourier transforms of  $f$  on  $\mathbb{C}[S_2]$ .

For  $G = S_n$  a set of coset representatives for  $S_n/S_{n-1}$  is

$$Y = \{e, t_1 \cdots t_{n-1}, t_2 \cdots t_{n-1}, \dots, t_{n-1}\}$$

and the same argument as above can be used in this general case.

We extend this idea to a general group  $G$  given a subgroup  $H$  and a set of coset representatives  $Y$  for  $G/H$ .

Recall that  $T_G(R)$  is defined to be the minimum number of operations to compute the Fourier transform of a function  $f$  on  $G$  with respect to a set of representations  $R$  of  $G$ . Recall also that

$$t_G(R) := \frac{1}{|G|} T_G(R).$$

For  $H < G$ , let  $\mathcal{B}$  be the Bratteli diagram of the group algebra chain  $\mathbb{C}[G] > \mathbb{C}[H] > \mathbb{C}$ , with corresponding path algebra chain  $\mathbb{C}[\mathcal{B}_G] > \mathbb{C}[\mathcal{B}_H] > \mathbb{C}$ . For  $Y$  a set of coset representatives for  $G/H$  and  $F_y$  arbitrary elements of  $\mathbb{C}[\mathcal{B}_H]$ , let

$$m_G(R, Y, H) = \frac{1}{|G|} \times \begin{cases} \text{minimum number of operations required to compute} \\ \sum_{y \in Y} \tilde{y} F_y \text{ in a system of Gel'fand-Tsetlin bases for } \mathcal{B} \end{cases}$$

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Lemma 2.3 is a restatement of Lemma 2.10 of [63] and Proposition 1 of [26].

**Lemma 2.3.** *Let  $H$  be a subgroup of  $G$ ,  $R$  a complete  $H$ -adapted set of inequivalent irreducible matrix representations of  $G$ , and  $Y \subseteq G$  a set of coset representatives for  $G/H$ . Let  $\mathcal{B}$  be the Bratteli diagram of the group algebra chain  $\mathbb{C}[G] > \mathbb{C}[H] > \mathbb{C}$ , with corresponding path algebra chain  $\mathbb{C}[\mathcal{B}_G] > \mathbb{C}[\mathcal{B}_H] > \mathbb{C}$ . Then*

$$t_G(R) \leq t_H(R_H) + m_G(R, Y, H).$$

*Proof.* By Lemma 2.2, computation of the Fourier transform of a function  $f$  on  $G$  at  $R$  is equivalent to computation of  $\mathcal{F} := \sum_{s \in G} f(s)\tilde{s}$  in  $\mathbb{C}[\mathcal{B}_G]$  by expressing group algebra elements in coordinates relative to a Gel'fand-Tsetlin basis for  $\mathcal{B}$ . Let  $H$  be a subgroup of  $G$  and  $Y \subseteq G$  a set of coset representatives for  $G/H$ . Then

$$\begin{aligned} \mathcal{F} &:= \sum_{s \in G} f(s)\tilde{s} = \sum_{y \in Y} \sum_{h \in H} f(yh)\tilde{y}\tilde{h} = \sum_{y \in Y} \tilde{y} \sum_{h \in H} f(yh)\tilde{h} \\ &= \sum_{y \in Y} \tilde{y}F_y, \end{aligned} \tag{2.2}$$

where for each  $y \in Y$ ,

$$F_y = \sum_{h \in H} f_y(h)\tilde{h} \in \mathbb{C}[\mathcal{B}_H]$$

with  $f_y(h) := f(yh)$ . Then to compute  $\mathcal{F}$ , first compute  $F_y \in \mathbb{C}[\mathcal{B}_{n-1}]$  for all  $y \in Y$  relative to a system of Gel'fand-Tsetlin bases for the chain  $\mathbb{C}[\mathcal{B}_H] > \mathbb{C}$  corresponding to  $R_H$ , by means of a Fourier transform on  $H$ . This requires at most  $\frac{|G|}{|H|}T_H(R_H)$  scalar operations. Next, express the elements  $F_y$  in coordinates relative to a system of Gel'fand-Tsetlin bases for the path algebra chain  $\mathbb{C}[\mathcal{B}_G] > \mathbb{C}[\mathcal{B}_H] > \mathbb{C}$  corresponding to  $R$ , which requires no operations. Finally, compute  $\mathcal{F}$  using (2.2), which requires

## 2.2 Background

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at most  $|G|m_G(R, Y, H)$  operations. Thus,

$$T_G(R) \leq \frac{|G|}{|H|} T_H(R_H) + |G|m_G(R, Y, H),$$

and dividing by  $|G|$  proves the lemma.  $\square$

By Lemma 2.3, to compute the Fourier transform of a complex function at a set of  $H$ -adapted representations, we need to compute

$$\mathcal{F}_Y = \sum_{y \in Y} \tilde{y} F_y,$$

for  $Y$  a set of coset representatives for  $G/H$ . Then to compute the Fourier transform of a complex function at a set of representations  $R$  adapted to a chain  $G = G_n > G_{n-1} > \cdots > G_0 = e$ , let  $Y_i$  be a set of coset representatives for  $G_i/G_{i-1}$ . Iteration of Lemma 2.3 gives

$$t_G(R) \leq t_{G_0}(R_{G_0}) + \sum_{i=1}^n M_{G_i}(R_{G_i}, Y, G_{i-1}). \quad (2.3)$$

In Section 2.3 we detail an approach for computing  $\mathcal{F}_{Y_i}$ . Applying this approach yields the following theorems, proved in Section 2.4:

**Theorem 2.2.** *For the Weyl group  $B_n$  and  $R$  a complete set of irreducible matrix representations of  $B_n$  adapted to the subgroup chain  $B_n > B_{n-1} > \cdots > B_0 = \{e\}$ ,*

$$C(B_n) \leq T_{B_n}(R) \leq n(2n-1)|B_n|.$$

**Theorem 2.3.** *For the Weyl group  $D_n$  and  $R$  a complete set of irreducible matrix*

## 2.3 The Separation of Variables Approach

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representations of  $D_n$  adapted to the subgroup chain  $D_n > D_{n-1} > \dots > D_0 = \{e\}$ ,

$$C(D_n) \leq T_{D_n}(R) \leq \frac{n(13n-11)}{2} |D_n|.$$

**Theorem 2.4.** *For the matrix group  $Gl_n(\mathbb{F}_q)$  and  $R$  a complete set of irreducible matrix representations of  $Gl_n(\mathbb{F}_q)$  adapted to the subgroup chain  $Gl_n(\mathbb{F}_q) > Gl_{n-1}(\mathbb{F}_q) > \dots > \{e\}$*

$$C(Gl_n(\mathbb{F}_q)) \leq T_{Gl_n(\mathbb{F}_q)}(R) = O(q^n) |Gl_n(\mathbb{F}_q)|.$$

We also consider the case of Fourier transforms on homogeneous spaces, producing results such as:

**Theorem 2.5.** *For the homogenous space  $B_n/B_{n-k}$  of the Weyl group  $B_n$  and  $R$  a complete set of irreducible matrix representations of  $B_n$  adapted to the subgroup chain  $B_n > B_{n-1} > \dots > \{e\}$ ,*

$$C(B_n/B_{n-k}) \leq T_{B_n/B_{n-k}}(R) \leq k(4n-2k-1) \frac{|B_n|}{|B_{n-k}|}.$$

## 2.3 The Separation of Variables Approach

The SOV approach is comprised of three main steps:

- 1 Use Lemma 2.3 and Equation (2.3) to reduce computation of a Fourier transform to computation of  $\mathcal{F}_Y = \sum_{y \in Y} \tilde{y} F_y$  in the path algebra  $\mathbb{C}[\mathcal{B}_i]$ , for  $Y$  a set of coset representatives for  $G_i/G_{i-1}$ .
- 2 Rearrange  $\mathcal{F}_Y$  to be a recursively structured summation.

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3 Use diagrammatic techniques to keep track of nonzero entries and give complexity counts.

We discussed Step 1 in Section 2.2.3. For Step 2, we develop a method to recursively structure elements of the path algebra of the form  $\sum_{z \in Z \subseteq G} \tilde{z}$ . Let  $\tilde{Z} = \{\tilde{z} \mid z \in Z\}$ . We begin with a preliminary algorithm to compute  $\sum_{\tilde{z} \in \tilde{Z}} \tilde{z}$ .

**Algorithm 2.1.**

I. Choose  $m \in \mathbb{N}$  and a subset  $X \subseteq (\mathbb{C}[\mathcal{B}_n])^m = \mathbb{C}[\mathcal{B}_n] \times \cdots \times \mathbb{C}[\mathcal{B}_n]$  such that  $|X| = |\tilde{Z}|$  and

$$\tilde{Z} = \{x_1 \cdots x_m \mid (x_1, \dots, x_m) \in X\}.$$

Thus,  $X$  is a choice of factorization for each element of  $\tilde{Z}$ .

II. Let

$$\begin{aligned} X_i &= \{(x_{i+1}, \dots, x_m) \mid (x_1, \dots, x_m) \in X\}, \quad 0 \leq i \leq m, \\ X_m &= \emptyset. \end{aligned}$$

III. Define a sequence of functions  $L_i : X_i \rightarrow \mathbb{C}[\mathcal{B}_n]$  recursively by:

$$\begin{aligned} L_1(x_2, \dots, x_m) &= \sum_{(x_1, x_2, \dots, x_m) \in X_0} x_1, \\ L_2(x_3, \dots, x_m) &= \sum_{(x_2, x_3, \dots, x_m) \in X_1} L_1(x_2, \dots, x_m) * x_2. \\ L_i(x_{i+1}, \dots, x_m) &= \sum_{(x_i, x_{i+1}, \dots, x_m) \in X_{i-1}} L_{i-1}(x_i, \dots, x_m) * x_i. \end{aligned}$$

Induction shows that  $L_m(\emptyset) = \sum_{z \in Z} \tilde{z}$ .



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**Example 2.14.** Let  $G = S_3 = \{e, t_1, t_2, t_1t_2, t_2t_1, t_1t_2t_1\}$  and let  $\mathcal{B}$  be the Bratteli diagram corresponding to the chain  $\mathbb{C}[S_3] > \mathbb{C}[S_2] > \mathbb{C}[S_1] > \mathbb{C}$ , with corresponding path algebra chain  $\mathbb{C}[\mathcal{B}_3] > \mathbb{C}[\mathcal{B}_2] > \mathbb{C}[\mathcal{B}_1] > \mathbb{C}$ . Factor the elements of  $S_3$  as

$$S_3 = \{eee, t_1ee, et_2e, t_1t_2e, et_2t_1, t_1t_2t_1\}.$$

Let  $Z = S_3$ . To compute  $\sum_{z \in Z} \tilde{z} \in \mathbb{C}[\mathcal{B}_3]$ , let

$$X = \{(\tilde{e}, \tilde{e}, \tilde{e}), (\tilde{t}_1, \tilde{e}, \tilde{e}), (\tilde{e}, \tilde{t}_2, \tilde{e}), (\tilde{t}_1, \tilde{t}_2, \tilde{e}), (\tilde{e}, \tilde{t}_2, \tilde{t}_1), (\tilde{t}_1, \tilde{t}_2, \tilde{t}_1)\}.$$

Then

$$X_1 = \{(\tilde{e}, \tilde{e}), (\tilde{t}_2, \tilde{e}), (\tilde{t}_2, \tilde{t}_1)\},$$

$$X_2 = \{(\tilde{e}), (\tilde{t}_1)\},$$

$$X_3 = \emptyset,$$

and

$$L_1(\tilde{e}, \tilde{e}) = L_1(\tilde{t}_2, \tilde{e}) = L_1(\tilde{t}_2, \tilde{t}_1) = \tilde{e} + \tilde{t}_1,$$

$$L_2(\tilde{e}) = L_1(\tilde{e}, \tilde{e})\tilde{e} + L_1(\tilde{t}_1, \tilde{e})\tilde{t}_1 = \tilde{e}\tilde{e} + \tilde{t}_1\tilde{e} + \tilde{e}\tilde{t}_2 + \tilde{t}_1\tilde{t}_2,$$

$$L_2(\tilde{t}_1) = L_1(\tilde{t}_2, \tilde{t}_1)\tilde{t}_2 = \tilde{e}\tilde{t}_2 + \tilde{t}_1\tilde{t}_2,$$

$$L_3(\emptyset) = L_2(\tilde{e})\tilde{e} + L_2(\tilde{t}_1)\tilde{t}_1 = \tilde{e}\tilde{e}\tilde{e} + \tilde{t}_1\tilde{e}\tilde{e} + \tilde{e}\tilde{t}_2\tilde{e} + \tilde{t}_1\tilde{t}_2\tilde{e} + \tilde{e}\tilde{t}_2\tilde{t}_1 + \tilde{t}_1\tilde{t}_2\tilde{t}_1 = \sum_{z \in Z} \tilde{z}.$$

Algorithm 2.1 is the basis for Step 2 of the SOV approach. It provides a strategy for recursively structuring the path algebra element  $\sum_{z \in Z} \tilde{z}$  as a summation of products, using factorizations of  $\tilde{z}$ .

We refine this approach further by recalling that the purpose of our translation of matrix sums into path algebra elements was to take advantage of the fact that

### 2.3 The Separation of Variables Approach

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matrices with a more structured form (e.g., those lying in subalgebras or centralizer algebras) have nonzero entries indexed by specific paths in the Bratteli diagram. By using factorizations of  $\tilde{z}$  that take advantage of this structure, we develop an efficient algorithm for the computation. To do so, for a factorization  $\tilde{z} = x_1 x_2 \cdots x_m$ , we keep track of the space in which each element  $x_i$  lies. Further, we translate multiplication into a bilinear map on these vector spaces, the complexity of which is easily computed.

To give the general idea, recall that the definition of multiplication in the path algebra (Section 2.2.1) corresponds to the natural gluing and summing operations of Figure 2.9, where the first arrow represents gluing the pairs of paths along identical middle paths  $Q = P'$  and the second arrow represents summation over all possible gluings.

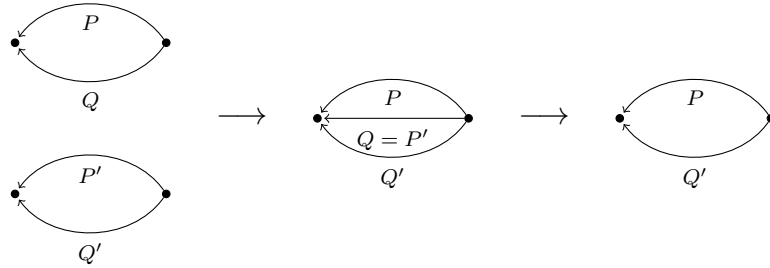


Figure 2.9: Multiplication in the Path Algebra

Then to compute a product of elements in the path algebra, we form a quiver  $\mathcal{Q}$  by gluing together quivers that correspond to each element in the product. Summing over all possible gluings amounts to counting the occurrences of  $\mathcal{Q}$  in the corresponding Bratteli diagram  $\mathcal{B}$ . We do so by defining the space of maps from  $\mathcal{Q}$  into  $\mathcal{B}$ , as the dimension of this space is the number of occurrences of  $\mathcal{Q}$  in  $\mathcal{B}$ .

**Example 2.15.** Let  $G = S_4$  and consider the subalgebra chain  $\mathbb{C}[S_4] > \mathbb{C}[S_3] >$

### 2.3 The Separation of Variables Approach

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$\mathbb{C}[S_2] > \mathbb{C}[S_1] > \mathbb{C}[S_0] = \mathbb{C}$  with Bratteli diagram  $\mathcal{B}$  and corresponding path algebra chain  $\mathbb{C}[\mathcal{B}_4] > \mathbb{C}[\mathcal{B}_3] > \mathbb{C}[\mathcal{B}_2] > \mathbb{C}[\mathcal{B}_1] > \mathbb{C}[\mathcal{B}_0]$ . Let  $Y = \{e, s_1 s_2 s_3, s_2 s_3, s_3\}$ , a set of coset representatives for  $S_4/S_3$ . Then by Lemma 2.2.3 and an extension of Example 2.13, to compute  $\sum_{s \in S_4} f(s) \tilde{s}$  we need to compute

$$\sum_{y \in Y} \tilde{y} F_y = \tilde{e} F_e + \tilde{t}_1 \tilde{t}_2 \tilde{t}_3 F_{t_1 t_2 t_3} + \tilde{t}_2 \tilde{t}_3 F_{t_2 t_3} + \tilde{t}_3 F_{t_3}.$$

For the purposes of this example, consider the single term  $\tilde{t}_1 \tilde{t}_2 \tilde{t}_3 F_{t_1 t_2 t_3}$ .

Note that  $t_i \in \mathbb{C}[S_{i+1}] \cap \text{Centralizer } \mathbb{C}[S_{i-1}]$ . Identifying the group algebra with the path algebra by expressing  $t_i$  in coordinates,  $\tilde{t}_i := \sum_{(P,Q)} [t_i]_{PQ} (P, Q)$ , an application of Schur's lemma and standard facts about Gel'fand-Tsetlin bases show that  $[t_i]_{P,Q}$  is 0 unless  $P$  and  $Q$  are paths in  $\mathcal{B}$  that agree from level 4 to level  $i+1$ , and from level  $i-1$  to level 0. Thus,  $\tilde{t}_i \in \mathbb{C}[\mathcal{B}_{i+1}] \cap \text{Centralizer } \mathbb{C}[\mathcal{B}_{i-1}]$ , isomorphic to the space of maps from the quiver  $Q_i$  of Figure 2.10 into  $\mathcal{B}$ . For further details, see Lemma 2.4.

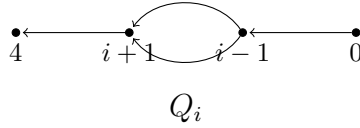


Figure 2.10

Moreover, multiplication in the path algebra corresponds to gluing paths, and so the product  $\tilde{t}_1 \tilde{t}_2 \tilde{t}_3 F_{t_1 t_2 t_3}$  lies in a space isomorphic to the space of maps from the quiver  $Q$  of Figure 2.11 into  $\mathcal{B}$ .

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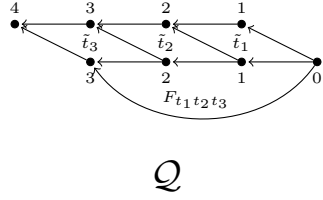


Figure 2.11

However, determining the maps from  $\mathcal{Q}$  into  $\mathcal{B}$  (i.e., the number of occurrences of  $\mathcal{Q}$  as a subquiver of  $\mathcal{B}$ ) can be complicated. The key is to instead use the recursive sum strategy of Algorithm 2.1 in order to consider each multiplication individually.

We rewrite the product as individual gluing operations

$$(((F_{t_1 t_2 t_3} * \tilde{t}_1) * \tilde{t}_2) * \tilde{t}_3),$$

where  $w_1 * w_2$  represents gluing together the subquivers of  $\mathcal{Q}$  corresponding to  $w_1$  and  $w_2$ . Note that gluing  $F_{t_1 t_2 t_3}$  to  $\tilde{t}_1$  corresponds to the subquiver  $\mathcal{Q}_1$  of  $\mathcal{Q}$  (see Figure 2.12), while gluing  $(F_{t_1 t_2 t_4} * \tilde{t}_1)$  to  $\tilde{t}_2$  corresponds to the subquiver  $\mathcal{Q}_2$  of  $\mathcal{Q}$ , and gluing  $((F_{t_1 t_2 t_4} * \tilde{t}_1) * \tilde{t}_2)$  to  $\tilde{t}_3$  corresponds to the subquiver  $\mathcal{Q}_3$ .

The complexity of the algorithm will result from counting the number of occurrences of the subquivers  $\mathcal{Q}_i$  in the Bratteli diagram  $\mathcal{B}$ .

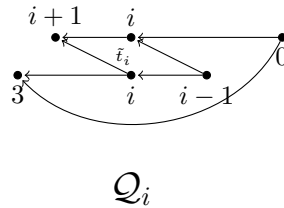


Figure 2.12

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In general, to compute  $\sum_{\tilde{z} \in \tilde{Z}} \tilde{z}$ , we proceed as in Example 2.15 by factoring each  $\tilde{z} = x_1 \cdots x_m$ , determining the corresponding spaces  $W_i$  such that  $x_i \in W_i$ , and defining bilinear maps  $*$  corresponding to gluing.

### Algorithm 2.2.

I. Choose  $m \in \mathbb{N}$  and a subset  $X \subseteq (\mathbb{C}[\mathcal{B}_n])^m = \mathbb{C}[\mathcal{B}_n] \times \cdots \times \mathbb{C}[\mathcal{B}_n]$  such that  $|X| = |\tilde{Z}|$  and

$$\tilde{Z} = \{x_1 \cdots x_m \mid (x_1, \dots, x_m) \in X\}.$$

Thus,  $X$  can be thought of as a choice of factorization into at most  $m$  elements of each element of  $\tilde{Z}$ .

II. For  $\sigma \in S_m$ , let  $w_i = x_{\sigma(i)}$ . For  $1 \leq i \leq m$  let  $W_i$  be a vector space containing  $w_i$  and for  $2 \leq j \leq m$  let  $*_j$  be a bilinear map such that

$$x_1 \cdots x_m = (((w_1 *_2 w_2) *_3 w_3) \cdots *_m w_m).$$

III. Let

$$X_i = \{(w_{i+1}, \dots, w_m) \mid (x_1, \dots, x_m) \in X\} \subseteq W_{i+1} \times \cdots \times W_m, \quad 0 \leq i \leq m, \\ X_m = \emptyset.$$

IV. Define a sequence of functions  $L_i$  recursively by:

$$L_1(w_2, \dots, w_m) = \sum_{(w_1, w_2, \dots, w_m) \in X_0} w_1, \\ L_i(w_{i+1}, \dots, w_m) = \sum_{(w_i, w_{i+1}, \dots, w_m) \in X_{i-1}} (L_{i-1}(w_i, \dots, w_m) *_i w_i).$$

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**Theorem 2.6.** For  $L_i$  as defined above,

$$L_m := L_m(\emptyset) = \sum_{(w_1, \dots, w_m) \in X_0} (((w_1 *_2 w_2) *_3 w_3) \cdots *_m w_m) = \sum_{\tilde{z} \in \tilde{Z}} z$$

*Proof.* First note that

$$\sum_{\tilde{z} \in \tilde{Z}} \tilde{z} = \sum_{(x_1, \dots, x_m) \in X} x_1 \cdots x_m = \sum_{(w_1, \dots, w_m) \in X_0} (((w_1 *_2 w_2) *_3 w_3) \cdots *_m w_m).$$

Then induction gives that

$$\begin{aligned} & \sum_{(w_1, \dots, w_m) \in X_0} (((w_1 *_2 w_2) *_3 w_3) \cdots *_m w_m) \\ &= \sum_{(w_i, \dots, w_m) \in X_{i-1}} (((L_{i-1}(w_i, \dots, w_m) *_i w_i) *_{i+1} w_{i+1}) \cdots *_m w_m), \end{aligned}$$

so for  $i = m$  we have the theorem statement.  $\square$

**Note 2.1.** When  $\sigma = e$ , i.e.  $w_i = x_i$ , and the bilinear map is multiplication, i.e.  $(w_{i-1} *_i w_i) = w_{i-1} w_i$ , Theorem 2.6 is exactly the conclusion of Algorithm 2.1, illustrated by Example 2.14. The bilinear maps we define are essentially multiplication of matrix entries, but will take advantage of sparse matrix structure.

In the remaining parts of Section 2.3 we flesh out Algorithm 2.2 by constructing the required spaces  $W_i$  and bilinear maps  $*_j$ . As elements of subalgebras and centralizer algebras have nonzero entries indexed by specific paths in the Bratteli diagram (see Example 2.15), these spaces will be intersections of such algebras.

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### 2.3.1 The Spaces $W_i$

Let  $\mathcal{B}$  be a Bratteli diagram with highest grading at least  $n$  and for  $x_1, \dots, x_m \in \mathbb{C}[\mathcal{B}_n]$ , consider the product  $x_1 \cdots x_m$ .

**Definition 2.11.** *For a path algebra product  $x_1 \cdots x_m$ , Let  $i^+$  denote the smallest integer such that  $x_i \in \mathbb{C}[\mathcal{B}_{i^+}]$  and let  $i^-$  denote the largest integer less than or equal to  $i^+$  such that  $x_i \in \text{Centralizer}(\mathbb{C}[\mathcal{B}_{i^-}])$ . Then for  $1 \leq i \leq m$  define*

$$W_i := \mathbb{C}[\mathcal{B}_{i^+}] \cap \text{Centralizer}(\mathbb{C}[\mathcal{B}_{i^-}]).$$

Note that  $x_i \in W_i$  and the product  $x_1 \cdots x_m$  is a multilinear map

$$W_1 \times \cdots \times W_m \rightarrow \mathbb{C}[\mathcal{B}_n].$$

**Example 2.16.** Let  $\mathcal{B}$  be the Bratteli diagram for the chain of symmetric group algebras  $\mathbb{C}[S_4] > \mathbb{C}[S_3] > \mathbb{C}[S_2] > \mathbb{C}[S_1] > \mathbb{C}$  and let  $\mathbb{C}[\mathcal{B}_4] > \mathbb{C}[\mathcal{B}_3] > \mathbb{C}[\mathcal{B}_2] > \mathbb{C}[\mathcal{B}_1] > \mathbb{C}$  be the corresponding chain of path algebras.

Consider the product  $x_1 x_2 x_3 x_4 = \tilde{t}_1 \tilde{t}_2 \tilde{t}_3 F_{t_1 t_2 t_3}$  of Example 2.15, where  $t_i$  is the transposition  $(i \ i+1)$  and  $F_{t_1 t_2 t_3} \in \mathbb{C}[\mathcal{B}_3]$ . Since  $\tilde{t}_i \in \mathbb{C}[\mathcal{B}_{i+1}] \cap \text{Centralizer}(\mathbb{C}[\mathcal{B}_{i-1}])$ , we have that

$$\begin{aligned} 1^+ &= 2, & 1^- &= 0, \\ 2^+ &= 3, & 2^- &= 1, \\ 3^+ &= 4, & 3^- &= 2. \end{aligned}$$

Finally, we know that  $x_4 = F_{t_1 t_2 t_3}$  is an arbitrary element of  $\mathbb{C}[\mathcal{B}_3]$ , implying that  $4^+ = 3$  and  $4^- = 0$ .

We will show (Lemma 2.4 below), that each space  $W_i$  is isomorphic to the *config-*

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uration space of a specific quiver.

For an arrow  $e$  of a quiver  $Q$ , let  $e^+ \in V(Q)$  denote the head of  $e$  and  $e^-$  the tail.

**Definition 2.12.** For graded quivers  $Q$  and  $B$ , a **morphism**  $\phi : Q \rightarrow B$  is a mapping from arrows in  $Q$  to paths in  $B$ , along with a grading-preserving mapping between vertices so that  $\phi(e^+) = \phi(e)^+$  and  $\phi(e^-) = \phi(e)^-$  for all arrows  $e \in E(Q)$ .

**Example 2.17.** For  $Q, B$  as in Figure 2.13, let  $\phi : Q \rightarrow B$  send the arrow  $e_1$  to the path  $f_3 \circ f_2 \circ f_1$ .

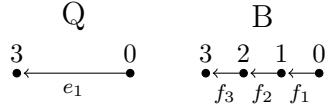


Figure 2.13

For two graded quivers  $Q$  and  $B$ , let  $\text{Hom}(Q; B)$  denote the set of morphisms from  $Q$  to  $B$ . For  $Q, R$ , and  $B$  graded quivers such that  $Q$  is a subquiver of  $R$ , let  $\text{Hom}(Q \uparrow R; B)$  denote the set of morphisms from  $Q$  to  $B$  that extend to  $R$ .

**Definition 2.13.** The **configuration space** associated to  $Q$  and  $R$  relative to  $B$ , denoted  $A(Q \uparrow R; B)$ , is the space of finitely supported formal  $\mathbb{C}$ -linear combinations of morphisms in  $\text{Hom}(Q \uparrow R; B)$ .

**Note 2.2.**

1. When  $Q = R$ , we simplify notation by writing  $A(Q; B)$ .
2. If  $Q$  is a finite subquiver of  $R$  and  $B$  is locally finite, i.e. each vertex has finitely many neighbors, then  $\# \text{Hom}(Q \uparrow R; B) = \dim A(Q \uparrow R; B)$ .



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Certain configuration spaces are naturally isomorphic to the spaces  $W_i$  of definition 2.11:

**Lemma 2.4.** *Let  $\{A_i\}$  be a chain of subalgebras of a semisimple algebra  $A$  with corresponding Bratteli diagram  $\mathcal{B}$  of highest grading at least  $n$ . Consider the quivers  $Q_{n0}$  and  $Q_{ji}^n$  of Figure 2.14, along with the subquiver  $Q_{ji}$  of  $Q_{ji}^n$  consisting of the two vertices at level  $i$  and level  $j$ , along with the two paths from level  $i$  to level  $j$ :*

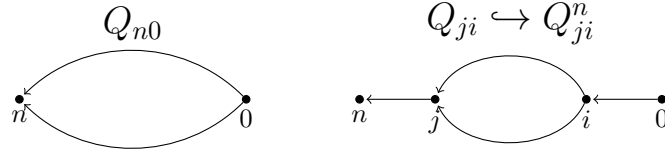


Figure 2.14

Then as vector spaces,

$$(i) \quad A(Q_{n0}; \mathcal{B}) \cong \mathbb{C}[\mathcal{B}_n] \cong A_n,$$

$$(ii) \quad A(Q_{ji}; \mathcal{B}) = A(Q_{ji} \uparrow Q_{ji}^n; \mathcal{B}) \cong \mathbb{C}[\mathcal{B}_j] \cap \text{Centralizer}(\mathbb{C}[\mathcal{B}_i]) \cong A_j \cap \text{Centralizer}(A_i).$$

*Proof.* (i) follows from the proof of (ii). Alternatively, note that morphisms  $\phi : Q_{n0} \rightarrow \mathcal{B}$  correspond to pairs of paths of length  $n$  in  $\mathcal{B}$  starting at the root,  $\hat{0}$ , and ending at the same vertex, i.e., basis elements of the path algebra  $\mathbb{C}[\mathcal{B}_n]$ .

To prove (ii), note that morphisms  $\phi : Q_{ji} \rightarrow \mathcal{B}$  correspond to pairs of paths in  $\mathcal{B}$  starting at the same vertex at level  $i$  and ending at the same vertex at level  $j$ .

Let  $w_{ji} \in W_{ji} := A_j \cap \text{Centralizer}(A_i)$ . Recall that a pair of paths in  $\mathcal{B}$ ,

$$P = p_n \leftarrow p_{n-1} \leftarrow \cdots \leftarrow p_1 \leftarrow \hat{0},$$

## 2.3 The Separation of Variables Approach

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$$Q = q_n \leftarrow q_{n-1} \leftarrow \cdots \leftarrow q_1 \leftarrow \hat{0},$$

with  $p_n = q_n$  determines an element of the Gel'fand-Tsetlin basis for  $\mathbb{C}[\mathcal{B}_n]$  corresponding to the path algebra chain. Represent  $w_{ji}$  in its coordinates relative to the Gel'fand-Tsetlin basis by  $[w_{ji}]_{PQ}$ . Using standard facts about Gel'fand-Tsetlin bases (see eg. [63, Lemma 4.1], [39, Proposition 2.3.12]),

$$[w_{ji}]_{PQ} = \delta_{p_{n-1}q_{n-1}} \cdots \delta_{p_jq_j} P_{w_{ji}}^{ji} \delta_{p_iq_i} \cdots \delta_{p_1q_1},$$

for  $P_{w_{ji}}^{ji}$  a complex function on the vertices  $p_j, \dots, p_i, q_j, \dots, q_i$  in  $\mathcal{B}$ . Thus, under the map

$$w_{ji} \rightarrow P_{w_{ji}}^{ji},$$

the space  $W_{ji}$  is isomorphic to the space of complex functions on vertices  $p_j, \dots, p_i, q_j, \dots, q_i$  of  $\mathcal{B}$  that form paths in  $\mathcal{B}$  with  $p_j = q_j$  and  $p_i = q_i$ .

□

By Lemma 2.4, for the spaces  $W_i := \mathbb{C}[\mathcal{B}_{i+}] \cap \text{Centralizer}(\mathbb{C}[\mathcal{B}_{i-}])$  defined above,

$$W_i \cong A(Q_{i+i-}; \mathcal{B}).$$

### 2.3.2 The Bilinear Maps \*

To understand multiplication of elements  $w_i \in W_i$ , we first determine (Theorem 2.7) that the bilinear map on  $A(Q_{i+i-}; \mathcal{B})$  corresponding to path algebra multiplication is  $\iota^* \circ \phi_* \circ \otimes$ , for  $\iota$  and  $\phi$  natural inclusions, and  $\iota^*, \phi_*$ , and  $\otimes$  defined below.

For  $B$  a graded quiver,  $\iota : Q_1 \rightarrow Q_2$  an inclusion of graded quivers, and  $\mu \in$

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$\text{Hom}(Q_2; B)$ , let  $\mu \downarrow_{Q_1}$  denote  $\mu \circ \iota \in \text{Hom}(Q_1; B)$ .

**Definition 2.14.** For  $B$  a graded quiver and  $\iota : Q_1 \rightarrow Q_2$  an inclusion of graded quivers, define  $\iota^* : A(Q_2; B) \rightarrow A(Q_1; B)$  by linearly extending the map that sends  $\mu \in \text{Hom}(Q_2; B)$  to  $\mu \downarrow_{Q_1}$ .

For  $Q_1$  a subquiver of  $R_1$ ,  $Q_2$  a subquiver of  $R_2$ , and  $\iota : Q_1 \rightarrow Q_2$  an inclusion that extends to an inclusion from  $R_1$  to  $R_2$ , analogously define  $\iota^* : A(Q_2 \uparrow R_2; B) \rightarrow A(Q_1 \uparrow R_1; B)$ .

**Definition 2.15.** For  $B$  a locally finite quiver,  $Q_2$  a finite quiver, and  $\iota : Q_1 \rightarrow Q_2$  an inclusion of graded quivers, define  $\iota_* : A(Q_1; B) \rightarrow A(Q_2; B)$  by linearly extending the map that sends  $\tau \in \text{Hom}(Q_1; B)$  to  $\sum_{\mu \downarrow_{Q_1} = \tau} \mu$ .

As above, this definition may be extended to define  $\iota_* : A(Q_1 \uparrow R_1; B) \rightarrow A(Q_2 \uparrow R_2; B)$ .

**Example 2.18.** Consider the quivers  $Q_1, Q_2, B$  of Figure 2.15 and the morphism  $\phi : Q_1 \rightarrow Q_2$  that sends  $q_1$  to  $p_1$ . Note that  $\text{Hom}(Q_1; B) = \{G_1, G_2\}$ , where  $G_1(q_1) = s_1$  and  $G_2(q_1) = s_2$ . Further,  $\text{Hom}(Q_2; B) = \{H_1, H_2, H_3, H_4\}$ , for

$$H_1(p_1) = s_1, \quad H_1(p_2) = s_3,$$

$$H_2(p_1) = s_1, \quad H_2(p_2) = s_4,$$

$$H_3(p_1) = s_2, \quad H_3(p_2) = s_3,$$

$$H_4(p_1) = s_2, \quad H_4(p_2) = s_4.$$

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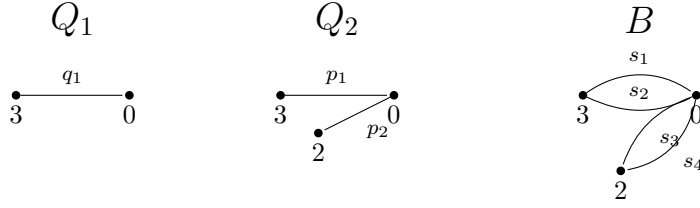


Figure 2.15

Then for  $f = \sum_{H_i} f|_{H_i} H_i \in A(Q_2; B)$ ,

$$\phi^*(f) = f|_{H_1} G_1 + f|_{H_2} G_1 + f|_{H_3} G_2 + f|_{H_4} G_2.$$

Similarly, for  $g = \sum_{G_i} f|_{G_i} G_i \in A(Q_1; B)$ ,

$$\phi_*(g) = g|_{G_1} H_1 + g|_{G_1} H_2 + g|_{G_2} H_3 + g|_{G_2} H_4.$$

Denote the *disjoint union* of two directed graded graphs (quivers)  $Q_1$  and  $Q_2$  by  $Q_1 \sqcup Q_2$ . Then  $Q_1 \sqcup Q_2$  is the quiver with vertex set  $V(Q_1) \sqcup V(Q_2)$ , arrows  $E(Q_1) \sqcup E(Q_2)$ , and grading  $gr_1 \sqcup gr_2$ , where for  $v \in Q_1 \sqcup Q_2$ ,

$$gr_1 \sqcup gr_2(v) = \begin{cases} gr_1(v) & \text{if } v \in Q_1, \\ gr_2(v) & \text{if } v \in Q_2. \end{cases}$$

Let  $\iota_j$  denote the natural inclusions  $Q_j \hookrightarrow Q_1 \sqcup Q_2$ , for  $j = 1, 2$ .

**Definition 2.16.** For  $R_1$  and  $R_2$  graded quivers with subquivers  $Q_1$  and  $Q_2$ , respectively, define

$$\otimes : A(Q_1 \uparrow R_1; B) \times A(Q_2 \uparrow R_2; B) \rightarrow A(Q_1 \sqcup Q_2 \uparrow R_1 \sqcup R_2; B),$$

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as follows: for  $(f, g) \in A(Q_1 \uparrow R_1; B) \times A(Q_2 \uparrow R_2; B)$ ,

$$\otimes(f, g) = \sum_{\eta \in \text{Hom}(Q_1 \sqcup Q_2 \uparrow R_1 \sqcup R_2; B)} f|_{\eta \circ \iota_1} g|_{\eta \circ \iota_2} \eta.$$

It is easily checked that  $\otimes$  is bilinear.

Recall the pictorial representation (Figure 2.6) of multiplication in the path algebra. Theorem 2.7 translates this multiplication into a composition of maps on configuration spaces, using the surjection  $\phi := \iota_1 \sqcup \iota_2$  and inclusion  $\iota := \iota_\Delta$  of Figure 2.16, where  $\Delta$  denotes the *symmetric difference*, defined below.

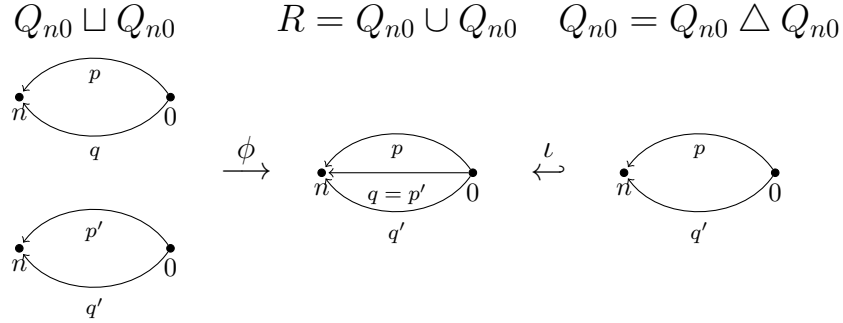


Figure 2.16

**Definition 2.17.** For a graded quiver  $R$  with subquivers  $Q_1, Q_2$ , the **symmetric difference** of  $Q_1$  and  $Q_2$  is

$$Q_1 \Delta Q_2 = (Q_1 \setminus Q_2) \cup (Q_2 \setminus Q_1) \cup \{\text{isolated vertices of } Q_1 \text{ and } Q_2\},$$

where  $(Q_i \setminus Q_j) := Q_i \setminus (Q_i \cap Q_j)$ , i.e., the smallest subquiver of  $Q_i$  that contains all the vertices and arrows of  $Q_i$  not in  $Q_i \cap Q_j$ .

### 2.3 The Separation of Variables Approach

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**Theorem 2.7.** For  $\mathcal{B}$  a Bratteli diagram of highest grading at least  $n$ ,  $\phi = \iota_1 \sqcup \iota_2$ , and  $\iota = \iota_\Delta$  as in Figure 2.16, the bilinear map

$$\iota^* \circ \phi_* \circ \otimes : A(Q_{n0}; \mathcal{B}) \times A(Q_{n0}; \mathcal{B}) \rightarrow A(Q_{n0}; \mathcal{B})$$

corresponds to the algebra product on  $\mathbb{C}[\mathcal{B}_n]$  under the isomorphism  $A(Q_{n0}; \mathcal{B}) \cong \mathbb{C}[\mathcal{B}_n]$  of Lemma 2.4.

*Proof.* As the proof is little more than applying the definitions of  $\iota^*$  and  $\phi_*$ , we have deferred it to Appendix A.2. □

**Note 2.3.** By Lemma 2.4,

$$\mathbb{C}[\mathcal{B}_i] \cap \text{Centralizer } \mathbb{C}[\mathcal{B}_j] \cong A(Q_{ji}; \mathcal{B})$$

and so by Theorem 2.7, for

$$x \in \mathbb{C}[\mathcal{B}_j] \cap \text{Centralizer } \mathbb{C}[\mathcal{B}_i], \quad y \in \mathbb{C}[\mathcal{B}_l] \cap \text{Centralizer } \mathbb{C}[\mathcal{B}_k],$$

the product  $xy$  corresponds to the bilinear product

$$\iota^* \circ \phi_* \circ \otimes : A(Q_{ji}; \mathcal{B}) \times A(Q_{lk}; \mathcal{B}) \rightarrow A(Q_{ji} \triangle Q_{lk}; \mathcal{B}).$$

As an example see Figure 2.17.

## 2.3 The Separation of Variables Approach

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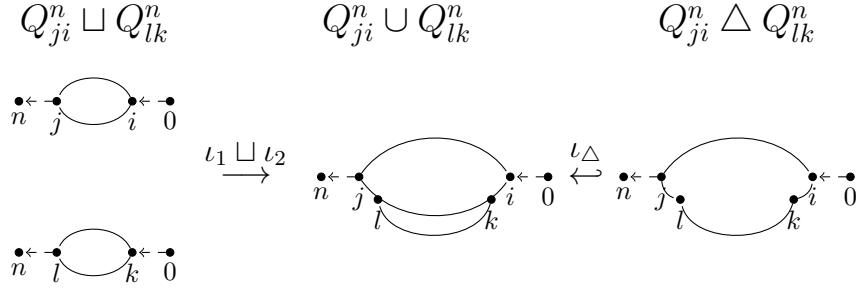


Figure 2.17:  $i \leq k \leq l \leq j$

A product of two elements in the path algebra thus corresponds to bilinear maps arising from natural injections and surjections on the configuration spaces of the corresponding quivers.

**Definition 2.18.** For  $Q_1$  and  $Q_2$  graded quivers,  $\iota_\Delta$  the natural injection of  $Q_1 \Delta Q_2$  into  $Q_1 \cup Q_2$  and  $\iota_1 \sqcup \iota_2$  the natural surjection of  $Q_1 \sqcup Q_2$  into  $Q_1 \cup Q_2$ , define the **restricted product**  $*$  :  $A(Q_1; B) \times A(Q_2; B) \longrightarrow A(Q_1 \Delta Q_2; B)$  as follows: for  $f_i \in A(Q_i; B)$ ,

$$*(f_1, f_2) = (\iota_\Delta)^* \circ (\iota_1 \sqcup \iota_2)_* \circ \bigotimes (f_1, f_2).$$

We may think of the restricted product as gluing  $Q_1$  to  $Q_2$ , embedding this into  $Q_1 \cup Q_2$ , and deleting arrows in  $Q_1 \cap Q_2$ ; hence, ‘restricting’ the product.

In Appendix A.2 we prove that the restricted product is associative and commutative. Further, the complexity is easily determined:

**Lemma 2.5.** For  $B$  a locally finite graded quiver,  $R$  a graded quiver with finite subquivers  $Q_1$  and  $Q_2$ , and  $f_i \in A(Q_i; B)$ , the restricted product  $f_1 * f_2$  requires at most

$$\# \text{Hom}((Q_1 \cup Q_2) \uparrow R; B)$$

## 2.3 The Separation of Variables Approach

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*scalar multiplications and*

$$\# \text{Hom}((Q_1 \cup Q_2) \uparrow R; B) - \# \text{Hom}((Q_1 \triangle Q_2) \uparrow R; B)$$

*scalar additions.*

*Proof.* See appendix A.2 for proof. □

By Theorem 2.7, for

$$x_j \in W_j = \mathbb{C}[\mathcal{B}_{j+}] \cap \text{Centralizer}(\mathbb{C}[\mathcal{B}_{j-}]) \cong A(Q_{j+j-}; \mathcal{B}),$$

the product  $x_i x_{i+1}$  corresponds to a restricted product

$$* : A(Q_{i+i-}; \mathcal{B}) \times A(Q_{(i+1)+(i+1)-}; \mathcal{B}) \rightarrow A(Q_{i+i-} \triangle Q_{(i+1)+(i+1)-}; \mathcal{B}),$$

where we identify edges of  $Q_{i+i-}$  with edges of  $Q_{(i+1)+(i+1)-}$  as in Figure 2.16. Then multiplying this product by  $x_{i+2}$  corresponds to a restricted product where we identify edges of  $Q_{i+i-} \triangle Q_{(i+1)+(i+1)-}$  with edges of  $Q_{(i+2)+(i+2)-}$ .

Then to compute  $x_1 \cdots x_m$ , we must make these identifications for all  $Q_{i+i-}$ . To be explicit, we do so all at once by associating a quiver  $\mathcal{Q}$ . Each  $Q_{i+i-} \triangle Q_{(i+1)+(i+1)-}$  is a subquiver of  $\mathcal{Q}$  so to compute the product  $x_1 \cdots x_m$  we consider restricted products of elements in  $A(\mathcal{Q}; \mathcal{B})$ .

### 2.3.3 The Quiver $\mathcal{Q}$

To construct  $\mathcal{Q}$ , for each  $i, 1 \leq i \leq m$ , consider the quiver  $Q_{i+i-}^n$  with arrows  $L_i$  and  $R_i$  as in Figure 2.18.



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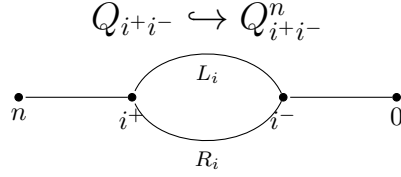


Figure 2.18

First, ‘unsmooth’ each quiver by adding in vertices that allow  $R_i$  to be glued to  $L_{i+1}$ : for each  $1 \leq i \leq m$ , add vertices to  $L_i$  and  $R_i$  at levels  $j^+$  and  $j^-$  for all  $1 \leq j \leq m$ . Note that it is not always necessary to add in all of these vertices to be able to glue  $R_i$  to  $L_{i+1}$  (see Example 2.19).

Let  $\mathcal{Q}$  be the quiver formed by gluing  $R_i$  to  $L_{i+1}$ ,  $1 \leq i < m$ . Note that each quiver  $Q_{i^+i^-}^n$  and  $Q_{i^+i^-}$  injects naturally into  $\mathcal{Q}$ , via the inclusion map,  $\iota$ . Let

$$Q_i := \iota(Q_{i^+i^-}^n), \quad F_i := \iota(Q_{i^+i^-}), \quad F := \cup_{i=1}^m F_i,$$

$$\hat{L}_i := \iota(L_i), \quad \text{for } 1 \leq i \leq m, \quad \hat{L}_{m+1} = \iota(R_m).$$

**Example 2.19.** Suppose  $1^+ = 7, 1^- = 4, 2^+ = 3, 2^- = 1, 3^+ = 5, 3^- = 2$ . Then we have the quivers  $Q_{i^+i^-}^n$  of Figure 2.19. We unsmooth  $Q_{74}^n$  by adding vertices at level 5, 3, and 1 to  $R_1$ ; we unsmooth  $Q_{31}^n$  by adding a vertex at level 2 to  $R_2$  and vertices at level 4, 5, and 7 to  $L_2$ ; we unsmooth  $Q_{52}^n$  by adding vertices at level 7, 4, 3, and 1 to  $L_3$ .

We then glue together  $Q_{74}^n$  and  $Q_{31}^n$  by gluing  $R_1$  to  $L_2$  at the vertices at level 1, 3, 4 and 7. We then glue this quiver to  $Q_{52}^n$  by gluing  $R_2$  to  $L_3$  along the vertices at levels 1, 2, 3, 5. This gluing process creates the quivers  $\mathcal{Q}$  and  $F$ , with subgraphs  $Q_i$ , and  $F_i$  as in Figure 2.20.

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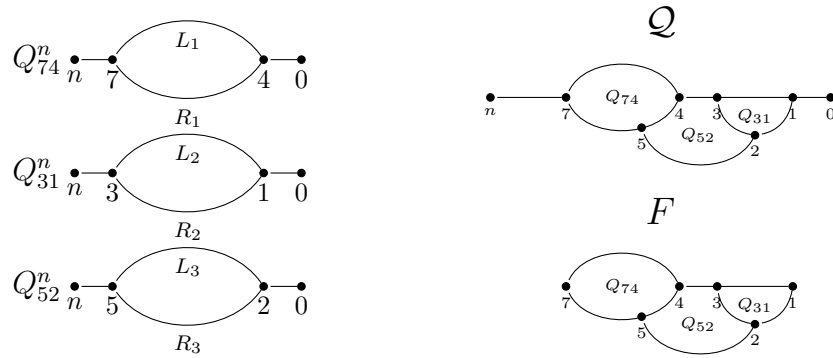


Figure 2.19

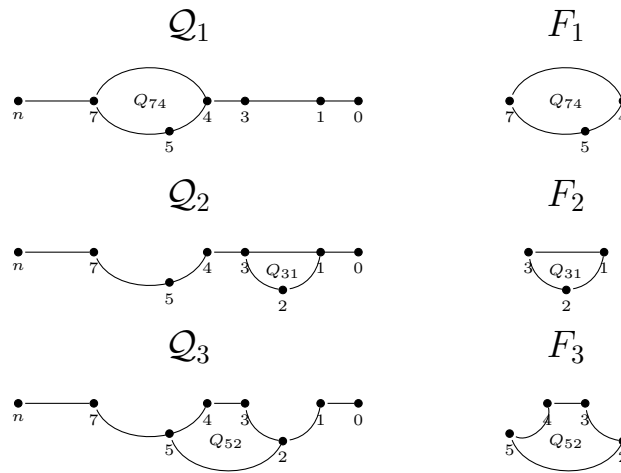


Figure 2.20

**Example 2.20.** Consider the product  $\tilde{t}_1 \tilde{t}_2 \tilde{t}_3 F_{t_1 t_2 t_3}$  of Example 2.15 and recall from Example 2.16 that

$$1^+ = 2, \quad 1^- = 0,$$

$$2^+ = 3, \quad 2^- = 1,$$

$$3^+ = 4, \quad 3^- = 2,$$

$$4^+ = 3, \quad 4^- = 0.$$

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Then gluing together the quivers  $Q_{20}^4$ ,  $Q_{31}^4$ ,  $Q_{42}^4$ , and  $Q_{30}^4$  (i.e. the quivers  $Q_1$ ,  $Q_2$ ,  $Q_3$ ,  $Q_F$  of Example 2.15) yields the quiver  $\mathcal{Q}$  of Example 2.15.

Recall from Lemma 2.4 that

$$A(Q_{i+i-}; \mathcal{B}) \cong \mathbb{C}[\mathcal{B}_{i+}] \cap \text{Centralizer}(\mathbb{C}[\mathcal{B}_{i-}]) = W_i.$$

Let  $\zeta_i : W_i \rightarrow A(Q_{i+i-}; \mathcal{B})$  be the isomorphism of Lemma 2.4. By Theorem 2.7, for  $w_i \in W_i$ , the algebra product  $w_1 \cdots w_m$  corresponds to the restricted product  $\zeta_1(w_1) * \cdots * \zeta_m(w_m)$ . To be explicit, we have a map

$$\zeta^{-1} : A(Q_{1+1-} \triangle \cdots \triangle Q_{m+m-}; \mathcal{B}) \rightarrow \mathbb{C}[\mathcal{B}_n]$$

such that

$$\zeta^{-1}(\zeta_1(w_1) * \cdots * \zeta_m(w_m)) = w_1 \cdots w_m.$$

After smoothing  $F_i$ , Corollary A.2 in Appendix A.3.1 gives an isomorphism

$$\chi_i : A(Q_{i+i-}; \mathcal{B}) \rightarrow A(F_i; \mathcal{B}),$$

which in turn allows for definition of a map:

$$\chi^{-1} : A(F_1 \triangle \cdots \triangle F_m; \mathcal{B}) \rightarrow A(Q_{1+1-} \triangle \cdots \triangle Q_{m+m-}; \mathcal{B})$$

such that for  $f_i \in A(Q_{i+i-}; \mathcal{B})$ ,

$$\chi^{-1}(\chi_1(f_1) * \cdots * \chi_m(f_m)) = f_1 * \cdots * f_m.$$

## 2.3 The Separation of Variables Approach

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Thus, multiplication in the path algebra becomes a restricted product on configuration spaces corresponding to subquivers of  $\mathcal{Q}$ .

**Theorem 2.8.** *For  $w_i \in W_i = \mathbb{C}[\mathcal{B}_{i+}] \cap \text{Centralizer}(\mathbb{C}[\mathcal{B}_{i-}])$ ,  $1 \leq i \leq m$ , and quivers  $\mathcal{Q}, \mathcal{Q}_i, F, F_i$  and maps  $\chi^{-1}, \chi_i, \zeta^{-1}, \zeta_i$  as defined above, let*

$$\theta = \zeta^{-1} \circ \chi^{-1} : A(F_1 \triangle \cdots \triangle F_m; \mathcal{B}) \rightarrow \mathbb{C}[\mathcal{B}_n],$$

and let

$$\xi_i = \chi_i \circ \zeta_i : W_i \rightarrow A(F_i; \mathcal{B}).$$

Then

$$w_1 \cdots w_m = \theta(\xi_1(w_1) * \cdots * \xi_m(w_m)).$$

### 2.3.4 The SOV Algorithm

To apply Theorem 2.6 we define vector spaces  $W_i$  and  $V_i$  along with bilinear maps  $*_j : V_{j-1} \times W_j \rightarrow V_j$  satisfying the conditions of Algorithm 2.2.

For  $\sigma \in S_m$  and  $1 \leq i \leq m$ , let  $R_i^\sigma := F_{\sigma(1)} \triangle \cdots \triangle F_{\sigma(i)} \subseteq \mathcal{Q}$ . Let

$$W_i := W_{\sigma(i)} = \mathbb{C}[\mathcal{B}_{\sigma(i)+}] \cap \text{Centralizer}(\mathbb{C}[\mathcal{B}_{\sigma(i)-}]),$$

$$V_1 = W_1, \quad V_i = A(R_i^\sigma; \mathcal{B}), \quad \text{for } 2 \leq i < m, \quad \text{and } V_m = \mathbb{C}[\mathcal{B}_n].$$

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Define  $*_j : V_{j-1} \times W_j \rightarrow V_j$  by

$$\begin{aligned} *_2(v_1, w_2) &= v_1 *_2 w_2 := (\xi_{\sigma(1)}v_1) * (\xi_{\sigma(2)}w_2), \\ *_j(v_{j-1}, w_j) &= v_{j-1} *_j w_j := v_{j-1} * (\xi_{\sigma(j)}w_j), \text{ for } 2 < j < m, \\ *_m(v_{m-1}, w_m) &= v_{m-1} *_m w_m := \theta(v_{m-1} * (\xi_{\sigma(m)}w_m)). \end{aligned}$$

**Theorem 2.9.** *Let  $m, n \in \mathbb{Z}^+$  and for  $1 \leq i \leq m$  let  $i^+, i^- \in \mathbb{N}$  such that  $i^+, i^- \leq n$ .*

*For  $x_i \in \mathbb{C}[\mathcal{B}_{i^+}] \cap \text{Centralizer}(\mathbb{C}[\mathcal{B}_{i^-}])$ ,  $\sigma \in S_m$ , and  $w_i := x_{\sigma(i)} \in W_i$ ,*

1.  $x_1 \cdots x_m = (((w_1 *_2 w_2) *_3 w_3) \cdots *_m w_m)$
2. *This may be computed in at most*

$$\sum_{i=2}^m \dim A(R_{i-1}^\sigma \cup F_{\sigma(i)} \uparrow \mathcal{Q})$$

*scalar multiplications, and*

$$\sum_{i=2}^m (\dim A(R_{i-1}^\sigma \cup F_{\sigma(i)} \uparrow \mathcal{Q}) - \dim A(R_i^\sigma \uparrow \mathcal{Q}))$$

*scalar additions.*

*Proof.* To prove Part 1, it follows by definition of  $*_i$  and induction that for  $2 \leq i < m$ ,

$$(((w_1 *_2 w_2) *_3 w_3) \cdots *_i w_i) = \xi_{\sigma(1)}(w_1) * \cdots * \xi_{\sigma(i)}(w_i).$$

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Then by commutativity of  $*$  and Theorem 2.8,

$$\begin{aligned}
 (((w_1 *_2 w_2) *_3 w_3) \cdots *_m w_m) &= *_m(\xi_{\sigma(1)}(w_1) * \cdots * \xi_{\sigma(m-1)}(w_{m-1}), w_m) \\
 &= \theta(\xi_{\sigma(1)}(w_1) * \cdots * \xi_{\sigma(m)}(w_m)) \\
 &= \theta(\xi_1(x_1) * \cdots * \xi_m(x_m)) = x_1 \cdots x_m.
 \end{aligned}$$

Part 2 follows from Lemma 2.5 and the fact that the maps  $\theta$  and  $\xi_i$  require no arithmetic operations to compute with respect to the natural bases.

□

With this framework, for  $\mathcal{B}$  a Bratteli diagram and  $\tilde{Z}$  a subset of the path algebra  $\mathbb{C}[\mathcal{B}_n]$ , to compute the sum  $\sum_{\tilde{z} \in \tilde{Z}} \tilde{z}$ ,

### SOV Algorithm 2.1.

I. Choose  $m \in \mathbb{N}$  and a subset  $X \subseteq (\mathbb{C}[\mathcal{B}_n])^m$  such that  $|X| = |\tilde{Z}|$  and

$$\tilde{Z} = \{x_1 \cdots x_m \mid (x_1, \dots, x_m) \in X\}.$$

Thus,  $X$  can be thought of as a choice of factorization into at most  $m$  elements of each element of  $\tilde{Z}$ .

II. For  $x \in \mathbb{C}[\mathcal{B}_n]$ , let  $c^+(x)$  denote the smallest integer such that  $x \in \mathbb{C}[\mathcal{B}_{c^+(x)}]$  and let  $c^-(x)$  denote the largest integer less than or equal to  $c^+(x)$  such that  $x \in \mathbb{C}[\mathcal{B}_{c^-(x)}]$ . Let

$$i^+ = \max\{c^+(x_i)\}, \quad i^- = \min\{c^-(x_i)\},$$

over all  $i$ th coordinates  $x_i$  of elements in  $X$ .

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III. For each  $1 \leq i \leq m$ , use quivers  $Q_{i+i-}^n$  as in Section 2.3.3 to build a quiver  $\mathcal{Q}$  with subquivers  $\mathcal{Q}_i$ ,  $F_i$ , and  $F$ .

IV. Let  $\sigma \in S_m$  and let  $W_i = \mathbb{C}[\mathcal{B}_{\sigma(i)+}] \cap \text{Centralizer}(\mathbb{C}[\mathcal{B}_{\sigma(i)-}])$ . Define

$$X_0 = \{(x_{\sigma(1)}, \dots, x_{\sigma(m)}) \mid (x_1, \dots, x_m) \in X\} \subseteq W_1 \times \dots \times W_m.$$

For  $1 \leq i < m$  let

$$X_i = \{(w_{i+1}, \dots, w_m) \mid (w_1, \dots, w_m) \in X_0 \text{ for some } w_1, \dots, w_i\},$$

and let  $X_m = \emptyset$ .

V Define  $L_i : X_i \rightarrow A(R_i^\sigma \uparrow \mathcal{Q}; \mathcal{B})$  recursively as in Step IV preceding Theorem 2.6.

**Theorem 2.10.** For  $\mathcal{B}$  a Bratteli diagram,  $\tilde{Z}$  a subset of the path algebra  $\mathbb{C}[\mathcal{B}_n]$ ,

$$L_m := L_m(\emptyset) = \sum_{\tilde{z} \in \tilde{Z}} \tilde{z}.$$

*Proof.* This follows directly from Theorem 2.6 and Theorem 2.9. □

**Theorem 2.11.** For  $\mathcal{B}$  a Bratteli diagram,  $\tilde{Z}$  a subset of the path algebra  $\mathbb{C}[\mathcal{B}_n]$ , we may compute  $\sum_{\tilde{z} \in \tilde{Z}} \tilde{z}$  in at most

$$\sum_{i=2}^m |X_{i-1}| \dim A(R_{i-1}^\sigma \cup F_{\sigma(i)} \uparrow \mathcal{Q}; \mathcal{B})$$

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*multiplications and*

$$(|X_0| - |X_1|) + \sum_{i=2}^m (|X_{i-1}| - |X_i|) (\dim A(R_{i-1}^\sigma \cup F_{\sigma(i)} \uparrow \mathcal{Q}; \mathcal{B}) - \dim A(R_i^\sigma \uparrow \mathcal{Q}))$$

*additions.*

*Proof.* To compute  $\sum \tilde{z}$ :

Stage 0: Find  $X_0$  by reordering  $X$ .

Stage 1: Compute  $L_1$  for all  $(w_2, \dots, w_m)$  in  $X_1$ .

Stage  $i$ : Compute  $L_i$  given  $X_{i-1}$  and  $L_{i-1}$ .

Stage 0 requires no operations, while stage 1 requires  $(|X_0| - |X_1|)$  additions. For  $2 \leq i \leq m$ , we see from combining Lemma 2.5 with Theorem 2.9 that stage  $i$  requires  $|X_{i-1}| \dim A(R_{i-1}^\sigma \cup F_{\sigma(i)} \uparrow \mathcal{Q}; \mathcal{B})$  multiplications, while the number of additions required is  $(|X_{i-1}| - |X_i|) (\dim A(R_{i-1}^\sigma \cup F_{\sigma(i)} \uparrow \mathcal{Q}; \mathcal{B}) - \dim A(R_i^\sigma \uparrow \mathcal{Q}))$ .  $\square$

### 2.3.5 General Morphism Counts

The SOV algorithm 2.1 computes path algebra sums by first factoring each element and then translating multiplication into maps on configuration spaces. The complexity is determined by the size of the factorization sets and the dimension of the configuration spaces.

In this section, we present methods to determine the dimension of a configuration space. Recall from Note 2.2 that if  $Q$  is a finite subquiver of  $R$  and  $B$  a locally finite quiver,  $\dim A(Q \uparrow R; B) = \# \text{Hom}(Q \uparrow R; B)$ . In the SOV approach,  $B$  is the Bratteli



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diagram associated to a chain of semisimple algebras and hence locally finite, so in this section we give results to count  $\# \text{Hom}(Q \uparrow R; B)$ .

For  $B$  a locally finite graded quiver,  $\alpha, \beta \in V(B)$ , let  $M_B(\alpha, \beta)$  denote the number of paths from  $\beta$  to  $\alpha$  in  $B$ . Note that for  $\mathcal{B}$  a Bratteli diagram,  $\alpha, \beta \in V(\mathcal{B})$  correspond to irreducible representations  $\gamma, \rho$  and

$$M_{\mathcal{B}}(\alpha, \beta) = M(\gamma, \rho),$$

as in Definition 2.7.

**Theorem 2.12.** *Let  $Q, R, B$  be graded quivers with  $Q$  a finite subquiver of  $R$  and  $B$  locally finite. Then*

$$\# \text{Hom}(Q \uparrow R; B) = \sum_{\phi \in \text{Hom}(V(Q) \uparrow R; B)} \prod_{\substack{\text{arrows} \\ \beta \rightarrow \alpha \\ \text{in } Q}} M_B(\phi\alpha, \phi\beta)$$

*Proof.* A morphism specifies the image of each vertex and each arrow. This may be counted by first fixing the image of each vertex and counting all possible arrow images, then varying over all possible images of  $V(Q)$ .  $\square$

Theorem 2.12 gives a procedure for computing  $\# \text{Hom}(Q \uparrow R; B)$ . For a quiver  $Q$ , let  $Q^i$  denote the vertices of  $Q$  at level  $i$ . Then:

1. label each vertex  $\alpha_i \in Q^i$  with a vertex  $\alpha'_i \in B^i$  such that this labeling could extend to a map from  $R$  into  $B$ ;
2. label each edge of  $Q$  from  $\beta$  to  $\alpha$  by  $M_B(\alpha', \beta')$ ;
3. multiply the labels and sum over all possible labellings.

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**Example 2.21.** Let  $Q_1 = R_1$  be as in Figure 2.21. Steps 1 and 2 then give the labelling of the figure and by Theorem 2.12,

$$\# \text{Hom}(Q \uparrow R; B) = \sum_{\alpha'_i \in B^i} M_B(\alpha'_5, \alpha'_0) M_B(\alpha'_5, \alpha'_3) M_B(\alpha'_4, \alpha'_3) M_B(\alpha'_4, \alpha'_0).$$

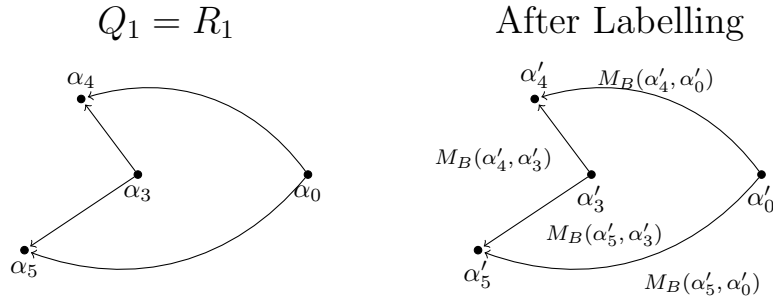


Figure 2.21

To further simplify counts, we first ‘smooth’ quivers before counting morphisms, i.e. we remove superfluous vertices (see Corollary A.2 in Appendix A.3.1).

**Example 2.22.** Let  $Q_2 = R_2$  be as in Figure 2.22. Then for  $Q_1, R_1$  as in Figure 2.21, Corollary A.2 gives the isomorphism

$$A(Q_2 \uparrow R_2; B) \cong A(Q_1 \uparrow R_1; B).$$

To compute  $\# \text{Hom}(Q_2 \uparrow R_2; B)$ , remove vertices  $\alpha_2$  and  $\alpha_1$ , then use the labelling of Figure 2.21.

## 2.3 The Separation of Variables Approach

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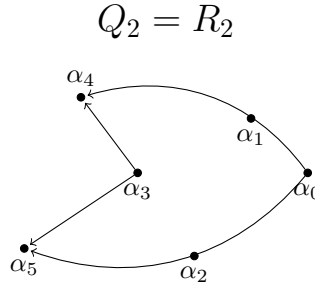


Figure 2.22

### 2.3.6 Morphisms into Locally Free Bratteli Diagrams

In Section 2.3.5 we obtained general quiver morphism counting results. For *locally free* Bratteli diagrams we rewrite these results in terms of the dimensions of the corresponding subalgebras. This generalizes Stanley's work on differential posets [87].

**Definition 2.19.** A Bratteli diagram  $\mathcal{B}$  is **locally free** if for each  $i \geq 1$ ,  $\mathbb{C}[\mathcal{B}_i]$  is free as a module over  $\mathbb{C}[\mathcal{B}_{i-1}]$ .

**Example 2.23.** For  $G$  a finite group, the Bratteli diagram associated to the chain of group algebras  $\mathbb{C}[G] = \mathbb{C}[G_n] > \cdots > \mathbb{C}[G_0] = \mathbb{C}$  is locally free.

Let  $\mathbb{C}[V(\mathcal{B})]$  denote the space of finitely supported linear combinations of vertices of  $\mathcal{B}$ , let  $\mathcal{B}^i$  denote the vertices  $\alpha \in V(\mathcal{B})$  with  $gr(\alpha) = i$ , and let  $\mathbb{C}[\mathcal{B}^i]$  denote the space of finitely supported linear combinations of vertices at level  $i$  in  $\mathcal{B}$ . Define an inner product  $\langle \cdot, \cdot \rangle$  on  $\mathbb{C}[V(\mathcal{B})]$  making the vertices orthonormal. As in [87] define linear operators  $U$  and  $D$  on  $\mathbb{C}[V(\mathcal{B})]$  by linearly extending the action on  $\alpha \in \mathcal{B}^i$ :

$$U\alpha = \sum_{\gamma \in \mathcal{B}^{i+1}} M_{\mathcal{B}}(\gamma, \alpha)\gamma,$$

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$$D\alpha = \sum_{\beta \in \mathcal{B}^{i-1}} M_{\mathcal{B}}(\alpha, \beta)\beta,$$

where, by convention, if  $\mathcal{B}$  has highest grading  $n$ ,

$$\mathcal{B}^{-1} = \emptyset = \mathcal{B}^{n+1} = \mathcal{B}^{n+2} = \dots .$$

**Note 2.4.** As the vertices of  $\mathcal{B}$  are labeled by the irreducible representations of  $\mathbb{C}[\mathcal{B}_i]$ , elements of  $\mathbb{C}[\mathcal{B}^i]$  correspond to representations of the path algebra  $\mathbb{C}[\mathcal{B}_i]$ . In this context,  $U$  is induction and  $D$  restriction (see [39] Proposition 2.3.1).

We can now rewrite the sums of Theorem 2.12 as words in  $U$  and  $D$ , as in Example 2.24 below.

**Example 2.24.** For  $Q_1$  as in Example 2.21, we can trace each arrow on the quiver by starting at the root, moving up four levels to vertex  $\alpha_4$ , down to vertex  $\alpha_3$ , up two levels to vertex  $\alpha_5$ , and back down to the root. It is then easily checked that

$$\begin{aligned} \langle D^5 U^2 D U^4 \hat{0}, \hat{0} \rangle &= \sum_{\alpha'_i \in \mathcal{B}^i} M_{\mathcal{B}}(\alpha'_5, \alpha'_0) M_{\mathcal{B}}(\alpha'_5, \alpha'_3) M_{\mathcal{B}}(\alpha'_4, \alpha'_3) M_{\mathcal{B}}(\alpha'_4, \alpha'_0) \\ &= \# \text{Hom}(Q \uparrow R; B). \end{aligned}$$

In Corollary 2.2 we give explicit formulas for these inner products.

For  $\alpha \in V(B)$  and  $\hat{0}$  the root of  $\mathcal{B}$ , let  $d_{\alpha} = M_{\mathcal{B}}(\alpha, 0)$  and let  $d_i = \sum_{\alpha \in \mathcal{B}^i} d_{\alpha} \alpha$ .

**Lemma 2.6.**

(i) For  $\alpha \in \mathcal{B}^i$ ,  $\langle d_i, \alpha \rangle = d_{\alpha}$ .

(ii)  $d_i = U^i \hat{0}$ ,

*Proof.* Clear from definition and induction. □

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**Proposition 2.1.** *Let  $\mathcal{B}$  be a Bratteli diagram. Then the following properties are equivalent:*

(i)  $\mathcal{B}$  is locally free.

(ii) For each  $i$  and all  $\beta \in \mathcal{B}^{i-1}$ , there exists  $\lambda_i \in \mathbb{C}$  such that

$$\sum_{\alpha \in \mathcal{B}^i} M_{\mathcal{B}}(\alpha, \beta) d_{\alpha} = \lambda_i d_{\beta}.$$

(iii) For each  $i$ ,  $d_i$  is an eigenvector of  $DU$ .

(iv) For each  $i$  there exists  $\lambda_i \in \mathbb{C}$  with  $DU^i \hat{0} = \lambda_i U^{i-1} \hat{0}$ .

*Proof.* As this proof comes down to definitions and the fact that  $D$  is restriction (cf. Note 2.4), we defer it to Appendix A.3.2.  $\square$

**Corollary 2.1.** *Let  $\mathcal{B}$  be a locally free Bratteli diagram and  $\lambda_i$  the eigenvalue of  $DU$  associated to  $d_{i-1}$ . Then  $\lambda_i$  is integral and*

$$(i) \quad \lambda_i = \frac{\dim_{\mathbb{C}} \mathbb{C}[\mathcal{B}_i]}{\dim_{\mathbb{C}} \mathbb{C}[\mathcal{B}_{i-1}]},$$

$$(ii) \quad \dim_{\mathbb{C}} \mathbb{C}[\mathcal{B}_i] = \prod_{j=1}^i \lambda_j.$$

**Example 2.25.** For a group algebra chain  $\mathbb{C}[G_n] > \cdots > \mathbb{C}[G_0]$ , the corresponding Bratteli diagram  $\mathcal{B}$  is locally free and

$$\lambda_i = \frac{\dim_{\mathbb{C}} \mathbb{C}[\mathcal{B}_i]}{\dim_{\mathbb{C}} \mathbb{C}[\mathcal{B}_{i-1}]} = \frac{\dim_{\mathbb{C}} \mathbb{C}[G_i]}{\dim_{\mathbb{C}} \mathbb{C}[G_{i-1}]} = |G_i/G_{i-1}|.$$

## 2.3 The Separation of Variables Approach

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**Example 2.26.** A Bratteli diagram is **r-differential** [87] if it is multiplicity-free and  $DU - UD = rI$ . Then by induction,

$$DU^i\hat{0} = UDU^{i-1}\hat{0} + rU^{i-1}\hat{0} = irU^{i-1}\hat{0},$$

hence, an  $r$ -differential poset is locally free and  $\lambda_i = ir$ .

**Example 2.27.** For  $\vec{r} = (r_0, r_1, \dots)$  an infinite sequence of numbers, a Bratteli diagram is  **$\vec{r}$ -differential** if it is multiplicity-free and at the  $i$ th level,  $DU - UD = r_i I$ . Then by induction,

$$DU^i\hat{0} = UDU^{i-1}\hat{0} + r_{i-1}U^{i-1}\hat{0} = \sum_{j=0}^{i-1} r_j U^{i-1}\hat{0},$$

hence, an  $\vec{r}$ -differential poset is locally free and  $\lambda_i = \sum_{j=0}^{i-1} r_j$ .

In light of Examples 2.26 and 2.27, Theorem 2.13 below generalizes Theorem 3.7 of [87] and Theorem 2.3 of [88].

**Definition 2.20.** Let  $w = w_l \cdots w_1$  be a word in  $U$  and  $D$  and let  $\mathcal{S} = \{i \mid w_i = D\}$ . For each  $i \in \mathcal{S}$ , let  $a_i = \#\{D\text{'s in } w \text{ to the right of } w_i\}$ , and similarly let  $b_i = \#\{U\text{'s in } w \text{ to the right of } w_i\}$ . If  $b_i - a_i \geq 0$  for all  $i \in \mathcal{S}$ , we call  $w$  an **admissible word**.

**Theorem 2.13.** Let  $\mathcal{B}$  be a locally free Bratteli diagram and  $w = D^{d_n}U^{u_n} \cdots D^{d_1}U^{u_1}$  an admissible word in  $U$  and  $D$ . Then for  $s = \sum_{i=1}^n u_i - d_i$  and  $\alpha \in \mathcal{B}^s$ ,

$$\langle w\hat{0}, \alpha \rangle = d_\alpha \prod_{i \in \mathcal{S}} \lambda_{b_i - a_i}.$$

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*Proof.* The proof comes down to inductively showing:

$$w_k \hat{0} = \prod_{i \in \mathcal{S}_k} \lambda_{b_i - a_i} \sum_{\alpha \in \mathcal{B}^{\mathcal{S}_k}} d_\alpha \alpha.$$

For full details, see Appendix A.3.2 □

For  $\mathcal{B}$  a locally free Bratteli diagram and  $Q$  an  $n$ -toothed quiver, Theorem 2.13 allows us to determine  $\# \text{Hom}(Q; \mathcal{B})$ .

**Definition 2.21.** A quiver  $Q$  is  **$n$ -toothed** if it consists of  $2n + 1$  (not necessarily distinct) vertices  $\gamma_0, \dots, \gamma_n, \beta_1, \dots, \beta_n$  and distinct arrows connecting  $\gamma_{i-1}$  to  $\beta_i$  and  $\gamma_i$  to  $\beta_i$ .

**Example 2.28.** The quiver of Figure 2.23 is an example of a 3-toothed quiver.

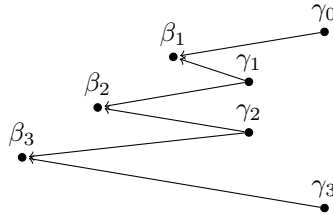


Figure 2.23

**Example 2.29.** The quiver  $Q_1$  of Example 2.21 is 2-toothed, with  $\gamma_0 = \alpha_0, \gamma_1 = \alpha_3, \gamma_2 = \alpha_0, \beta_1 = \alpha_4, \beta_2 = \alpha_5$ .

**Theorem 2.14.** Let  $\mathcal{B}$  be a locally free Bratteli diagram,  $Q$  an  $n$ -toothed quiver with vertices  $\gamma_i$  at level  $l_i$ ,  $\beta_i$  at level  $m_i$ . Then for

$$w = D^{m_n - l_n} U^{m_n - l_n - 1} \dots D^{m_1 - l_1} U^{m_1 - l_0},$$

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we have

$$\sum_{\alpha \in \mathcal{B}^{l_n - l_0}} \langle w\hat{0}, \alpha \rangle = \# \text{Hom}(Q; \mathcal{B}).$$

*Proof.* Follows from Theorem 2.12 and induction.  $\square$

**Corollary 2.2.** *Let  $\mathcal{B}$  be a locally free Bratteli diagram,  $Q$  an  $n$ -toothed quiver with vertices  $\gamma_i$  at level  $l_i$ ,  $\beta_i$  at level  $m_i$ . Then for*

$$w = D^{m_n - l_n} U^{m_n - l_n - 1} \dots D^{m_1 - l_1} U^{m_1 - l_0},$$

we have

$$\# \text{Hom}(Q; \mathcal{B}) = \sum_{\alpha \in \mathcal{B}^{l_n - l_0}} \langle w\hat{0}, \alpha \rangle = \prod_{i \in \mathcal{S}} \lambda_{b_i - a_i} \sum_{\alpha \in \mathcal{B}^{l_n - l_0}} d_\alpha.$$

**Example 2.30.** For  $Q_1$  as in Example 2.21, we see that

$$l_0 = l_2 = 0, \quad l_1 = 3, \quad m_1 = 4, \quad m_2 = 5.$$

Then  $\mathcal{B}^{l_2 - l_0} = \mathcal{B}^0 = \hat{0}$  and by Corollary 2.2, for  $w = D^5 U^2 D U^4$ ,

$$\# \text{Hom}(Q_1; \mathcal{B}) = \langle w\hat{0}, \hat{0} \rangle = \lambda_4 \lambda_5 \lambda_4 \lambda_3 \lambda_2 \lambda_1.$$

Note that this is the inner product of Example 2.24.

We will use Corollary 2.2 for many of our complexity results in Section 2.4.



## 2.4 The Complexity of Fourier Transforms on Finite Groups

### 2.4.1 The Weyl Groups $B_n$ and $D_n$

For our first application of SOV algorithm 2.1 we consider the Fourier transform of functions on the Weyl groups of type  $B_n$  and  $D_n$ , improving upon the results of [65].

**Theorem 2.15** (Theorem 2.2). *For the Weyl group  $B_n$  and  $R$  a complete set of irreducible matrix representations of  $B_n$  adapted to the subgroup chain  $B_n > B_{n-1} > \dots > B_0 = \{e\}$ ,*

$$C(B_n) \leq T_{B_n}(R) \leq n(2n - 1)|B_n|.$$

*Proof.* Let  $s_1, \dots, s_n$  denote the simple reflections for  $B_n$ , labeled according to Figure 2.24.

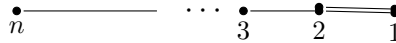


Figure 2.24

Recall from [65] that elements in a set of minimal coset representatives for  $B_n/B_{n-1}$  have the following factorizations:

$$e, s_n, s_{n-1}s_n, \dots, s_1 \cdots s_n, s_2s_1 \cdots s_n, \dots, s_n \cdots s_1 \cdots s_n,$$

so for  $A_i = \{e, s_i\} = A'_i$ , a complete set of coset representatives is contained in  $Y = \{a_n \cdots a_2 a_1 a'_2 \cdots a'_n \mid a_i, a'_i \in A_i\}$ .

## 2.4 The Complexity of Fourier Transforms on Finite Groups

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For  $\mathcal{B}$  the Bratteli diagram associated to the chain

$$\mathbb{C}[B_n] > \mathbb{C}[B_{n-1}] > \cdots > \mathbb{C}$$

let  $\{\mathbb{C}[\mathcal{B}_i]\}$  be the chain of path algebras associated to  $\{\mathbb{C}[B_i]\}$ . Let  $\tilde{Y} = \{\tilde{y} \mid y \in Y\}$ , and similarly define  $\tilde{A}_i, \tilde{A}'_i$ . Note that  $\tilde{A}_i, \tilde{A}'_i \subseteq \mathbb{C}[\mathcal{B}_i] \cap \text{Centralizer}(\mathbb{C}[\mathcal{B}_{i-2}])$ . By Lemma 2.3, computation of the Fourier transform of a complex function  $f$  on  $B_n$  is equivalent to computation of

$$\sum_{y \in \tilde{Y}} y F_y = \sum_{\substack{a_i \in \tilde{A}_i \\ a'_i \in \tilde{A}'_i}} a_n \cdots a_2 a_1 a'_2 \cdots a'_n F_{a_n \cdots a_2 a_1 a'_2 \cdots a'_n}$$

for  $F_y = F_{a_n \cdots a_2 a_1 a'_2 \cdots a'_n} \in \mathbb{C}[\mathcal{B}_{n-1}]$ . We now use SOV algorithm 2.1:

I. Let  $X = \{(a_n, \dots, a_2, a_1, a'_2, \dots, a'_n, F_{a_n \cdots a_2 a_1 a'_2 \cdots a'_n}) \mid a_i \in \tilde{A}_i, a'_i \in \tilde{A}'_i\}$ .

II. Note that

$$\begin{aligned} i^+ &= \max_{a_{n-i+1} \in \tilde{A}_{n-i+1}} \{c(a_{n-i+1})^+\} = n - i + 1, & 1 \leq i \leq n, \\ i^+ &= \max_{a'_{i-n+1} \in \tilde{A}'_{i-n+1}} \{c(a'_{i-n+1})^+\} = i - n + 1, & n < i < 2n, \\ i^- &= i^+ - 2, & 1 \leq i < 2n, \\ 2n^+ &= \max\{c(F_{a_n \cdots a_2 a_1 a'_2 \cdots a'_n})^+\} = n - 1, \\ 2n^- &= 0. \end{aligned}$$

III. Glue together quivers  $Q_{i^+i^-}^n$  in Figure 2.25 (labeled by  $A_j, \tilde{A}_j, F_y$ ) to build the quiver  $\mathcal{Q}$  of Figure 2.26. The left column of Figure 2.25 shows the quivers  $Q_{i^+i^-}^n$  for  $1 \leq i \leq n$  and the right column shows the quivers  $Q_{i^+i^-}^n$  for  $n+1 \leq i \leq 2n$

## 2.4 The Complexity of Fourier Transforms on Finite Groups

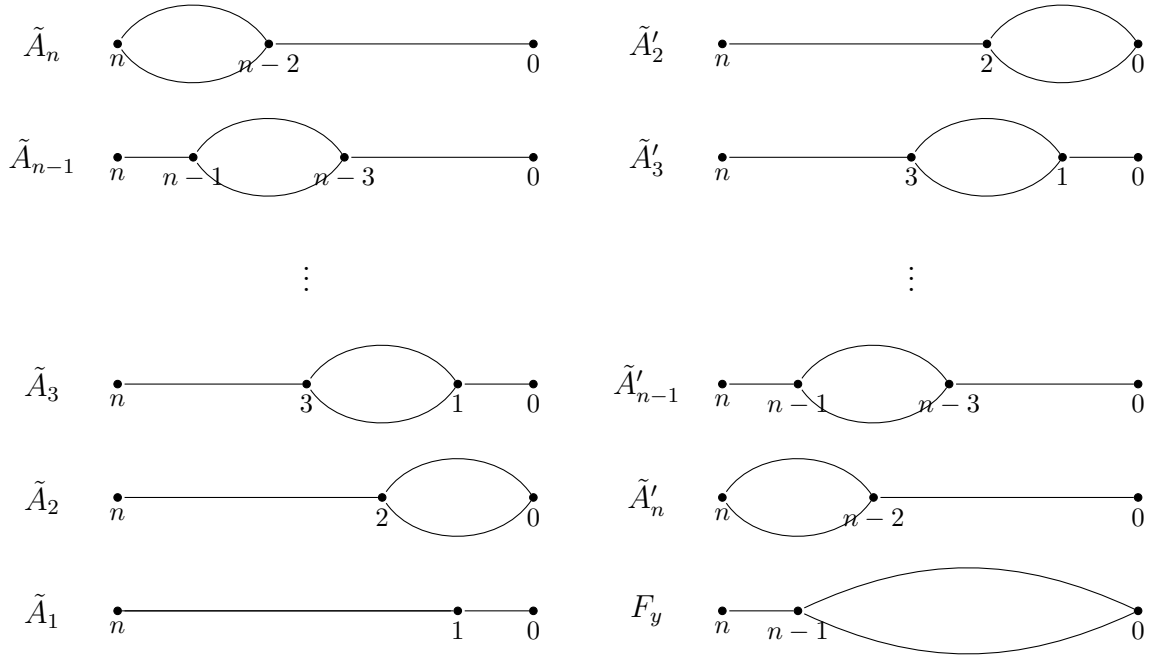


Figure 2.25

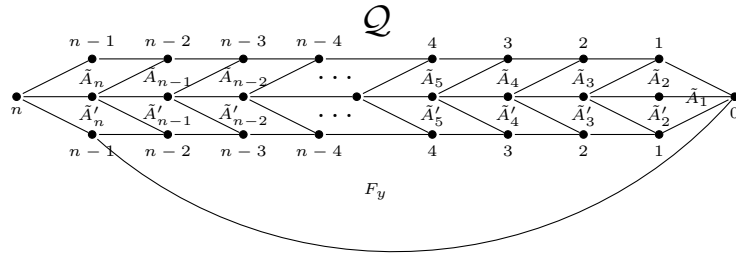


Figure 2.26

IV. Let  $\sigma \in S_{2n}$  be the permutation reordering  $X$  so that

$$\begin{aligned} X_0 &= \{(x_{\sigma(1)}, \dots, x_{\sigma(2n)}) \mid (x_1, \dots, x_{2n}) \in X\} \\ &= \{(F_{a_n \dots a_2 a_1 a_2 \dots a_n}, a'_2, a'_3, \dots, a'_n, a_1, a_2, a_3, \dots, a_n)\}. \end{aligned}$$

## 2.4 The Complexity of Fourier Transforms on Finite Groups

Then

$$X_1 = \{(a'_2, a'_3, \dots, a'_n, a_1, a_2, a_3, \dots, a_n) \mid a_i \in \tilde{A}_i, a'_i \in \tilde{A}'_i\},$$

$$X_2 = \{(a'_3, \dots, a'_n, a_1, a_2, a_3, \dots, a_n) \mid a_i \in \tilde{A}_i, a'_i \in \tilde{A}'_i\},$$

$\vdots$

$$X_{2n-1} = \{(a_n) \mid a_n \in \tilde{A}_n\}.$$

Note that

$$|X_{i-1}| = |\tilde{A}'_i| \cdots |\tilde{A}'_n| |\tilde{A}_1| \cdots |\tilde{A}_n|, \quad 2 \leq i \leq n,$$

$$|X_{i-1}| = |\tilde{A}_{i-n}| \cdots |\tilde{A}_n|, \quad n < i \leq 2n.$$

By Theorem 2.11, we may compute  $\sum y F_y$  in at most

$$\sum_{i=2}^n |X_{i-1}| \dim A(R_{i-1}^\sigma \cup F_{\sigma(i)} \uparrow \mathcal{Q}; \mathcal{B}) \quad (2.4)$$

multiplications, with  $R_{i-1}^\sigma \cup F_{\sigma(i)}$  as in Figure 2.27.

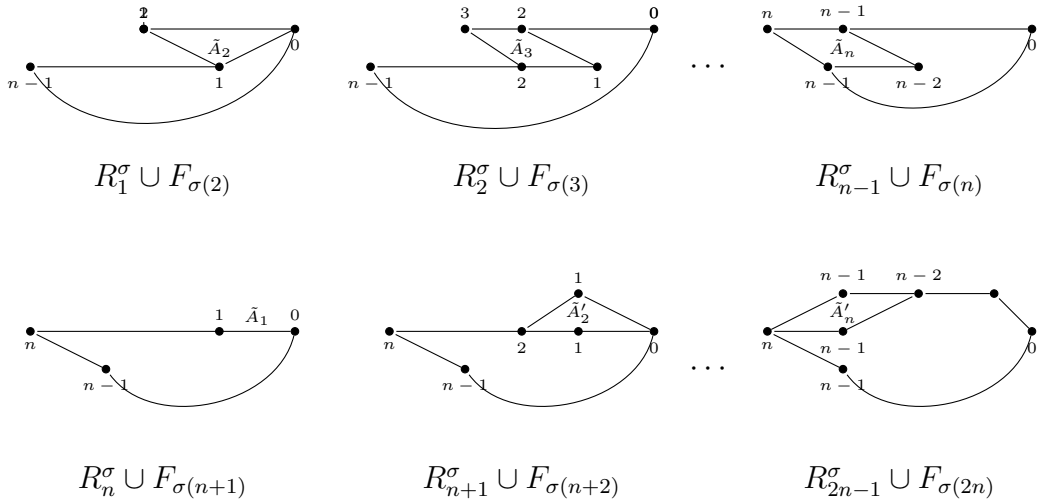


Figure 2.27

## 2.4 The Complexity of Fourier Transforms on Finite Groups

Then for  $\mathcal{H}_i^n, \mathcal{J}_i^n, \mathcal{K}^n$  the quivers of Figure 2.28,

$$\dim A(R_{i-1}^\sigma \cup F_{\sigma(i)} \uparrow \mathcal{Q}; \mathcal{B}) = \# \text{Hom}(\mathcal{H}_i^n \uparrow \mathcal{Q}; \mathcal{B}), \quad 1 \leq i \leq n,$$

$$\dim A(R_n^\sigma \cup F_{\sigma(n+1)} \uparrow \mathcal{Q}; \mathcal{B}) = \# \text{Hom}(\mathcal{K}^n \uparrow \mathcal{Q}; \mathcal{B}),$$

$$\dim A(R_{i-1}^\sigma \cup F_{\sigma(i)} \uparrow \mathcal{Q}; \mathcal{B}) = \# \text{Hom}(\mathcal{J}_{i-n}^n \uparrow \mathcal{Q}; \mathcal{B}), \quad n+2 \leq i \leq 2n.$$

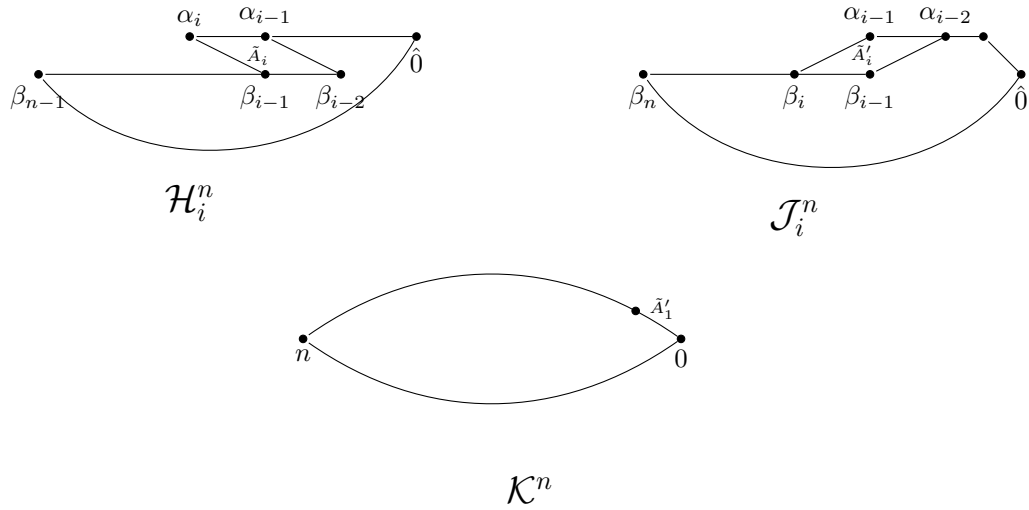


Figure 2.28

By Lemma 2.4,  $A(\mathcal{K}^n; \mathcal{B}) \cong \mathbb{C}[\mathcal{B}_n]$ , so

$$\dim A(\mathcal{K}^n \uparrow \mathcal{Q}; \mathcal{B}) = \# \text{Hom}(\mathcal{K}^n \uparrow \mathcal{Q}; \mathcal{B}) = |\mathcal{B}_n|$$

By Theorem 2.12,

$$\# \text{Hom}(\mathcal{J}_i^n \uparrow \mathcal{Q}; \mathcal{B}) =$$

$$\sum_{\alpha_j, \beta_j \in \mathcal{B}^j} M_{\mathcal{B}}(\beta_n, \beta_i) M_{\mathcal{B}}(\beta_i, \beta_{i-1}) M_{\mathcal{B}}(\beta_{i-1}, \alpha_{i-2}) M_{\mathcal{B}}(\beta_i, \alpha_{i-1}) M_{\mathcal{B}}(\alpha_{i-1}, \alpha_{i-2}) d_{\alpha_{i-2}} d_{\beta_n}$$

## 2.4 The Complexity of Fourier Transforms on Finite Groups

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$$\begin{aligned}
&= \sum_{\alpha_j, \beta_j \in \mathcal{B}^j} M_{\mathcal{B}}(\beta_n, \beta_i) M_{\mathcal{B}}(\beta_i, \alpha_{i-2}) M_{\mathcal{B}}(\beta_i, \alpha_{i-1}) M_{\mathcal{B}}(\alpha_{i-1}, \alpha_{i-2}) d_{\alpha_{i-2}} d_{\beta_n} \\
&\leq M_{\mathcal{B}}(B_i, B_{i-2}) \sum_{\alpha_j, \beta_j \in \mathcal{B}^j} M_{\mathcal{B}}(\beta_n, \beta_i) M_{\mathcal{B}}(\beta_i, \alpha_{i-1}) M_{\mathcal{B}}(\alpha_{i-1}, \alpha_{i-2}) d_{\alpha_{i-2}} d_{\beta_n}.
\end{aligned}$$

Then by Corollary 2.2,

$$\begin{aligned}
\# \text{Hom}(\mathcal{J}_i^n \uparrow \mathcal{Q}; \mathcal{B}) &= M_{\mathcal{B}}(B_i, B_{i-2}) \langle D^n U^n \hat{0}, \hat{0} \rangle \\
&= M_{\mathcal{B}}(B_i, B_{i-2}) |B_n|.
\end{aligned}$$

Simple counting in the Bratteli diagram for  $B_n$  (Lemma A.6 of Appendix A.4) shows that  $M_{\mathcal{B}}(B_i, B_{i-2}) \leq 2$ . Thus

$$\# \text{Hom}(\mathcal{J}_i^n \uparrow \mathcal{Q}; \mathcal{B}) \leq 2|B_n|.$$

Similarly, (using Corollary A.3 of Appendix A.4),

$$\# \text{Hom}(\mathcal{H}_i^n \uparrow \mathcal{Q}; \mathcal{B}) \leq \frac{4(i-1)}{n} |B_n|.$$

Finally, note that since a restricted product with the identity element  $e$  requires no operations to compute (see Corollary A.1 of Appendix A.2), for all  $1 \leq i \leq n$ ,

$$|\tilde{A}_i| = |\tilde{A}'_i| = 1.$$

Plugging in to (2.4), we may compute  $\sum_{y \in \tilde{Y}} y F_y$  in at most

$$\# \text{Hom}(\mathcal{K}^n \uparrow \mathcal{Q}; \mathcal{B}) + \sum_{i=2}^n \# \text{Hom}(\mathcal{H}_i^n \uparrow \mathcal{Q}; \mathcal{B}) + \sum_{j=2}^n \# \text{Hom}(\mathcal{J}_j^n \uparrow \mathcal{Q}; \mathcal{B}) = (4n-3)|B_n|$$

## 2.4 The Complexity of Fourier Transforms on Finite Groups

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multiplications (and fewer additions). By Lemma 2.3,

$$t_{B_n}(R) \leq t_{B_{n-1}}(R_{B_{n-1}}) + 4n - 3,$$

and so

$$t_{B_n}(R) \leq n(2n - 1).$$

□

Analogous arguments give the following result for Weyl groups of type  $D_n$ .

**Theorem 2.16** (Theorem 2.3). *For the Weyl group  $D_n$  and  $R$  a complete set of irreducible matrix representations of  $D_n$  adapted to the subgroup chain  $D_n > D_{n-1} > \dots > D_0 = \{e\}$ ,*

$$C(D_n) \leq T_{D_n}(R) \leq \frac{n(13n - 11)}{2} |D_n|.$$

*Proof.* Let  $s_1, \dots, s_n$  denote the simple reflections for  $D_n$ , labeled according to Figure 2.29.

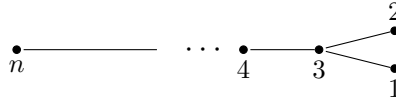


Figure 2.29

Recall from [65] that elements in a set of minimal coset representatives for  $D_n/D_{n-1}$  have the following factorizations:

$$e, s_n, s_{n-1}s_n, \dots, s_3 \cdots s_n, s_2s_3 \cdots s_n, s_1s_3 \cdots s_n,$$

$$s_1s_2s_3 \cdots s_n, s_3s_1s_2s_3 \cdots s_n, \dots, s_n \cdots s_3s_2s_1s_3 \cdots s_n.$$

## 2.4 The Complexity of Fourier Transforms on Finite Groups

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Then for  $A_i = \{e, s_i\} = A'_i$ , the proof of Theorem 2.2 shows we need only determine  $\# \text{Hom}(\mathcal{H}_i^n \uparrow \mathcal{Q}; \mathcal{B})$ ,  $\# \text{Hom}(\mathcal{J}_i^n \uparrow \mathcal{Q}; \mathcal{B})$ , and  $\# \text{Hom}(\mathcal{K}^n \uparrow \mathcal{Q}; \mathcal{B})$ , for  $\mathcal{H}_i^n, \mathcal{J}_i^n, \mathcal{K}^n$  the quivers of Figure 2.28. As before,

$$\# \text{Hom}(\mathcal{K}^n \uparrow \mathcal{Q}; \mathcal{B}) = |D_n|.$$

By Lemma A.9 and Corollary A.4 of Appendix A.4,

$$\begin{aligned} \# \text{Hom}(\mathcal{J}_i^n \uparrow \mathcal{Q}; \mathcal{B}) &\leq 3|D_n|, \\ \# \text{Hom}(\mathcal{H}_i^n \uparrow \mathcal{Q}; \mathcal{B}) &\leq \frac{20(i-1)}{n}|D_n|, \end{aligned}$$

so by Theorem 2.11 we may compute  $\sum yF_y$  in at most

$$\begin{aligned} &\# \text{Hom}(\mathcal{K}^n \uparrow \mathcal{Q}; \mathcal{B}) + \sum_{i=2}^n \# \text{Hom}(\mathcal{H}_i^n \uparrow \mathcal{Q}; \mathcal{B}) + \sum_{j=2}^n \# \text{Hom}(\mathcal{J}_j^n \uparrow \mathcal{Q}; \mathcal{B}) \\ &= (13n - 12)|D_n| \end{aligned}$$

multiplications (and fewer additions). Then by Lemma 2.3,

$$t_{D_n}(R) \leq t_{D_{n-1}}(R_{D_{n-1}}) + 13n - 12,$$

and so

$$t_{D_n}(R) \leq \frac{n(13n - 11)}{2}.$$

□



## 2.4 The Complexity of Fourier Transforms on Finite Groups

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### 2.4.2 The General Linear Group

Let  $\mathbb{F}_q := \mathbb{F}_{p^k}$  be a finite field of characteristic  $p$  and order  $q = p^k$ . Let  $Gl_n(q)$  denote the matrix group  $Gl_n(\mathbb{F}_q)$  and consider  $Gl_{n-1}(q)$  as a subgroup of  $Gl_n(q)$  under the embedding

$$A \rightarrow \begin{pmatrix} 1 & 0 \\ 0 & A \end{pmatrix},$$

for  $A \in Gl_{n-1}(q)$ . In this section we show:

**Theorem 2.17** (Theorem 2.4). *For the matrix group  $Gl_n(q)$  and  $R$  a complete set of irreducible matrix representations of  $Gl_n(q)$  adapted to the subgroup chain  $Gl_n(q) > Gl_{n-1}(q) > \cdots > \{e\}$*

$$C(Gl_n(q)) \leq T_{Gl_n(q)}(R) = O(q^n)|Gl_n(q)|.$$

*Proof.* Let  $P$  be the set of permutation matrices of  $Gl_n(q)$ . By Proposition A.2 in Appendix A.5, for  $p \neq 2$ ,

$$Y = \{\pi s_i \mid 1 \leq i \leq n, (i-1) \text{ divisible by } p, \pi \in P\}$$

contains a complete set of coset representatives for  $GL_n(q)/Gl_{n-1}(q)$ , where  $s_i$  has form

$$u_2 \cdots u_{p-1} u'_{p+1} t_p u_{p+1} \cdots u_{2p-1} u'_{2p+1} t_{2p} u_{2p+1} \cdots u_i v_{i+1} \cdots v_n \epsilon,$$

for  $\epsilon$  a scalar matrix,  $t_j$  the permutation matrix corresponding to  $(j \ j-1)$ , and

$$u_j, u'_j, v_j \in Gl_j(q) \cap \text{Centralizer}(Gl_{j-2}(q)),$$

## 2.4 The Complexity of Fourier Transforms on Finite Groups

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with  $(q - 1)$  possible matrices for  $u_j$  and  $u'_j$ , and  $q^2$  possible matrices for  $v_j$ .

Let  $U_j$  (respectively  $U'_j, V_j, E$ ) be the set of matrices  $u_j$  (respectively  $u'_j, v_j, \epsilon$ ), and let  $T_j = \{t_j\}$ . Let  $\tilde{Y} = \{\tilde{y} \mid y \in Y\}$ , and similarly define  $\tilde{U}_j, \tilde{U}'_j, \tilde{V}_j, \tilde{T}_j, \tilde{E}, \tilde{P}$ . Note that

$$\begin{aligned} \tilde{U}_j, \tilde{U}'_j, \tilde{V}_j, \tilde{T}_j &\in \mathbb{C}[\mathcal{B}_j] \cap \text{Centralizer}(\mathbb{C}[\mathcal{B}_{j-2}]), \\ E &\in \text{Centralizer}(\mathbb{C}[\mathcal{B}_n]). \end{aligned}$$

By Lemma 2.3 computation of the Fourier transform of a complex function  $f$  on  $GL_n(q)$  is equivalent to computation of:

$$\sum_{y \in \tilde{Y}} y F_y \tag{2.5}$$

for  $F_y \in \mathbb{C}[\mathcal{B}_{n-1}]$ . The number of operations to compute (2.5) is bounded by the number of operations to compute

$$\begin{aligned} &\sum_{\pi} \sum_{\substack{1 \leq i \leq n \\ p \mid (i-1)}} \sum_{\substack{u_j, u'_j, \\ v_j, t_j, \epsilon}} \pi u_2 \cdots v_{i+1} \cdots v_n \epsilon F_{\pi u_2 \cdots v_{i+1} \cdots v_n \epsilon} \\ &= \sum_{\pi} \sum_{\epsilon} \pi \epsilon \sum_{\substack{1 \leq i \leq n \\ p \mid (i-1)}} \sum_{\substack{u_j, u'_j \\ v_j, t_j}} u_2 \cdots v_{i+1} \cdots v_n F_{\pi u_2 \cdots v_{i+1} \cdots v_n \epsilon}. \end{aligned} \tag{2.6}$$

To compute (2.6), fix  $\pi$  and  $\epsilon$  and compute:

$$\sum_{\substack{1 \leq i \leq n \\ p \mid (i-1)}} \sum_{\substack{u_j, u'_j \\ v_j, t_j}} u_2 \cdots v_{i+1} \cdots v_n F_{\pi u_2 \cdots v_{i+1} \cdots v_n \epsilon}, \tag{2.7}$$

then multiply by  $\pi \epsilon$  and sum.

To compute sums of the form (2.7):

## 2.4 The Complexity of Fourier Transforms on Finite Groups

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I. Let

$$X = \{(u_2, \dots, u_{p-1}, u'_{p+1}, t_p, u_{p+1}, \dots, u_i, v_{i+1}, \dots, v_n, F_{u_2 \dots v_n}) \mid i \leq n, i \mid (p-1)\},$$

for  $u_j \in \tilde{U}_j, u'_j \in \tilde{U}'_j, v_j \in \tilde{V}_j, t_j \in \tilde{T}_j$ .

III. Glue together the quivers  $Q_{i+i-}$  (labeled by  $\tilde{U}_j, \tilde{U}'_j, \tilde{V}_j, \tilde{T}_j, F_{u_2 \dots v_n}$ ) of Figures 2.30 and 2.31 to build the quiver  $\mathcal{Q}$  of Figure 2.32.

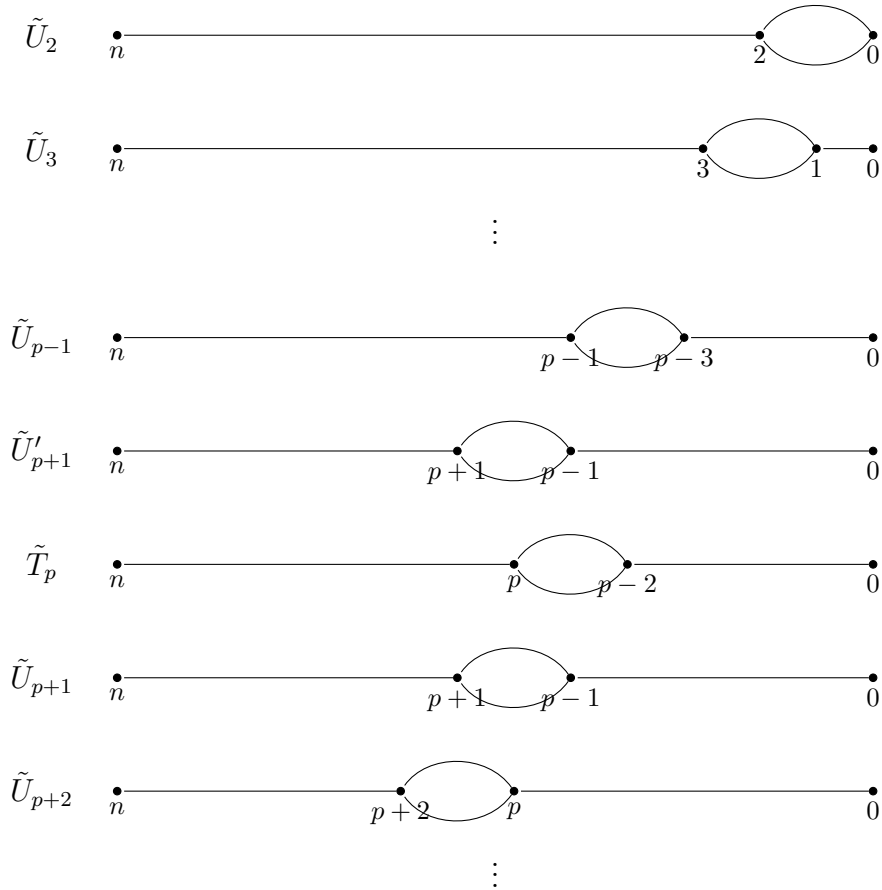


Figure 2.30

## 2.4 The Complexity of Fourier Transforms on Finite Groups

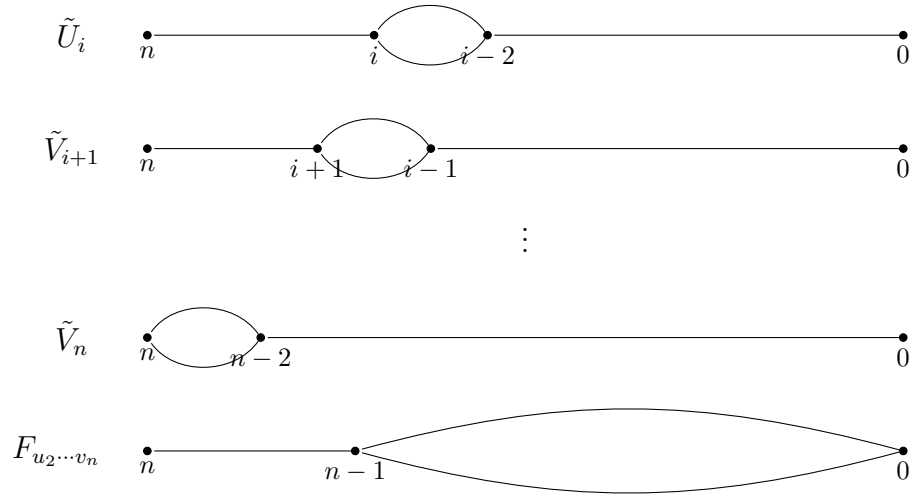


Figure 2.31

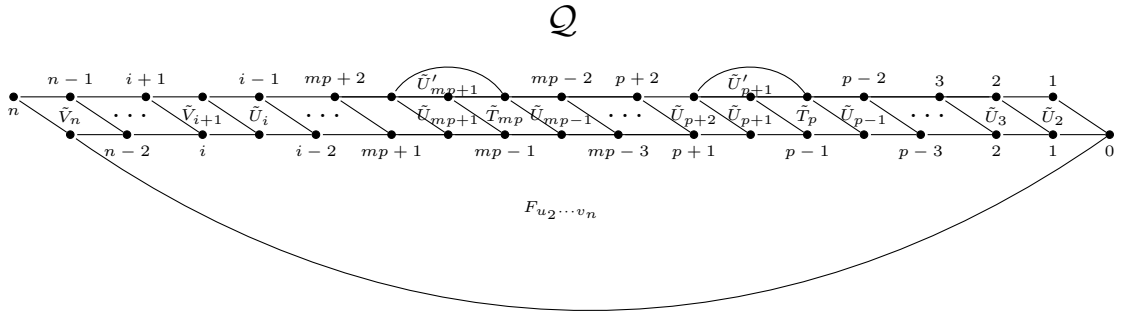


Figure 2.32

IV. Let  $\sigma \in S_{n+m-1}$  be the permutation reordering  $X$  so that

$$\begin{aligned} X_0 &= \{(x_{\sigma(1)}, x_{\sigma(2)}, \dots, x_{\sigma(n+m-1)}) \mid (x_1, \dots, x_{n+m-1}) \in X\} \\ &= \{(F_{u_2 \dots v_n}, u_2, u_3, \dots, u_{p-1}, t_p, u_{p+1}, u'_{p+1}, u_{p+2}, \dots, u_i, v_{i+1}, \dots, v_n)\}. \end{aligned}$$

## 2.4 The Complexity of Fourier Transforms on Finite Groups

Then

$$X_1 = \{(u_2, u_3, \dots, u_{p-1}, t_p, u_{p+1}, u'_{p+1}, u_{p+2}, \dots, u_i, v_{i+1}, \dots, v_n)\}$$

$$X_2 = \{(u_3, \dots, u_{p-1}, t_p, u_{p+1}, u'_{p+1}, u_{p+2}, \dots, u_i, v_{i+1}, \dots, v_n)\}$$

⋮

$$X_{m+n-2} = \{(v_n)\}.$$

By Theorem 2.11, we may compute (2.7) in at most

$$\sum_{k=2}^n |X_{k-1}| \dim A(R_{k-1}^\sigma \cup F_{\sigma(k)} \uparrow \mathcal{Q}; \mathcal{B})$$

multiplications, with  $R_{k-1}^\sigma \cup F_{\sigma(k)}$  as in Figure 2.33.

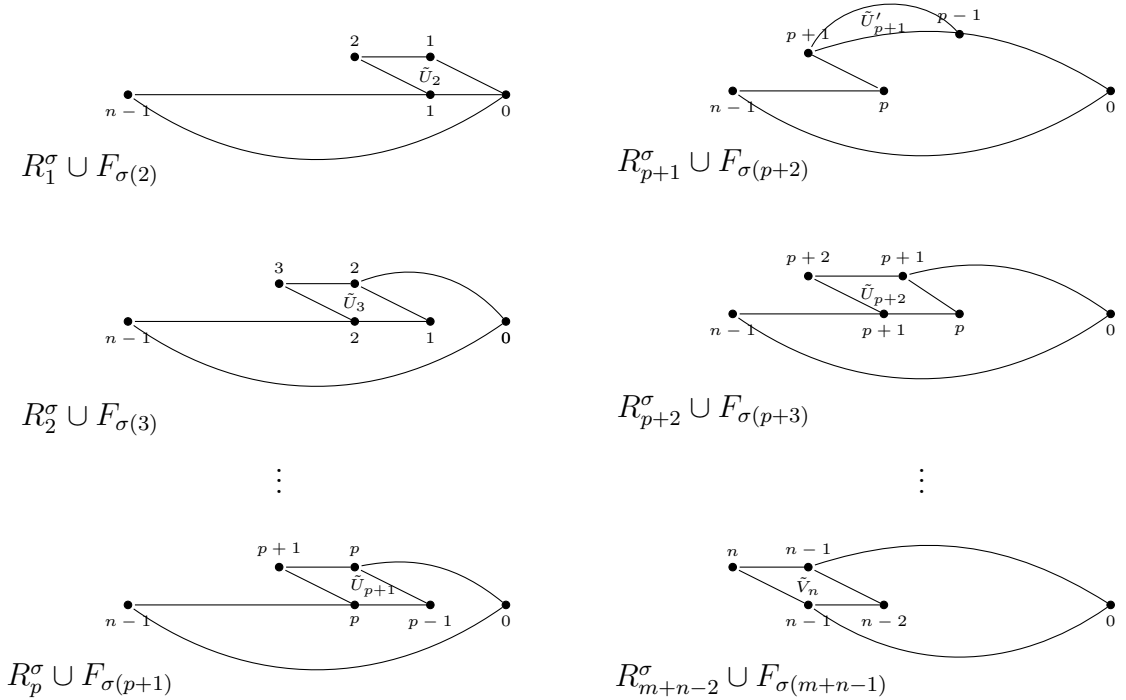


Figure 2.33

## 2.4 The Complexity of Fourier Transforms on Finite Groups

Then for  $\mathcal{H}_j^n, \mathcal{J}_j^n$  the quivers of Figure 2.34,

$$\dim A(R_{k-1}^\sigma \cup F_{\sigma(k)} \uparrow \mathcal{Q}; \mathcal{B}) = \dim(\mathcal{H}_j^n; \mathcal{B}) \text{ or } \dim(\mathcal{J}_j^n; \mathcal{B}).$$

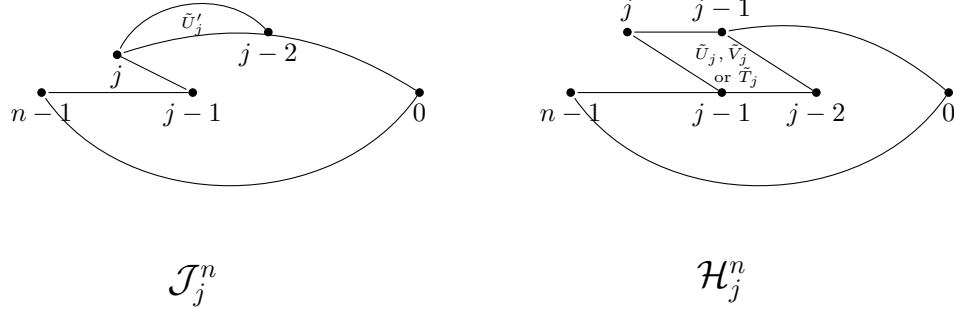


Figure 2.34

First consider the quiver  $\mathcal{H}_j^n$  of Figure 2.34, which corresponds to:

$$\begin{aligned} & \tilde{U}_j, \text{ when } 1 \leq j \leq i, p \nmid j \\ & \tilde{T}_j, \text{ when } 1 \leq j \leq i, p \mid j, \\ & \tilde{V}_j, \text{ when } i < j \leq n. \end{aligned}$$

By Theorem 2.12,

$$\begin{aligned} \text{Hom}(\mathcal{H}_j^n \uparrow \mathcal{Q}; \mathcal{B}) &= \sum_{\alpha_i, \beta_i \in \mathcal{B}^i} \left( M_{\mathcal{B}}(\beta_{n-1}, \beta_{j-1}) M_{\mathcal{B}}(\beta_{j-1}, \beta_{j-2}) M_{\mathcal{B}}(\alpha_j, \beta_{j-1}) \right. \\ & \quad \left. M_{\mathcal{B}}(\alpha_j, \alpha_{j-1}) M_{\mathcal{B}}(\alpha_{j-1}, \beta_{j-2}) d_{\alpha_{j-1}} d_{\beta_{n-1}} \right) \\ &\leq M_{\mathcal{B}}(Gl_{j-1}(q), Gl_{j-2}(q))^2 |\hat{Gl}_{j-2}(q)| \sum_{\alpha_i, \beta_i \in \mathcal{B}^i} \left( M_{\mathcal{B}}(\beta_{n-1}, \beta_{j-1}) \right. \\ & \quad \left. M_{\mathcal{B}}(\alpha_j, \beta_{j-1}) M_{\mathcal{B}}(\alpha_j, \alpha_{j-1}) d_{\alpha_{j-1}} d_{\beta_{n-1}} \right). \end{aligned}$$

## 2.4 The Complexity of Fourier Transforms on Finite Groups

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By Corollary 2.2,

$$\begin{aligned}
\# \text{Hom}(\mathcal{H}_j^n \uparrow \mathcal{Q}; \mathcal{B}) &\leq M_{\mathcal{B}}(Gl_{j-1}(q), Gl_{j-2}(q))^2 |\hat{Gl}_{j-2}(q)| \langle D^{n-1} U^{n-j} D U^j \hat{0}, \hat{0} \rangle \\
&= M_{\mathcal{B}}(Gl_{j-1}(q), Gl_{j-2}(q))^2 |\hat{Gl}_{j-2}(q)| \lambda_j \lambda_{n-1} \lambda_{n-2} \cdots \lambda_1 \\
&= M_{\mathcal{B}}(Gl_{j-1}(q), Gl_{j-2}(q))^2 |\hat{Gl}_{j-2}(q)| \frac{|Gl_j(q)|}{|Gl_{j-1}(q)|} |Gl_{n-1}(q)|.
\end{aligned}$$

By [65, Lemma 5.9],  $M(Gl_j(q), Gl_{j-1}(q)) \leq 2^{j-1}$  and  $|\hat{Gl}_j(q)| \leq q^j$ . Thus, since  $\dim A(\mathcal{H}_j^n \uparrow \mathcal{Q}; \mathcal{B}) = \# \text{Hom}(\mathcal{H}_j^n \uparrow \mathcal{Q}; \mathcal{B})$ ,

$$\begin{aligned}
\dim A(\mathcal{H}_j^n \uparrow \mathcal{Q}; \mathcal{B}) &\leq 2^{2j-4} q^{j-2} q^{j-1} (q^j - 1) |Gl_{n-1}(q)| \\
&= 2^{2j-4} q^{j-2} \frac{q^{j-1} (q^j - 1)}{q^{n-1} (q^n - 1)} |Gl_n(q)| \\
&= O(q^{3j-2n-2}) |Gl_n(q)|.
\end{aligned}$$

Further,

$$|\tilde{X}_j| := \begin{cases} \#(u_j, \dots, u_i, v_{i+1}, \dots, v_n) & 1 \leq j \leq i, p \nmid j, \\ \#(t_j, \dots, u_i, v_{i+1}, \dots, v_n) & 1 \leq j \leq i, p \mid j, \\ \#(v_j, \dots, v_n) & i < j \leq n. \end{cases}$$

In particular,

$$|\tilde{X}_j| \leq \begin{cases} (q-1)^{i-j+1+\frac{i-1}{p}} (q^2)^{n-i} & 1 \leq j \leq i, \\ (q^2)^{n-j+1} & i < j \leq n, \end{cases}$$

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and so for all quivers  $R_{k-1}^\sigma \cup F_{\sigma(k)}$  of form  $\mathcal{H}_j^n$ ,

$$|X_{k-1}| \dim A(R_{k-1}^\sigma \cup F_{\sigma(k)} \uparrow \mathcal{Q}; \mathcal{B}) = |\tilde{X}_j| \dim A(\mathcal{H}_j^n, \mathcal{B}) \leq O(q^n) |Gl_n(q)|.$$

Now consider the quiver  $\mathcal{J}_j^n$  of Figure 2.34, which corresponds to  $\tilde{U}_j$  for all  $j \leq i$  with  $p \mid (j-1)$ :

$$\begin{aligned} \dim A(\mathcal{J}_j \uparrow \mathcal{Q}; \mathcal{B}) &= \sum_{\alpha_i, \beta_i \in \mathcal{B}^i} M_{\mathcal{B}}(\beta_{n-1}, \beta_{j-1}) M_{\mathcal{B}}(\alpha_j, \beta_{j-1}) M_{\mathcal{B}}(\alpha_j, \alpha_{j-2})^2 d_{\alpha_{j-2}} d_{\beta_{n-1}} \\ &\leq M_{\mathcal{B}}(Gl_j(q), Gl_{j-2}(q)) \sum_{\alpha_i, \beta_i \in \mathcal{B}^i} M_{\mathcal{B}}(\beta_{n-1}, \beta_{j-1}) M_{\mathcal{B}}(\alpha_j, \beta_{j-1}) M_{\mathcal{B}}(\alpha_j, \alpha_{j-2}) d_{\alpha_{j-2}} d_{\beta_{n-1}} \\ &= M_{\mathcal{B}}(Gl_j(q), Gl_{j-2}(q)) \langle D^{n-1} U^{n-j} D U^j \hat{0}, \hat{0} \rangle \\ &= M_{\mathcal{B}}(Gl_j(q), Gl_{j-2}(q)) \frac{|Gl_j(q)|}{|Gl_{j-1}(q)|} |Gl_{n-1}(q)|. \end{aligned}$$

By [65, Lemma 5.9],  $M(Gl_j(q), Gl_{j-2}(q)) \leq 2^{2j-3} q^{j-1}$ . Thus,

$$\dim A(\mathcal{J}_j \uparrow \mathcal{Q}; \mathcal{B}) \leq 2^{2j-3} q^{j-1} \frac{q^{j-1} (q^j - 1)}{q^{n-1} (q^n - 1)} |Gl_n(q)| = O(q^{3j-2n-1}) |Gl_n(q)|.$$

Further,

$$|\tilde{X}_j| := \#(u'_j, \dots, u_i, v_{i+1}, \dots, v_n) \leq \begin{cases} (q-1)^{i-j+1+\frac{i-1}{p}} (q^2)^{n-i} & j \neq n \\ (q-1) & j = i = n \end{cases}$$

and so for all quivers  $R_{k-1}^\sigma \cup F_{\sigma(k)}$  of form  $\mathcal{J}_j^n$ ,

$$|X_{k-1}| \dim A(R_{k-1}^\sigma \cup F_{\sigma(k)} \uparrow \mathcal{Q}; \mathcal{B}) = |\tilde{X}_j| \dim A(\mathcal{J}_j \uparrow \mathcal{Q}; \mathcal{B}) \leq O(q^n) |Gl_n(q)|.$$



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Thus, by Theorem 2.11, we may compute (2.7) in at most  $O(q^n)|Gl_n(q)|$  operations.

In order to compute (2.5) we must consider multiplication by  $\epsilon$  and by  $\pi$ . Let  $F_\epsilon \in \mathbb{C}[\mathcal{B}_n]$ . To compute  $\sum_\epsilon \epsilon F_\epsilon$ , note that  $\epsilon$  is a scalar matrix, and so a single multiplication  $\epsilon F_\epsilon$  requires at most  $n^2$  multiplications. Then  $\sum_\epsilon \epsilon F_\epsilon$  requires at most  $(q-1)n^2 < O(q^n)|Gl_n(q)|$  operations.

Finally, consider multiplication by  $\pi \in \tilde{P}$ . Let  $F_\pi \in \mathbb{C}[\mathcal{B}_n]$ . To compute  $\sum_\pi \pi F_\pi$ , note that  $\pi$  is a permutation matrix, and so every row and column contains exactly one nonzero entry, and that entry is 1. Then a single multiplication  $\pi F_\pi$  requires no multiplications, and so  $\sum_\pi \pi F_\pi$  does not add to the complexity.

Now suppose  $p = 2$ . By Theorem A.5 in Appendix A.5,

$$Y = \{\pi s_i \mid 1 \leq i \leq n, (i-1) \text{ divisible by } p, \pi \in P\}$$

contains a complete set of coset representatives for  $Gl_n(q)/Gl_{n-1}(q)$ , where  $s_i$  is of form

$$a_3 b_2 c_3 a_5 b_4 c_5 \cdots a_i b_{i-1} c_i v_{i+1} \cdots v_n,$$

for  $a_j, b_j, c_j \in Gl_j(q) \cap \text{Centralizer}(Gl_{j-2}(q))$  with  $(q-1)$  possible matrices for  $a_j$  and  $b_j$ ,  $q^2$  possible matrices for  $v_j$ , and  $c_j$  completely determined by  $a_j$  and  $b_{j-1}$ . The same arguments as in the  $p \neq 2$  case then yield the quiver  $\mathcal{Q}$  of Figure 2.35, from which it is clear that analogous arguments show that the Fourier transform may be computed in  $O(q^n)|Gl_n(q)|$  operations.

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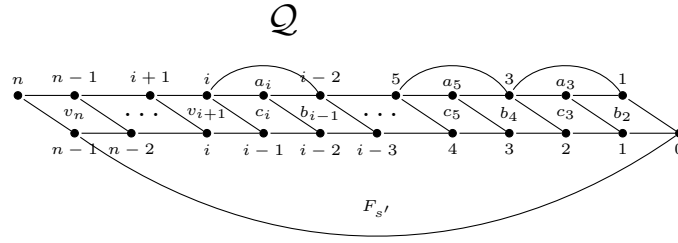


Figure 2.35

□

### 2.4.3 Generalized Symmetric Group Case

We next give a general result (Theorem 2.18) to find efficient Fourier transforms on groups with special subgroup structure. As the proof follows the same structure of the proofs of Theorems 2.24, 2.29, and 2.4, we leave it as an exercise.

Suppose

$$G_n > G_{n-1} > \cdots > G_0 = e,$$

is a chain of subgroups with subsets  $A_i \subseteq G_i$  such that

- (1)  $A_1 = G_1$
- (2)  $G_i = A_2 \cdots A_i G_{i-1}$  for  $2 \leq i \leq n$ .
- (3)  $A_i$  commutes with  $G_{i-2}$ .

Let  $\mathcal{B}$  be the Bratteli diagram associated to the chain

$$\mathbb{C}[G_n] > \mathbb{C}[G_{n-1}] > \cdots > \mathbb{C},$$

## 2.4 The Complexity of Fourier Transforms on Finite Groups

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and let  $\{\mathbb{C}[\mathcal{B}_i]\}$  be the chain of path algebras associated to  $\{\mathbb{C}[G_i]\}$ . Let

$$M_{\mathcal{B}}(G_i, G_j) := \max M_{\mathcal{B}}(\alpha_i, \alpha_j)$$

over all  $\alpha_i \in \mathcal{B}^i, \alpha_j \in \mathcal{B}^j$  and let  $|\hat{G}_i|$  denote the number of conjugacy classes of  $G_i$ ; equivalently, the number of irreducible representations in a complete set of inequivalent irreducible representations of  $G_i$ .

**Theorem 2.18.** *Let  $G_i, A_i$  be as described above. Then the Fourier transform of a complex function on  $G_n$  may be computed at a complete set  $R$  of irreducible representations of  $G_n$  adapted to the chain*

$$G_n > G_{n-1} > \cdots > G_0 = e$$

*in at most*

$$|G_n| \sum_{k=1}^n \sum_{i=2}^k M_{\mathcal{B}}(G_{i-1}, G_{i-2})^2 |\hat{G}_{i-2}| \frac{|G_i|}{|G_{i-1}|} \frac{|G_{k-1}|}{|G_k|} \prod_{j=i}^k |A_j|$$

*operations.*

**Note 2.5.** Theorem 2.18 is a refinement of Theorem 3.1 of [64]: rather than considering the maximum length of an element in a set of coset representatives, we build the coset representatives up using sets  $A_i$  of smaller size.

**Note 2.6.** For  $G_i = S_i$ , this theorem gives an efficient algorithm for the computation of the Fourier transform of a function on the symmetric group by letting  $A_1 = \{e\}$  and  $A_i = \{e, t_{i-1}\}$  for  $2 \leq i \leq n$ .

## 2.4 The Complexity of Fourier Transforms on Finite Groups

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### 2.4.4 The Complexity of Fourier Transforms on Homogeneous Spaces

We next consider the Fourier transform of a function on a homogeneous space, a special case of harmonic analysis on groups. This can be viewed as a coset space  $G/K$ , so a Fourier transform on a homogeneous space is a Fourier transform of the space of functions on  $G/K$  or, equivalently, of the space of associated right- $K$  invariant functions on  $G$ . See [63, 65] for further background on Fourier transforms on homogeneous spaces and some of their applications.

**Definition 2.22.** *Let  $G$  be a finite group with subgroup  $K$  and let  $f$  be a complex-valued function on  $G/K$ . The **Fourier transform of  $f$**  at a  $K$ -adapted representation  $\rho$  of  $G$ , denoted  $\hat{f}(\rho)^K$  or a  $K$ -adapted set  $R$  of matrix representations of  $G$ , denoted  $\mathcal{F}_R^K f$  is the Fourier transform of the right  $K$ -invariant function  $\tilde{f} : G \rightarrow \mathbb{C}$  defined by*

$$\tilde{f}(g) = \frac{1}{|K|} f(gK).$$

Note that  $\hat{f}(\rho)$  is zero unless the representation space,  $V_\rho$ , contains a nontrivial  $K$ -invariant vector. Such a representation is said to be **class 1 relative to  $K$** , and we could restrict to class 1 representations if desired.

**Definition 2.23.** *Let  $G$  be a finite group with subgroup  $K$  and let  $R$  be a set of representations of  $G$ .*

- (i) The **arithmetic complexity** of a Fourier transform on  $R$ , denoted  $T_{G/K}(R)$ , is the minimum number of arithmetic operations needed to compute the Fourier transform of  $f$  on  $R$  via a straight-line program for an arbitrary complex-valued function  $f$  defined on  $G/K$ .

## 2.4 The Complexity of Fourier Transforms on Finite Groups

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(ii) The **reduced complexity**, denoted  $t_{G/K}(R)$ , is defined by

$$t_G(R) = \frac{1}{|G/K|} T_{G/K}(R).$$

Note that the complexity always satisfies the inequalities

$$|G/K| - 1 \leq T_{G/K}(R) \leq |G/K|^2.$$

Further, the proof of Lemma 2.3 gives an analogous result for the case of homogeneous spaces: for  $H$  a subgroup of  $G$ ,  $R$  a complete  $H$ -adapted set of inequivalent irreducible representations of  $G$ ,  $Y \subseteq G$  a set of coset representatives, and  $\tilde{Y}$  the corresponding subset of the path algebra under the isomorphism of Lemma 2.1,

$$t_{G/K}(R) \leq t_{H/K}(R_H) + m_{G/K}(R, \tilde{Y}, H).$$

With this result, we obtain complexity results for homogeneous spaces as in the group case.

Let  $G$  be a group with chain of subgroups  $G = G_n > G_{n-1} > \cdots > G_0$ . For  $f$  a function on  $G_n$  that is right  $G_{n-k}$ -invariant, the corresponding element  $\sum_{s \in G_n} f(s)s$  in  $\mathbb{C}[G_n]$  is invariant under right multiplication by elements of  $\mathbb{C}[G_{n-k}]$ . In particular, the elements  $F_y$  in the proofs of Section 2.4 are  $\mathbb{C}[\mathcal{B}_{n-k}]$ -invariant, so as in [65, Theorem 6.2] the nonzero coefficients of  $F_y$  correspond to paths passing through  $1_{n-k}$ . Using the SOV approach as in the proofs of Section 2.4, the final quiver in the construction of  $\mathcal{Q}$ ,  $Q_{i+i^-}$ , corresponding to  $F_y$  now has form as in Figure 2.36, with  $\text{Hom}(Q_{i+i^-}; \mathcal{B})$  consisting only of morphisms sending  $\alpha_{n-k}$  to  $1_{n-k}$ .

## 2.4 The Complexity of Fourier Transforms on Finite Groups

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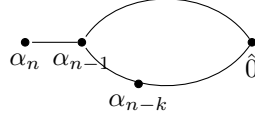


Figure 2.36

Then the proofs of Section 2.4 extend to the following results for homogenous spaces:

**Theorem 2.19** (Theorem 2.5). *For the homogenous space  $B_n/B_{n-k}$  of the Weyl group  $B_n$  and  $R$  a complete set of irreducible matrix representations of  $B_n$  adapted to the subgroup chain  $B_n > B_{n-1} > \cdots > \{e\}$ ,*

$$C(B_n/B_{n-k}) \leq T_{B_n/B_{n-k}}(R) \leq k(4n - 2k - 1) \frac{|B_n|}{|B_{n-k}|}.$$

**Theorem 2.20.** *For the homogenous space  $D_n/D_{n-k}$  of the Weyl group  $D_n$  and  $R$  a complete set of irreducible matrix representations of  $D_n$  adapted to the subgroup chain  $D_n > D_{n-1} > \cdots > \{e\}$ ,*

$$C(D_n/D_{n-k}) \leq T_{D_n/D_{n-k}}(R) \leq \frac{k(26n - 13k - 11)}{2} \frac{|D_n|}{|D_{n-k}|}.$$

**Theorem 2.21.** *For the homogenous space  $Gl_n(q)/Gl_{n-k}(q)$  of the general linear group  $Gl_n(q)$  and  $R$  a complete set of irreducible matrix representations of  $Gl_n(q)$  adapted to the subgroup chain  $Gl_n(q) > Gl_{n-1}(q) > \cdots > \{e\}$ ,*

$$C(Gl_n(q)/Gl_{n-k}(q)) \leq T_{Gl_n(q)/Gl_{n-k}(q)}(R) \leq O(q^n) \frac{|G_n|}{|G_{n-k}|}.$$

## 2.5 Extension to Semisimple Algebras

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As in Section 2.4.3, suppose

$$G_n > G_{n-1} > \cdots > G_1 = e,$$

is a chain of groups with subsets  $A_i \subseteq G_i$  such that

- (1)  $A_1 = G_1$
- (2)  $G_i = A_2 \cdots A_i G_{i-1}$  for  $2 \leq i \leq n$ .
- (3)  $A_i$  commutes with  $G_{i-2}$ .

**Theorem 2.22.** *Let  $G_i, A_i$  be as above. For the homogeneous space  $G_n/G_{n-k}$  and  $R$  a complete set of irreducible matrix representations of  $G_n$  adapted to the chain*

$$G_n > G_{n-1} > \cdots > G_1 = e$$

$$C(G_n/G_{n-k}) \leq T_{G_n/G_{n-k}}(R) \leq \sum_{j=n-k+1}^n \sum_{i=2}^j M_B(G_{i-1}, G_{i-2})^2 |\hat{G}_{i-2}| \frac{|G_i|}{|G_{i-1}|} \frac{|G_{j-1}|}{|G_j|} \prod_{l=i}^j |A_l|$$

operations.

## 2.5 Extension to Semisimple Algebras

Recall that an algebra  $A$  is semisimple if  $A$  decomposes as a direct sum of simple algebras:

$$A \cong \bigoplus_{\lambda \in \Lambda} M_{d_\lambda}(\mathbb{C}),$$

for  $\Lambda$  a finite index set.

## 2.5 Extension to Semisimple Algebras

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In this section we extend the SOV approach to general semisimple algebras and apply it to the Brauer and BMW algebras. Note that although we considered complex group algebras in Sections 2.1-2.4, for any field  $F$ , we may define the group algebra of a group  $G$  over  $F$  to be the  $F$ -linear span of elements in  $G$ . Then for a parameter  $q$ , the group algebra of the symmetric group over  $\mathbb{C}(q)$  is a subalgebra of the Brauer algebra:  $\mathbb{C}(q)[S_n] \subset \mathcal{B}r_n$ , while the BMW algebra is a “deformation” of the Brauer algebra. As such, these results are natural extensions of Fourier transforms of functions on the symmetric group.

### 2.5.1 Background: The Brauer Algebra

First note that an element in the symmetric group  $S_n$  can be realized as a diagram on  $2n$  points, consisting of two rows of  $n$  points each, with each point in the top row connected by an edge to exactly one point in the bottom row (see Figure 2.37). For two elements  $x, y$  in  $S_n$ , the product  $xy$  is the concatenation of the two diagrams: to compute the product  $xy$ , place the diagram for  $x$  on top of the one for  $y$  and trace the edges from top to bottom (note that we consider multiplication from left to right).

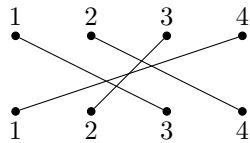


Figure 2.37: (1324)



## 2.5 Extension to Semisimple Algebras

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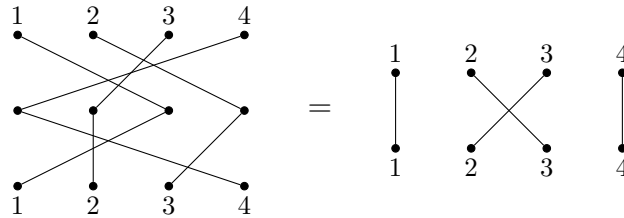


Figure 2.38:  $(1324) \circ (143) = (23)$

The simple transpositions  $\{r_i = (i \ i + 1) \mid 1 \leq i \leq n - 1\}$  form a generating set for the symmetric group.

Elements of the Brauer monoid,  $Br_n$ , are realized by generalizing symmetric group diagrams: consider diagrams on 2 rows of  $n$  points each, with edges connecting pairs of points regardless of row and each point part of exactly one edge. Multiplication may again be realized as concatenation of diagrams. Note that in some cases, this introduces a closed loop. A parameter  $q$  is used to keep track of the number of closed loops: for two diagrams  $x, y \in Br_n$ , let  $c$  denote the number of closed loops in the multiplication  $xy$  and let  $z$  be the diagram of this product with the closed loops removed. Then  $xy = q^c z$ .

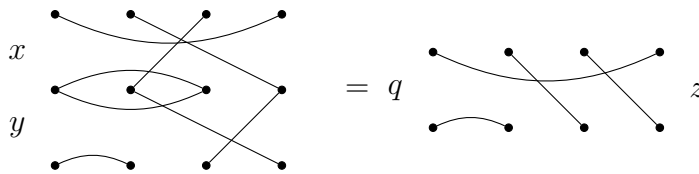


Figure 2.39:  $xy = q^1 z$

Two Brauer diagrams  $d_1$  and  $d_2$  are *equivalent* if they differ only in the number of closed loops, i.e., if when  $q = 1$ ,  $d_1 = d_2$ . For example, for  $x, y, z$  as in Figure 2.39, the product  $xy$  is equivalent to  $z$ . The Brauer monoid,  $Br_n$  consists of the

## 2.5 Extension to Semisimple Algebras

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set of equivalence classes of such diagrams and is generated by the set of elements  $\{r_i, e_i \mid 1 \leq i \leq n - 1\}$  (see Figure 2.40). Note that the symmetric group  $S_n$  is generated by the transpositions  $\{r_i \mid 1 \leq i \leq n - 1\}$  and so  $\mathbb{C}(q)[S_n] < Br_n$ .

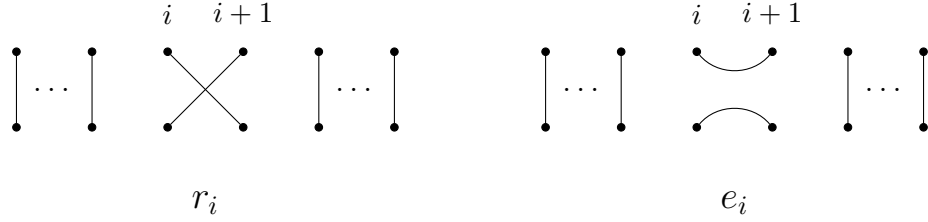


Figure 2.40:  $r_i, e_i \in Br_n$

The Brauer algebra,  $Br_n$ , is the  $\mathbb{C}(q)$ -algebra with basis  $Br_n$ . Equivalently (see, e.g., [5]),  $Br_n$  has algebraic presentation given by generating set

$$\{r_i, e_i \mid 1 \leq i \leq n - 1\},$$

and relations:

- (1)  $r_i^2 = 1$ ,
- (2)  $r_i r_j = r_j r_i$ ,  $r_i e_j = e_j r_i$ ,  $e_i e_j = e_j e_i$ ,  $|i - j| > 1$
- (3)  $e_i^2 = q e_i$ ,
- (4)  $e_i r_i = r_i e_i = e_i$ ,
- (5)  $r_i r_{i+1} r_i = r_{i+1} r_i r_{i+1}$ ,
- (6)  $e_i e_{i+1} e_i = e_i$ ,  $e_{i+1} e_i e_{i+1} = e_{i+1}$ ,
- (7)  $r_i e_{i+1} e_i = r_{i+1} e_i$ ,
- (8)  $e_{i+1} e_i r_{i+1} = e_{i+1} r_i$ .

In [93], Wenzl showed that the Brauer algebra,  $Br_n(q)$ , is a semisimple algebra over  $\mathbb{C}(q)$ . In fact, replacing  $q$  by  $\alpha \in \mathbb{C}$ ,  $Br_n(\alpha)$  is semisimple for all but finitely many integers  $\alpha$  [83].

## 2.5 Extension to Semisimple Algebras

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### 2.5.2 Generalized SOV Approach

For  $A$  a finite-dimensional semisimple algebra with basis  $D$ , recall that to compute the Fourier transform of  $f = \sum_{d \in D} f(d)d$  we consider computation of the sums  $\sum_{d \in D} f(d)\rho(d)$  for a complete set of inequivalent irreducible representations  $\rho$  of  $A$ . By Lemma 2.2, for a chain of subalgebras  $A = A_n > \cdots > A_0$  this is equivalent to the computation of

$$\sum f(d)\tilde{d}$$

in the path algebra corresponding to the chain, by expressing  $d$  in coordinates with respect to a system of Gel'fand-Tsetlin bases.

Recall from Lemma 2.3 that for a finite group  $G$  with subgroup  $H$ , computation of a Fourier transform on  $G$  is equivalent to computation of

$$\sum_{y \in Y} \tilde{y}F_y,$$

for  $Y$  a set of coset representatives for  $G/H$ .

While there is no notion of coset representatives for a general chain of semisimple algebras, factorization through the chain still gives the result of Lemma 2.3 and so the SOV approach extends to this general case.

Let  $A$  be a finite-dimensional semisimple algebra. Recall that  $T_A(R)$  is defined to be the minimum number of operations to compute the Fourier transform of  $f$  with respect to a set of representations  $R$  of  $A$ . Recall also that

$$t_A(R) := \frac{1}{\dim(A)} T_A(R).$$

## 2.5 Extension to Semisimple Algebras

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Let  $C(A) = \min_R \{T_A(R)\}$ , where  $R$  varies over all complete sets of inequivalent irreducible matrix representations of  $A$ . As in the group case, direct computation of a Fourier transform on  $A$  gives  $C(A) \leq \dim(A)^2$ .

For  $A_1$  a subalgebra of  $A$  let  $\mathcal{B}$  be the Bratteli diagram of the chain  $A > A_1 > A_0$ , with path algebra chain  $\mathbb{C}[\mathcal{B}_A] > \mathbb{C}[\mathcal{B}_{A_1}] > \mathbb{C}[\mathcal{B}_{A_0}]$ . For  $Y$  a set of elements of  $A$  and  $F_y$  arbitrary elements of  $\mathbb{C}[\mathcal{B}_{A_1}]$ , let

$$m_A(R, Y, A_{n-1}) = \frac{1}{\dim(A)} \times \left\{ \begin{array}{l} \text{minimum number of operations required to compute} \\ \sum_{y \in \tilde{Y}} y F_y \text{ in a system of Gel'fand-Tsetlin bases for } \mathcal{B}. \end{array} \right.$$

**Lemma 2.7.** *Let  $A_1$  be a subalgebra of  $A$ ,  $R$  a complete  $A_1$ -adapted set of inequivalent irreducible matrix representations of  $A$ ,  $D$  a basis for  $A$ , and  $D'$  a basis for  $A_1$ . Let  $Y \subseteq D$  be a set of elements of  $A$  such that for all  $d \in D$ ,  $d = yd'$  for  $y \in Y$ ,  $d' \in D'$ . Let  $\mathcal{B}$  be the Bratteli diagram of the algebra chain  $A > A_1 > A_0$  with corresponding path algebra chain  $\mathbb{C}[\mathcal{B}_A] > \mathbb{C}[\mathcal{B}_{A_1}] > \mathbb{C}[\mathcal{B}_{A_0}]$ . Then*

$$t_A(R) \leq t_A(R_{A_{n-1}}) + m_A(R, Y, A_{n-1}).$$

With Lemma 2.7, we use SOV algorithm 2.1 to compute the Fourier transform of functions on semisimple algebras.

### 2.5.3 The Brauer Algebra

**Theorem 2.23.** *The Fourier transform of an element  $f$  in the Brauer algebra  $\mathcal{B}r_n$  may be computed at a complete set  $R$  of irreducible matrix representations of  $\mathcal{B}r_n$*

## 2.5 Extension to Semisimple Algebras

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*adapted to the chain of algebras*

$$\mathcal{B}r_n > \mathcal{B}r_{n-1} > \cdots > \mathcal{B}r_0 = \mathbb{C}(q)$$

*in at most  $(4n^2 - n + 4) \dim(\mathcal{B}r_n) = (4n^2 - n + 4)(2n - 1)!!$  operations.*

*Proof.* A basis for the Brauer algebra consists of Brauer monoid elements: diagrams  $d \in Br_n$  on  $2n$  points as described in Section 2.5.1, generated by the elements  $e_i$  and  $r_i$ ,  $1 \leq i \leq n - 1$ . Consider the sets

$$R = \{1, r_1 \cdots r_{n-1}, r_2 \cdots r_{n-1}, \dots, r_{n-1}\},$$

$$ER = \{r_j \cdots r_{i-1} e_i \cdots e_{n-1} \mid 1 \leq i \leq n - 1, 1 \leq j \leq i - 1\}$$

Then for  $Y = R \cup ER$ ,  $Y$  satisfies the conditions of Lemma 2.7. To see this, first note that  $R \subseteq S_n$  forms a complete set of coset representatives for  $S_n/S_{n-1}$  [63] and so we need only show that for any  $d \in Br_n - S_n$ ,  $d = yd'$ , for  $y \in Y$ ,  $d' \in Br_{n-1}$ . We view diagrams in  $Br_{n-1}$  as elements of  $Br_n$  by adding a point to the end of the top and bottom rows and connecting these two points with an edge.

Each element of  $ER$  has exactly one horizontal edge in the bottom row, and this edge connects the last two points in this row. Further, each element of  $ER$  has exactly one horizontal edge in the top row, and each possible such edge corresponds to an element of  $ER$ . As an example, see Figure 2.41.

Let  $d \in Br_n - S_n$ . Then  $d$  has at least one horizontal edge,  $e$ , in the top row. Choose the element,  $y$ , of  $ER$  with edge  $e$ . Then this determines an element  $d'$ , in  $Br_{n-1}$  with  $d = yd'$ . For an example, see Figure 2.42.

## 2.5 Extension to Semisimple Algebras

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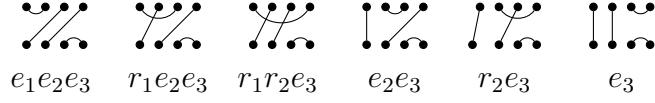


Figure 2.41:  $ER$  in  $Br_4$

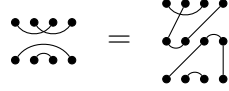


Figure 2.42:  $d = r_1e_2e_3d'$

Thus,  $Y$  satisfies the conditions of Lemma 2.7 and for  $A_i = \{id, r_i, e_i\}$ ,

$$Y \subseteq \{a_1a_2 \cdots a_{n-1} \mid a_i \in A_i\}.$$

Note that  $r_i, e_i \in \mathcal{B}r_i \cap \text{Centralizer } \mathcal{B}r_{i-2}$ .

For  $\mathcal{B}$  the Bratteli diagram associated to the chain

$$\mathcal{B}r_n > \mathcal{B}r_{n-1} > \cdots > \mathcal{B}r_0 = \mathbb{C}(q),$$

let  $\{\mathbb{C}[\mathcal{B}_i]\}$  be the chain of path algebras associated to  $\{\mathcal{B}r_i\}$ . Let  $\tilde{Y} = \{\tilde{y} \mid y \in Y\}$  and similarly define  $\tilde{A}_i$ . Note that  $\tilde{A}_i \subseteq \mathbb{C}[\mathcal{B}_i] \cap \text{Centralizer}(\mathbb{C}[\mathcal{B}_{i-2}])$ . By Lemma 2.7, the complexity of the computation of a Fourier transform of a complex function  $f$  on  $\mathcal{B}r_n$  is bounded by the complexity of computation of:

$$\sum_{a_i \in \tilde{A}_i} a_1 \cdots a_{n-1} F_{a_1 \cdots a_{n-1}}.$$

Using the SOV approach, we may compute this sum in at most

## 2.5 Extension to Semisimple Algebras

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$$\sum_{i=2}^n |X_{i-1}| \dim A(R_{i-1}^\sigma \cup F_{\sigma(i)} \uparrow \mathcal{Q}; \mathcal{B}) \quad (2.8)$$

multiplications, where for  $\mathcal{H}_i^n$  the quiver of Figure 2.43,  $\dim A(R_{i-1}^\sigma \cup F_{\sigma(i)} \uparrow \mathcal{Q}; \mathcal{B}) = \# \text{Hom}(\mathcal{H}_i^n \uparrow \mathcal{Q}; \mathcal{B})$ .

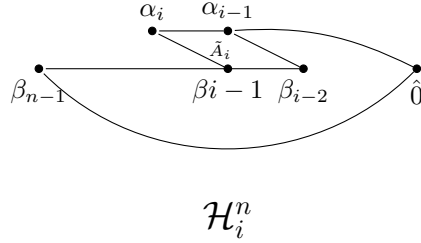


Figure 2.43

By Corollary A.5 in Appendix A.4.3,

$$\# \text{Hom}(\mathcal{H}_i^n \uparrow \mathcal{Q}; \mathcal{B}) \leq \frac{16i - 17}{2n - 1} \dim(\mathcal{B}r_n).$$

Then by Lemma 2.7 and Equation 2.8,

$$\begin{aligned} t_{\mathcal{B}r_n}(R) &\leq t_{\mathcal{B}r_{n-1}}(R_{\mathcal{B}r_{n-1}}) + 2 \sum_{i=2}^n \frac{16i - 17}{2n - 1} \\ &= t_{\mathcal{B}r_{n-1}}(R_{\mathcal{B}r_{n-1}}) + 2 \frac{(n-1)(8n-1)}{(2n-1)} \\ &\leq t_{\mathcal{B}r_1}(R_{\mathcal{B}r_1}) + 2 \sum_{i=2}^n \frac{(n-1)(8n-1)}{(2n-1)} \\ &\leq t_{\mathcal{B}r_1}(R_{\mathcal{B}r_1}) + (4n^2 - n + 3) \\ &= 4n^2 - n + 4. \end{aligned} \quad (2.9)$$

□

## 2.5 Extension to Semisimple Algebras

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### 2.5.4 The BMW Algebra

The BMW algebra is defined in a similar manner to the Brauer algebra (see Section 3.3.3 of Chapter 3). The Bratteli diagram for the BMW algebra is identical to that of the Brauer algebra. Further, a natural basis,  $\mathcal{B}_n = \{T_d \mid d \in Br_n\}$  for the BMW algebra is indexed by Brauer monoid elements. As such, Theorem 2.23 extends to the BMW algebra:

**Theorem 2.24.** *The Fourier transform of an element  $f$  in the BMW algebra  $\mathcal{BMW}_n$  may be computed at a complete set  $R$  of irreducible matrix representations of  $\mathcal{BMW}_n$  adapted to the chain of algebras*

$$\mathcal{BMW}_n > \mathcal{BMW}_{n-1} > \cdots > \mathcal{BMW}_0$$

*in at most  $(4n^2 - n + 4) \dim(\mathcal{BMW}_n) = (4n^2 - n + 4)(2n - 1)!!$  operations.*

### 2.5.5 General Result

We next give a general result (Theorem 2.25) to find efficient Fourier transforms on a finite dimensional semisimple algebra  $A$  with special subalgebra structure. This is a generalization of Theorem 2.18.

Suppose

$$A = A_n > A_{n-1} > \cdots > A_0,$$

is a chain of subalgebras of  $A$  with subsets  $B_i \subseteq A_i$  such that

- (1)  $B_1 = A_1$
- (2)  $A_i = B_2 \cdots B_i A_{i-1}$  for  $2 \leq i \leq n$ .



## 2.5 Extension to Semisimple Algebras

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(3)  $B_i$  commutes with  $A_{i-2}$ .

Let  $\mathcal{B}$  be the Bratteli diagram associated to the chain

$$A_n > A_{n-1} > \cdots > A_0,$$

and let  $\{\mathbb{C}[\mathcal{B}_i]\}$  be the associated chain of path algebras. Let

$$M_{\mathcal{B}}(A_i, A_j) := \max M_{\mathcal{B}}(\alpha_i, \alpha_j)$$

over all  $\alpha_i \in \mathcal{B}^i, \alpha_j \in \mathcal{B}^j$  and let  $|\hat{A}_i|$  denote the number of irreducible representations in a complete set of inequivalent irreducible representations of  $A_i$ .

**Theorem 2.25.** *Let  $A_i, B_i$  be as described above. Then the Fourier transform of an element  $f \in A$  may be computed at a complete set  $R$  of irreducible representations of  $A_n$  adapted to the chain*

$$A_n > A_{n-1} > \cdots > A_0$$

*in at most*

$$\dim(A_n) \sum_{k=1}^n \sum_{i=2}^k M_{\mathcal{B}}(A_{i-1}, A_{i-2})^2 |\hat{A}_{i-2}| \frac{\dim(A_i)}{\dim(A_{i-1})} \frac{\dim(A_{k-1})}{\dim(A_k)} \prod_{j=i}^k |B_j|$$

*operations.*

# Chapter 3

## Random Walks on the Birman-Murakami-Wenzl Algebra

### 3.1 Introduction

*Markov Chain Monte Carlo* (MCMC) methods are used widely to simulate and study stochastic systems. While the idea of using probabilistic simulation to understand the behavior of a system predates the existence of computers, it was not until after World War II that these methods began to take hold, as a focus on the development of nuclear weapons combined with access to a device that could carry out large-scale simulations enabled researchers to estimate the behavior of large collections of atomic particles through sampling (see [79] for a history of MCMC methods).

The main idea behind MCMC methods is that they provide algorithms for sampling from a probability distribution  $\pi$  by simulating some suitable random dynamics that converge to  $\pi$ . These dynamics are phrased in terms of *Markov chains*, stochastic processes that describe transitions between states. We describe Markov chains in

### 3.1 Introduction

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more detail in Section 3.2.1, and further background can be found in many standard probability texts (see e.g. [33, 57]).

One of the earliest and most widely used MCMC method is the *Metropolis algorithm*. First introduced by Metropolis, Rosenbluth, Rosenbluth, Teller, and Teller to analyze the *hard spheres model* [68], a model of nonoverlapping molecules, the Metropolis algorithm gives a method for sampling from a probability distribution  $\pi$  by modifying an existing Markov chain  $(K(x, y))_{x, y \in X}$  to produce a new Markov chain  $(M(x, y))_{x, y \in X}$  with stationary distribution  $\pi$ . This proves particularly useful for simulating configurations of particles with an associated energy (e.g., the influence that neighboring particles exert on each other). Metropolis et al. noted that sampling from the uniform distribution for such systems is highly unrealistic, as physical laws dictate that low-energy configurations are far likelier to occur in practice. The Metropolis algorithm instead provided a way to sample from the *Boltzmann distribution*, which weights configurations by their likelihood of being observed. Later applications of the Metropolis algorithm include the simulation of Ising models, initially developed to model a ferromagnet but (surprisingly) also of use in image analysis, and Gibbs sampling [10, 34]. See [58] for additional applications.

The Metropolis algorithm has the advantage of being straightforward to construct and implement, despite the often complicated nature of the system under study. However, in analyzing the rate of convergence to  $\pi$  (the *mixing time*) rigorous bounds are often dependent on the specific situation (see [74] for a review of the existing literature for spin systems alone). Further, these methods are most often examples of *random update Markov chains* in that the process involved is that of selecting a site or set of sites to update at random. In other words, if the process of updating a

### 3.1 Introduction

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particular site  $i$  can be characterized by the application of a Markov chain  $M_i$ , then a random scan has form  $M_{i_1} \dots M_{i_2} M_{i_1}$  for a sequence  $\{i_l\}$  chosen at random.

A more intuitively appealing and often more frequently used method in experimental work is that of a *systematic scan Markov chain*, a method to cycle through and update the sites in a deterministic order, i.e., a deterministic choice of the sequence  $\{i_l\}$ . For example, consider the toy problem presented in [25]: for  $0 < \theta \leq 1$  try to fill  $n$  empty spaces  $x_1, \dots, x_n$  with 0's and 1's so that the probability of a configuration with  $m$  1's is  $\theta^{n-m}(1 - \theta)^m$ . A random scan would pick spaces to fill at random, and take on the order of  $\frac{1}{4}n \log n$  steps to converge, while a systematic approach would work from left to right, filling each space  $x_i$  with a  $\theta$ -coin toss, and require order  $n$  steps. For examples of 'real' applications of systematic scans, see [10, 30, 52, 74].

Systematic scans often prove harder to analyze than random update chains due to the need to study the entire scan's effect and so understand all intermediary steps. Recently, results have begun to bring the upper bounds on mixing time for systematic scans closer to those on random scans [48, 73, 75], or in some cases have shown these bounds to be the same [25].

In [25], Diaconis and Ram consider the problem of comparing systematic scanning techniques with random scanning techniques in the context of generating elements of a finite Coxeter group  $W$ . They use the Metropolis algorithm to produce systematic scans for generating elements of  $W$ . To analyze these scans, they translate the chains into left multiplication operators in the *Iwahori-Hecke Algebra* corresponding to  $W$ , an algebra which can be thought of as a deformation of  $W$ . For a finite Coxeter group  $W$  generated by simple reflections  $s_1, \dots, s_n$ , the corresponding Iwahori-Hecke

### 3.1 Introduction

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algebra is the algebra with basis  $\{T_w \mid w \in W\}$  and multiplication

$$T_{s_i} T_w = \begin{cases} T_{s_i w} & l(s_i w) = l(w) + 1, \\ (q - 1)T_w + qT_{s_i w}, & l(s_i w) = l(w) - 1, \end{cases}$$

for  $q$  an indeterminate and  $l(w)$  the minimum number of reflections needed to express  $w$  [18].

Hecke algebras arise naturally in the extension of *Schur-Weyl duality* to general centralizer algebras. For  $V \cong \mathbb{C}^n$ , there exist natural actions of the groups  $G = Gl_n(\mathbb{C})$  and  $S = S_k$  on the  $k$ -fold tensor space  $V^{\otimes k}$ . In [85] Schur found that these actions are full centralizers of each other and so  $V^{\otimes k}$  decomposes as a direct sum of simple  $\mathbb{C}[G]$ -modules tensored with simple  $\mathbb{C}[S]$ -modules.

This extends to more general algebras through the Double Centralizer Theorem (see e.g. [42] for a statement). In particular, for  $G = Gl_n(\mathbb{F}_q)$  and  $B$  the subgroup of upper triangular matrices, let  $L(G/B)$  be the space of right  $B$ -invariant functions on  $G$ . Then for  $H$  the Hecke algebra of the symmetric group,  $L(G/B)$  decomposes as a direct sum of simple  $G$ -modules tensored with simple  $H$ -modules [18]. Even more generally, this statement holds for  $G$  a finite *Chevalley group*,  $B$  its *Borel subgroup*, and  $H$  the Hecke algebra corresponding to a finite Coxeter group  $W$ . A restatement shows that the Hecke algebra is in Schur-Weyl duality with the *quantum universal enveloping algebra* of the general linear group [50]. This duality has motivated study of the representation theory of the Hecke algebra, and more generalized versions of these algebras (see e.g. [1, 29, 41, 92, 78]).

More relevant for this thesis is an alternative definition of the Hecke algebra in terms of *braids*. The thesis [38] gives a thorough introduction to braids and their

### 3.1 Introduction

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relationship with the Hecke algebra. Let  $b_1, \dots, b_n \in \mathbb{R}$  with  $b_1 < \dots < b_n$ . An  $n$ -strand braid is a disjoint union of  $n$  smooth curves in  $\mathbb{R}^3$  connecting the points  $\{(b_1, 1, 0), (b_2, 1, 0), \dots, (b_n, 1, 0)\}$  with  $\{(b_1, 0, 0), (b_2, 0, 0), \dots, (b_n, 0, 0)\}$  so that they intersect each parallel plane  $y = t$  as  $t$  ranges between 0 and 1 only once. A braid can be represented by its 2-dimensional projection, its *braid diagram*, and connecting the top strands to the bottom strands of a braid diagram gives rise to a *link*. Two links are *isotopic* if they are related by a sequence of Reidemeister moves (defined in Section 3.3.3), and, in fact, every isotopic oriented link can be represented by the closure of a braid [38]. The braid group has a natural presentation in terms of generators  $T_{r_1}, \dots, T_{r_{n-1}}$  corresponding to certain braid diagrams. Remarkably, adding a quadratic relation to this presentation yields the Hecke algebra, and in this case the elements  $T_{r_i}$  are exactly those of Figure 3.4 in Section 3.3.3.

Under this definition of the Hecke algebra there is a natural generalization to the BMW algebra. By generalizing the notion of an  $n$ -strand braid to allow any two points in  $\{(b_1, 1, 0), (b_2, 1, 0), \dots, (b_n, 1, 0)\} \cup \{(b_1, 0, 0), (b_2, 0, 0), \dots, (b_n, 0, 0)\}$  to be connected, we have the definition of an  $n$ -*tangle*, which gives rise to the idea of a *tangle diagram* by considering its two-dimensional projection. We define tangle diagrams in detail in Section 3.3.3. As with the algebra associated to braid diagrams, an algebra is naturally associated to these tangle diagrams. Defined independently as the *Kauffman tangle algebra* by Murakami [70] and algebraically by Birman and Wenzl [7], it was shown in an unpublished paper by Wasserman [69] that these two notions are equivalent, giving rise to the single BMW algebra.

Further, just as the Hecke algebra is in Schur-Weyl duality with the quantum universal enveloping algebra of the general linear group, the BMW algebra is in

## 3.2 Preliminaries: Probability Theory

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Schur-Weyl duality with the quantum universal enveloping algebra of the orthogonal group [45]. This duality has motivated the study of the representation theory of the BMW algebra and its generalizations [16, 21, 22, 45, 56].

A third perspective would note that just as the Hecke algebra is a  $q$ -analogue of the symmetric group, the BMW algebra is a  $q$ -analogue of the Brauer algebra, as defined in 2.5.1.

As discussed above, in [25], Diaconis and Ram consider the problem of systematically generating elements of a finite Coxeter group  $W$ . In terms of the group algebra  $\mathbb{C}[W]$ , this problem is equivalent to generating elements of the basis  $W$  of  $\mathbb{C}[W]$ . We extend these ideas to the BMW algebra. The Metropolis algorithm in this context gives rise to systematic scanning strategies for generating basis elements via multiplication of generators. As the diagrams forming the BMW monoid basis of the BMW algebra are tangles, scanning strategies for generating BMW monoid elements have applications arising in physics: random generation of links and tangles has been of use in [28, 60, 96]. As in [25], our algorithm gives rise to a natural random walk, in this case on the *BMW* and *Brauer monoids*, defined in Sections 3.3.2 and 3.3.3. We translate the random walk into a multiplication operator in the BMW algebra and develop a trace form to study it. This enables the use of tools from representation theory and Fourier analysis to analyze the time to stationarity of such walks.

## 3.2 Preliminaries: Probability Theory

Background on Markov chains can be found in many standard probability texts (see eg [33]). The book of Levin, Peres, and Wilmer [57] gives a particularly thorough introduction to Markov chains, including classification of states and the Metropolis

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algorithm, while [25] gives a concise introduction to the probabilistic background needed for this chapter. We will follow the notation and outline of [25].

### 3.2.1 Markov Chains

A finite Markov chain with state space  $X$  is a process that moves among states in  $X$  such that the conditional probability of moving from state  $x$  to state  $y$  is independent of the preceding sequence of states. More formally:

**Definition 3.1.** A *Markov chain* on a finite set  $X$  is a matrix  $K = (K(x, y))_{x, y \in X}$  such that  $K(x, y) \in [0, 1]$  and for all  $x \in X$ ,

$$\sum_{y \in X} K(x, y) = 1.$$

We call  $X$  the *state space*.

Note that  $K(x, y)$  gives the probability of moving from  $x$  to  $y$  in one step, while  $K^m(x, y)$  gives the probability of moving from  $x$  to  $y$  in  $m$  steps.

**Definition 3.2.** A Markov chain  $K$  is *irreducible* if for each  $x, y \in X$ , there exists an integer  $m$  such that  $K^m(x, y) > 0$ . Let  $T(x)$  denote the minimum number of steps for the chain to return to  $x$ , i.e. the minimum  $t$  such that  $K^t(x, x) > 0$ . Then  $K$  is *aperiodic* if

$$\gcd_x(T(x)) = 1.$$

Note that if  $K$  is irreducible and aperiodic, there exists an integer  $r$  such that  $K^r(x, y) > 0$  for all  $x, y \in X$  [57, Proposition 1.7].



### 3.2 Preliminaries: Probability Theory

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**Definition 3.3.** A Markov chain is **reversible** if there exists a probability distribution  $\pi : X \rightarrow [0, 1]$  such that for all  $x, y \in X$ ,

$$\pi(x)K(x, y) = \pi(y)K(y, x).$$

We call  $\pi$  the **stationary distribution** of  $K$ .

An irreducible, aperiodic, reversible Markov chain  $K$  converges to its stationary distribution:

$$\lim_{l \rightarrow \infty} K^m(x, y) = \pi(y).$$

The Metropolis construction introduced in Section 3.2.2 produces a reversible Markov chain with a chosen stationary distribution. Our interest is in the time to stationarity of such chains.

**Definition 3.4.** Let  $K_x^m$  denote the probability distribution  $K^m(x, \cdot)$ . The **total variation distance** from  $K_x^m$  to  $\pi$  is

$$|K_x^m - \pi|_{TV} := \max_{A \subseteq X} \left| \sum_{y \in A} K^m(x, y) - \pi(y) \right|.$$

For  $L^2(\pi)$  the space of functions  $f : X \rightarrow \mathbb{R}$ , equipped with the inner product

$$\langle f, g \rangle_2 = \sum f(x)g(x)\pi(x),$$

the total variation distance is bounded by the  $L^2(\pi)$  norm:

## 3.2 Preliminaries: Probability Theory

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**Lemma 3.1.** [25, Lemma 2.3] For  $f \in L^2(\pi)$ ,

$$\|f\|_{TV}^2 \leq \frac{1}{4} \|f/\pi\|_2^2,$$

where  $f/\pi(x) = 0$  if  $\pi(x) = 0$ .

### 3.2.2 The Metropolis Algorithm

Given a symmetric Markov chain  $P$  and a probability distribution  $\pi$ , the Metropolis algorithm modifies  $P$  to produce a reversible Markov chain  $M$  with stationary distribution  $\pi$ :

$$M(x, y) = \begin{cases} P(x, y) & \text{if } x \neq y \text{ and } \pi(y) \geq \pi(x), \\ P(x, y) \frac{\pi(y)}{\pi(x)} & \text{if } x \neq y \text{ and } \pi(y) < \pi(x), \\ P(x, x) + \sum_{\pi(z) < \pi(x)} P(x, z) \left(1 - \frac{\pi(z)}{\pi(x)}\right) & \text{if } x = y. \end{cases}$$

As an example, in [25], Diaconis and Ram consider the Markov chain that arises from applying the Metropolis algorithm to the usual random walk on the symmetric group based on a generating set. We consider this chain in further detail in Section 3.4.

The Metropolis algorithm yields a reversible Markov chain with stationary distribution  $\pi$ ; however, irreducibility and aperiodicity is not guaranteed. In particular, the Markov chains we consider in Section 3.4 are aperiodic but not irreducible. To analyze these chains we consider their *closed communication classes*.

**Definition 3.5.** Let  $K$  be a Markov chain with state space  $X$ . For  $x, y \in X$ ,  $y$  is

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*accessible* from  $x$ , denoted  $x \rightarrow y$ , if  $x$  can reach  $y$  in finitely many steps. We say  $x$  *communicates with*  $y$ , denoted  $x \leftrightarrow y$ , if  $x \rightarrow y$  and  $y \rightarrow x$ . The equivalence classes under the relation  $\leftrightarrow$  are the **communication classes** of  $K$ . A communication class  $C$  is **closed** if for  $x \in C$  and for all  $y \notin C$ ,  $y$  is not accessible from  $x$ .

Note that studying the time to stationarity of a reversible, aperiodic Markov chain  $K$  reduces to studying the time to stationarity of the closed communication classes of  $K$ .

### 3.2.3 Systematic Scans

The Metropolis algorithm, in the context of generating elements of a group, provides systematic and random scanning strategies. For example, for each generator  $r_i = (i \ i + 1)$  of  $S_n$ , let

$$P_i(x, y) = \begin{cases} 1 & \text{if } y = r_i x, \\ 0 & \text{else.} \end{cases}$$

Then for  $l_S$  the length function on words in  $S_n$ , let  $\pi$  be the probability distribution

$$\pi(x) = \frac{\theta^{-l_S(x)}}{\sum_{w \in S_n} \theta^{-l_S(w)}}.$$

The Metropolis algorithm construction then produces Markov chains  $M_1, M_2, \dots, M_{n-1}$  corresponding to multiplication by the generators  $r_1, \dots, r_{n-1}$ . For an explicit description see Section 3.4.

A choice of infinite sequence  $\{i_l\}_{l=1}^{\infty}$  gives a scanning strategy:

$$\dots M_{i_l} M_{i_{l-1}} \dots M_{i_1}.$$

### 3.2 Preliminaries: Probability Theory

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For  $M_i$  reversible, each with stationary distribution  $\pi$ , the following systematic scans produce reversible Markov chains with stationary distribution  $\pi$  (see, eg [25]):

$$\begin{aligned} \frac{1}{n-1} \sum_{i=1}^{n-1} M_i & \quad (\text{random scan}), \\ M_1 M_2 \cdots M_{n-1} M_{n-1} \cdots M_2 M_1 & \quad (\text{short systematic scan}), \\ (M_1 \cdots M_{n-1} M_{n-1} \cdots M_1) \cdots (M_1 M_2 M_2 M_1) (M_1 M_1) & \quad (\text{long systematic scan}). \end{aligned}$$

While such scanning strategies may seem intuitive for sampling from  $\pi$ , they have proven difficult to analyze in many situations. In the context of generation of Coxeter group elements, Diaconis and Ram [25] show that convergence of the short systematic scan for the distribution  $\pi$  above, with  $l_S$  replaced by the length function on the Coxeter group coming from writing words as a product of simple reflections, occurs in the same number of steps as that of a random scan, i.e., choosing a random sequence of indices  $\{i_\ell\}_{\ell=1}^\infty$ . However, results for different scanning techniques or probability distributions remain open. In the context of graph colorings, Dyer et al. compare systematic scans with random scans for sampling proper  $q$ -colorings of paths for  $q \geq 4$ , in which a vertex is assigned a new color  $c$  only if none of its neighbors are colored by  $c$  [30]. However, results for more general graphs have resisted analysis.

Fishman [34] gives an overview of scanning strategies, while Diaconis and Saloff-Coste's survey [27] provides further applications of the Metropolis algorithm.

## 3.3 Preliminaries: Semisimple Algebras

### 3.3.1 Fourier Inversion and Plancherel

Recall from Chapter 2 that for  $A$  a semisimple algebra with basis  $\{a_i\}_{i \in I}$ ,  $f = \sum_{i \in I} f(a_i)a_i \in A$ , and  $\rho : A \rightarrow M_d(\mathbb{C})$  a matrix representation of  $A$ , the Fourier transform of  $f$  at  $\rho$  is the matrix sum:

$$\hat{f}(\rho) = \sum_{i \in I} f(a_i)\rho(a_i).$$

Random walks on groups are frequently studied using Fourier analysis. For example:

**Theorem 3.1** (Diaconis, [24]). *For  $G$  a group,  $Q$  a probability distribution on  $G$ , and  $U$  the uniform distribution on  $G$ ,*

$$|Q - U|_{TV}^2 \leq \frac{1}{4} \sum_{\rho} d_{\rho} \operatorname{Tr}(\hat{Q}(\rho)\hat{Q}(\rho)^*),$$

where  $*$  denotes conjugate transpose and the sum is over all nontrivial irreducible representations  $\rho$  of  $G$ .

Diaconis and Ram find similar such bounds in their analysis of the Metropolis algorithm applied to the symmetric group [25]. Both Theorem 3.1 and the results of [25] require the notion of Fourier inversion and Plancherel's Theorem (see Theorem 3.2 below).

**Definition 3.6.** *For  $A$  a semisimple algebra, a **trace function** on  $A$  is a  $\mathbb{C}$ -linear*

### 3.3 Preliminaries: Semisimple Algebras

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function  $\tau : A \rightarrow \mathbb{C}$  such that for all  $a, b \in A$ ,

$$\tau(ab) = \tau(ba).$$

Note that by linearity the usual trace function on  $M_d(\mathbb{C})$  is in fact unique up to multiplication by a constant. Hence, for any trace  $\tau$  on  $A$  and set  $R$  of inequivalent irreducible representations of  $A$ , there exist constants  $t_\rho \in \mathbb{C}$  such that:

$$\tau = \sum_{\rho \in R} t_\rho T_\rho,$$

where for  $a \in A$ ,  $T_\rho(a) = \text{Tr}(\rho(a))$ .

A trace function  $\tau$  gives rise to a symmetric bilinear form  $\langle \cdot, \cdot \rangle_\tau : A \times A \rightarrow \mathbb{C}$  by letting

$$\langle a, b \rangle_\tau = \tau(ab),$$

for  $a, b \in A$ .

**Theorem 3.2** (Diaconis, Ram [25]). *Let  $A$  be a semisimple algebra with basis  $\{a_i\}$  and  $\tau$  a nondegenerate trace on  $A$ . Let  $\{a_i^*\}$  be the dual basis to  $\{a_i\}$  with respect to the trace form  $\langle \cdot, \cdot \rangle_\tau$ . Then for  $f, f_1, f_2$  complex-valued functions on  $A$ ,*

$$f(a_i) = \sum_{\rho} t_\rho \text{Tr}(\hat{f}(\rho)\rho(a_i^*)), \quad (3.1)$$

$$\langle f_1, f_2 \rangle_\tau = \sum_{\rho} t_\rho \text{Tr}(\hat{f}_1(\rho)\hat{f}_2(\rho)). \quad (3.2)$$

### 3.3 Preliminaries: Semisimple Algebras

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#### 3.3.2 The Brauer Algebra

Recall from Chapter 2, Section 2.5.1, that an element in the symmetric group  $S_n$  can be realized as a diagram on  $2n$  points, consisting of two rows of  $n$  points each, with each point in the top row connected by an edge to one in the bottom row. Multiplication in the symmetric group is realized as concatenation of diagrams. The simple transpositions  $\{r_i = (i \ i + 1) \mid 1 \leq i \leq n - 1\}$  form a generating set for the symmetric group, allowing us to define a natural length function  $l_S : S_n \rightarrow \mathbb{N}$  on the symmetric group: for  $w \in S_n$ , let  $l_S(w)$  be the minimum number of generators needed to express  $w$ .

Elements of the Brauer monoid,  $Br_n$ , are realized as generalized symmetric group diagrams: consider diagrams on 2 rows of  $n$  points each, with edges connecting pairs of points regardless of row and each point part of exactly one edge. Multiplication may again be realized as concatenation of diagrams. Note that in some cases, this introduces a closed loop. A parameter  $q$  is used to keep track of the number of closed loops: for two diagrams  $x, y \in Br_n$ , let  $c$  denote the number of closed loops in the multiplication  $xy$  and let  $z$  be the diagram of this product with the closed loops removed. Then  $xy = q^c z$ .

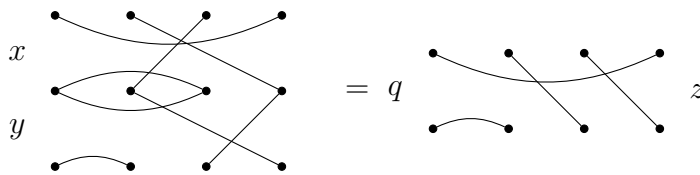


Figure 3.1:  $xy = q^1 z$

Two Brauer diagrams  $d_1$  and  $d_2$  are equivalent if they differ only in the number of closed loops, i.e., if when  $q = 1$ ,  $d_1 = d_2$ . For example, for  $x, y, z$  as in Figure

### 3.3 Preliminaries: Semisimple Algebras

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3.1, the product  $xy$  is equivalent to  $z$ . The Brauer monoid,  $Br_n$  consists of the set of equivalence classes of such diagrams and is generated by  $\{r_i, e_i \mid 1 \leq i \leq n - 1\}$  (see Figure 2.40). The symmetric group  $S_n$ , generated by the transpositions  $\{r_i \mid 1 \leq i \leq n - 1\}$ , sits inside of  $Br_n$ . As in the symmetric group, a natural length function  $l_{Br} : Br_n \rightarrow \mathbb{N}$  exists for the Brauer monoid: for  $w \in Br_n$ , define  $l_{Br}(w)$  to be the minimum number of generators ( $\{r_i, e_i\}$ ) needed to express  $w$ .

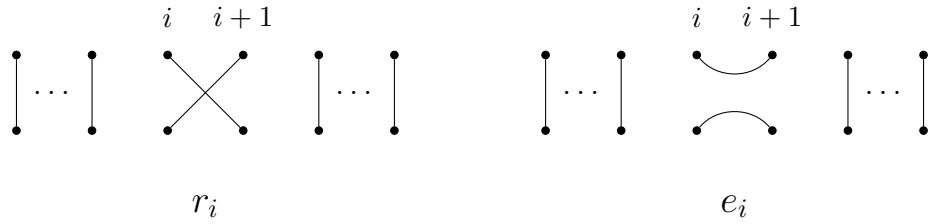


Figure 3.2:  $r_i, e_i \in Br_n$

The Brauer algebra,  $\mathcal{B}r_n$ , is the  $\mathbb{C}(q)$ -algebra with basis  $Br_n$ . Equivalently (see, for example [5]),  $\mathcal{B}r_n$  has algebraic presentation given by generating set

$$\{r_i, e_i \mid 1 \leq i \leq n - 1\},$$

along with relations:

- (1)  $r_i^2 = 1$ ,
- (2)  $r_i r_j = r_j r_i$ ,  $r_i e_j = e_j r_i$ ,  $e_i e_j = e_j e_i$ ,  $|i - j| > 1$
- (3)  $e_i^2 = q e_i$ ,
- (4)  $e_i r_i = r_i e_i = e_i$ ,
- (5)  $r_i r_{i+1} r_i = r_{i+1} r_i r_{i+1}$ ,
- (6)  $e_i e_{i+1} e_i = e_i$ ,  $e_{i+1} e_i e_{i+1} = e_{i+1}$ ,
- (7)  $r_i e_{i+1} e_i = r_{i+1} e_i$ ,
- (8)  $e_{i+1} e_i r_{i+1} = e_{i+1} r_i$ .



### 3.3 Preliminaries: Semisimple Algebras

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#### 3.3.3 The BMW Algebra

Elements of the BMW monoid are realized as generalized Brauer diagrams called **tangles**. A tangle is again a diagram on 2 rows of  $n$  points each with edges connecting pairs of points regardless of row with each point part of exactly one edge. At each crossing of two edges we distinguish which edge passes above and which passes below (see Figure 3.3). As in the Brauer monoid, multiplication is concatenation of diagrams and two tangles are equivalent if they differ only in their number of closed loops.

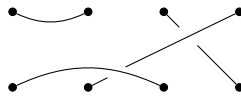


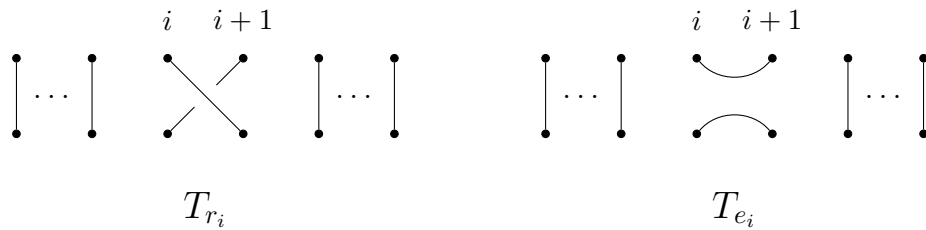
Figure 3.3: A Tangle

Further, two tangles are equivalent if they are related by a sequence of Reidemeister moves of type II and III:

$$R_{II}: \begin{array}{c} \text{---} \\ \cup \\ \text{---} \end{array} \longleftrightarrow \begin{array}{c} \text{---} \\ \cap \\ \text{---} \end{array}$$

$$R_{III}: \begin{array}{c} \diagup \\ \diagdown \\ \diagdown \\ \diagup \end{array} \longleftrightarrow \begin{array}{c} \diagdown \\ \diagup \\ \diagup \\ \diagdown \end{array}$$

Consider the elements  $T_{r_i}$ ,  $T_{r_i}^{-1}$ , and  $T_{e_i}$  of Figure 3.4.



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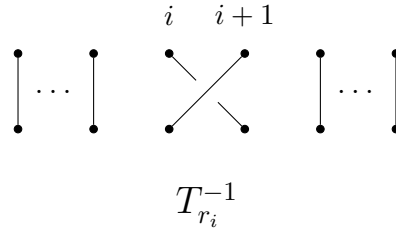
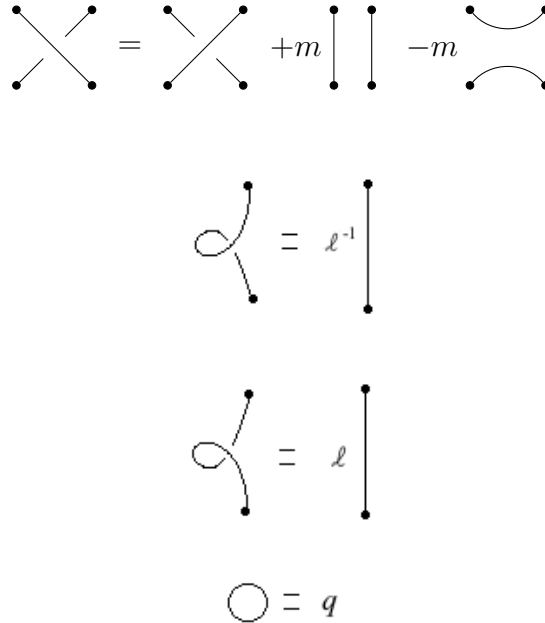


Figure 3.4:  $T_{r_i}, T_{e_i}, T_{r_i}^{-1}$

A tangle is **reachable** if it can be obtained as a finite product of elements from  $\{T_{r_i}, T_{e_i}, T_{r_i}^{-1} \mid 1 \leq i \leq n - 1\}$ . The BMW monoid,  $BMW_n$ , consists of the set of equivalence classes of reachable tangles on  $2n$  points.

For  $m, \ell, q$  parameters satisfying  $q = (\ell - \ell^{-1})(m - m^{-1})^{-1} + 1$ , the BMW algebra,  $\mathcal{BMW}_n$ , is the  $\mathbb{C}(q, m, \ell)$ -algebra with basis  $BMW_n$  and the following untangling relations:



Equivalently (see, for example [40]), the BMW algebra has algebraic presentation

### 3.3 Preliminaries: Semisimple Algebras

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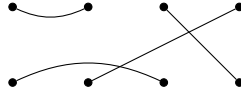
given by generating set  $\{T_{e_i}, T_{r_i}, T_{r_i}^{-1} \mid 1 \leq i \leq n - 1\}$ , along with relations:

$$\begin{aligned}
 (A1) \quad T_{e_i}^2 &= qT_{e_i}, & (A2) \quad T_{e_i}T_{r_i} &= T_{r_i}T_{e_i} = \ell^{-1}T_{e_i} \\
 (A3) \quad T_{e_i}T_{e_{i\pm 1}}T_{e_i} &= T_{e_i}, & (A4) \quad T_{e_i}T_{r_{i\pm 1}}T_{e_i} &= \ell T_{e_i}, \\
 (A5) \quad T_{r_i}T_{r_{i+1}}T_{r_i} &= T_{r_{i+1}}T_{r_i}T_{r_{i+1}}, & (A6) \quad T_{r_i}T_{r_{i\pm 1}}T_{e_i} &= T_{e_{i\pm 1}}T_{e_i} = T_{e_{i\pm 1}}T_{r_i}T_{r_{i\pm 1}}, \\
 (A7) \quad T_{r_i} &= T_{r_i}^{-1} + mT_{id} - mT_{e_i} & (A8) \quad T_{r_i}T_{r_j} &= T_{r_j}T_{r_i}, \quad T_{r_i}T_{e_j} = T_{e_j}T_{r_i}, \\
 & & & T_{e_i}T_{e_j} = T_{e_j}T_{e_i}, \quad |i - j| > 1,
 \end{aligned}$$

for  $q = (\ell - \ell^{-1})(m - m^{-1})^{-1} + 1$  and  $T_{id}$  the identity element.

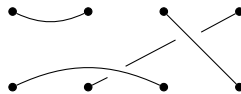
We map an element of the BMW monoid to the Brauer monoid by ‘forgetting’ the crossing information. Denote this map by  $\phi : BMW_n \longrightarrow Br_n$ .

**Example 3.1.** For  $x$  the tangle of Figure 3.3,  $\phi(x)$  has form:



Further, each element of the Brauer monoid lifts to the BMW algebra: for  $d \in Br_n$ , the **BMW image** of  $d$ ,  $T_d$ , realizes  $d$  as a tangle by redrawing the edges of  $d$  from right to left across the first  $\lceil \frac{n}{2} \rceil$  points in the bottom row, lifting the pen when crossing an edge that has already been drawn, then moving to the top row of points and drawing all horizontal edges in this row, again lifting the pen when crossing an edge that has already been drawn, and finally drawing the remaining edges of  $d$  from right to left across the bottom row of points.

**Example 3.2.** For  $d$  the Brauer diagram of Example 3.1, the BMW image of  $d$  is:



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Note that when  $\ell = 1$  the BMW image of  $d$  has a simple algebraic description: for  $d \in Br_n$  and  $s_i \in \{r_i, e_i\}$ , define a **reduced expression** for  $d$  to be an expression  $d = s_{i_1} s_{i_2} \cdots s_{i_k}$  for  $d$  that has each occurrence of  $e_{i \pm 1} r_i$  replaced by  $e_{i \pm 1} e_i r_{i \pm 1}$  (whenever this occurrence does not respect the method of drawing above) and has minimal length over all such expressions for  $d$ .

Then the **BMW image** of  $d$ ,  $T_d$ , realizes  $d$  as a tangle by setting

$$T_d := T_{s_{i_1}} T_{s_{i_2}} \cdots T_{s_{i_k}},$$

for  $d = s_{i_1} s_{i_2} \cdots s_{i_k}$  a reduced expression that contains the maximum number of  $e$  terms over all reduced expressions of  $d$ . This allows us to define a natural length function  $L : \mathcal{T}_n \rightarrow \mathbb{N}$  as

$$L(T_d) = l'_{Br}(d) + \max_s(e(s))$$

over all reduced expressions  $s$  of  $d$ , where  $e(s)$  gives the number of  $e$  terms in  $s$  and  $l'_{Br}(d)$  gives the minimum number of generators needed for a reduced expression of  $d$ .

**Example 3.3.** Let  $d = r_3 e_2 e_1 r_3$ , so

$$T_d = T_{r_3} T_{e_2} T_{e_1} T_{r_3},$$

and  $L(T_d) = l'_{Br}(d) + 2 = 6$ . An alternate reduced expression for  $d$  with the same number of  $e$  terms is  $d = r_3 e_2 r_3 e_1$ , which has the same BMW image by BMW relation (A8):

$$T_{r_3} T_{e_2} T_{r_3} T_{e_1} = T_{r_3} T_{e_2} T_{e_1} T_{r_3}.$$

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An additional reduced expression for  $d$  with the same number of  $e$  terms is  $d = r_2e_3r_2e_1$ , but we must replace  $e_3r_2$  using Brauer relation (8) as follows:

$$d = r_2e_3r_2e_1 = r_2e_3e_2r_3e_1,$$

but then using Brauer relation (7),

$$d = r_3e_2r_3e_1,$$

as before.

Theorem 3.12 of [45] shows that the BMW images of the Brauer monoid elements form a basis for  $\mathcal{BMW}_n$ . We denote this basis by  $\mathcal{T}_n := \{T_d \mid d \in Br_n\}$ .

Define functions  $\mathcal{T}_{r_i}, \mathcal{T}_{e_i} : \mathcal{T}_n \rightarrow \mathcal{BMW}_n$  as follows: for  $x \in \mathcal{T}_n$ ,

$$\mathcal{T}_{r_i}(x) = T_{r_i}x$$

$$\mathcal{T}_{e_i}(x) = \begin{cases} T_{e_i}x & \text{if } T_{r_i}x \notin \mathcal{T}_n, \\ T_{r_i}x & \text{else.} \end{cases}$$

We consider generation of elements in  $\mathcal{T}_n$  via random walks on  $\mathcal{T}_n$  and translate these walks into left multiplication by  $\mathcal{T}_{r_i}$  and  $\mathcal{T}_{e_i}$ .

## 3.4 The Random Walk

In the finite group case, left multiplication by a generating set gives rise to a random walk on the group. For example, for each generator  $r_i$  of  $S_n$ , consider the probability distribution

$$P_i(x, y) = \begin{cases} 1 & \text{if } y = r_i x, \\ 0 & \text{else.} \end{cases}$$

Then setting  $\pi$  to be the probability distribution

$$\pi(x) = \frac{\theta^{-l_S(x)}}{\sum_{w \in S_n} \theta^{-l_S(w)}},$$

the Metropolis algorithm construction yields

$$M_i(x, y) = \begin{cases} 1 & \text{if } y = r_i x \text{ and } l_S(y) > l_S(x), \\ \theta & \text{if } y = r_i x \text{ and } l_S(y) < l_S(x), \\ 1 - \theta & \text{if } y = x, \end{cases}$$

where  $l_S$  represents the length function on words in  $S_n$ .

Interpreted as a random walk on  $S_n$ , the chain  $M_i$  describes the process:

From  $x \in S_n$  multiply by  $r_i$ . If the length increases, move to  $r_i x$ . If the length decreases, flip a  $\theta$ -coin and if heads move to  $r_i x$ . If tails, remain at  $x$ . (\*)

We generalize this walk to the basis of tangles  $\mathcal{T}_n$  of the BMW algebra. For the remainder of this chapter, let  $\ell = 1$  in the BMW algebra. For  $T_d \in \mathcal{T}_n$  and  $L$  the

### 3.4 The Random Walk

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length function on  $\mathcal{T}_n$  defined in Section 3.2, let

$$\pi(T_d) = \frac{\theta^{-L(T_d)}}{\sum_{w \in \mathcal{T}_n} \theta^{-L(w)}},$$

and for  $y \in \mathcal{T}_n$  let

$$P'_i(T_d, y) = \begin{cases} 1 & y = T_{r_i d} \\ 0 & \text{else.} \end{cases}$$

Then the Metropolis algorithm applied to  $P'$  with probability distribution  $\pi$  yields:

$$K_i(T_d, y) = \begin{cases} 1 & \text{if } y = T_{r_i d} \text{ and } L(y) \geq L(T_d), \\ \theta & \text{if } y = T_{r_i d} \text{ and } L(y) < L(T_d), \\ 1 - \theta & \text{if } y = T_d. \end{cases}$$

**Remark 3.1.** Recall that  $S_n \subseteq Br_n$  and note that for  $d \in S_n$ ,  $L(T_d) = l'_{Br}(d) = l_{Br}(d) = l_S(d)$ , where  $L, l'_{Br}, l_{Br}$ , and  $l_S$  denote the length functions on  $\mathcal{T}_n, Br_n$ , and  $S_n$  as defined in Section 3.2. Thus, the submatrix of  $K_i$  corresponding to states  $\{T_d \mid d \in S_n\}$  is exactly the chain  $M_i$  of [25].

Interpreted as a random walk on  $\mathcal{T}_n$ , the chain  $K_i$  describes the process:

From  $T_d \in \mathcal{T}_n$  consider  $d \in Br_n$  and multiply by  $r_i$ . If the length of the BMW image,  $T_{r_i d}$ , increases, move to it. If the length decreases, flip a  $\theta$ -coin and if heads move to  $T_{r_i d}$ . If tails, remain at  $T_d$ . (†)

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In light of Proposition 3.1 below, Walk (†) can be rephrased as:

From  $T_d \in \mathcal{T}_n$  multiply by  $T_{r_i}$ . If the result is an element of  $\mathcal{T}_n$ ,  
 move to  $T_{r_i}T_d$ . Else, flip a  $\theta$ -coin and if heads move to  $T_{r_i}^{-1}T_d$ . (††)  
 If tails, remain at  $T_d$ .

Rephrasing in this way yields the equivalent corresponding Markov chain:

$$K_i(x, y) = \begin{cases} 1 & \text{if } y = T_{r_i}x, \\ \theta & \text{if } y = T_{r_i}^{-1}x, \\ 1 - \theta & \text{if } y = x. \end{cases}$$

An example of  $K_i$  can be found in Appendix B.1.

**Proposition 3.1.** *For  $T_d \in \mathcal{T}_n$ ,*

$$L(T_{r_i}d) < L(T_d) \iff T_{r_i}T_d \notin \mathcal{T}_n.$$

*Further, if  $T_{r_i}T_d \notin \mathcal{T}_n$ , then  $T_{r_i}^{-1}T_d = T_{r_i}d \in \mathcal{T}_n$ , while if  $T_{r_i}T_d \in \mathcal{T}_n$ , then  $T_{r_i}T_d = T_{r_i}d$ .*

*Proof.* First write  $T_d = T_{s_{i_1}}T_{s_{i_2}} \cdots T_{s_{i_k}}$ , for  $s_{i_1} \cdots s_{i_k}$  a reduced expression for  $d$  with maximum number of  $e$  terms. Then

$$T_{r_i}T_d = T_{r_i}T_{s_{i_1}}T_{s_{i_2}} \cdots T_{s_{i_k}},$$

which, after possibly rearranging using BMW relations (A5) and (A8), has one of the following forms, for some  $1 \leq j \leq k - 2$ :

$$(a) \quad T_{r_i}T_d = T_{s_{i_1}}T_{s_{i_2}} \cdots T_{s_{i_j}}T_{r_i}T_{s_i}T_{s_{i_{j+1}}}T_{s_{i_{j+3}}} \cdots T_{s_{i_k}}$$



### 3.4 The Random Walk

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$$(b) \quad T_{r_i} T_d = T_{s_{i_1}} T_{s_{i_2}} \cdots T_{s_{i_j}} T_{r_i} T_{s_{i_{\pm 1}}} T_{s_i} T_{s_{i_{j+3}}} \cdots T_{s_{i_k}},$$

$$(c) \quad T_{r_i} T_d = T_{r_i} T_{s_{i_1}} T_{s_{i_2}} \cdots T_{s_{i_k}}, |i_1 - i| > 1.$$

The proof then reduces to checking each possible case. For example, if in case (1) with

$$T_{r_i} T_d = T_{s_{i_1}} T_{s_{i_2}} \cdots T_{s_{i_j}} T_{r_i} T_{r_i} T_{e_{i+1}} T_{s_{i_{j+3}}} \cdots T_{s_{i_k}},$$

then since  $T_{r_i} T_{r_i} \notin \mathcal{T}_n$ , we see that  $T_{r_i} T_d \notin \mathcal{T}_n$ . Further, since BMW relations (A5) and (A8) hold in the Brauer monoid,

$$r_i d = r_i s_{i_1} \cdots s_{i_k} = s_{i_1} s_{i_2} \cdots s_{i_j} r_i r_i e_{i+1} s_{i_{j+3}} \cdots s_{i_k},$$

which by Brauer relation (1) gives

$$r_i d = s_{i_1} \cdots s_{i_j} e_{i+1} s_{i_{j+3}} \cdots s_{i_k},$$

and so  $l'_{Br}(r_i d) = l'_{Br}(d) - 1 = k - 1$ .

Now let  $d' = r_i d$  and recall for  $w \in Br_n$ ,  $e(w)$  gives the maximum number of  $e$  terms over all reduced expressions for  $w$ . If  $e(d') > e(d)$ , then there is an expression for  $d'$  with length  $k - 1$  and at least one more additional  $e$  term than in  $s_{i_1} \cdots s_{i_j} e_{i+1} s_{i_{j+3}} \cdots s_{i_k}$ . However, by Brauer relations (1)-(8), the only way to increase the number of  $e$  terms without changing the length of an expression is through the relation

$$e_{i+1} r_i r_{i+2} e_{i+1} = e_{i+1} e_i e_{i+2} e_{i+1}.$$

This would require either  $s_{i_{j-2}} s_{i_{j-1}} s_{i_j} = e_{i+1} r_i r_{i+2}$  or  $s_{i_{j+3}} s_{i_{j+4}} s_{i_{j+5}} = r_i r_{i+2} e_{i+1}$ , which contradicts the fact that  $s_{i_1} \cdots s_{i_k}$  is a reduced expression for  $d$  with maximal

### 3.4 The Random Walk

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number of  $e$  terms. Hence,  $e(d') \leq e(d)$ , and so

$$L(T_{r_i d}) < L(d).$$

For the second statement, note that

$$T_{r_i}^{-1} T_d = T_{s_{i_1}} T_{s_{i_2}} \cdots T_{s_{i_j}} T_{r_i}^{-1} T_{r_i} T_{e_{i \pm 1}} T_{s_{i_{j+3}}} \cdots T_{s_{i_k}} = T_{r_i d}.$$

The remaining cases are checked similarly. □

In [25], Diaconis and Ram translate the Markov chain  $M_i$  into left multiplication by Hecke algebra elements on a suitably chosen basis. Similarly, we translate the chains  $K_i$  arising from our Metropolis construction into left multiplication by BMW algebra elements on the basis  $\mathcal{T}_n$ . Recall the functions  $\mathcal{T}_{r_i}, \mathcal{T}_{e_i} : \mathcal{T}_n \rightarrow \mathcal{T}_n$  defined at the end of Section 3.2.

**Theorem 3.3.** *Let  $Br_n$  be the Brauer monoid and  $\mathcal{BMW}_n$  the BMW algebra with basis  $\mathcal{T}_n = \{T_d \mid d \in Br_n\}$  as defined in Section 3.2. Let  $m = (1 - \theta)(\theta)^{-1}$  and  $\ell = 1$ . Then the chain  $K_i$  is the same as the matrix of left multiplication by*

$$\theta \mathcal{T}_{r_i} + (1 - \theta) \mathcal{T}_{e_i},$$

*with respect to the basis  $\mathcal{T}_n$  of  $\mathcal{BMW}_n$ .*

*Proof.* Let  $x \in \mathcal{T}_n$  and consider left multiplication by  $T_{r_i}$ . If  $T_{r_i} x \in \mathcal{T}_n$ ,

$$(\theta \mathcal{T}_{r_i} + (1 - \theta) \mathcal{T}_{e_i})x = \theta T_{r_i} x + (1 - \theta) T_{r_i} x = T_{r_i} x.$$

### 3.5 Analysis of the Walk

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If  $T_{r_i}x \notin \mathcal{T}_n$  then by BMW Relation (A7),

$$T_{r_i}x = (T_{r_i}^{-1} + mT_{id} - ml^{-1}T_{e_i})x = T_{r_i}^{-1}x + (1 - \theta)(\theta)^{-1}x - (1 - \theta)(\theta)^{-1}T_{e_i}x.$$

By Proposition 3.1,  $T_{r_i}^{-1}x \in \mathcal{T}_n$ , and

$$\begin{aligned} (\theta \mathcal{T}_{r_i} + (1 - \theta)\mathcal{T}_{e_i})x &= \theta T_{r_i}^{-1}x + (1 - \theta)x - (1 - \theta)T_{e_i}x + (1 - \theta)T_{e_i}x. \\ &= \theta T_{r_i}^{-1}x + (1 - \theta)x, \end{aligned}$$

□

The chains  $K_i$  provide scanning strategies for generating elements of the BMW and Brauer monoids:

$$\begin{aligned} \frac{1}{n-1} \sum_{i=1}^{n-1} K_i & \quad \text{(random scan),} \\ K_1 K_2 \cdots K_{n-1} K_{n-1} \cdots K_2 K_1 & \quad \text{(short systematic scan),} \\ (K_1 \cdots K_{n-1} K_{n-1} \cdots K_1) \cdots (K_1 K_2 K_2 K_1) (K_1 K_1) & \quad \text{(long systematic scan).} \end{aligned}$$

Theorem 3.3, coupled with the results of Section 3.5, allows for the study of the rate of convergence of the systematic scans arising from the chains  $K_i$  in terms of the representation theory and Fourier analysis of the BMW algebra.

### 3.5 Analysis of the Walk

We first discuss the stationary distribution of the random scan, short systematic scan, and long systematic scan described in Section 3.4. For the remainder of the section, we use  $K$  to refer to the matrix corresponding to any of these scans, as the results

### 3.5 Analysis of the Walk

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hold true for all three scans.

Note that  $K$  is Markov and recall that a communication class  $C$  of a Markov chain is closed if for each state  $x \in C$  and for all  $y \notin C$ ,  $y$  is not accessible from  $x$ . We determine the closed communication classes of  $K$  and analyze the stationary distribution of each closed communication class.

The communication classes of  $K$  depend on the number of *lower horizontal edges* in the tangle diagrams for the states.

**Definition 3.7.** *Let  $x \in \mathcal{T}_n$ . An edge of  $x$  is **lower (respectively, upper) horizontal** if it connects two points that are both on the bottom (respectively, top) row of the diagram of  $x$ .*

**Example 3.4.** In Figure 3.5,  $E_3$  is the only lower horizontal edge and  $E_1$  is the only upper horizontal edge.

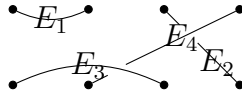


Figure 3.5

$K$  is determined by left multiplication by  $T_{r_i}$  and  $T_{r_i}^{-1}$  only, and left multiplication by these elements cannot affect existing lower horizontal edges in a tangle diagram, nor can it create new ones. Thus, the communication classes of  $K$  consist of those states with the same lower horizontal edges. For  $x_i \in \mathcal{T}_n$ , let  $\mathbf{X}_i$  denote its communication class:

$$\mathbf{X}_i := \{y \in \mathcal{T}_n \mid \text{lower horizontal edges of } y \text{ the same as those of } x_i\}.$$

### 3.5 Analysis of the Walk

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For each communication class  $\mathbf{X}_i$ , let  $[K]_i$  denote the corresponding submatrix of  $K$ . Note that the communication class for  $x_0 := T_{id}$  consists of the states  $\{T_d \mid d \in S_n\}$ . Then by Remark 3.1,  $[K_i]_0 = M_i$ , and so  $[K]_0$  can be analyzed using the methods of [25]. For the remainder of the chapter we consider the remaining communication classes of  $K$ :

$$\{\mathbf{X}_i \mid i \neq 0\}.$$

To analyze the time to stationarity of the submatrix  $[K]_1$  corresponding to a communication class  $\mathbf{X}_i$ , we pair  $\mathbf{X}_1$  with another communication class,  $\mathbf{X}_2$ , whose states have the same number of lower horizontal edges as those in  $\mathbf{X}_1$ . For  $w \in \mathbf{X}_1$ , let  $w^*$  denote the element of  $\mathbf{X}_2$  with the same upper configuration as  $w$ . Define the matrix:

$$\tilde{K}(x, y) = \begin{cases} K(x, y) & \text{if } x, y \in \mathbf{X}_1 \text{ or if } x = w^*, y = z^* \text{ for } w, z \in \mathbf{X}_1, \\ 1 & \text{if } x = y, x \notin \mathbf{X}_1 \cup \mathbf{X}_2, \\ 0 & \text{else.} \end{cases}$$

Note with Example 3.5 that often  $K(x, y) = K(x^*, y^*)$ .

**Example 3.5.** For  $\mathcal{T}_3$ , let  $x_1 = T_{e_1}$  and  $x_2 = T_{e_1}T_{r_2}$ , so  $\mathbf{X}_1 = \{T_{e_1}, T_{r_2}T_{e_1}, T_{e_2}T_{e_1}\}$ ,  $\mathbf{X}_2 = \{T_{e_1}T_{r_2}, T_{r_2}T_{e_1}T_{r_2}, T_{e_2}T_{e_1}T_{r_2}\}$ ,  $T_{e_1}^* = T_{e_1}T_{r_2}$ ,  $T_{r_2}T_{e_1}^* = T_{r_2}T_{e_1}T_{r_2}$ , and  $T_{e_2}T_{e_1}^* = T_{e_2}T_{e_1}T_{r_2}$ .

### 3.5 Analysis of the Walk

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Then for  $K = \frac{1}{2}(K_1 + K_2)$ ,

$$2[K]_1 = \begin{array}{c} T_{e_1} \quad T_{r_2}T_{e_1} \quad T_{e_2}T_{e_1} \\ \left( \begin{array}{ccc} 1 & \theta & 0 \\ 1 & 1 - \theta & \theta \\ 0 & 1 & 2 - \theta \end{array} \right), \end{array}$$

$$2[K]_2 = \begin{array}{c} T_{e_1}T_{r_2} \quad T_{r_2}T_{e_1}T_{r_2} \quad T_{e_2}T_{e_1}T_{r_2} \\ \left( \begin{array}{ccc} 1 & \theta & 0 \\ 1 & 1 - \theta & \theta \\ 0 & 1 & 2 - \theta \end{array} \right), \end{array}$$

and  $\tilde{K} = [K]_1 \oplus [K]_2 \oplus I_9$ , for  $I_9$  the  $9 \times 9$  identity matrix.

Let  $\pi$  denote the stationary distribution of  $\tilde{K}$ . For  $T_x \in \mathcal{T}_n$  let  $[\pi]_x$  denote the column of  $\pi$  corresponding to  $T_x$ :

$$[\pi]_x := \begin{pmatrix} \pi_x(x_1) \\ \pi_x(x_2) \\ \vdots \\ \pi_x(x_k) \end{pmatrix} = \sum_{T_y \in \mathcal{T}_n} \pi_x(y) T_y.$$

Note that  $\pi_x(y)$  represents the probability of ending at state  $T_y$  after starting at  $T_x$ .

Recall from Section 3.2.1 that to analyze the time to stationarity of  $\tilde{K}$  we consider:

$$|\tilde{K}_x^m - \pi|_{TV}. \tag{3.3}$$

### 3.5 Analysis of the Walk

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By Lemma 3.1, the total variation norm is bounded by the  $L^2(\pi)$ -norm, so we translate the  $L^2(\pi)$ -norm into a trace norm on  $\mathcal{BMW}_n$  in order to use the representation theory of the BMW algebra to analyze (3.3).

**Definition 3.8.** Define  $\tilde{\tau} : \mathcal{T}_n \rightarrow \mathbb{C}$  as follows: for  $x \in \mathcal{T}_n$ ,

$$\tilde{\tau}(x) = \begin{cases} 1 & \text{if } x = T_{id}, \\ 0 & \text{else,} \end{cases}$$

The **restricted trace**,  $\tau : \mathcal{BMW}_n \rightarrow \mathbb{C}$ , is the linear extension of  $\tilde{\tau}$  to  $\mathcal{BMW}_n$ .

Recall from Section 3.2 that  $\mathcal{T}_n = \{T_d \mid d \in Br_n\}$ .

**Proposition 3.2.** For  $T_x, T_y \in \mathcal{T}_n$ ,  $\tau(T_x T_y) = \begin{cases} 1 & \text{if } x = y^{-1}, \\ 0 & \text{else.} \end{cases}$

**Corollary 3.1.**  $\tau$  is a trace function on  $\mathcal{BMW}_n$ .

*Proof of Proposition 3.2.* Let  $T_x, T_y \in \mathcal{T}_n$ . By definition,  $T_x = T_{s_{i_1}} \cdots T_{s_{i_k}}$ , where for each  $1 \leq j \leq k$ ,  $T_{s_{i_j}} \in \{T_{r_i}, T_{e_i} \mid 1 \leq i \leq n-1\}$ . First note that the LHS of any of the BMW relations (A1)-(A8) has a  $T_e$  factor if and only if the RHS also has at least one  $T_e$  factor. In particular, if for some  $1 \leq j \leq n-1$ ,  $T_{e_j}$  is a factor of  $T_x$ , then each term of the product  $T_x T_y$  has at least one  $T_e$  factor. Hence, no term in the product  $T_x T_y$  is the identity, so  $\tau(T_x T_y) = 0$ . Similarly,  $\tau(T_y T_x) = 0$ .

Thus, if  $T_{s_{i_l}} = T_{e_j}$  for some  $1 \leq l \leq k$ ,  $1 \leq j \leq n-1$ , then  $\tau(T_x T_y) = \tau(T_y T_x) = 0$  for all  $T_y \in \mathcal{T}_n$ . Equivalently,  $\tau(T_x T_y) = 0$  for all  $x \in Br_n - S_n$ ,  $y \in Br_n$ .

Next note that  $T_x \in \mathcal{T}_n$  has an inverse iff  $x \in S_n \subset Br_n$ , because the diagram for any  $y \in Br_n - S_n$  has at least one lower horizontal edge, and left multiplication

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cannot affect this edge. Hence we need show for  $x, y \in S_n$  that

$$\tau(T_x T_y) = \begin{cases} 1 & \text{if } x = y^{-1}, \\ 0 & \text{else.} \end{cases}$$

As in Section 3.2 let  $l_S : S_n \rightarrow \mathbb{N}$  denote the length function of  $S_n$ . We proceed by induction on the length  $l_S(x) + l_S(y)$ .

If  $l_S(x) + l_S(y) = 0$  then  $l_S(x) = l_S(y) = 0$ , so  $x = y = id$ . Clearly  $\tau(T_x T_y) = 1$  and  $x = y^{-1}$ .

Now suppose the result holds true for all  $w_1, w_2 \in S_n$  with  $l_S(w_1) + l_S(w_2) \leq n - 1$ . Let  $x, y \in S_n$  with  $r_{i_1} \cdots r_{i_k}$  a reduced expression for  $x$ ,  $r_{j_1} \cdots r_{j_l}$  a reduced expression for  $y$ , and  $k + l = n$ . Then  $T_x = T_{r_{i_1}} \cdots T_{r_{i_k}}$ ,  $T_y = T_{r_{j_1}} \cdots T_{r_{j_l}}$ , and

$$T_x T_y = T_{r_{i_1}} \cdots T_{r_{i_k}} T_{r_{j_1}} \cdots T_{r_{j_l}}.$$

If  $T_x T_y \in \mathcal{T}_n$  then no matter the rearrangement using BMW Relations (A5) and (A8),  $T_{r_i}^2$  must not occur for any  $i$ . Then  $r_i^2$  does not occur in  $xy$ , so  $l_S(xy) = n$ . In particular,  $x \neq y^{-1}$  and  $T_{id} \neq T_x T_y = T_{xy} \in \mathcal{T}_n$ , so  $\tau(T_x T_y) = 0$ .

Suppose now that  $T_x T_y \notin \mathcal{T}_n$ . Then, after applying Relations (A5) and (A8) and possibly reindexing, the product  $T_x T_y$  has form:

$$T_x T_y = (T_{r_{i_1}} \cdots T_{r_{i_k}})(T_{r_{i_k}} T_{r_{j_2}} \cdots T_{r_{j_l}}) = T_{x'} T_{r_{i_k}} T_{r_{i_k}} T_{y'},$$



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for  $x' = r_{i_1} \cdots r_{i_{k-1}}$  and  $y' = r_{j_2} \cdots r_{j_l}$ . Then by BMW relation (A7),

$$\begin{aligned} T_x T_y &= T_{x'}(T_{id} - mT_{r_{i_k}} + ml^{-1}T_{e_{i_k}})T_{y'} \\ &= T_{x'}T_{y'} - mT_{x'}T_{r_{i_k}}T_{y'} + ml^{-1}T_{x'}T_{e_{i_k}}T_{y'}. \end{aligned} \tag{3.4}$$

Since  $T_{x'}T_{e_{i_k}}T_{y'} \in Br_n - S_n$ ,  $\tau(T_{x'}T_{e_{i_k}}T_{y'}) = 0$ .

Now consider  $\tau(T_{x'}T_{r_{i_k}}T_{y'}) = \tau(T_x T_{y'})$ . Since  $l_S(x) + l_S(y') = n - 1$ , by the induction hypothesis,

$$\tau(T_x T_{y'}) = 1 \iff y' = x^{-1},$$

and is zero otherwise.

But if  $y' = x^{-1}$ , then  $l_S(y') = l_S(x) = k$  and  $y' = r_{i_k} \cdots r_{i_1}$ . Since  $l_S(y') = l_S(y) - 1$ , this implies that

$$l_S(y) = k + 1.$$

However,  $y = r_{i_k}y' = r_{i_k}r_{i_k} \cdots r_{i_1}$ , and so

$$l_S(y) = k - 1.$$

With this contradiction, we have  $\tau(T_x T_{y'}) = 0$ .

Finally, consider  $\tau(T_{x'}T_{y'})$ . Since  $l_S(x') + l_S(y') = n - 2$ , then by the induction

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hypothesis,

$$\begin{aligned}
 \tau(T_{x'}T_{y'}) &= 1 \\
 \iff x' &= (y')^{-1} \\
 \iff y' &= r_{i_{k-1}} \cdots r_{i_1} \\
 \iff y &= r_{i_k} r_{i_{k-1}} \cdots r_{i_1} \\
 \iff y &= x^{-1},
 \end{aligned} \tag{3.5}$$

and  $\tau(T_{x'}T_{y'}) = 0$  otherwise.

Thus,

$$\tau(T_x T_y) = \begin{cases} 1 & \text{if } x = y^{-1}, \\ 0 & \text{else.} \end{cases}$$

□

Thus  $\tau$  is a trace function on  $\mathcal{BMW}_n$  with  $\tau(T_x T_y) = 0$  for all  $x, y \in Br_n - S_n$ . In fact,  $\tau$  extends the natural trace function of the Hecke algebra,  $\mathcal{H}_n$ , viewing  $\mathcal{H}_n$  as a subalgebra of  $\mathcal{BMW}_n$ . We analyze  $\tilde{K}$  using the bilinear form arising from  $\tau$ , which reformulates questions about the time to stationarity in terms of the representation theory of the underlying Hecke subalgebra of  $\mathcal{BMW}_n$ .

Recall that  $\tilde{K}$  is comprised of two submatrices corresponding to two communication classes  $\mathbf{X}_1$  and  $\mathbf{X}_2$  of  $K$ . Recall further that these communication classes consist of elements of  $\mathcal{T}_n$  with at least one lower horizontal edge. In particular, for each  $T_x \in \mathbf{X}_1 \cup \mathbf{X}_2$ ,  $\tau(T_x T_y) = 0$  for all  $T_y \in \mathcal{T}_n$ . In order for  $\tau$  to be nontrivial on the communication classes of  $\tilde{K}$ , we rewrite  $\tilde{K}$  with respect to a shifted basis for  $\mathcal{BMW}_n$ .

**Definition 3.9.** *Let  $\pi$  denote the stationary distribution of  $\tilde{K}$ . To each  $T_x \in \mathbf{X}_1$ ,*

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associate a distinct  $s_x \in S_n$  such that  $s_x \neq s_y^{-1}$  for all  $T_y \in \mathbf{X}_1$  and  $s_x$  has order greater than 2. For  $T_x \in \mathbf{X}_1$  and for  $T_y \notin \mathbf{X}_1 \cup \mathbf{X}_2$ , let

$$\begin{aligned}\hat{T}_x &:= T_x + \pi_x(x)^{-\frac{1}{2}}T_{s_x}, \\ \hat{T}_{x^*} &:= T_{x^*} + \pi_x(x)^{-\frac{1}{2}}T_{s_x^{-1}} = T_{x^*} + \pi_{x^*}(x^*)^{-\frac{1}{2}}T_{s_x^{-1}}, \\ \hat{T}_y &:= T_y.\end{aligned}\tag{3.6}$$

We note in Appendix B.2 that for all communication classes corresponding to elements with at least two lower horizontal edges,  $S_n$  contains enough distinct elements to make the associations of Definition 3.9. For the remainder of this section let  $\mathbf{X}_1$  be a communication class whose elements contain at least two lower horizontal edges. (The remaining communication classes are analyzed separately through techniques discussed in Appendix B.2.)

**Lemma 3.2.**  $\hat{\mathcal{T}}_n := \{\hat{T}_x \mid x \in Br_n\}$  is a basis for  $\mathcal{BMW}_n$ .

*Proof.* This follows from the fact that  $\mathcal{T}_n$  is a basis for  $\mathcal{BMW}_n$ . □

Now let  $\langle \cdot, \cdot \rangle_{\mathcal{BMW}}$  denote the trace form of Section 3.3.1

**Lemma 3.3.** For  $T_x \in \mathbf{X}_1 \cup \mathbf{X}_2$  and  $y \in Br_n$ ,

$$\langle \hat{T}_x, \hat{T}_y \rangle_{\mathcal{BMW}} = \begin{cases} \pi_x(x)^{-1} & \text{if } y = x^*, \\ \pi_x(x)^{-\frac{1}{2}} & \text{if } y = (s_x)^{-1}, \\ 0 & \text{else,} \end{cases}$$

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while

$$\langle \hat{T}_{s_x}, \hat{T}_y \rangle_{\mathcal{BMW}} = \begin{cases} \pi_x(x)^{-\frac{1}{2}} & \text{if } y = x^*, \\ 1 & \text{if } y = (s_x)^{-1}, \\ 0 & \text{else.} \end{cases}$$

*Proof.* Follows from Proposition 3.2 and the linearity of trace.  $\square$

Let  $\hat{K}$  be the matrix of  $\tilde{K}$  with respect to  $\hat{\mathcal{T}}_n$ . Note that time to stationarity is invariant under change of basis.

**Lemma 3.4.** For  $\hat{T}_x \in \hat{\mathcal{T}}_n$ ,

(a) If  $\hat{T}_x \in \hat{\mathbf{X}}_1$ ,

$$\hat{K}(\hat{T}_x, \hat{T}_y) = \begin{cases} K(T_x, T_y) & \text{if } \hat{T}_y \in \hat{\mathbf{X}}_1 \cup \hat{\mathbf{X}}_2, \\ (1 - K(T_x, T_x))\pi_x(x)^{-\frac{1}{2}} & \text{if } y = s_x, \\ -K(T_x, T_y)\pi_y(y)^{-\frac{1}{2}} & \text{if } y = s_z, z \neq x, z \in \mathbf{X}_1 \\ 0 & \text{else,} \end{cases}$$

and similarly for  $\hat{T}_x \in \hat{\mathbf{X}}_2$ .

(b) If  $\hat{T}_x \notin \hat{\mathbf{X}}_1 \cup \hat{\mathbf{X}}_2$ ,

$$\hat{K}(\hat{T}_x, \hat{T}_y) = \begin{cases} 1 & \text{if } y = x, \\ 0 & \text{else.} \end{cases}$$

*Proof.* Follows from definition of  $\hat{K}$  and  $\hat{\mathcal{T}}_n$ .  $\square$

Recall that  $\tilde{K}$  is the direct sum  $K_1 \oplus K_2 \oplus I_m$ , where  $K_1$  corresponds to the elements in  $\mathbf{X}_1$ ,  $K_2$  to those in  $\mathbf{X}_2$ , and  $m = |\mathcal{T}_n| - 2|\mathbf{X}_1|$ . Lemma 3.4 shows that  $\hat{K}$  is also a direct sum  $\hat{K}_1 \oplus \hat{K}_2 \oplus I_{\hat{m}}$ , where for  $i = 1, 2$ , the matrix  $\hat{K}_i$  corresponds to

### 3.5 Analysis of the Walk

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$\{\hat{T}_x, \hat{T}_{s_x} \mid T_x \in \mathbf{X}_i\}$ , and  $\hat{m} = |\mathcal{T}_n| - 4|\mathbf{X}_1|$ . Further, for all  $T_x, T_y \in \mathbf{X}_1 \cup \mathbf{X}_2$ ,

$$\hat{K}(\hat{T}_x, \hat{T}_y) = \tilde{K}(T_x, T_y) = K(T_x, T_y).$$

Recall that  $\pi$  denotes the stationary distribution of  $\tilde{K}$  and  $[\pi]_x$  the stationary distribution of  $\tilde{K}$  corresponding to column  $T_x$ :

$$[\pi]_x = \sum_{T_y \in \mathcal{T}_n} \pi_x(y) T_y.$$

Note that for  $T_x \notin \mathbf{X}_1 \cup \mathbf{X}_2$ ,  $\pi_x(y) = 0$  for all  $T_y \neq T_x$ , and so

$$[\pi]_x = T_x.$$

Further, for  $T_x \in \mathbf{X}_1$ ,  $\pi_x(y) = 0$  for all  $T_y \notin \mathbf{X}_1$ , and so

$$[\pi]_x = \sum_{T_y \in \mathbf{X}_1} \pi_x(y) T_y,$$

and similarly for  $\mathbf{X}_2$ . Finally, note that  $\pi_x(y) = \pi_{x'}(y)$  for all  $x, x', y \in \mathbf{X}_1$ , and similarly for  $\mathbf{X}_2$ .

Let  $\hat{\pi}$  denote the stationary distribution of  $\hat{K}$  and  $[\hat{\pi}]_x$  the stationary distribution of  $\hat{K}$  corresponding to column  $\hat{T}_x$ . Let  $\hat{\mathbf{X}}_i = \{\hat{T}_x \mid T_x \in \mathbf{X}_i\}$ .

**Lemma 3.5.** *Let  $\pi$  be the stationary distribution of  $\tilde{K}$  and  $\hat{\pi}$  the stationary distribution of  $\hat{K}$ .*

### 3.5 Analysis of the Walk

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(a) For  $\hat{T}_x \in \hat{\mathbf{X}}_1$ ,

$$[\hat{\pi}]_x = \sum_{\hat{T}_y \in \hat{\mathbf{X}}_1} (\pi_x(y)\hat{T}_y - \pi_x(y)^{\frac{1}{2}}\hat{T}_{s_y}) + \pi_x(x)^{-\frac{1}{2}}\hat{T}_{s_x},$$

and similarly for  $\hat{T}_x \in \hat{\mathbf{X}}_2$ .

(b) If  $\hat{T}_y \notin \hat{\mathbf{X}}_1 \cup \hat{\mathbf{X}}_2$ ,  $[\hat{\pi}]_y = \hat{T}_y$

*Proof.* Part (2) follows from Lemma 3.4. To prove (1), note that for  $\hat{T}_x \in \hat{\mathbf{X}}_1$

$$\begin{aligned} [\hat{\pi}]_x &= [\pi]_x + \pi_x(x)^{-\frac{1}{2}}[\pi]_{s_x} \\ &= \sum_{T_y \in \mathbf{X}_1} \pi_x(y)T_y + \pi_x(x)^{-\frac{1}{2}}T_{s_x} \\ &= \sum_{T_y \in \mathbf{X}_1} \left( \pi_x(y)(T_y + \pi_y(y)^{-\frac{1}{2}}T_{s_y}) - \pi_x(y)\pi_y(y)^{-\frac{1}{2}}T_{s_y} \right) + \pi_x(x)^{-\frac{1}{2}}T_{s_x}. \\ &= \sum_{\hat{T}_y \in \hat{\mathbf{X}}_1} \left( \pi_x(y)\hat{T}_y - \pi_x(y)^{\frac{1}{2}}\hat{T}_{s_y} \right) + \pi_x(x)^{-\frac{1}{2}}\hat{T}_{s_x}. \end{aligned}$$

□

For  $T_x \in \mathbf{X}_1 \cup \mathbf{X}_2$ , Lemma 3.5 shows that  $\pi_x(y) = \hat{\pi}_x(y)$  for all  $T_y \in \mathbf{X}_1 \cup \mathbf{X}_2$ . However,  $\pi_x(s_y) = 0$ , but  $\hat{\pi}_x(s_x) = \pi_x(x)^{-\frac{1}{2}} - \pi_x(x)^{\frac{1}{2}}$  and  $\hat{\pi}_x(s_y) = -\pi_x(y)^{\frac{1}{2}}$ , for  $y \neq x$ .

Let  $\hat{\mathcal{S}} := \{\hat{T}_{s_x} \mid s_x \in \mathcal{S}\}$ . Consider the  $L^2(\hat{\pi})$ -norm restricted to the subspace generated by  $\hat{\mathbf{X}}_1 \cup \hat{\mathbf{X}}_2 \cup \hat{\mathcal{S}}$ :

**Definition 3.10.** For functions  $f, g : \hat{\mathbf{X}}_1 \cup \hat{\mathbf{X}}_2 \cup \hat{\mathcal{S}} \rightarrow \mathbb{C}$ , let

$$\langle f, g \rangle_2 := \sum_{\hat{T}_x \in \hat{\mathbf{X}}_1 \cup \hat{\mathbf{X}}_2 \cup \hat{\mathcal{S}}} f(x)g(x)\hat{\pi}_x(x).$$

### 3.5 Analysis of the Walk

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For  $m \in \mathbb{N}$ , let  $[\hat{K}^m]_x$  denote the column of  $\hat{K}^m$  corresponding to  $\hat{T}_x$ :

$$[\hat{K}^n]_x = \sum_{\hat{T}_{x_i} \in \hat{\mathbf{X}}_1 \cup \hat{\mathbf{X}}_2 \cup \hat{\mathcal{S}}} K_x^n(x_i) \hat{T}_{x_i}.$$

To find the time to stationarity of  $\hat{K}$  (and hence  $\tilde{K}$  and  $K$ ), we analyze  $\|[\hat{K}^m]_x - [\hat{\pi}]_x\|_2$ .

**Lemma 3.6.** *Let  $f, g$  be complex-valued functions on  $\hat{\mathbf{X}}_1 \cup \hat{\mathbf{X}}_2 \cup \hat{\mathcal{S}}$  and let  $*$  :  $\hat{\mathbf{X}}_1 \cup \hat{\mathbf{X}}_2 \cup \hat{\mathcal{S}} \rightarrow \hat{\mathbf{X}}_1 \cup \hat{\mathbf{X}}_2 \cup \hat{\mathcal{S}}$  be the involution that sends  $\hat{T}_x$  to  $\hat{T}_{x^*}$  for  $\hat{T}_x \in \hat{\mathbf{X}}_1 \cup \hat{\mathbf{X}}_2$ , and  $\hat{T}_{s_x}$  to  $\hat{T}_{s_x^{-1}}$  for  $\hat{T}_{s_x} \in \hat{\mathcal{S}}$ . Then for  $\langle \cdot, \cdot \rangle_{BMW}$  the bilinear form arising from the trace  $\tau$ ,*

$$\langle f/\hat{\pi}, g/\hat{\pi} \rangle_2 = \langle f, g^* \rangle_{BMW} - \sum_{\hat{T}_{s_x}} \frac{f(x)g(s_x^{-1}) + f(s_x^{-1})g(x^*)}{\hat{\pi}_x(x)^{\frac{1}{2}}}.$$

*Proof.* By Lemma 3.3,

$$\begin{aligned} \langle f/\hat{\pi}, g/\hat{\pi} \rangle_2 &= \sum \frac{f(x)g(x)}{\hat{\pi}_x(x)} \\ &= \sum_{\hat{T}_x \in \hat{\mathbf{X}}_1 \cup \hat{\mathbf{X}}_2 \cup \hat{\mathcal{S}}} f(x)g(x) \langle \hat{T}_x, (\hat{T}_x)^* \rangle_{BMW} \\ &= \sum_{\hat{T}_x, \hat{T}_y \in \hat{\mathbf{X}}_1 \cup \hat{\mathbf{X}}_2 \cup \hat{\mathcal{S}}} f(x)g(y) \langle \hat{T}_x, (\hat{T}_y)^* \rangle_{BMW} - \sum_{\hat{T}_{s_x} \in \hat{\mathcal{S}}} \frac{f(x)g(s_x^{-1})}{\hat{\pi}_x(x)^{\frac{1}{2}}} \\ &\quad - \sum_{\hat{T}_{s_x} \in \hat{\mathcal{S}}} \frac{f(s_x^{-1})g(x^*)}{\hat{\pi}_x(x)^{\frac{1}{2}}} \\ &= \langle f, g^* \rangle_{BMW} - \sum_{\hat{T}_{s_x}} \frac{f(x)g(s_x^{-1}) + f(s_x^{-1})g(x^*)}{\hat{\pi}_x(x)^{\frac{1}{2}}}. \end{aligned}$$

□

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**Corollary 3.2.** For  $\hat{T}_x \in \hat{\mathbf{X}}_1$ ,

$$\langle [\hat{K}^m/\hat{\pi}]_x, [\hat{K}^m/\hat{\pi}]_x \rangle_2 = \langle [\hat{K}^m]_x, [\hat{K}^m]_x \rangle_{BMW} - \sum_{\hat{T}_y \in \mathbf{X}_2} \frac{\hat{K}_x^m(s_y^{-1})\hat{K}_x^m(y^*)}{\hat{\pi}_y(y)^{\frac{1}{2}}}.$$

*Proof.* Follows from Lemma 3.6 and the fact that  $([\hat{K}^m]_x)^* = [\hat{K}^m]_x$ ,  $K_x^m(s_y^{-1}) = 0$  if  $y \in \mathbf{X}_1$ , and  $K_x^m(y) = 0$  if  $y \in \mathbf{X}_2$ .  $\square$

$K$  is Markov, so there exists  $N \in \mathbb{N}$  with  $\hat{K}_x^m \geq 0$  for all  $m > N$ . Further,  $\hat{\pi}$  is the stationary distribution of a Markov chain, so  $\hat{\pi}_y(y) \geq 0$ . We have thus shown:

**Theorem 3.4.** For  $\hat{T}_x \in \hat{\mathbf{X}}_1 \cup \hat{\mathbf{X}}_2$ ,

$$\langle [\hat{K}^n/\hat{\pi}]_x, [\hat{K}^n/\hat{\pi}]_x \rangle_2 \leq \langle [\hat{K}^n]_x, [\hat{K}^n]_x \rangle_{BMW}.$$

Hence,

$$\|[\hat{K}^n/\hat{\pi}]_x - 1\|_2^2 \leq \|[\hat{K}^n]_x - 1\|_{BMW}^2.$$

Thus, studying the time to stationarity of  $\hat{K}$  can be achieved by studying

$$\|[\hat{K}^n]_x - 1\|_{BMW}^2.$$



# Chapter 4

## Future Directions

### 4.1 Further Directions

Our work exploits the interplay between algebra, combinatorics, and probability theory, and we look forward to extending this research program by continuing to explore such links. In particular, we wish to consider the following ideas:

- **Extension of the results of the SOV approach to other chains of subalgebras for the Weyl groups, the general linear groups of finite fields, and the Brauer algebra.**

The efficiency of the SOV approach of Chapter 2 in part depends on the choice of subgroup (subalgebra) chain. While we provide efficiency counts that improve upon previous bounds [63, 65], we do not consider maximally dense subgroup (subalgebra) chains. We wish to investigate the change in efficiency counts upon considering alternate chains. For example, for the Brauer algebra we wish to consider the algebra chain:

## 4.1 Further Directions

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$$\mathcal{B}r_n > \mathcal{B}r_2 \times \mathcal{B}r_{n-2} > \mathcal{B}r_{n-2} > \cdots > \mathcal{B}r_0.$$

- **Extension of the results of the SOV approach to other semisimple algebras, and applications of such transforms.**

While our algorithm in Chapter 2 allows for the computation of generalized Fourier transforms by factorization through a subgroup (subalgebra) chain, the efficiency of the algorithm depends on the choice of this subgroup (subalgebra) chain as well as knowledge of the irreducible representations and associated Bratteli diagram. There are many particular semisimple algebras to explore in this manner. In [43], Grood constructs the irreducible representations of the rook partition algebra and the associated Bratteli diagram, while Halverson et al. [35, 44] determine analogues of the seminormal representations of  $S_n$  for the rook-Brauer algebra and planar-rook algebra. Motivated by these results, we plan to extend our algorithm to these algebras by developing an understanding of the centralizers and irreducible representations and to explore the resulting combinatorial path-counting questions to provide efficient counts.

- **Further analysis of the random walk on the BMW algebra and extension to other semisimple algebras.**

In Chapter 3 we develop a systematic scanning strategy for generating elements of the BMW monoid basis of the BMW algebra, then view the associated matrix as a left multiplication operator in the BMW algebra. We further develop a trace norm on the BMW algebra and prove that bounds on the time to stationarity of the walk can be determined by bounding this trace norm. Using

## 4.1 Further Directions

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similar techniques in the Coxeter group setting, Diaconis and Ram [25] provide explicit bounds determined by the dimensions and traces of the irreducible representations of the Hecke algebra. We plan to exploit the representation theory of the BMW algebra to provide explicit bounds in terms of the traces of irreducible representations of the BMW algebra. We wish also to extend these ideas to other diagram algebras such as the rook partition algebra, planar-rook algebra, and rook-Brauer algebra.

- **Extension of generalized Fourier transforms to buildings and application to random walks on  $p$ -adic groups.**

We would like to extend our work on Fourier transforms to the setting of Bruhat-Tits buildings. Motivated by an interest in random walks on buildings, which begins with Sawyer's treatment of the binary tree  $Gl_2(\mathbb{Q}_2)$  [84] and continues with the work of Cartwright et al. [12] and Parkinson [72], we wish to determine the appropriate generalization of the Fourier transform in the buildings context in order to apply Fourier theory to questions of random walks on buildings and  $p$ -adic groups.

# Appendix A

## Appendix

### A.1 Gel'fand-Tsetlin Bases and Adapted Representations

**Definition A.1.** Given a Bratteli diagram  $\mathcal{B}$ , a **representation of  $\mathcal{B}$**  assigns to each  $\alpha \in V(\mathcal{B})$  a linear space  $V_\alpha$  and to each edge  $e \in E(\mathcal{B})$  a linear map  $T_e : V_{e^-} \rightarrow V_{e^+}$ . Given two representations  $(\{V_\alpha\}_{\alpha \in V(\mathcal{B})}, \{T_e\}_{e \in E(\mathcal{B})})$ ,  $(\{W_\alpha\}_{\alpha \in V(\mathcal{B})}, \{S_e\}_{e \in E(\mathcal{B})})$ , of  $\mathcal{B}$ , a **morphism**  $m : V \rightarrow W$  is a family of linear maps  $\{m_\alpha : V_\alpha \rightarrow W_\alpha\}_{\alpha \in V(\mathcal{B})}$  such that the diagram

$$\begin{array}{ccc} V_{e^-} & \xrightarrow{T_e} & V_{e^+} \\ m_{e^-} \downarrow & & \downarrow m_{e^+} \\ W_{e^-} & \xrightarrow{S_e} & W_{e^+} \end{array}$$

commutes for all  $e \in E(\mathcal{B})$ .

A **model representation of  $\mathcal{B}$**  is a representation of  $\mathcal{B}$  such that for all  $e \in$

## A.1 Gel'fand-Tsetlin Bases and Adapted Representations

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$E(\mathcal{B})$ ,  $T_e$  is injective, and for all nonroot vertices  $\alpha \in V(\mathcal{B})$ ,

$$V_\alpha = \bigoplus_{e^+=\alpha} \text{Im}(T_e).$$

**Definition A.2.** Given a chain of subalgebras  $\{A_i\}$ , a **model representation for**  $\{A_i\}$  is a model representation of the corresponding Bratteli diagram  $\mathcal{B}$  such that:

- (i) for each  $\alpha \in V(\mathcal{B})$  at level  $i$ ,  $V_\alpha$  is the representation space of the representation of  $A_i$  corresponding to  $\alpha$ ,
- (ii) for each  $e \in E(\mathcal{B})$  from level  $i$  to level  $i + 1$ ,  $T_e$  is  $A_i$ -equivariant, i.e., for  $\rho_{e^-}$  the representation of  $A_i$  corresponding to  $e^-$  and  $\rho_{e^+}$  the representation of  $A_{i+1}$  corresponding to  $e^+$ , the diagram

$$\begin{array}{ccc} V_{e^-} & \xrightarrow{T_e} & V_{e^+} \\ \rho_{e^-} \downarrow & & \downarrow \rho_{e^+} \\ V_{e^-} & \xrightarrow{T_e} & V_{e^+} \end{array}$$

commutes for all  $e \in E(\mathcal{B})$ .

A model representation of an algebra chain has a natural basis of paths:

**Lemma A.1.** Given a model representation of a chain of subalgebras with Bratteli diagram  $\mathcal{B}$ , the collection of distinct paths in  $\mathcal{B}$  from the root to a vertex  $\alpha \in V(\mathcal{B})$  corresponds to a choice of basis for  $V_\alpha$ .

*Proof.* Consider the space  $V_\beta$  corresponding to the root  $\beta$ , i.e.,  $V_\beta$  is the representation space of  $\mathbb{C}[\mathcal{B}_0] = \mathbb{C}$ , so  $V_\beta$  is one-dimensional. Now let  $\alpha$  be a vertex in  $\mathcal{B}$  with

## A.1 Gel'fand-Tsetlin Bases and Adapted Representations

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$gr(\alpha) = 1$ . Then  $V_\alpha = \bigoplus_{e^+=\alpha} Im(T_e) \cong \bigoplus_{e^+=\alpha} V_\beta$  since  $T_e$  is injective. Induction gives the result.  $\square$

Thus, given an irreducible representation  $\rho$  of  $A_i$  corresponding to a vertex  $\alpha$  in the Bratteli diagram associated to the chain of subalgebras  $\{A_i\}$ , there is a basis for the representation space of  $\rho$  indexed by the paths from the root to  $\alpha$ . We call such a basis a Gel'fand-Tsetlin basis, as in Definition 2.10. Given a model representation of a Bratteli diagram  $\mathcal{B}$ , Lemma A.1 gives a system of Gel'fand-Tsetlin bases for  $\mathcal{B}$ . In fact, these are equivalent concepts:

**Theorem A.1.** *A system of Gel'fand-Tsetlin bases for a Bratteli diagram  $\mathcal{B}$  uniquely determines a model representation for  $\mathcal{B}$ . Conversely, a model representation uniquely determines a system of Gel'fand-Tsetlin bases for  $\mathcal{B}$ .*

*Proof.* Both require a choice of vector space for each vertex of  $\mathcal{B}$ , so we need only show how a choice of basis corresponds with linear maps  $T_e$  for each edge  $e$ .

Given a system of bases and an edge  $e \in \mathcal{B}$ , a basis vector for  $V_{e^-}$  corresponds to a path  $P$  from the root to  $e^-$ . Then  $e \circ P$  is a path from the root to  $e^+$ , which corresponds to a basis vector for  $V_{e^+}$ . In other words, we have an injection of  $V_{e^-}$  into  $V_{e^+}$ .

Conversely, given a model representation and a vertex  $\alpha$ , every path from the root to  $\alpha$  corresponds to an injection of  $\mathbb{C}$  into  $V_\alpha$ . Since

$$V_\alpha = \bigoplus_{e^+=\alpha} Im(T_e),$$

the union of the distinct images of  $1 \in \mathbb{C}$  over the collection of injections gives a basis for  $V_\alpha$  as we vary over all possible paths from the root to  $\alpha$ .  $\square$

## A.2 Restricted Product Lemmas

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**Remark A.1.** The equivalent definitions of Gel'fand-Tsetlin bases and model representations coincide with the notion of a complete set of adapted representations for chains of groups. Clearly a model representation for the group algebra chain gives rise to an adapted basis since the isomorphism

$$V_\alpha = \bigoplus_{e^+=\alpha} \text{Im}(T_e) \cong \bigoplus_{\substack{e \in E(\mathcal{B}), \\ e^+=\alpha}} V_{e^-}$$

describes how the representation space  $V_\alpha$  decomposes at level  $i - 1$ . Equivariance of the maps  $T_e$  then gives the decomposition of the representation  $\rho_\alpha$ .

Further, a complete set  $R$  of inequivalent irreducible representations adapted to a chain of subgroups  $G_n > G_{n-1} > \cdots > G_0$  determines the paths in the Bratteli diagram  $\mathcal{B}$  of the group algebra chain by drawing  $M(\rho, \gamma)$  arrows from a representation  $\gamma \in R$  of  $G_i$  to a representation  $\rho \in R$  of  $G_{i+1}$ . Then a set of bases for the representation spaces of the representations in  $R$  determines a system of Gel-fand Tsetlin bases for the group algebra chain, and so by Theorem A.1 a model representation.

## A.2 Restricted Product Lemmas

We first prove Theorem 2.7 of Section 2.3.

**Theorem A.2** (Theorem 2.7). *For  $\mathcal{B}$  a Bratteli diagram of highest grading at least  $n$ ,  $\phi = \iota_1 \sqcup \iota_2$ , and  $\iota = \iota_\Delta$  as in Figure 2.16, the bilinear map*

$$\iota^* \circ \phi_* \circ \bigotimes : A(Q_{n0}; \mathcal{B}) \times A(Q_{n0}; \mathcal{B}) \rightarrow A(Q_{n0}; \mathcal{B})$$

*corresponds to the algebra product on  $\mathbb{C}[\mathcal{B}_n]$  under the isomorphism  $A(Q_{n0}; \mathcal{B}) \cong \mathbb{C}[\mathcal{B}_n]$*

## A.2 Restricted Product Lemmas

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of Lemma 2.4.

*Proof.* For  $P, Q$  paths of length  $n$  in  $\mathcal{B}$  starting at the root and ending at the same vertex, let  $\tau_{PQ} \in \text{Hom}(Q_{n0}; \mathcal{B})$  such that  $\tau_{PQ}(p) = P$  and  $\tau_{PQ}(q) = Q$ .

For  $f = \sum f|_{PQ}(P, Q) \in \mathbb{C}[\mathcal{B}_n]$ , the isomorphism of Lemma 2.4 maps  $f$  to

$$\sum_{\tau_{PQ} \in \text{Hom}(Q_{n0}; \mathcal{B})} f|_{PQ\tau_{PQ}}.$$

Now consider multiplication in the path algebra: for  $f$  as above and for  $g \in \mathbb{C}[\mathcal{B}_n]$ ,

$$f * g = \sum_{P, Q'} \left( \sum_Q f|_{PQ} g|_{QQ'} \right) (P, Q') \longrightarrow \sum_{P, Q'} \left( \sum_Q f|_{PQ} g|_{QQ'} \right) \tau_{PQ'}.$$

We show

$$\iota^* \phi_* \otimes \left( \sum_{P, Q} f|_{PQ\tau_{PQ}}, \sum_{P', Q'} g|_{P'Q'\tau_{P'Q'}} \right) = \sum_{P, Q'} \left( \sum_Q f|_{PQ} g|_{QQ'} \right) \tau_{PQ'}.$$

First note that morphisms  $\eta \in \text{Hom}(Q_{n0} \sqcup Q_{n0}; \mathcal{B})$  have form  $\tau_{PQ} \sqcup \tau_{P'Q'}$ . Thus,

$$\iota^* \phi_* \otimes \left( \sum_{P, Q} f|_{PQ\tau_{PQ}}, \sum_{P', Q'} g|_{P'Q'\tau_{P'Q'}} \right) = \iota^* \phi_* \left( \sum_{P, Q, P', Q'} f|_{PQ} g|_{P'Q'} (\tau_{PQ} \sqcup \tau_{P'Q'}) \right).$$

Let  $(fg)|_{\tau_{PQ} \sqcup \tau_{P'Q'}} := f|_{PQ} g|_{P'Q'}$  and  $\mu_{PQQ'} \in \text{Hom}(R; \mathcal{B})$  such that  $\mu_{PQQ'}(p) = P$ ,



## A.2 Restricted Product Lemmas

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$\mu_{PQQ'}(q) = Q$ , and  $\mu_{PQQ'}(q') = Q'$ . Then

$$\begin{aligned}
\iota^* \phi_* \left( \sum (fg)|_{\tau_{PQ} \sqcup \tau_{P'Q'}} (\tau_{PQ} \sqcup \tau_{P'Q'}) \right) &= \iota^* \left( \sum_{\mu_{PQQ'}} (fg)|_{\mu_{PQQ'} \circ \phi} \mu_{PQQ'} \right) \\
&= \iota^* \left( \sum_{\mu_{PQQ'}} (fg)|_{\tau_{PQ} \sqcup \tau_{Q'}} \mu_{PQQ'} \right) \\
&= \sum_{\mu_{PQQ'}} (fg)|_{\tau_{PQ} \sqcup \tau_{Q'}} (\mu_{PQQ'} \circ \iota) \\
&= \sum_{P, Q'} \left( \sum_Q (fg)|_{\tau_{PQ} \sqcup \tau_{Q'}} \right) \tau_{PQ'} \\
&= \sum_{P, Q'} \left( \sum_Q f|_{PQ} g|_{QQ'} \right) \tau_{PQ'}.
\end{aligned}$$

□

**Definition A.3.** Let  $B$  be a locally finite graded quiver,  $R$  a graded quiver with finite subquivers  $Q_1$  and  $Q_2$ , and  $\iota_j$  the natural inclusion  $Q_j \hookrightarrow R$ , for  $j = 1, 2$ . Then define the **general restricted product relative to  $R$** ,

$$* : A(Q_1 \uparrow R; B) \times A(Q_2 \uparrow R; B) \rightarrow A(Q_1 \triangle Q_2 \uparrow R; B),$$

as follows: for  $f \in A(Q_1 \uparrow R; B)$ ,  $g \in A(Q_2 \uparrow R; B)$ ,

$$*(f, g) = f * g := \sum_{\tau \in \text{Hom}(Q_1 \triangle Q_2 \uparrow R; B)} \sum_{\substack{\eta \in \text{Hom}(Q_1 \cup Q_2 \uparrow R; B) \\ \eta \downarrow_{Q_1 \triangle Q_2} = \tau}} f|_{\eta \circ \iota_1} g|_{\eta \circ \iota_2} \tau.$$

**Remark A.2.** For  $\iota_\Delta$  the natural injection  $Q_1 \triangle Q_2 \hookrightarrow Q_1 \cup Q_2$ , it is easy to check that this operation is equivalent to  $(\iota_\Delta)^* \circ (\iota_1 \sqcup \iota_2)_* \circ \otimes$ .

## A.2 Restricted Product Lemmas

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**Lemma A.2.** *For  $B$  a locally finite graded quiver,  $R$  a graded quiver with finite subquivers  $Q_1$  and  $Q_2$ , and  $f_i \in A(Q_i; B)$ , the restricted product  $f_1 * f_2$  requires no more than*

$$\# \text{Hom}((Q_1 \cup Q_2) \uparrow R; B)$$

*scalar multiplications and*

$$\# \text{Hom}((Q_1 \cup Q_2) \uparrow R; B) - \# \text{Hom}((Q_1 \triangle Q_2) \uparrow R; B)$$

*scalar additions.*

*Proof.* To compute  $f_1 * f_2$ , first compute  $(f_1|_{\eta \circ \iota_1})(f_2|_{\eta \circ \iota_2})$  for each  $\eta \in \text{Hom}(Q_1 \cup Q_2 \uparrow R; B)$ . This requires  $\# \text{Hom}(Q_1 \cup Q_2 \uparrow R; B)$  scalar multiplications.

Next note that a scalar addition comes from each pair  $\eta_i, \eta_j \in \text{Hom}(Q_1 \cup Q_2 \uparrow R; B)$  with

$$\eta_i \downarrow_{Q_1 \triangle Q_2} = \eta_j \downarrow_{Q_1 \triangle Q_2} = \tau \in \text{Hom}(Q_1 \triangle Q_2 \uparrow R; B);$$

in total,  $\# \text{Hom}((Q_1 \cup Q_2) \uparrow R; B) - \# \text{Hom}((Q_1 \triangle Q_2) \uparrow R; B)$  scalar additions.  $\square$

**Corollary A.1.** *For  $w \in W_i = \mathbb{C}[\mathcal{B}_{i+}] \cap \text{Centralizer}(\mathbb{C}[\mathcal{B}_{i-}])$ ,  $e$  the identity element of  $\mathbb{C}[\mathcal{B}_n]$ , and quivers  $G, G_i, F, F_i$  and maps  $\xi_i$  as in Theorem 2.8,*

$$\xi_i(w) * \xi_j(e)$$

*requires no arithmetic operations to compute.*

*Proof.* First note that the value of the identity element at any pair of paths is either 0 or 1. Thus, taking a restricted product with the element of a configuration space corresponding to the identity will require no multiplications.

## A.2 Restricted Product Lemmas

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To find the number of additions, recall that  $\xi_i$  maps  $W_i$  to  $A(F_i; \mathcal{B})$ , for  $F_i$  a quiver with vertices at levels  $i^+$  and  $i^-$ . Since for all  $1 \leq k \leq n$ ,

$$e \in \mathbb{C}[\mathcal{B}_k] \cap \text{Centralizer}(\mathbb{C}[\mathcal{B}_k]),$$

$j^+ = j^- = n$ , so  $F_j$  consists of a single vertex at level  $n$ . Then by Lemma 2.5, the restricted product  $\xi_i(w) * \xi_j(e)$  requires no more than

$$\# \text{Hom}((F_i \cup F_j \uparrow G; \mathcal{B}) - \# \text{Hom}(F_i \triangle F_j \uparrow G; \mathcal{B}) = 0$$

additions. □

**Lemma A.3.** *For  $B$  a locally finite graded quiver,  $R$  a graded quiver with finite subquivers  $Q_1$  and  $Q_2$ , and  $f_i \in A(Q_i; B)$ ,*

$$f_1 * f_2 = f_2 * f_1$$

*Proof.* Clear since  $Q_1 \cup Q_2 = Q_2 \cup Q_1$ ,  $Q_1 \triangle Q_2 = Q_2 \triangle Q_1$ , and the coefficients of  $f_1$  and  $f_2$  lie in  $\mathbb{C}$ . □

**Lemma A.4.** *Let  $B$  be a locally finite graded quiver and  $R$  a graded quiver with finite subquivers  $Q_1, Q_2, \dots, Q_m$  such that  $Q_i \cap Q_j \cap Q_k$  has no edges for all  $i \neq j \neq k$ . Let  $Q_i^\triangle$  denote the quiver  $Q_1 \triangle \dots \triangle Q_i$  and let  $Q_i^\cup$  denote the quiver  $Q_1 \cup \dots \cup Q_i$ . Then for  $f_i \in A(Q_i; B)$ ,*

$$f_1 * f_2 * \dots * f_m$$

*is independent of bracketing. Moreover, for  $\tau \in \text{Hom}(Q_m^\triangle; B)$  and  $\iota_k$  the natural*

## A.2 Restricted Product Lemmas

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injection  $Q_k \hookrightarrow R$ ,

$$(f_1 * f_2 * \cdots * f_m)|_\tau = \sum_{\substack{\eta \in \text{Hom}(Q_m^\cup \uparrow R; B) \\ \eta \downarrow_{Q_m^\Delta} = \tau}} \prod_{k=1}^m f_k|_{\eta \circ \iota_k}. \quad (\text{A.1})$$

*Proof.* We first prove (A.1) inductively, as associativity clearly follows.

For  $n = 2$ , (A.1) is the definition of the restricted product  $f_1 * f_2$ .

Now suppose (A.1) holds for  $n - 1$ . Since  $Q_i \cap Q_j \cap Q_k = \emptyset$ ,

$$[(Q_1 \triangle \cdots \triangle Q_{n-1}) \cup Q_n] \cap [Q_1 \cup \cdots \cup Q_{n-1}] = Q_1 \triangle \cdots \triangle Q_{n-1}, \quad (\text{A.2})$$

and

$$[(Q_1 \triangle \cdots \triangle Q_{n-1}) \cup Q_n] \cup [Q_1 \cup \cdots \cup Q_{n-1}] = Q_1 \cup \cdots \cup Q_n. \quad (\text{A.3})$$

By the induction hypothesis,

$$(f_1 * \cdots * f_{n-1} * f_n)|_\tau = \sum_{\substack{\eta \in \text{Hom}(Q_{n-1}^\Delta \cup Q_n \uparrow R; B) \\ \eta \downarrow_{Q_n^\Delta} = \tau}} \sum_{\substack{\mu \in \text{Hom}(Q_{n-1}^\cup \uparrow R; B) \\ \mu \downarrow_{Q_{n-1}^\Delta} = \eta \downarrow_{Q_{n-1}^\Delta}}} \left( \prod_{k=1}^{n-1} f_k|_{\mu \circ \iota_k} \cdot f_n|_{\eta \circ \iota_n} \right).$$

By (A.2) and (A.3), each choice of  $\mu$  and  $\eta$  which agree on their intersection, the subquiver  $Q_{n-1}^\Delta$ , uniquely determines a morphism  $\gamma \in \text{Hom}(Q_n^\cup \uparrow R; B)$  such that

$$\begin{aligned} \gamma \downarrow_{Q_1 \triangle \cdots \triangle Q_n} &= \eta \downarrow_{Q_1 \triangle \cdots \triangle Q_n} = \tau, \\ \gamma \circ \iota_k &= \mu \circ \iota_k, \quad \text{for } 1 \leq k \leq n-1, \\ \gamma \circ \iota_n &= \eta \circ \iota_n. \end{aligned}$$

□

## A.3 Quiver Counts

### A.3.1 Smoothing Quivers

**Definition A.4.** A quiver  $B$  **factors at level  $i$**  if there are no arrows from a vertex  $\alpha \in V(B)$  with  $gr(\alpha) < i$  to a vertex  $\beta \in V(B)$  with  $gr(\beta) > i$ .

**Example A.1.** Let  $\mathcal{B}$  be a Bratteli diagram with highest grading  $n$ . Then for all  $0 \leq i \leq n$ ,  $\mathcal{B}$  factors at level  $i$ .

**Definition A.5.** Let  $Q$  be a quiver with a vertex  $v$  that is the head of exactly one arrow,  $e_1$ , and the tail of exactly one arrow,  $e_2$ . To **smooth  $Q$  at  $v$** , remove  $v$  and replace  $e_1$  and  $e_2$  with an arrow from the tail of  $e_1$  to the head of  $e_2$ . To **smooth  $Q$** , smooth  $Q$  at all possible  $v$ .

**Example A.2.** The quiver  $Q'$  of Figure A.1 results from smoothing the quiver  $Q$ .



Figure A.1

**Lemma A.5.** Let  $B$  be a graded quiver that factors at level  $i$ ,  $R$  a graded quiver with subquiver  $Q$ , and  $v$  a vertex of  $Q$  at level  $i$  such that  $Q$  can be smoothed at  $v$ . Let  $Q'$  (respectively  $R'$ ) be the quiver obtained by smoothing  $Q$  (respectively  $R$ ) at  $v$ . Then

$$\# \text{Hom}(Q \uparrow R; B) = \# \text{Hom}(Q' \uparrow R'; B).$$

*Proof.* Let  $\phi \in \text{Hom}(Q' \uparrow R'; B)$  and let  $f$  be the arrow in  $Q'$  resulting from smoothing  $Q$  at  $v$ . Then  $f$  replaced two arrows,  $e_1, e_2$  in  $Q$ , with  $e_1^+ = v, e_2^- = v$ . Further,

### A.3 Quiver Counts

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$e_1^- = f^-$ ,  $e_2^+ = f^+$ , so  $\phi(f)$  is a path in  $B$  from a vertex  $\alpha$  with  $gr(\alpha) < i$  to a vertex  $\beta$  with  $gr(\beta) > i$ . Since  $B$  factors at level  $i$ , this path contains a vertex,  $v'$ , with  $gr(v') = i$ . Let  $e'_1$  be the subpath of  $f$  starting at the tail of  $f$  and ending at  $v'$ . Similarly, let  $e'_2$  be the subpath of  $f$  starting at  $v'$  and ending at the head of  $f$ .

Denote by  $\tilde{\phi}$  the morphism in  $\text{Hom}(Q \uparrow R; B)$  such that:

$$\begin{aligned}\tilde{\phi}(e_1) &= e'_1, & \tilde{\phi}(e_2) &= e'_2 \\ \tilde{\phi}(e_i) &= \phi(e_i), & \text{for } i &\neq 1, 2, \\ \tilde{\phi}(v_j) &= \phi(v_j), & \text{for } v_j &\neq \alpha, \beta, v'.\end{aligned}$$

Clearly  $\phi \rightarrow \tilde{\phi}$  is a bijection. □

**Corollary A.2.** *Let  $\mathcal{B}$  be a Bratteli diagram,  $R$  a graded quiver with subquiver  $Q$ , and  $Q'$  (respectively  $R'$ ) the quiver obtained by smoothing  $Q$  (respectively  $R$ ). Then*

$$\# \text{Hom}(Q \uparrow R; \mathcal{B}) = \# \text{Hom}(Q' \uparrow R'; \mathcal{B}).$$

#### A.3.2 Properties of Locally Free Quivers

**Proposition A.1** (Theore 2.1). *Let  $\mathcal{B}$  be a Bratteli diagram. Then the following properties are equivalent:*

- (i)  $\mathcal{B}$  is locally free.
- (ii) For each  $i$  and all  $\beta \in \mathcal{B}^{i-1}$ , there exists  $\lambda_i \in \mathbb{C}$  such that

$$\sum_{\alpha \in \mathcal{B}^i} M_{\mathcal{B}}(\alpha, \beta) d_{\alpha} = \lambda_i d_{\beta}.$$

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(iii) For each  $i$ ,  $d_i$  is an eigenvector of  $DU$ .

(iv) For each  $i$  there exists  $\lambda_i \in \mathbb{C}$  with  $DU^i\hat{0} = \lambda_i U^{i-1}\hat{0}$ .

*Proof.* Statements (ii), (iii), and (iv) are equivalent by definition and Lemma 2.6. For example:

$$(iii) \Rightarrow (iv) \quad DU^i\hat{0} = DUd_{i-1} = \lambda_i d_{i-1} = \lambda_i U^{i-1}\hat{0}$$

$$(iv) \Rightarrow (iii) \quad DUd_i = DU^{i+1}\hat{0} = \lambda_{i+1}U^i\hat{0} = \lambda_{i+1}d_i$$

We leave the remaining equivalences of (ii), (iii), and (iv) to the reader.

To show the equivalence of (i) and (iv), recall from Note 2.4 that elements of  $\mathbb{C}[\mathcal{B}^i]$  correspond to representations of  $\mathbb{C}[\mathcal{B}_i]$ , i.e.  $\mathbb{C}[\mathcal{B}_i]$ -modules. Under this identification, the regular representation of  $\mathbb{C}[\mathcal{B}_i]$  corresponds to the sum

$$\sum_{\alpha \in \mathcal{B}^i} d_\alpha \alpha = d_i \in \mathbb{C}[\mathcal{B}^i].$$

Since  $D$  is restriction ([39][Proposition 2.3.1]), the restriction of the regular representation of  $\mathbb{C}[\mathcal{B}_i]$  to  $\mathbb{C}[\mathcal{B}_{i-1}]$  corresponds to  $Dd_i = DUd_{i-1}$ .

(i)  $\Rightarrow$  (iv) For  $\mathcal{B}$  locally free,  $\mathbb{C}[\mathcal{B}_i]$  is free as a module over  $\mathbb{C}[\mathcal{B}_{i-1}]$  with rank  $\lambda_i \in \mathbb{C}$ .

Then the regular representation of  $\mathbb{C}[\mathcal{B}_i]$  decomposes in  $\mathbb{C}[\mathcal{B}_{i-1}]$  as  $\lambda_i$  copies of the regular representation of  $\mathbb{C}[\mathcal{B}_{i-1}]$ . Thus,

$$DU^i\hat{0} = DUd_{i-1} = Dd_i = \lambda_i d_{i-1} = \lambda_i U^{i-1}\hat{0}.$$

(iv)  $\Rightarrow$  (i)  $DUd_{i-1} = Dd_i = \lambda_i d_{i-1}$  and so  $\dim_{\mathbb{C}} \mathbb{C}[\mathcal{B}_i] = \lambda_i \dim_{\mathbb{C}} \mathbb{C}[\mathcal{B}_{i-1}]$ ; hence  $\lambda_i$  is

### A.3 Quiver Counts

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rational and positive. To show  $\lambda_i$  integral, let

$$\lambda_i = \frac{p}{q}, \quad \gcd(p, q) = 1, \quad p, q > 0.$$

Let  $m = \gcd(d_\beta)$  over all  $\beta \in \mathcal{B}^{i-1}$ , so  $\frac{d_\beta}{m}$  an integer for all  $\beta \in \mathcal{B}^{i-1}$ . Then

$$\begin{aligned} \frac{1}{m} \frac{p}{q} \sum_{\beta \in \mathcal{B}^{i-1}} d_\beta \beta &= \frac{1}{m} \lambda_i d_{i-1} \\ &= \frac{1}{m} DU d_{i-1} \\ &= \frac{1}{m} \sum_{\alpha \in \mathcal{B}^i} \sum_{\beta \in \mathcal{B}^{i-1}} M_{\mathcal{B}}(\alpha, \beta) d_\alpha \beta \\ &= \sum_{\alpha \in \mathcal{B}^i} \sum_{\beta \in \mathcal{B}^{i-1}} M_{\mathcal{B}}(\alpha, \beta)^2 \frac{d_\beta}{m} \beta. \end{aligned}$$

Then the coefficient of  $\beta$  is an integer and thus  $q \mid \frac{d_\beta}{m}$  for all  $\beta \in \mathcal{B}^i$ . But  $m = \gcd(d_\beta)$ , and thus  $q = 1$ , making  $\lambda_i$  an integer.

□

**Theorem A.3** (Theorem 2.13). *Let  $\mathcal{B}$  be a locally free Bratteli diagram and  $w = D^{d_n} U^{u_n} \dots D^{d_1} U^{u_1}$  an admissible word in  $U$  and  $D$ . Then for  $s = \sum_{i=1}^n u_i - d_i$  and  $\alpha \in \mathcal{B}^s$ ,*

$$\langle w\hat{0}, \alpha \rangle = d_\alpha \prod_{i \in \mathcal{S}} \lambda_{b_i - a_i}.$$



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*Proof.* To be admissible,  $d_i, u_i > 0$  for all  $1 \leq i \leq n$  and

$$\sum_{j=1}^i d_j \leq \sum_{j=1}^i u_j.$$

Let

$$\mathcal{S}_k = \{i \in \mathcal{S} \mid i \leq \sum_{j=1}^k (d_j + u_j)\},$$

let  $s_k = \sum_{i=1}^k u_i - d_i$ , and let  $w_k = D^{d_k} U^{u_k} \cdots D^{d_1} U^{u_1}$ . We prove inductively that

$$w_k \hat{\theta} = \prod_{i \in \mathcal{S}_k} \lambda_{b_i - a_i} \sum_{\alpha \in \mathcal{B}^{s_k}} d_\alpha \alpha.$$

For  $k = 1$ , consider  $w_1 = D^{d_1} U^{u_1}$ . Then

$$\mathcal{S}_1 = \{u_1 + 1, u_1 + 2, \dots, u_1 + d_1\}$$

and for all  $i \in \mathcal{S}_1$ ,  $b_i = u_1$  and  $a_i = i - u_1 - 1$ . By Proposition 2.1, Lemma 2.6, and induction,

$$\begin{aligned} w_1 \hat{\theta} &= D^{d_1} U^{u_1} \hat{\theta} \\ &= D^{d_1-1} \lambda_{u_1} U^{u_1-1} \hat{\theta} \\ &= \lambda_{u_1} D^{d_1-1} U^{u_1-1} \hat{\theta} \\ &\quad \vdots \\ &= \lambda_{u_1} \lambda_{u_1-1} \cdots \lambda_{u_1-d_1+1} U^{u_1-d_1} \hat{\theta} \\ &= \prod_{i \in \mathcal{S}_1} \lambda_{b_i - a_i} \sum_{\alpha \in \mathcal{B}^{s_1}} d_\alpha \alpha. \end{aligned}$$

## A.4 Combinatorial Lemmas for the Weyl Groups and Brauer Algebra

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Now suppose true for  $n - 1$ . Then

$$\begin{aligned}
 w\hat{0} &= w_n\hat{0} \\
 &= D^{d_n}U^{u_n}w_{n-1}\hat{0} \\
 &= D^{d_n}U^{u_n} \prod_{i \in \mathcal{S}_{n-1}} \lambda_{b_i - a_i} U^{s_{n-1}} \hat{0} \\
 &= \prod_{i \in \mathcal{S}_{n-1}} \lambda_{b_i - a_i} D^{d_n} U^{u_n + s_{n-1}} \hat{0},
 \end{aligned}$$

and the same argument as in the base case gives the result.  $\square$

## A.4 Combinatorial Lemmas for the Weyl Groups and Brauer Algebra

In this section we consider the Bratteli diagrams associated to the Weyl groups  $B_n$  and  $D_n$  to provide the bounds used in Theorems 2.2 and 2.3. Note that Lemmas A.6, A.7, A.9, A.10, A.11, and Corollaries A.3, A.4, and A.5 all hold for  $n \geq 2$ ,  $i \geq 2$ .

### A.4.1 The Weyl Group $B_n$

The Bratteli diagram  $\mathcal{B}$  associated to the chain

$$\mathbb{C}[B_n] > \mathbb{C}[B_{n-1}] > \cdots > \mathbb{C}$$

is a generalization of Young's diagram — inequivalent irreducible representations of  $\mathbb{C}[B_i]$  are indexed by pairs of partitions  $(\lambda_1, \lambda_2)$ , of  $k$  and  $l$ , respectively, with  $k+l = i$ . Pairs  $(\lambda_1, \lambda_2), (\mu_1, \mu_2)$  are connected by an edge if either  $\lambda_1$  may be obtained from  $\mu_1$

## A.4 Combinatorial Lemmas for the Weyl Groups and Brauer Algebra

by adding a box, or if  $\lambda_2$  may be obtained from  $\mu_2$  by adding a box [77] (see Figure A.2). Note that this is a multiplicity-free diagram.

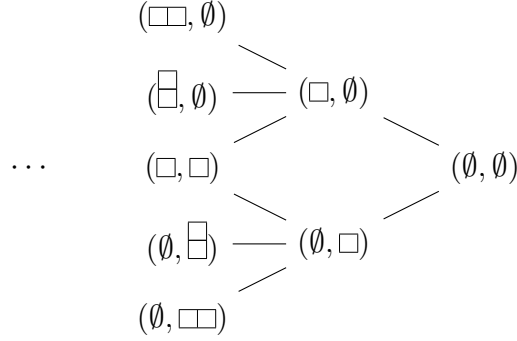


Figure A.2:  $\mathbb{C}[B_2] > \mathbb{C}[B_1] > \mathbb{C}$

**Lemma A.6.**  $M_{\mathcal{B}}(B_i, B_{i-2}) \leq 2$

*Proof.* Suppose not. Then since  $\mathcal{B}$  is multiplicity-free, we must have distinct pairs of partitions

$$\kappa = (\kappa_1, \kappa_2), \quad \rho = (\rho_1, \rho_2), \quad \gamma = (\gamma_1, \gamma_2), \quad \eta = (\eta_1, \eta_2), \quad \lambda = (\lambda_1, \lambda_2),$$

as in Figure A.3.

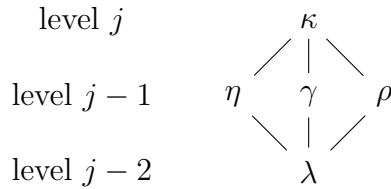


Figure A.3

Pairs of partitions are adjacent in  $\mathcal{B}$  if one is acquired from the other by adding a single box; hence either  $\rho_1 = \lambda_1$  or  $\rho_2 = \lambda_2$ . The same holds for  $\eta, \gamma$ . Similarly,

## A.4 Combinatorial Lemmas for the Weyl Groups and Brauer Algebra

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$\kappa_1 = \rho_1$  or  $\kappa_2 = \rho_2$  and the same holds for  $\eta, \gamma$ .

Without loss of generality, we need only consider the following two cases:

**Case 1:**  $\rho_1, \eta_1, \gamma_1 = \lambda_1$ .

If  $\kappa_1 \neq \lambda_1$ , then  $\kappa_2 = \rho_2, \gamma_2, \eta_2$ , but then  $\rho = \eta = \gamma$ , a contradiction.

If  $\kappa_1 = \lambda_1$  then  $\kappa_2$  is obtained from  $\lambda_2$  by adding two boxes, which may be done in at most two ways, so  $\eta, \gamma, \rho$  are not all distinct, a contradiction.

**Case 2:**  $\rho_1 = \lambda_1 = \eta_1$  and  $\gamma_2 = \lambda_2$ .

If  $\kappa_1 = \lambda_1$  then since  $\gamma_1 \neq \lambda_1$ , we see that  $\kappa_1 \neq \gamma_1$ . Thus,  $\kappa_2 = \gamma_2$ . But then  $(\kappa_1, \kappa_2) = (\lambda_1, \lambda_2)$ , a contradiction.

Now if  $\kappa_1 \neq \lambda_1$ , then  $\kappa_2 = \rho_2, \eta_2$ , but then  $\rho = \eta$ , a contradiction.

□

Lemma A.6 is used in the proof of Theorem 2.2 to give a bound on  $\dim A(\mathcal{J}_i^n \uparrow G; \mathcal{B})$  for  $\mathcal{J}_i^n$  as in Figure 2.28. The following two lemmas provide a bound for  $\dim A(\mathcal{H}_i^n \uparrow G; \mathcal{B})$ , for  $\mathcal{H}_i^n$  as in Figure 2.28.

**Lemma A.7.**

$$(1) \# \text{Hom}(\mathcal{H}_i^n \uparrow G; \mathcal{B}) = \frac{|B_{n-1}|}{|B_{i-1}|} \# \text{Hom}(\mathcal{H}_i^i \uparrow G; \mathcal{B})$$

$$(2) \# \text{Hom}(\mathcal{H}_i^i \uparrow G; \mathcal{B}) =$$

$$2(i-1)|B_{i-1}| + \sum_{\substack{(\beta_{i-1}^1, \beta_{i-1}^2) = \\ \beta_{i-1} \in \mathcal{B}^{i-1}}} (\text{jmp}(\beta_{i-1}^1) + \text{jmp}(\beta_{i-1}^2)) (\text{jmp}(\beta_{i-1}^1) + \text{jmp}(\beta_{i-1}^2) + 1) d_{\beta_{i-1}}^2,$$

where  $\text{jmp}$  denotes the jump of a partition, i.e., the number of ways to remove a single box to form a new partition.

## A.4 Combinatorial Lemmas for the Weyl Groups and Brauer Algebra

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*Proof.* To prove (1), first note by Theorem 2.12,

$$\# \text{Hom}(\mathcal{H}_i^n \uparrow G; \mathcal{B}) = \sum_{\alpha_j, \beta_j \in \mathcal{B}^j} \left( M_{\mathcal{B}}(\beta_{n-1}, \beta_{i-1}) M_{\mathcal{B}}(\beta_{i-1}, \beta_{i-2}) M_{\mathcal{B}}(\alpha_i, \alpha_{i-1}) \right. \\ \left. M_{\mathcal{B}}(\alpha_i, \beta_{i-1}) M_{\mathcal{B}}(\alpha_{i-1}, \beta_{i-2}) d_{\alpha_{i-1}} d_{\beta_{n-1}} \right).$$

By Corollary 2.2,

$$\begin{aligned} \sum_{\beta_{n-1} \in \mathcal{B}^{n-1}} M_{\mathcal{B}}(\beta_{n-1}, \beta_{i-1}) d_{\beta_{n-1}} &= \langle D^{n-i} U^{n-1} \hat{0}, \beta_{i-1} \rangle \\ &= \lambda_{n-1} \lambda_{n-2} \cdots \lambda_i d_{\beta_{i-1}} \\ &= \frac{|B_{n-1}|}{|B_{i-1}|} d_{\beta_{i-1}}. \end{aligned}$$

Then

$$\begin{aligned} \# \text{Hom}(\mathcal{H}_i^n \uparrow G; \mathcal{B}) &= \frac{|B_{n-1}|}{|B_{i-1}|} \sum_{\alpha_j, \beta_j \in \mathcal{B}^j} \left( M_{\mathcal{B}}(\beta_{i-1}, \beta_{i-2}) M_{\mathcal{B}}(\alpha_i, \alpha_{i-1}) \right. \\ &\quad \left. M_{\mathcal{B}}(\alpha_i, \beta_{i-1}) M_{\mathcal{B}}(\alpha_{i-1}, \beta_{i-2}) d_{\beta_{i-1}} d_{\alpha_{i-1}} \right) \\ &= \frac{|B_{n-1}|}{|B_{i-1}|} \# \text{Hom}(\mathcal{H}_i^i \uparrow G; \mathcal{B}). \end{aligned}$$

To prove (2),

$$\begin{aligned} \# \text{Hom}(\mathcal{H}_i^i \uparrow G; \mathcal{B}) &= \\ &= \sum_{\alpha_j, \beta_j \in \mathcal{B}^j} M_{\mathcal{B}}(\beta_{i-1}, \beta_{i-2}) M_{\mathcal{B}}(\alpha_i, \alpha_{i-1}) M_{\mathcal{B}}(\alpha_i, \beta_{i-1}) M_{\mathcal{B}}(\alpha_{i-1}, \beta_{i-2}) d_{\beta_{i-1}} d_{\alpha_{i-1}} \\ &= \sum_{\alpha_{i-1} \neq \beta_{i-1}} + \sum_{\alpha_{i-1} = \beta_{i-1}}, \end{aligned}$$

## A.4 Combinatorial Lemmas for the Weyl Groups and Brauer Algebra

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for  $\sum_{\alpha_{i-1} \neq \beta_{i-1}}$  the sum

$$\sum_{\substack{\alpha_j, \beta_j \in \mathcal{B}^j \\ \alpha_{i-1} \neq \beta_{i-1}}} M_{\mathcal{B}}(\beta_{i-1}, \beta_{i-2}) M_{\mathcal{B}}(\alpha_i, \alpha_{i-1}) M_{\mathcal{B}}(\alpha_i, \beta_{i-1}) M_{\mathcal{B}}(\alpha_{i-1}, \beta_{i-2}) d_{\beta_{i-1}} d_{\alpha_{i-1}}$$

and  $\sum_{\alpha_{i-1} = \beta_{i-1}}$  the sum

$$\sum_{\substack{\alpha_j, \beta_j \in \mathcal{B}^j \\ \alpha_{i-1} = \beta_{i-1}}} M_{\mathcal{B}}(\beta_{i-1}, \beta_{i-2})^2 M_{\mathcal{B}}(\alpha_i, \beta_{i-1})^2 (d_{\beta_{i-1}})^2.$$

First suppose  $\alpha_{i-1} = (\alpha_{i-1}^1, \alpha_{i-1}^2), \beta_{i-1} = (\beta_{i-1}^1, \beta_{i-1}^2)$  are distinct pairs of partitions.

Then they jointly determine  $\alpha_i = (\alpha_i^1, \alpha_i^2)$ . Thus,

$$\begin{aligned} \sum_{\alpha_{i-1} \neq \beta_{i-1}} &= \sum_{\substack{\beta_{i-2} \in \mathcal{B}^{i-2} \\ \alpha_{i-1} \neq \beta_{i-1} \in \mathcal{B}^{i-1}}} M_{\mathcal{B}}(\beta_{i-1}, \beta_{i-2}) M_{\mathcal{B}}(\alpha_{i-1}, \beta_{i-2}) d_{\alpha_{i-1}} d_{\beta_{i-1}} \\ &= \sum_{\substack{\beta_{i-2} \in \mathcal{B}^{i-2} \\ \alpha_{i-1}, \beta_{i-1} \in \mathcal{B}^{i-1}}} M_{\mathcal{B}}(\beta_{i-1}, \beta_{i-2}) M_{\mathcal{B}}(\alpha_{i-1}, \beta_{i-2}) d_{\beta_{i-1}} d_{\alpha_{i-1}} - \\ &\quad \sum_{\substack{\beta_j \in \mathcal{B} \\ \alpha_{i-1} = \beta_{i-1}}} M_{\mathcal{B}}(\beta_{i-1}, \beta_{i-2})^2 (d_{\beta_{i-1}})^2 \end{aligned}$$

## A.4 Combinatorial Lemmas for the Weyl Groups and Brauer Algebra

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$$\begin{aligned}
&= \frac{|B_{i-1}|}{|B_{i-2}|} \sum_{\beta_j, \alpha_j \in \mathcal{B}^j} M_{\mathcal{B}}(\alpha_{i-1}, \beta_{i-2}) d_{\beta_{i-2}} d_{\alpha_{i-1}} - \sum_{\substack{\beta_j \in \mathcal{B}^j \\ \alpha_{i-1} = \beta_{i-1}}} M_{\mathcal{B}}(\beta_{i-1}, \beta_{i-2})^2 (d_{\beta_{i-1}})^2 \\
&= \frac{|B_{i-1}|}{|B_{i-2}|} \sum_{\alpha_{i-1} \in \mathcal{B}^{i-1}} (d_{\alpha_{i-1}})^2 - \sum_{\substack{\beta_j \in \mathcal{B}^j \\ \alpha_{i-1} = \beta_{i-1}}} M_{\mathcal{B}}(\beta_{i-1}, \beta_{i-2})^2 (d_{\beta_{i-1}})^2 \\
&= \frac{|B_{i-1}|^2}{|B_{i-2}|} - \sum_{\beta_{i-1} \in \mathcal{B}^{i-1}} (\text{jmp}(\beta_{i-1}^1) + \text{jmp}(\beta_{i-1}^2)) (d_{\beta_{i-1}})^2 \\
&= 2(i-1)|B_{i-1}| - \sum_{\beta_{i-1} \in \mathcal{B}^{i-1}} (\text{jmp}(\beta_{i-1}^1) + \text{jmp}(\beta_{i-1}^2)) (d_{\beta_{i-1}})^2. \tag{A.4}
\end{aligned}$$

Now suppose  $\alpha_{i-1} = \beta_{i-1}$ . Then  $\alpha_i$  is obtained from  $\beta_{i-1}$  by adding a box to  $\beta_{i-1}^1$  or  $\beta_{i-1}^2$ , while  $\beta_{i-2}$  is obtained from  $\beta_{i-1}$  by removing a box from  $\beta_{i-1}^1$  or  $\beta_{i-1}^2$ . Thus,

$$\sum_{\alpha_{i-1} = \beta_{i-1}} = \sum_{\beta_{i-1} \in \mathcal{B}^{i-1}} (\text{jmp}(\beta_{i-1}^1) + \text{jmp}(\beta_{i-1}^2)) (\text{jmp}(\beta_{i-1}^1) + \text{jmp}(\beta_{i-1}^2) + 2) (d_{\beta_{i-1}})^2. \tag{A.5}$$

Summing equations (A.4) and (A.5), we have

$$\begin{aligned}
\# \text{Hom}(\mathcal{H}_i^i \uparrow G; \mathcal{B}) &= 2(i-1)|B_{i-1}| \\
&+ \sum_{\substack{(\beta_{i-1}^1, \beta_{i-1}^2) = \\ \beta_{i-1} \in \mathcal{B}^{i-1}}} (\text{jmp}(\beta_{i-1}^1) + \text{jmp}(\beta_{i-1}^2)) (\text{jmp}(\beta_{i-1}^1) + \text{jmp}(\beta_{i-1}^2) + 1) d_{\beta_{i-1}}^2.
\end{aligned}$$

□

## A.4 Combinatorial Lemmas for the Weyl Groups and Brauer Algebra

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**Lemma A.8.** *For any pair of partitions  $(\beta_i^1, \beta_i^2)$  with  $|\beta_i^1| + |\beta_i^2| = i$ ,*

$$(\text{jmp}(\beta_i^1) + \text{jmp}(\beta_i^2))(\text{jmp}(\beta_i^1) + \text{jmp}(\beta_i^2) + 1) \leq 6i$$

*Proof.* Let  $k = |\beta_i^1|$ ,  $l = |\beta_i^2|$ ,  $a_k = \text{jmp}(\beta_i^1)$ , and  $a_l = \text{jmp}(\beta_i^2)$ . Then  $k + l = i$  and by [63, Lemma 5.3],

$$a_k(a_k + 1) \leq 2k, \quad a_l(a_l + 1) \leq 2l.$$

Then

$$\begin{aligned} (\text{jmp}(\beta_i^1) + \text{jmp}(\beta_i^2))(\text{jmp}(\beta_i^1) + \text{jmp}(\beta_i^2) + 1) &= (a_k + a_l)(a_k + a_l + 1) \\ &= a_k(a_k + 1) + a_l(a_l + 1) + 2a_k a_l \\ &\leq 2k + 2l + 2(2i) \\ &\leq 6i. \end{aligned}$$

□

Combining Lemmas A.7 and A.8 gives the following bound:

**Corollary A.3.**  $\# \text{Hom}(\mathcal{H}_i^n \uparrow G; \mathcal{B}) \leq \frac{4(i-1)}{n} |B_n|.$

### A.4.2 The Weyl Group $D_n$

The Bratteli diagram  $\mathcal{B}$  associated to the chain

$$\mathbb{C}[D_n] > \mathbb{C}[D_{n-1}] > \cdots > \mathbb{C}$$

is similar to the Bratteli diagram associated to the Weyl group  $B_n$  in that irreducible representations of  $\mathbb{C}[D_i]$  are indexed by pairs of partitions,  $(\lambda_1, \lambda_2)$  of  $k$  and  $l$ , respec-



## A.4 Combinatorial Lemmas for the Weyl Groups and Brauer Algebra

tively, with  $k + l = i$ . However, if  $\lambda_1 \neq \lambda_2$ , the irreducible representation indexed by  $(\lambda_1, \lambda_2)$  is the same as that indexed by  $(\lambda_2, \lambda_1)$ . If  $\lambda_1 = \lambda_2 = \lambda$  then two distinct irreducible representations are indexed by the pair  $(\lambda, \lambda)$ , and denoted by  $(\lambda, \lambda)^+$  and  $(\lambda, \lambda)^-$  [77] (see Figure A.4). Note that this is a multiplicity-free diagram.

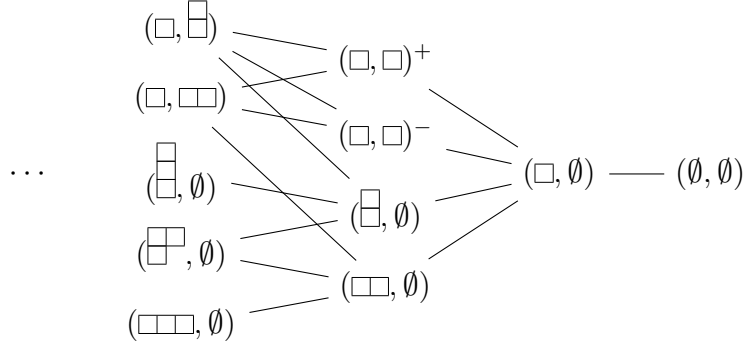


Figure A.4:  $\mathbb{C}[D_3] > \mathbb{C}[D_2] > \mathbb{C}[D_1] > \mathbb{C}$

**Lemma A.9.**  $M_{\mathcal{B}}(D_i, D_{i-2}) \leq 3$ .

*Proof.* Suppose not. Then since  $\mathcal{B}$  is multiplicity-free, there exist pairs of partitions

$$\kappa = (\kappa_1, \kappa_2), \quad \rho = (\rho_1, \rho_2), \quad \gamma = (\gamma_1, \gamma_2), \quad \eta = (\eta_1, \eta_2), \quad \mu = (\mu_1, \mu_2), \quad \lambda = (\lambda_1, \lambda_2),$$

connected in  $\mathcal{B}$  as in Figure A.5.

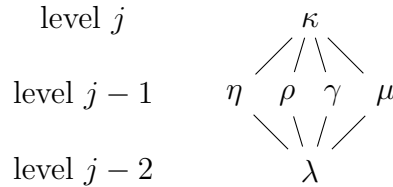


Figure A.5

However, the proof of Lemma A.6 dictates that no three of  $\eta, \mu, \gamma, \rho$  are distinct

## A.4 Combinatorial Lemmas for the Weyl Groups and Brauer Algebra

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pairs of partitions. Thus, without loss of generality,

$$(\eta_1, \eta_2) = (\alpha, \alpha)^+, \quad (\mu_1, \mu_2) = (\alpha, \alpha)^-, \quad (\gamma_1, \gamma_2) = (\beta, \beta)^+, \quad (\rho_1, \rho_2) = (\beta, \beta)^-,$$

for  $\alpha, \beta$  distinct partitions of  $\frac{j-1}{2}$ . Then as in the proof of Lemma A.6, either  $\lambda_1 = \eta_1 = \alpha$  or  $\lambda_2 = \eta_2 = \alpha$ . Without loss, suppose  $\lambda_1 = \alpha$ . Then since  $\alpha \neq \beta$ ,  $\lambda_2$  must be  $\beta$ . However,  $|\lambda_1| + |\lambda_2| = |\alpha| + |\beta| > j - 2$ , a contradiction.  $\square$

Lemma A.9 is used in the proof of Theorem 2.3 to give a bound on  $\dim A(\mathcal{J}_i^n \uparrow G; \mathcal{B})$ , for  $\mathcal{J}_i^n$  as in Figure 2.28. The following two lemmas provide a bound for  $\dim A(\mathcal{H}_i^n \uparrow G; \mathcal{B})$ , for  $\mathcal{H}_i^n$  as in Figure 2.28.

**Lemma A.10.**

$$(1) \# \text{Hom}(\mathcal{H}_i^n \uparrow G; \mathcal{B}) = \frac{|D_{n-1}|}{|D_{i-1}|} \# \text{Hom}(\mathcal{H}_i^i \uparrow G; \mathcal{B}),$$

(2) for  $i$  odd,

$$\# \text{Hom}(\mathcal{H}_i^i \uparrow G; \mathcal{B}) \leq$$

$$\frac{|D_{i-1}|^2}{|D_{i-2}|} + \sum_{(\alpha, \alpha)^\pm \in \mathcal{B}^{i-1}} (\text{jmp}(\alpha))(\text{jmp}(\alpha) + 1)(d_{(\alpha, \alpha)^+})^2 +$$

$$\sum_{\substack{(\beta_{i-1}^1, \beta_{i-1}^2) = \\ \beta_{i-1} \in \mathcal{B}^{i-1}}} (\text{jmp}(\beta_{i-1}^1) + \text{jmp}(\beta_{i-1}^2))(\text{jmp}(\beta_{i-1}^1) + \text{jmp}(\beta_{i-1}^2) + 1)d_{\beta_{i-1}}^2,$$

## A.4 Combinatorial Lemmas for the Weyl Groups and Brauer Algebra

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(3) for  $i$  even,

$$\begin{aligned} \# \text{Hom}(\mathcal{H}_i^i \uparrow G; \mathcal{B}) &\leq 2 \frac{|D_{i-1}|^2}{|D_{i-2}|} \\ &+ 2 \sum_{\substack{(\beta_{i-1}^1, \beta_{i-1}^2) = \\ \beta_{i-1} \in \mathcal{B}^{i-1}}} (\text{jmp}(\beta_{i-1}^1) + \text{jmp}(\beta_{i-1}^2)) (2 \text{jmp}(\beta_{i-1}^1) + 2 \text{jmp}(\beta_{i-1}^2) + 3) d_{\beta_{i-1}}^2, \end{aligned}$$

where  $\text{jmp}$  denotes the jump of a partition, i.e., the number of ways to remove a single box to form a new partition.

*Proof.* Part (1) follows from the proof of Lemma A.7.

To prove (2), consider

$$\begin{aligned} \# \text{Hom}(\mathcal{H}_i^i \uparrow G; \mathcal{B}) &= \\ &= \sum_{\alpha_j, \beta_j \in \mathcal{B}^j} M_{\mathcal{B}}(\beta_{i-1}, \beta_{i-2}) M_{\mathcal{B}}(\alpha_i, \alpha_{i-1}) M_{\mathcal{B}}(\alpha_i, \beta_{i-1}) M_{\mathcal{B}}(\alpha_{i-1}, \beta_{i-2}) d_{\beta_{i-1}} d_{\alpha_{i-1}} \\ &= \sum_{\alpha_{i-1} \neq \beta_{i-1}} + \sum_{\substack{\alpha_{i-1} \neq \beta_{i-1} \\ \alpha_{i-1} = (\alpha, \alpha)^{\pm} = \beta_{i-1}}} + \sum_{\alpha_{i-1} = \beta_{i-1}}, \end{aligned}$$

For

$$\begin{aligned} \sum_{\alpha_{i-1} \neq \beta_{i-1}} &:= \\ &\sum_{\substack{\alpha_j, \beta_j \in \mathcal{B}^j \\ \alpha_{i-1} \neq \beta_{i-1}}} M_{\mathcal{B}}(\beta_{i-1}, \beta_{i-2}) M_{\mathcal{B}}(\alpha_i, \alpha_{i-1}) M_{\mathcal{B}}(\alpha_i, \beta_{i-1}) M_{\mathcal{B}}(\alpha_{i-1}, \beta_{i-2}) d_{\beta_{i-1}} d_{\alpha_{i-1}}, \end{aligned}$$

## A.4 Combinatorial Lemmas for the Weyl Groups and Brauer Algebra

over partitions  $\alpha_{i-1} \neq \beta_{i-1}$  such that if  $\alpha_{i-1} = (\alpha, \alpha)^\pm$  then  $\beta_{i-1} \neq (\alpha, \alpha)^\pm$ ,

$$\sum_{\substack{\alpha_{i-1} \neq \beta_{i-1} \\ \alpha_{i-1} := (\alpha, \alpha)^\pm \\ = \beta_{i-1}}} := \sum_{\substack{\alpha_j, \beta_j \in \mathcal{B}^j \\ \alpha_{i-1} \neq \beta_{i-1} \\ \alpha_{i-1} := (\alpha, \alpha)^\pm = \beta_{i-1}}} M_{\mathcal{B}}(\beta_{i-1}, \beta_{i-2}) M_{\mathcal{B}}(\alpha_i, \alpha_{i-1}) M_{\mathcal{B}}(\alpha_i, \beta_{i-1}) M_{\mathcal{B}}(\alpha_{i-1}, \beta_{i-2}) d_{\beta_{i-1}} d_{\alpha_{i-1}},$$

and

$$\sum_{\alpha_{i-1} := \beta_{i-1}} := \sum_{\substack{\alpha_j, \beta_j \in \mathcal{B}^j \\ \alpha_{i-1} = \beta_{i-1}}} M_{\mathcal{B}}(\beta_{i-1}, \beta_{i-2})^2 M_{\mathcal{B}}(\alpha_i, \beta_{i-1})^2 (d_{\beta_{i-1}})^2.$$

As in the proof of Lemma A.7,

$$\sum_{\alpha_{i-1} \neq \beta_{i-1}} \leq \frac{|D_{i-1}|^2}{|D_{i-2}|} - \sum_{\beta_{i-1} \in \mathcal{B}^{i-1}} (\text{jmp}(\beta_{i-1}^1) + \text{jmp}(\beta_{i-1}^2)) (d_{\beta_{i-1}})^2, \quad (\text{A.6})$$

the inequality appearing because if  $\beta_{i-1} = (\alpha, \alpha)$ ,  $\text{jmp}(\alpha) + \text{jmp}(\alpha)$  is an overestimate since  $(\alpha, \beta)$  represents the same representation as  $(\beta, \alpha)$  in  $\mathcal{B}$ . Similarly, the proof of Lemma A.7 gives

$$\sum_{\alpha_{i-1} = \beta_{i-1}} \leq \sum_{\beta_{i-1} \in \mathcal{B}^{i-1}} (\text{jmp}(\beta_{i-1}^1) + \text{jmp}(\beta_{i-1}^2)) (\text{jmp}(\beta_{i-1}^1) + \text{jmp}(\beta_{i-1}^2) + 2) (d_{\beta_{i-1}})^2. \quad (\text{A.7})$$

Now suppose  $\alpha_{i-1} \neq \beta_{i-1}$  and  $\alpha_{i-1} = (\alpha, \alpha)^\pm = \beta_{i-1}$ . Then

$$\begin{aligned} \sum_{\substack{\alpha_{i-1} \neq \beta_{i-1} \\ \alpha_{i-1} := (\alpha, \alpha)^\pm = \beta_{i-1}}} &= \sum_{\substack{\alpha_j, \beta_j \in \mathcal{B}^j \\ (\alpha, \alpha)^\pm}} M_{\mathcal{B}}((\alpha, \alpha)^\pm, \beta_{i-2})^2 M_{\mathcal{B}}(\alpha_i, (\alpha, \alpha)^\pm)^2 (d_{(\alpha, \alpha)^\pm})^2 \\ &\leq \sum_{(\alpha, \alpha)^\pm \in \mathcal{B}^{i-1}} \text{jmp}(\alpha) (\text{jmp}(\alpha) + 1) (d_{(\alpha, \alpha)^\pm})^2. \end{aligned} \quad (\text{A.8})$$

## A.4 Combinatorial Lemmas for the Weyl Groups and Brauer Algebra

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Summing equations (A.6), (A.7), and (A.8) gives part (2).

To prove (3), note that in this case

$$\# \text{Hom}(\mathcal{H}_i^i \uparrow G; \mathcal{B}) = \sum_{\alpha_{i-1} \neq \beta_{i-1}} + \sum_{\alpha_{i-1} = \beta_{i-1}},$$

since  $i - 1$  is odd so  $(\alpha, \alpha)^\pm \notin \mathcal{B}^{i-1}$ . However, pairs of partitions of this form may be found at levels  $i$  and  $i - 2$ .

First suppose  $\alpha_{i-1} \neq \beta_{i-1}$ . Then as in the proof of Lemma A.7 they jointly determine  $\alpha_i = (\alpha_i^1, \alpha_i^2)$ . This means that they jointly determine at most two pairs of partitions (if  $\alpha_i^1 = \alpha_i^2$ ). Thus

$$\begin{aligned} \sum_{\alpha_{i-1} \neq \beta_{i-1}} &\leq 2 \sum_{\substack{\beta_{i-2} \in \mathcal{B}^{i-2} \\ \alpha_{i-1} \neq \beta_{i-1} \in \mathcal{B}^{i-1}}} M_{\mathcal{B}}(\beta_{i-1}, \beta_{i-2}) M_{\mathcal{B}}(\alpha_{i-1}, \beta_{i-2}) d_{\alpha_{i-1}} d_{\beta_{i-1}} \\ &= 2 \frac{|D_{i-1}|^2}{|D_{i-2}|} - 2 \sum_{\beta_{i-1} \in \mathcal{B}^{i-1}} (\text{jmp}(\beta_{i-1}^1) + \text{jmp}(\beta_{i-1}^2)) (d_{\beta_{i-1}})^2, \end{aligned} \quad (\text{A.9})$$

as in the proof of Lemma A.7.

Now suppose  $\alpha_{i-1} = \beta_{i-1}$ . As before there are  $\text{jmp}(\beta_{i-1}^1)$  ways to obtain  $\beta_i^1$  and  $\text{jmp}(\beta_{i-1}^2)$  ways to obtain  $\beta_i^2$ , but to account for when  $\beta_i^1 = \beta_i^2$ , we overcount by multiplying by 2. The same holds for the number of ways to obtain  $\alpha_{i-2}$  from  $\beta_{i-1}$ . Thus,

$$\sum_{\alpha_{i-1} \beta_{i-1}} \leq \sum_{\beta_{i-1} \in \mathcal{B}^{i-1}} 2(\text{jmp}(\beta_{i-1}^1) + \text{jmp}(\beta_{i-1}^2)) 2(\text{jmp}(\beta_{i-1}^1) + \text{jmp}(\beta_{i-1}^2) + 2) (d_{\beta_{i-1}})^2. \quad (\text{A.10})$$

Summing equations (A.9) and (A.10) gives part 3. □

## A.4 Combinatorial Lemmas for the Weyl Groups and Brauer Algebra

Combining Lemma A.10 with Lemma A.8 gives the following bound:

**Corollary A.4.**  $\# \text{Hom}(\mathcal{H}_i^n \uparrow G; \mathcal{B}) \leq \frac{20(i-1)}{n} |D_n|$ .

### A.4.3 The Brauer Algebra $\mathcal{B}r_n$

In the Bratteli diagram  $\mathcal{B}$  associated to the chain

$$\mathcal{B}r_n > \mathcal{B}r_{n-1} > \cdots > \mathbb{C}(q)$$

irreducible representations of  $\mathcal{B}r_i$  are indexed by partitions of  $i - 2k$ ,  $0 \leq k \leq i/2$ , with an edge between  $\rho \in \mathcal{B}^i$  and  $\lambda \in \mathcal{B}^{i-1}$  if  $\rho$  is obtained from  $\lambda$  by adding or removing a box [56] (see Figure A.6). Note that this is a multiplicity-free diagram.

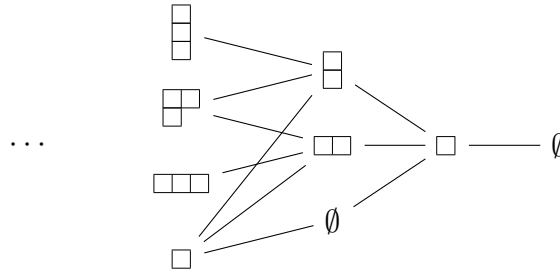


Figure A.6:  $\mathcal{B}r_3 > \mathcal{B}r_2 > \mathcal{B}r_1 > \mathbb{C}(q)$

The following two lemmas provide a bound for  $\dim A(\mathcal{H}_i^n \uparrow G; \mathcal{B})$ , for  $\mathcal{H}_i^n$  as in Figure 2.43.

**Lemma A.11.**

$$(1) \# \text{Hom}(\mathcal{H}_i^n \uparrow G; \mathcal{B}) = \frac{\dim(\mathcal{B}r_{n-1})}{\dim(\mathcal{B}r_{i-1})} \# \text{Hom}(\mathcal{H}_i^i \uparrow G; \mathcal{B}),$$

## A.4 Combinatorial Lemmas for the Weyl Groups and Brauer Algebra

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(2)  $\# \text{Hom}(\mathcal{H}_i^i \uparrow G; \mathcal{B})$

$$\leq 2 \frac{\dim(\mathcal{B}r_{i-1})^2}{\dim(\mathcal{B}r_{i-2})} + \sum_{\beta_{i-1} \in \mathcal{B}^{i-1}} (4 \text{jmp}(\beta_{i-1})^2 + 2 \text{jmp}(\beta_{i-1}) + 1) (d_{\beta_{i-1}})^2,$$

where  $\text{jmp}$  denotes the jump of a partition, i.e., the number of ways to remove a single box to form a new partition.

*Proof.* Part (1) follows from the proof of Lemma A.7.

To prove (2), consider

$$\begin{aligned} & \# \text{Hom}(\mathcal{H}_i^i \uparrow G; \mathcal{B}) \\ &= \sum_{\alpha_j, \beta_j \in \mathcal{B}^j} M_{\mathcal{B}}(\beta_{i-1}, \beta_{i-2}) M_{\mathcal{B}}(\alpha_i, \alpha_{i-1}) M_{\mathcal{B}}(\alpha_i, \beta_{i-1}) M_{\mathcal{B}}(\alpha_{i-1}, \beta_{i-2}) d_{\beta_{i-1}} d_{\alpha_{i-1}} \\ &= \sum_{\alpha_{i-1} \neq \beta_{i-1}} + \sum_{\alpha_{i-1} = \beta_{i-1}}, \end{aligned}$$

for  $\sum_{\alpha_{i-1} \neq \beta_{i-1}}$  the sum

$$\sum_{\substack{\alpha_j, \beta_j \in \mathcal{B}^j \\ \alpha_{i-1} \neq \beta_{i-1}}} M_{\mathcal{B}}(\beta_{i-1}, \beta_{i-2}) M_{\mathcal{B}}(\alpha_i, \alpha_{i-1}) M_{\mathcal{B}}(\alpha_i, \beta_{i-1}) M_{\mathcal{B}}(\alpha_{i-1}, \beta_{i-2}) d_{\beta_{i-1}} d_{\alpha_{i-1}}$$

and  $\sum_{\alpha_{i-1} = \beta_{i-1}}$  the sum

$$\sum_{\substack{\alpha_j, \beta_j \in \mathcal{B}^j \\ \alpha_{i-1} = \beta_{i-1}}} M_{\mathcal{B}}(\beta_{i-1}, \beta_{i-2})^2 M_{\mathcal{B}}(\alpha_i, \beta_{i-1})^2 (d_{\beta_{i-1}})^2.$$

First suppose  $\alpha_{i-1}$  and  $\beta_{i-1}$  are distinct partitions. Then they jointly determine  $\alpha_i$  up to two choices. This is clear if  $\alpha_{i-1}$  and  $\beta_{i-1}$  both partition  $k$ , as they then

## A.5 Factoring Coset Representatives of $GL_n(\mathbb{F}_q)$

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they jointly determine exactly one partition of  $k + 1$  and one partition of  $k - 1$ . Now suppose, without loss of generality, that  $\alpha_{i-1}$  is a partition of  $k$  while  $\beta_{i-1}$  is a partition of  $k - 2$ . Then to both be connected to a vertex,  $\alpha_i$ , at level  $i$ ,  $\beta_{i-1}$  must be obtained from  $\alpha_{i-1}$  by removing two boxes, which can only be done in two ways.

Then as in the proof of Lemma A.7,

$$\sum_{\alpha_{i-1} \neq \beta_{i-1}} \leq 2 \left( \frac{\dim(\mathcal{B}r_{i-1})^2}{\dim(\mathcal{B}r_{i-2})} - \sum \text{jmp}(\beta_{i-1})(d_{\beta_{i-1}})^2 \right). \quad (\text{A.11})$$

Now suppose  $\alpha_{i-1} = \beta_{i-1}$ . Then  $\alpha_i$  is obtained from  $\beta_{i-1}$  by either adding or removing a box, and similarly for  $\beta_{i-2}$ . Thus,

$$\sum_{\alpha_{i-1} = \beta_{i-1}} = \sum_{\beta_{i-1} \in \mathcal{B}^{i-1}} (2 \text{jmp}(\beta_{i-1}) + 1)(2 \text{jmp}(\beta_{i-1}) + 1)(d_{\beta_{i-1}})^2. \quad (\text{A.12})$$

Summing equations (A.11) and (A.12) gives part (2). □

Combining Lemma A.11 with the proof of Lemma A.8 gives the following bound:

**Corollary A.5.**  $\# \text{Hom}(\mathcal{H}_i^n \uparrow G; \mathcal{B}) \leq \frac{16i-17}{2n-1} \dim(\mathcal{B}r_n)$ .

## A.5 Factoring Coset Representatives of $GL_n(\mathbb{F}_q)$

In this section we provide the set of coset representatives and their factorizations used in the proof of Theorem 2.4 by developing a correspondence between  $Gl_n(q)/Gl_{n-1}(q)$  and the set

$$X_n = \{(\mathbf{y}, \mathbf{x}) \mid \mathbf{x}, \mathbf{y} \in (\mathbb{F}_q)^n, \mathbf{y} * \mathbf{x}^T = 1\}.$$



## A.5 Factoring Coset Representatives of $GL_n(\mathbb{F}_q)$

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Define an action of  $Gl_n(q)$  on  $X_n$  via  $A.(\mathbf{y}, \mathbf{x}) = (\mathbf{y}A^{-1}, A\mathbf{x}^T)$ . Note that this action preserves the product  $\mathbf{y} * \mathbf{x}^T$ . For  $\mathbf{1} = ((0, \dots, 0, 1), (0, \dots, 0, 1))$ , we show (Theorem A.4) that

$$X_n = \text{Orb}(\mathbf{1}).$$

Further, note that  $Gl_{n-1}(q)$ , viewed as a subgroup of  $Gl_n(q)$ , stabilizes  $\mathbf{1}$ , so the orbit-stabilizer theorem gives a bijection between  $X_n = \text{Orb}(\mathbf{1})$  and  $Gl_n(q)/Gl_{n-1}(q)$  through the correspondence

$$g.\mathbf{1} \longleftrightarrow gGl_{n-1}(q). \quad (\text{A.13})$$

Thus, writing  $(\mathbf{x}, \mathbf{y}) = A_1 \cdots A_m.\mathbf{1}$  for each  $(\mathbf{x}, \mathbf{y}) \in X_n$  gives a factorization of the corresponding coset representative. We find a factorization in which each matrix  $A_i = A \oplus I_{n-2}$  for  $A \in Gl_2(q)$ .

**Lemma A.12.** *Suppose  $\mathbf{x}, \mathbf{y} \in (\mathbb{F}_q)^2$  with  $x_1y_1 + x_2y_2 \neq 0$ . Then there exists a matrix  $A \in Gl_2(q)$  and  $y'_2, x'_2 \in \mathbb{F}_q^\times$  such that*

$$A.(\mathbf{y}, \mathbf{x}) = \left( \begin{pmatrix} 0 \\ y'_2 \end{pmatrix}, \begin{pmatrix} 0 \\ x'_2 \end{pmatrix} \right).$$

*Proof.* Since the action of  $A$  preserves the product  $\mathbf{y} * \mathbf{x}^T$ ,

$$y'_2x'_2 = x_1y_1 + x_2y_2 \neq 0.$$

**Case 1:**  $x_1 = 0$ . Let  $A = \begin{pmatrix} 1 & 0 \\ \frac{y_1}{y_2} & 1 \end{pmatrix}$ . Note there are  $q$  possibilities for  $A$ .

## A.5 Factoring Coset Representatives of $GL_n(\mathbb{F}_q)$

**Case 2:**  $x_1 \neq 0, y_1 = 0$ . Let  $A = \begin{pmatrix} 1 & \frac{-x_1}{x_2} \\ 0 & 1 \end{pmatrix}$ . Note there are  $q - 1$  possibilities for  $A$ .

**Case 3:**  $x_1 \neq 0, y_1 \neq 0$ . Let  $A = \begin{pmatrix} \frac{-x_2}{x_1} & 1 \\ 1 & \frac{y_2}{y_1} \end{pmatrix}$ . Note there are  $q^2$  possibilities for  $A$ .

Note further that for  $z_1 := x_1 y_1$  and  $z_2 := x_2 y_2$  fixed and nonzero,

$$A = \begin{pmatrix} \frac{-x_2}{x_1} & 1 \\ 1 & \frac{z_2 x_1}{z_1 x_2} \end{pmatrix},$$

and there are  $q - 1$  possibilities for  $A$ .

□

We use Lemma A.12 to systematically write  $(\tilde{\mathbf{y}}, \tilde{\mathbf{x}}) \in X_n$  in form

$$(\tilde{\mathbf{y}}, \tilde{\mathbf{x}}) = A_1 A_2 \cdots A_k \cdot \left( \left( 0, \dots, 0, \tilde{y}'_n \right), \left( 0, \dots, 0, \tilde{x}'_n \right) \right),$$

with  $A_i \in GL_n(q)$  and  $\tilde{y}'_n \tilde{x}'_n = 1$ . To do so we first simplify  $(\tilde{\mathbf{y}}, \tilde{\mathbf{x}})$ . Recall that  $p$  is the characteristic of  $\mathbb{F}_q$ .

**Proposition A.2.** *Let  $(\tilde{\mathbf{y}}, \tilde{\mathbf{x}}) \in X_n$ . Then there is a permutation matrix  $\pi \in GL_n(q)$ ,  $b \in \mathbb{F}_q^\times$ , and  $i \geq 1$  such that  $\pi \cdot (\tilde{\mathbf{y}}, \tilde{\mathbf{x}}) = (\mathbf{y}, \mathbf{x})$  with:*

(i)  $z_1 + \cdots + z_j \neq 0$  for all  $i \leq j \leq n$ , where  $z_i := x_i y_i$ ,

(ii)  $z_1 = \cdots = z_i = b$ ,

(iii)  $p \mid (i - 1)$ .

## A.5 Factoring Coset Representatives of $GL_n(\mathbb{F}_q)$

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*Proof.* Let  $\tilde{\mathbf{z}} = (\tilde{z}_1, \dots, \tilde{z}_n) := (\tilde{x}_1\tilde{y}_1, \dots, \tilde{x}_n\tilde{y}_n)$ . Note that  $\tilde{\mathbf{y}} * \tilde{\mathbf{x}}^T = \tilde{z}_1 + \dots + \tilde{z}_n = 1 \neq 0$ . Let  $j$  be an index (if it exists) such that  $\tilde{\mathbf{y}} * \tilde{\mathbf{x}}^T - \tilde{z}_j \neq 0$ . Note that for a permutation matrix  $\pi$ ,  $(\pi^T)^{-1} = \pi$ , so the action of  $\pi$  on  $(\tilde{\mathbf{y}}, \tilde{\mathbf{x}})$  permutes  $\tilde{\mathbf{x}}$  and  $\tilde{\mathbf{y}}$  in the same way and we may equivalently consider the action of  $\pi$  on  $\tilde{\mathbf{z}}$ . Permute  $\tilde{\mathbf{z}}$  to make  $\tilde{z}_j$  the last entry, then delete  $\tilde{z}_j$  to produce a vector of length  $n-1$ . Repeat until no such index exists, and let  $i$  be the length of the resultant vector,  $\mathbf{z}$ . Then clearly  $z_1 + \dots + z_j \neq 0$  for all  $i \leq j \leq n$ . Further,  $z_1 + \dots + z_i - z_k = 0$  for all  $1 \leq k \leq i$ ; in particular,  $z_1 = \dots = z_i = b \in \mathbb{F}_q^\times$ . Finally note that  $z_1 + \dots + z_{i-1} = (i-1)b = 0$  and so  $p \mid (i-1)$ .  $\square$

In light of Proposition A.2, let

$$S_i(n) = \{(\mathbf{y}, \mathbf{x}) \in X_n \mid (\mathbf{y}, \mathbf{x}) \text{ satisfies (i) and (ii) of Proposition A.2}\}.$$

Note that if  $p \nmid i$ ,  $S_i(n) = \emptyset$ .

**Theorem A.4.** *For  $p \neq 2$  and  $(\mathbf{y}, \mathbf{x}) \in S_i(n)$ , there exist invertible matrices*

$$u_j, u'_j, v_j, t_j \in Gl_j(q) \cap \text{Centralizer}(Gl_{j-2}(q)),$$

and a scalar matrix  $\epsilon$  such that

$$(\mathbf{y}, \mathbf{x}) = (u_2 \cdots u_{p-1} u'_{p+1} t_p u_{p+1} \cdots u_{2p-1} u'_{2p+1} t_{2p} u_{2p+1} \cdots u_i v_{i+1} \cdots v_n \epsilon). \mathbf{1}.$$

*Proof.* Let  $(\mathbf{y}, \mathbf{x}) \in S_i(n)$  and let  $i = mp + l$ , for  $m \geq 1$ . For  $\mathbf{z} = (z_1, \dots, z_n) := (x_1 y_1, \dots, x_n y_n)$ ,

$$\mathbf{z} = \left( b, \dots, b, z_{i+1}, \dots, z_n \right).$$

## A.5 Factoring Coset Representatives of $GL_n(\mathbb{F}_q)$

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Note that  $z_1 + z_2 = 2b \neq 0$  and so by Lemma A.12, there is a matrix  $A \in GL_2(q)$  such that  $A \cdot \left( \begin{pmatrix} y_1 \\ y_2 \end{pmatrix}, \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \right) = \left( \begin{pmatrix} 0 \\ y'_2 \end{pmatrix}, \begin{pmatrix} 0 \\ x'_2 \end{pmatrix} \right)$  with  $y'_2 x'_2 = 2b$ . Let

$$u_2^{-1} = \begin{pmatrix} A & & & \\ & 1 & & \\ & & \ddots & \\ & & & 1 \end{pmatrix} \in GL_n(q).$$

Then  $u_2^{-1} \cdot (\mathbf{y}, \mathbf{x}) = \left( \begin{pmatrix} 0 \\ y'_2 \\ y_3 \\ \vdots \\ y_n \end{pmatrix}, \begin{pmatrix} 0 \\ x'_2 \\ x_3 \\ \vdots \\ x_n \end{pmatrix} \right)$  with new  $\mathbf{z}$ -vector

$$\begin{pmatrix} 0 \\ 2b \\ b \\ \vdots \\ b \\ z_{i+1} \\ \vdots \\ z_n \end{pmatrix}.$$

Provided  $z_j + z_{j+1} \neq 0$ , we repeat this process, defining matrices  $u_3^{-1}, u_4^{-1}, \dots, u_j^{-1}$ .

This affects the  $\mathbf{z}$ -vector as follows:

$$\begin{aligned} & \begin{pmatrix} b \\ b \\ b \\ b \\ \vdots \\ b \\ z_{i+1} \\ \vdots \\ z_n \end{pmatrix} \\ & \quad \downarrow u_2^{-1} \\ & \begin{pmatrix} 0 \\ 2b \\ b \\ b \\ \vdots \\ b \\ z_{i+1} \\ \vdots \\ z_n \end{pmatrix} \\ & \quad \downarrow u_3^{-1} \\ & \begin{pmatrix} 0 \\ 0 \\ 3b \\ b \\ \vdots \\ b \\ z_{i+1} \\ \vdots \\ z_n \end{pmatrix} \\ & \quad \downarrow u_4^{-1} \\ & \quad \vdots \\ & \quad \downarrow u_{p-1}^{-1} \\ & \begin{pmatrix} 0 \\ \vdots \\ 0 \\ (p-1)b \\ b \\ b \\ \vdots \\ b \\ z_{i+1} \\ \vdots \\ z_n \end{pmatrix}. \end{aligned}$$

## A.5 Factoring Coset Representatives of $GL_n(\mathbb{F}_q)$

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Since  $z_{p-1} + z_p = pb = 0$ , instead, define  $(u'_{p+1})^{-1}$  as above and consider the permutation matrix  $t_p^{-1}$  corresponding to the transposition  $(p-1\ p)$ :

$$\begin{aligned}
 & \downarrow (u'_{p+1}) \\
 & \left( 0, \dots, 0, (p-1)b, 0, 2b, b, \dots, b, z_{i+1}, \dots, z_n \right) \\
 & \quad \downarrow t_p^{-1} \\
 & \left( 0, \dots, 0, (p-1)b, 2b, b, b, \dots, b, z_{i+1}, \dots, z_n \right) \\
 & \quad \downarrow u_{p+1}^{-1} \\
 & \left( 0, \dots, 0, 0, (p+1)b = b, b, b, \dots, b, z_{i+1}, \dots, z_n \right) \\
 & \quad \downarrow u_{p+2}^{-1} \\
 & \quad \vdots
 \end{aligned}$$

Repeat this process until the matrix  $u_{mp+l}^{-1} = u_i^{-1}$  is defined:

$$\begin{aligned}
 & \quad \vdots \\
 & \quad \downarrow u_{mp+l}^{-1} \\
 & \left( 0, \dots, 0, z_1 + \dots + z_i, z_{i+1}, \dots, z_n \right).
 \end{aligned}$$



## A.5 Factoring Coset Representatives of $GL_n(\mathbb{F}_q)$

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For  $i < p$  analogous arguments apply without needing the matrices  $t_p$ .

Multiplying by a scalar matrix,  $\epsilon^{-1}$ , and noting that each matrix is invertible and that  $v_j, u_j, u'_j, t_j \in Gl_j(q) \cap \text{Centralizer}(Gl_{j-2}(q))$  gives the result.  $\square$

**Remark A.3.** By Lemma A.12, there are  $(q - 1)$  possibilities for each  $u_j$  and  $q^2$  possibilities for each  $v_j$ . Since  $\epsilon$  is a scalar matrix, there are  $(q - 1)$  possibilities for  $\epsilon$ .

By Proposition A.2,

$$X_n = \bigcup_{\pi \in S_n} \bigcup_{\substack{1 \leq i \leq n \\ p \mid (i-1)}} \pi S_i(n)$$

and so by Correspondence A.13 a complete set of coset representatives for  $Gl_n(q)/Gl_{n-1}(q)$  is contained in  $\{\pi s_i \mid 1 \leq i \leq n, p \mid (i - 1), s_i \in S_i(n)\}$ , with each  $s_i$  of form:

$$s_i = u_2 \cdots u_{p-1} u'_{p+1} t_p u_{p+1} \cdots u_i v_{i+1} \cdots v_n.$$

Finally, consider the  $p = 2$  case.

**Theorem A.5.** For  $p = 2$ ,  $i \geq 3$  odd,  $(\mathbf{y}, \mathbf{x}) \in S_i(n)$ , there exist invertible matrices

$$a_j, b_j, c_j, v_j \in Gl_j(q) \cap \text{Centralizer}(Gl_{j-2}(q))$$

such that

$$(\mathbf{y}, \mathbf{x}) = a_3 b_2 c_3 \cdots a_i b_{i-1} c_i v_{i+1} \cdots v_n \cdot \mathbf{1}.$$

*Proof.* Let  $(\mathbf{y}, \mathbf{x}) \in S_i(n)$ . For  $\mathbf{z} = (z_1, \dots, z_n) := (x_1 y_1, \dots, x_n y_n)$ , Proposition A.2 gives

$$\mathbf{z} = \left( 1, \dots, 1, z_{i+1}, \dots, z_n \right).$$

First note that since  $p \nmid i$ ,  $i$  is odd, and for  $i = 1$ , Lemma A.12 applies as in the

## A.5 Factoring Coset Representatives of $GL_n(\mathbb{F}_q)$

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proof of Theorem A.4, so assume  $i \geq 3$ . Also note that for  $j \leq i$ ,  $z_j = 1$  and so neither  $x_j$  nor  $y_j$  are zero. Then for

$$A_j = \begin{pmatrix} 1 & \frac{y_j}{y_{j-1}} \\ 0 & 1 \end{pmatrix}, \quad B_j = \begin{pmatrix} 0 & 1 \\ 1 & \frac{y_j}{y_{j-1}} \end{pmatrix}, \quad C_j = \begin{pmatrix} \frac{y_{j-2}}{y_j} & 1 \\ 1 & 0 \end{pmatrix},$$

let  $a_j^{-1}$  (respectively  $b_j^{-1}, c_j^{-1}$ )  $\in GL_n(q)$  have 1's along the diagonal and  $A_j$  (respectively  $B_j, C_j$ ) in the  $(j-1, j) \times (j-1, j)$ th subblock. Then for  $j \leq i$ ,

$$c_j^{-1} b_{j-1}^{-1} a_j^{-1} \cdot (\mathbf{x}, \mathbf{y}) = \left( \left( y_1, \dots, y_{j-3}, 0, 0, y_{j-2}, y_{j+1}, \dots, y_n \right), \left( x_1, \dots, x_{j-3}, 0, 0, x_{j-2}, x_{j+1}, \dots, x_n \right) \right).$$

Further, note that  $a_j^{-1}, b_j^{-1}, c_j^{-1}$  are invertible for each  $1 \leq j \leq i$ . Then for  $v_j^{-1}$  as in the proof of Theorem A.4,

$$v_n^{-1} \cdots v_{i+1}^{-1} c_i^{-1} b_{i-1}^{-1} a_i^{-1} c_{i-2}^{-1} b_{i-3}^{-1} a_{i-2}^{-1} \cdots c_3^{-1} b_2^{-1} a_3^{-1} \cdot (\mathbf{y}, \mathbf{x}) = \mathbf{1}$$

which proves the theorem. □

Note that there are  $(q-1)$  choices for  $a_j$  and  $b_j$ , that  $c_j$  is completely determined by  $a_j$  and  $b_j$ , and that there are  $q^2$  choices for  $v_j$ .



# Appendix B

## Appendix

### B.1 Example of Walk in $\mathcal{BMW}_3$

Example B.1. In  $\mathcal{BMW}_3$ ,

$$\mathcal{B}_3 = \mathbf{R} \cup \mathbf{E}_1 \cup \mathbf{E}_2 \cup \mathbf{E}_3,$$

for

$$\begin{aligned} \mathbf{R} &= \{T_{id}, T_{r_1}, T_{r_2}, T_{r_1}T_{r_2}, T_{r_2}T_{r_1}, T_{r_1}T_{r_2}T_{r_1}\}, & \mathbf{E}_1 &= \{T_{e_1}, T_{r_2}T_{e_1}, T_{e_2}T_{e_1}\}, \\ \mathbf{E}_2 &= \{T_{e_2}, T_{r_1}T_{e_2}, T_{e_1}T_{e_2}\}, & \mathbf{E}_3 &= \{T_{e_1}T_{r_2}, T_{r_2}T_{e_1}T_{r_2}, T_{e_2}T_{e_1}T_{r_2}\}. \end{aligned}$$

The Markov chain  $K_1$  has form

$$R \oplus E_1 \oplus E_2 \oplus E_3,$$

for

## B.2 Symmetric Group Elements

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$$R = \begin{matrix} & id & r_1 & r_2 & r_1r_2 & r_2r_1 & r_1r_2r_1 \\ \begin{matrix} id \\ r_1 \\ r_2 \\ r_1r_2 \\ r_2r_1 \\ r_1r_2r_1 \end{matrix} & \begin{pmatrix} 0 & \theta & 0 & 0 & 0 & 0 \\ 1 & 1-\theta & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & \theta & 0 & 0 \\ 0 & 0 & 1 & 1-\theta & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & \theta \\ 0 & 0 & 0 & 0 & 1 & 1-\theta \end{pmatrix} \end{matrix},$$

$$E_1 = \begin{matrix} & e_1 & r_2e_1 & e_2e_1 \\ \begin{matrix} e_1 \\ r_2e_1 \\ e_2e_1 \end{matrix} & \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & \theta \\ 0 & 1 & 1-\theta \end{pmatrix} \end{matrix}, \quad E_2 = \begin{matrix} & e_2 & r_1e_2 & e_1e_2 \\ \begin{matrix} e_2 \\ r_1e_2 \\ e_1e_2 \end{matrix} & \begin{pmatrix} 0 & \theta & 0 \\ 1 & 1-\theta & 0 \\ 0 & 0 & 1 \end{pmatrix} \end{matrix},$$

$$E_3 = \begin{matrix} & e_1r_2 & r_2e_1r_2 & e_2e_1r_2 \\ \begin{matrix} e_1r_2 \\ r_2e_1r_2 \\ e_2e_1r_2 \end{matrix} & \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & \theta \\ 0 & 1 & 1-\theta \end{pmatrix} \end{matrix}.$$

## B.2 Symmetric Group Elements

**Lemma B.1.** *Let  $\mathbf{X}_1$  be a communication class of  $K$  whose elements have at least two lower horizontal edges. Then there exist enough  $s_x \in S_n$  with  $s_x^2 \neq id$  to associate a distinct  $s_x$  to each  $x \in \mathbf{X}_1$  such that  $s_x \neq s_y^{-1}$  for any  $y \in \mathbf{X}_1$ .*

*Proof.* The size of a communication class is determined by the number of lower horizontal edges of its elements. Let  $\mathbf{X}_i$  be the communication class of an element  $x_i$

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with  $m$  lower horizontal edges. Then a simple counting argument gives:

$$|\mathbf{X}_i| = (n - 2m)! \prod_{j=0}^{m-1} \binom{n - 2j}{k}.$$

In particular, for  $x_i, x_j \in \mathcal{B}_n$ ,

$$|\mathbf{X}_i| > |\mathbf{X}_j| \iff x_i \text{ has fewer lower horizontal edges than } x_j.$$

Note that if  $x_i$  has exactly one lower horizontal edge,

$$|\mathbf{X}_i| = \frac{n!}{2} = \frac{|S_n|}{2},$$

and so  $S_n$  cannot contain enough elements of order greater than 2 to make the associations required by the lemma, as we need  $2|\mathbf{X}_i|$  elements of order greater than 2.

Now let  $x_i$  have exactly two lower horizontal edges. Then for all  $x_j \in \mathcal{B}_n$  with at least two lower horizontal edges,

$$|\mathbf{X}_j| \leq |\mathbf{X}_i| = (n - 4)! \binom{n}{2} \binom{n - 2}{2} = \frac{n!}{8} = \frac{|S_n|}{8},$$

and so

$$2|\mathbf{X}_j| \leq \frac{|S_n|}{2}. \tag{B.1}$$

Let  $T_n$  be the set of elements of  $S_n$  of order 2. Then by Equation B.1 we need show

$$\frac{|S_n|}{2} \leq |S_n| - |T_n|,$$

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in other words, that  $|T_n| \leq \frac{|S_n|}{2}$ .

But

$$|T_n| = \begin{cases} \sum_{k=1}^{\frac{n}{2}} \frac{n!}{(n-2k)!k!2^k} & \text{if } n \text{ even,} \\ \sum_{k=1}^{\frac{n-1}{2}} \frac{n!}{(n-2k)!k!2^k} & \text{if } n \text{ odd,} \end{cases}$$

and so  $|T_n| < \frac{|S_n|}{2}$  for  $n > 4$ . As the only communication classes when  $n < 4$  correspond to elements with fewer than 2 lower horizontal edges, this proves the lemma.  $\square$

Finally, for  $x_i$  with exactly one lower horizontal edge, while  $\mathbf{X}_i$  contains too many elements to make the associations of Lemma B.1, note that each  $y \in \mathbf{X}_i$  can be viewed as an element,  $y'$  of  $\mathcal{B}_{n+1}$  by adding a verticle edge to the end of the diagram. Then to analyze  $[K]_i$ , let  $\mathbf{X}'_i = \{y' \mid y \in \mathbf{X}_i\}$  and let  $K'$  be the matrix of  $K$  with respect to  $\mathcal{B}_{n+1}$ . Then since  $[K']_i = [K]_i$ , we can analyze this case by considering  $K'$ .

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