



U.S. Securities & Exchange Commission Vacancy Announcement

Job Title	Supervisory Securities Compliance Examiner
Location	Washington, DC
Compensation	\$127,761 to \$214,061 / Per Year
Position Number	16-ES-1763638-CSS
Application Deadline	August 10, 2016

Overview

The U.S. Securities and Exchange Commission (SEC) is looking for the best and brightest to join our team. Our mission is to protect investors, maintain fair, orderly, and efficient markets, and facilitate capital formation. A career with the SEC offers work that is exciting, challenging and rewarding. You can contribute to securities regulation and enforcement while making a positive difference for the American investing public.

Duties

- Delegating supervisory authority in the establishment and issuance of technical and administrative guidance;
- Planning and assigning work, advising on program goals and objectives, making decisions on work problems, and organizing and monitoring the flow of work for the assigned programs in order to achieve the most effective results within a complex environment;
- Serving as senior manager and expert securities compliance examination consultant responsible for a specialized area of expertise providing direction for the activities of one of the Compliance Inspections and Examinations program's offices in the Headquarters or a regional office engaged in the conduct of examinations or inspections;
- Providing expertise and leadership in risk-based examinations of registered investment advisers and registered investment companies. Issues include, as applicable, securities trading; portfolio management; private funds such as hedge, private equity, infrastructure and real estate funds; securities valuation; forensic accounting/internal auditing; information security, sales and distribution; derivatives and synthetic products; risk analysis or compliance; and
- Serving as adviser and counselor to key executives within the SEC on all matters arising within area for which responsible.

Qualifications

- All qualification requirements must be met by the closing date of this announcement.
- Qualifying experience may be obtained in the private or public sector. Experience refers to paid and unpaid experience, including volunteer work done through National Service programs (e.g., Peace Corps, AmeriCorps) and other organizations (e.g., professional; philanthropic; religious; spiritual; community, student, social). Volunteer work helps build critical competencies, knowledge, and skills and can provide valuable training and experience that translates directly to paid employment. You will receive credit for all qualifying experience, including volunteer experience. Additional qualifications information can be found [here](#).

To Apply

To learn more about this employment opportunity and/or to apply online, please visit <http://go.usa.gov/x25YB>. For assistance, please contact the Office of Minority and Women Inclusion at (202) 551-6046 or omwi@sec.gov.

The SEC is an equal opportunity employer