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Machine-learned modeling of PM$_{2.5}$ exposures in rural Lao PDR

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1. Abstract
This study presents a machine-learning-enhanced method of modeling PM$_{2.5}$ personal exposures in a data-scarce, rural, solid fuel use context. Data collected during a cookstove (Africa Clean Energy (ACE)-1 solar-battery powered stove) intervention program in rural Lao PDR are presented and leveraged to explore advanced techniques for predicting personal exposures to particulate matter with aerodynamic diameter smaller than 2.5 µm (PM$_{2.5}$). Mean 48-hour PM$_{2.5}$ exposure concentrations for female cooks were measured for the pre- and post-intervention periods (the “Before” and “After” periods, respectively) as 123 µg/m$^3$ and 81 µg/m$^3$. Mean 48-hour PM$_{2.5}$ kitchen air pollution (“KAP”) concentrations were measured at 462 µg/m$^3$ Before and 124 µg/m$^3$ After. Application of machine learning and ensemble modeling demonstrated cross-validated personal exposure predictions that were modest at the individual level but reasonably strong at the group level, with the best models producing an observed vs. predicted $r^2$ between 0.26 – 0.31 ($r^2 = 0.49$ when using a smaller, un-imputed dataset) and mean Before estimates of 119 - 120 µg/m$^3$ and After estimates of 86 - 88 µg/m$^3$. This offered improvement over one typical method of predicting exposure — using a kitchen exposure factor (the ratio of KAP to exposure) — which demonstrated an $r^2$ ~ 0.03 and poorly estimated group average values. The results of these analyses highlight areas of methodological improvement for future exposure assessments of household air pollution and provide evidence for researchers to explore the advantages of further incorporating machine learning methods into similar research across wider geographic and cultural contexts.

2.
1. Introduction

Approximately 2.8 billion people meet most of their cooking needs with solid fuels such as wood or coal (Bonjour et al., 2013), creating much human exposure to household air pollution (HAP) from particulate matter (PM) with aerodynamic diameter of 2.5 microns or smaller (PM$_{2.5}$). HAP exposures can vary due to heterogeneity of pollution within the home, including vertical stratification (Johnson et al., 2011; Kandpal et al., 1995) and changes in dilution relative to distance from the stove or windows. Additional factors influencing exposure include the amount of time spent outside of the household, PM$_{2.5}$ sources unaccounted for in measurements, pollution at a neighbor’s home during a visit, or high outdoor ambient pollution concentrations due to traffic, trash burning, or other sources.

Personal exposures that directly affect health are, thus, more than a function of fuel type alone, and even the most sophisticated indoor concentration models are often incapable of assessing the human-environment interactions (Steinle et al., 2013) that affect actual exposures. Errors in exposure estimation caused by relying on proxies like fuel type may lead to significant bias in the estimation of related health burdens, especially at points along the exposure-response relationship where risk is thought to be highly non-linear, i.e. be changing rapidly with exposure (Burnett et al., 2014; Cohen et al., 2017; Smith et al., 2014; World Bank and Institute for Health Metrics & Evaluation, 2016). The general paucity of HAP exposure data stems from the difficulty, invasiveness, and resource-
intensive nature of current exposure measurement techniques (Balakrishnan et al., 2011, 2014b; Clark et al., 2013). Gold standard methods for measuring exposure have required outfitting individuals with delicate, expensive pumps that are sometimes also bulky, heavy, and noisy and require sophisticated laboratory backup. Alternate approaches that rely on somewhat easier to collect data suggest that variability in both indoor concentrations and personal exposures to HAP may be explained in part by household and behavioral indicators like fuel type, stove type, kitchen structure, and cooking duration (Balakrishnan et al., 2013, 2002).

We investigate the utility of kitchen air pollution and other environmental measurements and easier-to-assess household and survey-based behavioral indicators to predict 24-hour average PM$_{2.5}$ exposures in rural Lao women, utilizing machine learning, and specifically ‘super learning’ – the production of a single “super” learner by combining a set of candidate learners like random forests or neural networks, as weighted by their predictive utility (van der Laan et al., 2007). We focus specifically on survey questions similar to or drawn directly from the Demographic and Health Survey (DHS) questionnaires. DHS is an internationally administered, structured, and standardized survey designed to collect accurate and representative data on demographics and health (ICF International, 2011) that is deployed in over 90 countries. Better use of existing DHS indicators to predict HAP exposures may prove useful for future disease burden research, quantifications of the amount of illness avoided through interventions (e.g., Averted Disability Adjusted Life Years, or aDALYs) (Anenberg et al., 2017; Smith et al., 2015), and, in general,
cookstove monitoring and evaluation programs. Finally, we provide additional data from a Southeast Asian stove intervention program, adding to a growing global pool of PM$_{2.5}$ exposure and kitchen-level concentration data and providing measured concentrations that may augment existing global databases (Shupler et al., 2018a).

2. Methods

Data collection occurred during a separate biomass stove intervention program in Xonboury District, Savannakhet Province, Lao People’s Democratic Republic (Lao PDR), where approximately 95% of households cook with solid fuels on traditional appliances (Health Effects Institute, 2018). The program has been described elsewhere (Hill et al., 2015). Briefly, it sought to evaluate the displacement of traditional stoves with the African Clean Energy (ACE)-1 battery-powered$^3$ blower stove in three villages, hereafter identified as villages A, B, and C, in no particular order. During the program, a total of 72 households (24 from each village) were enrolled. Selection criteria included the use of wood as the primary cooking fuel and that the main cook be 18 years of age or older and not pregnant. All primary cooks were identified as female. Households were encouraged to use their new stove during the post-intervention study period, but no penalty was imposed if they did not comply.

$^3$ The ACE-1 comes with a small solar panel to recharge the internal battery of the stove.
The study population described in this paper is a subset derived from the above population receiving the ACE-1 intervention. Participants from half of the households in each village (n = 36) were enrolled in a personal exposure assessment on a convenience basis. Meteorology and ambient PM$_{2.5}$ concentrations, measured in the separate study (Hill et al., 2015) were utilized in the analysis of data in this study.

The sampling scheme took the form of a before-and-after intervention study. Each household participated in a baseline survey, a round of air pollution monitoring and follow-up surveys approximately 2-4 weeks prior to receiving the ACE-1 stove (“Before”). Subsequently, each household participated in another round of air pollution monitoring and follow-up surveys weeks after receiving the ACE-1 stove (“After”). The time between stove dissemination and measurement was intended to allow cooks to gain familiarity with the new stove. Dissemination occurred over the course of a week in January 2015. Distributors made follow-up visits to villages and households to address problems and queries.

Each round of air pollution sampling occurred in segments of approximately 3-weeks, with about one week in each village. The first round spanned December 2014 to January 2015 and the second round occurred between January and February 2015. In this paper, we describe the following measurements: 2 days of simultaneous gravimetric measurement of KAP and personal PM$_{2.5}$ exposure; a post-monitoring survey to provide data specific to each 2-day set of measurements; and ambient air pollution and meteorology
measurements at a central site in each village. This detailed sampling scheme is summarized in Figure 1.

Protocols used to collect the data analyzed in the study were approved by the University of California, Berkeley Committee for Protection of Human Subjects, protocol number 2014-06-6457. Lao Institute for Renewable Energy (LIRE) formally sought permission for the study through Ministry of Energy and Mines – IREP – who informed the provincial and district authorities. District authorities supported the implementation.

2.1 Air pollution measurements

Gravimetric air pollution measurements of KAP and personal PM$_{2.5}$ exposures were taken before and after stove dissemination. Sampling was performed using Triplex cyclones (SCC 1.062, Mesa Labs, Butler, NJ, USA) with a 2.5 µm size cut at 1.5 liters per minute attached to AirChek XR5000 pumps (SKC, Inc., Eighty Four, PA, USA). Cyclones were fit with 37 mm Polytetrafluoroethylene (PTFE) filters with support ring and 2µm pores (Pall Corporation, Port Washington, NY, USA). Gravimetric KAP and personal PM$_{2.5}$ exposure samples were collected for two consecutive approximately 24-hour periods (about 48 hours total).

KAP monitors were placed on the kitchen wall about 1.5 m from the floor and about 1 m from the edge of the main cooking stove. Where possible, monitors were placed about 1 m away from major ventilation sources such as windows, eaves, and doors. Field teams installed push-pins during the Before sampling period to facilitate consistency in monitor
placement with the After period. Duplicate KAP filter measurements were taken for quality assurance and quality control ($n_{original} = 16$)\(^4\).

Measurements of personal exposure and gravimetric KAP concentrations were taken concurrently. A vest was designed to hold personal exposure monitoring equipment with the gravimetric sampling inlet approximately in the breathing zone while limiting discomfort (Figure 2). Participants were requested to place the garment next to their sleeping area (e.g., bed) at night and in a nearby location while bathing.

Sample run times were determined primarily by start and stop times logged by fieldworkers. Discrepancies were assessed and resolved manually using context, like the internal timers on the pumps. Flow rates were measured at the start and end of each gravimetric sample using standard methods. Paper based forms were double-entered and discrepancies were resolved manually.

Ambient 24-hour PM$_{2.5}$ measurements were collected using a MiniVol PM$_{2.5}$ Sampler (Air Metrics, Springfield, OR, USA). 47 mm PTFE filters with built-in support ring and 2 µm pores (Pall Corporation, Port Washington, NY, USA) were used for sampling at a flow rate of approximately 5 liters per minute. The MiniVol was placed in a relatively central location in each village where it would be safe and would not be disturbed. Placement rotated through villages with KAP and exposure sampling.

\(^4\) 2 of the 16 samples intended as duplicates were analyzed as actual area samples to replace invalid area samples.
Measurements of outdoor temperature (°C), relative humidity (%), barometric pressure (mb), wind speed (km/hour), wind direction, and precipitation (mm) were collected using a Vantage Vue 6250 wireless weather station with Integrated Sensor Suite 6357 and a 6351 console (Davis Instruments, Hayward, CA, USA) co-located with the MiniVol in each village. Meteorology readings were manually entered into a field form once in mid-morning (“morning”) and in the late morning or early afternoon (“afternoon”).

2.2 Determination of mass concentrations

Filters from KAP and personal monitoring were weighed before and after sampling on a Mettler Toledo XP2U (Mettler Toledo, Columbus, OH, USA). Field blanks were collected for both 37 mm (n_{Before} = 15, n_{After} = 12) and 47 mm systems (n_{Before} = 3, n_{After} = 2). During the study, an equipment malfunction in the weighing facility invalidated pre-sample filter weight measurements for a large fraction of the 37 mm samples (about 20%). Samples were recovered using a validated method (Garland et al., 2018). Briefly, post-sample filters were sonicated in a solvent bath to extract PM mass from sampling. Filters were air dried, conditioned, and reweighed. The post-extraction mass was used as a proxy for the filter’s pre-sample weight. Final mass deposition from sampling was calculated as the difference between the post-sample (pre-extraction) filter mass and post-extraction filter mass, and adjusted using the average post-extraction field blank mass from each sampling period. The method has been shown to recover pre-sample filter weights with high reliability (r^2 > 0.99). For consistency, all gravimetric samples were treated with this method. The method
discussed by Garland et al. (2018) identifies and excludes samples below a limit of
detection of 60 µg of mass deposition – we employed data produced by an earlier version
of their model that did not exclude values below the LoD (less than 5% of non-blank filters
and about 12% of all filters)\(^5\) but which still demonstrated high reliability (\(r^2 > 0.99\)). Filters
from ambient PM measurement were not affected by this equipment malfunction and thus
not treated for extraction.

2.3 Questionnaires

Three separate questionnaires were administered during the personal exposure study: a
baseline questionnaire, a post-KAP monitoring questionnaire [not used in this analysis],
and a post-personal exposure monitoring questionnaire (Hill et al., 2015). All
questionnaires were drafted in English and translated into Lao with input from colleagues
with experience in the field, rural Lao context, or both. All were administered in Lao by
trained surveyors familiar with local customs using Microsoft Excel-based tools and
portable computers. Questionnaires were reviewed for missing and implausible answers
and manually adjusted to available audio recordings of survey administration where
possible.\(^6\)

The post-monitoring questionnaires were administered upon equipment removal. The
post-KAP monitoring questionnaire asked specifically about the approximately 4 days of
KAP measurement (which included about 48 hours of gravimetric measurement), and the

\(^5\) Mass deposition assessed to be < 60 µg after application of the extraction method.
\(^6\) In some instances of review, especially at later dates, recordings were not available.
post-personal exposure monitoring questionnaire asked only about the approximately 48 hours of simultaneous gravimetric exposure and KAP measurement.

All post-personal exposure monitoring questions were included because they pertain directly to the air pollution measurement periods of interest. Redundant questions were removed. Topics considered from the baseline questionnaire included household characteristics and demographics, architectural characteristics of the primary house and kitchen, respondent age and smoking status, attitudes toward cooking smoke and its impacts on health, wood fuel preparation, electricity access, and electric appliance use. Baseline questionnaire variables were combined for each household’s Before and After post-monitoring datasets.

A primary goal of the analysis was to understand the utility of DHS-type survey questions in the prediction of personal PM$_{2.5}$ exposure concentrations in the wood-fuel cooking context. In Lao PDR, DHS questions have been administered in the form of the Lao Social Indicator Survey (LSIS) (Ministry of Health and Lao Statistics Bureau of the Ministry of Planning and Investment, 2012). LSIS questions for which reasonable overlap with the baseline or post-monitoring questionnaires existed or could be produced during data processing covered topics including household size by age, education level of household members, drinking water source, sanitation, ethnic identity, architectural characteristics
of the main house, primary fuel type and cooking location, household asset and financial status, and land and livestock ownership.\textsuperscript{7}

Several existing variables were modified and new variables created by combining individual responses or questions. Cooking and grilling exposure activity scores were created from post-monitoring questionnaire responses about cooking and grilling behavior. This is described in more detail in the Supplement.

2.4 Statistical analysis

Analyses were performed primarily using the R statistical programming language (R Core Team, 2016). Data were analyzed using two ensemble machine learning methods: one using a user-specified library of algorithms (SuperLearner; Polley et al. 2016) and one using so-called “bagging” of regression trees (randomForest; Liaw and Wiener 2002). SuperLearner implementations used a library containing the following learners: random forest, cForest, extreme gradient boosting, neural networks with a single hidden layer, support vector machines, and 10-fold cross-validated (CV) generalized linear modeling with regularization.\textsuperscript{8} Though both are flexible methods for prediction, SuperLearner has the advantage on more parsimonious fits if the underlying true model is relatively smooth. In addition, as long as the library of learners is extensive enough, the SuperLearner provides something akin to an upper bound on how any approach would do

\textsuperscript{7} LSIS questions HL6, HH11, ED3, ED4, WS1, WS8, WS9, HC1C, HC3, HC4, HC5, HC6, HC7, HC8, HC9, HC10, HC12, HC14, and HC15.

\textsuperscript{8} Learners as identified by SuperLearner: SL.randomForest, SL.cforest, SL.xgboost, SL.nnet, SL.svm, SL.glmnet. All were used with default settings.
given the available data, so a low CV-based $r^2$ resulting from SuperLearner is unlikely to be from model misspecification and more likely to be because of the limitations of the predictors.

The general goals of the analysis were to understand how well 48-hour average exposures and 24-hour average exposures could be predicted using all variables collected, only a targeted subset the variables collected (for several targeted subsets), and the traditional kitchen exposure factor (KEF; the ratio of a person’s exposure to their KAP concentration) as well as which categories of information lead to relatively better performance when included in a predictive model. Special emphasis was placed on data similar to those collected routinely around the globe as part of the DHS program.

Most, but not all, households contributed two sets of data to the models (Before and After measurements); values from the same household were kept together (by fold) during cross-validation. Because not all households contributed to both the Before and After datasets (i.e. not all Before and After data were paired), performing paired (dependent) statistical tests in many of the comparisons in this paper would require a sample size reduction which may prove detrimental to the primary predictive analyses of this paper – which, themselves, do account for whether data came from the Before or After periods. For this reason, we often perform testing that functions on the principles of independence when comparing variables between the Before and After periods. Doing so may increase bias and affect the likelihood of Type I error – possibly increasing the
likelihood of Type I error in t-tests (e.g., Guo and Yuan, 2017). For this reason, reported statistical significances in variables Before vs. After the intervention should be used as general indications of periodic difference and with caution. Missing personal PM$_{2.5}$ exposure concentration values determined the final sample size for analysis: 33 After samples and 27 Before samples from 34 unique participants (60 total samples; 19 in village A, 22 in village B, and 19 in village C). Variable importance in the prediction of 48-hour exposure was assessed using the randomForest package (Liaw and Wiener, 2002) (based upon the incremental decrement in fit by removing each candidate predictor). Model performance was evaluated using the coefficient of determination ($r^2$) of observed exposure values regressed on predicted exposure values and by comparing the similarity of observed and predicted exposure values as stratified by sampling period (Before vs. After). The latter was chosen to reflect real-world use in the context of monitoring and evaluating an exposure intervention program.

The kitchen exposure factor is sometimes used along with KAP concentration data to quantify personal PM$_{2.5}$ exposure. The predictive value of KEF was explored in this dataset using 10-fold CV: a mean 48-hour KEF was calculated on approximately 90% of sample data and multiplied across individual 48-hour KAP concentration measurements on the remaining ~ 10% of data to produce estimates of exposure for those participants. This was performed ten times with each iteration leaving out a unique ~ 10% of the data until cross validated exposure predictions were calculated for all participants. This procedure was performed on all KAP and exposure data and again on the dataset stratified by sampling
period (5 folds per stratum). Before and After samples from the same household were kept together during CV fold creation.

The ability to predict 48-hour average PM$_{2.5}$ exposure was explored using 10-fold CV SuperLearner methods on three primary variable sets. The first training set, the “Full” set, included all original and imputed (described in the Supplement) independent variables with the exception of village, as it is largely non-transportable, and sampling period, as it is a direct aspect of the pre-determined model performance metric. We can use such an approach to examine the ability of predicting based upon future data that is a combination of actual and imputed measures. Stove type, as a near-perfect proxy for sampling period, was also excluded. An iteration of this model was also produced using the un-imputed dataset. The second training set excluded all KAP and meteorology variables from the Full set (“Full Without KAP” set). The third set included only KAP, meteorology, and ambient concentration data from the Full set (“KAP Without Surveys” set).

The Full set was also explored with CV SuperLearner using each of the first- and second-day 24-hour average exposure measurements as the outcomes of interest. When predicting individual 24-hour average outcomes, gravimetric KAP and meteorology data were subset to reflect only the specific day of measurement.

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*The un-imputed “Full” dataset consisted of only 37 samples (n$_{\text{Before}}$ = 13, n$_{\text{After}}$ = 24) and 278 covariates, compared to the 60 samples (n$_{\text{Before}}$ = 27, n$_{\text{After}}$ = 33) and 305 covariates included in the post-imputation dataset. Differences in covariates arose from the changes to data processing outputs caused by data missingness. In general, the types of covariates included in the un-imputed and post-imputation datasets were similar. A description of the imputed and final datasets are in the Supplement to this article.*
A group of five training datasets that focused on LSIS-type questionnaire data was also explored with CV SuperLearner to better understand the predictive power of DHS indicators and the adjuvant power of other measurements. These included the following:

- a set of only the LSIS-type questionnaire variables (“LSIS Only”)
- a set of LSIS-type questions, ambient PM$_{2.5}$ concentrations, and all meteorology variables (“LSIS and Outdoor”)
- a set of LSIS-type variables and self-reported wood fuel use (kg) from the post-monitoring questionnaires (“LSIS and Wood Use”)
- a set of LSIS-type variables and self-reported heating variables from post-monitoring questionnaires (“LSIS and Heating”)
- a set of LSIS-type variables and the previously described cooking and grilling exposure activity scores (“LSIS and Exposure Scores”)

PM$_{2.5}$ concentrations observed during the Before and After periods were examined, though the overall analysis does not hypothesize or explore the causal link between ACE-1 use and exposure reduction.

### 3. Results

#### 3.1 Air pollution and meteorological measurements

Summary statistics for the complete pollution concentration samples ($n = 60$) are shown in Table 1 and visualized in Figure 3. The 48-hour average personal PM$_{2.5}$ exposure
concentration in the Before period was significantly different from that of the After period (reduced from 123 µg/m$^3$ to 81 µg/m$^3$, p < 0.001) as was the 48-hour average KAP concentration (from 462 µg/m$^3$ to 124 µg/m$^3$, p < 0.001). Day 1 average exposures were marginally different from Day 2 averages (p = 0.07), with a stronger and significant difference (p < 0.01) in the Before period. In the Before period, the Day 2 average value was lower than the Day 1 average value; this was reversed in the After period. Average KAP concentrations were not significantly different between Days 1 and 2. 48-hour samples (n = 52) were significantly different for both personal exposure and KAP (p < 0.001). KEF varied significantly between the Before and After period (p < 0.01).

Budget constraints and technical difficulties resulted in too few valid samples to produce daily ambient PM$_{2.5}$ concentration estimates. Thus, valid 24-hour measurements were aggregated to produce a single estimate of average ambient PM$_{2.5}$ concentration for each village during each sampling period (Table 2). Each sample was assigned an ambient concentration based on the village in which the participant lived and the sampling period. A significant difference in average ambient concentrations between sampling periods was not observed (p = 0.74). Temperature and wind speed varied significantly (p < 0.05) between Before and After periods; barometric pressure varied marginally significantly (p < 0.10) between periods (Table 3).

3.2 Questionnaires
A total of 246 variables from the baseline and post-monitoring questionnaires were available for analysis (not including variables for household ID and village). Missing values were imputed, and an indicator variable was created for each imputation. A more complete description of the questionnaire dataset, imputation techniques, and construction of the final dataset used for subsequent analysis is included in the Supplement. Table 4 summarizes select variables of the survey-based data.
3.3 Statistical analyses and prediction models

Summary statistics for models produced using all 48-hour exposure datasets are shown in Table 5. Figure 4 shows observed exposure values plotted against values predicted using CV SuperLearner for each of the three primary datasets and against values predicted using the KEF method.

Among the three primary datasets, the model produced using the “Full Without KAP” dataset estimated exposures from both Before and After periods with the least reliability. Little difference was observed between the predictive power of the “Full” and “Full Without Surveys” datasets, which both produced models that accurately predicted Before and After concentrations. Both models demonstrated modest strength in predicting individual exposure values, with $r^2 \approx 0.25$ and estimated means within a reasonable range of observed values. The model produced using the Full un-imputed dataset performed similarly well to the model produced on the Full imputed dataset.

KEF proved a poor predictor of exposure, especially in the Before sampling period. Stratification by sampling period improved results slightly, with the best results observed in the After period predictions. 48-hour exposures were also modeled from 48-hour average KAP concentrations with SuperLearner$^{10}$ as a complementary analysis of what a KEF-type model would look like with the benefits of super learning and machine learning.

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$^{10}$ Excluding learners reliant on forests and regularization (i.e. SL.randomForest, SL.cforest, and SL.glmnet), which did not function with only one predictor variable.
This improved performance slightly ($r^2 = 0.09$), producing mean Before and After exposure estimates of 108.0 µg/m$^3$ ($p = 0.18$) and 86.4 µg/m$^3$ ($p = 0.30$), respectively.

The model produced on LSIS-only data did not successfully predict mean concentrations for either study period. Addition of ambient PM$_{2.5}$ and meteorology data dramatically improved the LSIS dataset’s predictive power, resulting in the most predictive LSIS-based model. The addition of heating variables, wood use variables, and exposure score variables also improved performance slightly.

Variable importance analyses uncovered useful data groupings (Table 6). Relative importance is demonstrated in terms of the percent increase in mean squared error (MSE) caused by removing each variable during Random Forest selection. Meteorology and KAP data made the greatest contributions to the Full data model. The sets of 10 most important variables were very similar between the Full and Full Without Surveys models. The model produced on the Full set without meteorology or KAP data was less robust, and produced relatively poor estimates of sample period mean exposures. Generally, LSIS-type variables related to household size, ethnicity, and cooking location made the greatest contributions to LSIS model performance. Among all models, a select few variables produced a considerably larger impact than the others. For example, relative importance in the Full dataset drops considerably after Day 1 24-hour KAP concentration, the fourth most important variable.
Observed Day 1 and Day 2 24-hour average exposure values plotted against the values predicted using CV SuperLearner on the Full dataset are shown in Figure 5. Models created with either Day 1 or Day 2 measurements accurately predicted sample period means (p > 0.15). The model trained on Day 1 measurements produced a much stronger fit ($r^2 = 0.30$) than the model trained on Day 2 measurements ($r^2 = 0.07$) or any of the models produced using 48-hour average exposures as the outcome of interest (highest 48-hour average $r^2 = 0.27$) and un-imputed data.

4. Discussion

This work provides one of the first exposure estimates among rural Lao females cooking with solid fuel and adds to the small number of studies on HAP in the region (e.g., Huang et al. 2013; Mengersen et al. 2011; Morawska et al. 2011). The personal PM$_{2.5}$ exposure measurements were within the range of those experienced by cooks using solid fuels globally. One study (Shupler et al., 2018b) estimated KAP and female exposure concentrations for rural Lao homes cooking with wood at 380 $\mu$g/m$^3$ and 155 $\mu$g/m$^3$, respectively during the “wet (summer)” season, and at 517 $\mu$g/m$^3$ and 211 $\mu$g/m$^3$ respectively during the “dry (winter)” season. A recent review of the literature (Balakrishnan et al., 2014b) estimated the mean of 24-hour average exposures for women cooking with solid fuels around the world at 267 $\mu$g/m$^3$ (SD: 297 $\mu$g/m$^3$). The lower estimates of exposures experienced by the Lao females in our study may be due to the hybrid indoor-outdoor nature of cooking in the region. Dwellings and kitchens in Lao
homes were observed to be highly ventilated; rooms often have large eaves, windows, and walls that do not reach the roof (Figure 6).

4.1 Concentrations Observed Before and After the ACE-1 intervention.

KAP concentrations and personal exposures were higher during the Before (pre-ACE-1 dissemination) than during the After (post-ACE-1 dissemination) periods (p < 0.001). This difference should be treated with caution, as the testing methods use may introduce bias and affect Type I error, as discussed in the Methods section. This is also true of the below tests for significance between the Before and After periods.

Factors other than the ACE-1 intervention may have impacted the magnitude of observed exposure reductions. Meteorological parameters changed between the Before and After periods (Table 3). Mean temperature was lower during the Before period (p <0.05) while mean wind speed was greater (p <0.05). Such changes may decrease physical comfort and encourage heating with biomass, increasing exposures among participants. In fact, use of heating during sampling was significantly higher (p < 0.01) during the Before period than during the After period. The effect may amplify the observed decrease in exposures. Future work should explore study designs that allow for delineation of the exposure effects of seasonality. Meteorological as well as behavioral and cultural factors should be considered – e.g. harvest periods, seasonal biomass burning, changes in ingredients and cooking types, religious holidays, and celebrations with pyrotechnic or major cooking components.
The amount of self-reported fuel used and time spent in the kitchen dropped significantly between the Before and After periods (p < 0.01 and p < 0.05, respectively). Time spent cooking at home and grilling at home also fell significantly (p < 0.01 and p < 0.05, respectively). These reductions may have been due to possible improvements in cooking efficiency afforded by the new stove.

It should be noted that follow-up time and time between the intervention and After measurements (approximately 2-4 weeks) was relatively short. A longer or more intensive stove transition period may have improved usage rates and efficiency, while a longer period of follow-up may have incurred increases in hardware failure or declines in usage.

4.2 Predicting PM$_{2.5}$ exposures with traditional and new methods

A primary aim of this paper is to explore the utility of advanced statistical techniques in predicting the difficult-to-measure personal PM$_{2.5}$ exposure concentrations within the rural solid-fuel cooking context. Exposure data were collected only on females with self-reported ages of or above the age of 18 years, and so may not be generalizable to males or children. Models using machine learning and super learning produced reliable estimates of mean exposures in both the Before and After study periods. The best models calculated individual 48-hour PM$_{2.5}$ exposures with reasonable strength ($r^2$: 0.26 - 0.31 [and 0.49 for a model performed on the smaller un-imputed set]), and predicted period-specific mean exposure values that were within 10% of and not significantly different from measured values.
Direct cross-validated prediction modeling for HAP exposures is sparse in the literature. One recent study (Shupler et al., 2018b) used Bayesian hierarchical methods, leave-one-out model validation, and variables like fuel and stove type, season, and location from 44 studies across 13 countries to predict household concentration and, using an indicator variable for Female Exposure, to also predict PM$_{2.5}$ exposures in women in 106 countries (best model Bayesian $r^2 = 0.57$). The sample size and geographic and cultural diversity covered by the dataset used in Shupler et al. (2018b) go far beyond those of the data analyzed in our paper. Still, our model produced values (120.1 µg/m$^3$ for the Full model) considerably closer in magnitude to the measured mean 48-hour average personal exposure concentration (123.2 µg/m$^3$) in rural Lao women using wood cookfuels on non-improved cookstoves than those estimated (as annual average 24-hour concentrations) by the Bayesian techniques of Shupler et al. (2018b; 183 µg/m$^3$). The Bayesian model heavily over-predicted annual 24-hour average exposure concentrations of Lao women using an improved biomass cookstove (ICS, e.g. the ACE-1) at 449 µg/m$^3$ in relation to the mean of our measured 48-hour average values (80.8 µg/m$^3$). Our Full model produced estimates much closer at 88.1 µg/m$^3$.

Our analysis holds an advantage over that of Shupler et al. (2018), as it employs data from the specific rural Lao population of interest. However, given the small relative sample size of our analysis and the diversity of the covariate set employed by Shupler et al. (2018b), the relative strength of accuracy observed in our models is likely assisted by factors other
than population specificity. We believe our model benefits substantially from the ability of machine learning techniques like Random Forest to uncover and harness complex variable inter-relationships to produce more accurate predictions. Future work should explore this notion more directly by comparing the results of machine learning techniques to the types of Bayesian analysis employed by Shupler et al. (2018b) using the same underlying datasets.

A more recent study (Yuchi et al., 2019) predicted indoor PM$_{2.5}$ concentrations using 10-fold cross validation of models produced with multiple linear regression, random forests regression, and a blend of the two during an air clear randomized controlled trial in Ulaanbaatar, Mongolia – a city heavily impacted by solid fuel use (Hill et al., 2017). Individual models predicted indoor concentrations, each with an $r^2$ around 0.50, similar to the prediction efforts in India. However, the use of a blended model produced an $r^2$ of 0.815, demonstrating that ensemble methods that include machine learning techniques may produce dramatic improvement in indoor concentration prediction models.

The best models in our analysis calculated 48-hour PM$_{2.5}$ exposures with correlations ($r^2$) between 0.26 - 0.31 ($r^2 = 0.49$ using an un-imputed dataset). In many contexts, these correlations may be considered modest and are smaller than those produced by Shupler et al. (2018b) and Yuchi et al. (2019). In the context of predicting population-level exposures, however, they are of reasonable magnitude. For example, a cross-validated model derived from measurements of a small number of household-level, DHS-type
variables in 617 households from 24 villages in India (Balakrishnan et al., 2013) demonstrated an $r^2$ of 0.31, and was ultimately employed in the GBD 2010 to estimate global HAP concentrations.

With cross validation, the machine learning and super learning methods applied to larger covariate sets strongly outperformed the more conventional method of linear KEF-based exposure assessment. KEF-based predictions overestimated mean Before exposures by 60 - 95%, but produced estimates of the After period mean that were similar to the measured value. Application of super learning and machine learning did improve the predictive performance of KAP (a mathematical variant of KEF when used as the sole predictor), but did not produce results on par with the best larger datasets. Researchers and practitioners should approach the predictive use of KEF with caution, at least in contexts similar to Lao PDR.

Poor generalizability of the bivariate relationship between indoor area concentration and exposure is supported by work performed elsewhere. A study of homes cooking with wood in Guatemala showed 48-hour average PM$_{2.5}$ exposure estimates for mothers that were typically 70% lower than 48-hour average KAP measurements in homes cooking with open fires but only 35% lower in homes using chimney stoves (Northcross et al., 2010). Despite average exposure values that were lower than average kitchen concentrations, 33% of measured exposure concentrations were greater than corresponding KAP levels. Women cooking with solid fuels over traditional open fires in
Mexico (Armendáriz-Arnez et al., 2008) were shown to have mean 24-hour average PM$_{2.5}$ exposures over 75% lower than mean 48-hour average KAP concentrations. This relationship changed after the introduction of a Patsari chimney stove. In women cooking solely with the Patsari, this difference dropped to about 70%; in women cooking with a Patsari who also maintained an open fire outside, it dropped to about 55%; and in women cooking with a Patsari who also maintained an open fire in the kitchen it dropped to about 40%. A study of cookstove users in rural China (Baumgartner et al., 2011) demonstrated a significant relationship between individual 24-hour kitchen concentrations and exposures in adults, but found no such connection in children and did not test predictive performance using CV. These regional examples are supported by a more-global analysis (Shupler et al., 2018b), which calculated female exposure to kitchen concentration ratios spanning a range of 0.33 – 0.74 across Global Burden of Disease regions.

The relative performance of the models examined in this analysis provides insight into which types of covariates may be of most predictive utility. Models trained on outdoor environmental data and KAP-related data were most accurate. Variable impact analysis identified morning wind speed, morning relative humidity, and KAP concentrations as producing the greatest impacts on model error in the Full Dataset. However, a direct causal link between these variables and exposures should not be automatically assumed. It is possible that these relationships are due to related, more-direct exposure factors such as outdoor ambient concentrations, which were only generally assessed in this analysis.
and which can be interrelated with HAP concentrations (Balakrishnan et al., 2014a) and influence concentrations in micro-environments in which a considerable portion of a person’s time is spent. Future work should more comprehensively assess and incorporate ambient concentrations into their modeling approaches. Future modeling efforts should also explore the impacts of these variables on model strength across global regions of varying climate, housing type, and general culture.

Models including questionnaire data, while outperformed by models that used other information, demonstrated some predictive power. Of notable utility were heating indicators – heating is likely an important determinant of personal exposure in regions where combustion-based heating exists (Baumgartner et al., 2011). The predictive power shown by questionnaire data in general suggests that accurate prediction of group mean exposures in the context of rural solid-fuel cooking might not require lengthy surveys. Moreover, there is reason to believe that certain survey-related queries would be of use when predicting exposures in populations with household characteristics and behavior patterns that are more heterogeneous than those of the Lao study population. Previous studies have been able to explain a good deal of statistical variation in 24-hour and 8-hour average HAP exposures with data obtainable by questionnaire (Baumgartner et al., 2011; Clark et al., 2010).

LSIS data produced the least well-performing super learning models. Poor performance of the LSIS data model in this specific study population may be because the LSIS dataset
does not include variables with much differentiation between seasons or cooking appliance. Globally administered DHS surveys like LSIS may be improved by adding a small number of questions about stove type, fuel usage, and heating behaviors. It is also likely that DHS indicators provide more predictive benefit in regions in which household characteristics, like kitchen and stove type, are more variable or seasonal effects, like heating, are less variable. In support of the usefulness of DHS indicators, the GBD 2010 model was able to reliably predict KAP concentrations from DHS-like data (Balakrishnan et al., 2013).

The single best candidate learner on the Full dataset was random forest, with an average root mean squared error (RMSE) of 40.0 µg/m³, followed by cForest at 43.2 µg/m³. Generalized linear modeling with regularization performed about as well as cForest with an RMSE of 44.6 µg/m³. Researchers interested in HAP exposure prediction should explore decision trees and regression with regularization, and may benefit from the use of a super learner, which, in this analysis, did not improve upon the best individual candidate learner (RMSE: 40.1 µg/m³). The neural network package, our implementation of which was relatively simplistic, did not outperform most other candidates (RMSE: 48.1 µg/m³). However, future analyses should investigate the utility of more complex and more nuanced neural networks.

The findings described in this paper provide guidance to future HAP studies, especially those measuring and modeling changes in exposure due to an intervention. Based on our
experience, we have produced a short list of lessons learned and suggestions for future research:

1. KAP and KEF alone should not be relied upon as unbiased predictors of exposure without verification.
2. Exposure-related parameters should be dealt with carefully. In some instances, confounders may be measured and adjusted for or employed as predictors.
3. Researchers across the globe should include DHS survey questions in their questionnaires to allow for stronger evaluation of the utility of DHS data in estimating HAP concentrations and related exposures across a wider global context.
4. Covariates with high “importance” in HAP exposure prediction models are not necessarily causal factors for the associated changes in exposure, and may not be externally generalizable. More studies in a wider variety of populations are needed.
5. The development of a broadly applicable and easy-to-calculate cooking exposure score should be explored, perhaps for use by the DHS program. Our random forests analyses, while specific to our rural Lao study population, suggest that an exposure score could prove useful in the prediction of exposures in the wider solid-fuel cooking context.
6. Structured, timely, and repeated quality control measures – like reviewing participant responses with interviewers and discussing unanticipated points of
confusion at the end of each sample day or week – should be used during periods of questionnaire administration.

The prediction methods we used have several strengths. Exposure, the metric of interest to health investigators, was directly predicted. The use of machine learning enabled exploration of hidden and complex relationships precluded by common linear and log-linear regression approaches. Furthermore, ensemble methods had the potential to improve predictive power, and were enabled by the exploration of datasets with dozens to hundreds of covariates, allowing a broad investigation of predictive power. Despite the inclusion of more covariates than samples, cross-validation methods limited error from overfitting.

The limitations of this work highlight areas where further research is needed. Our sample size of 60 exposure measurements from a single district in Lao PDR is unlikely to provide the heterogeneity required to produce valid inferences or models with wide external validity. Additionally, collection of the large number of survey indicators and measurements described is resource intensive.

Evidence suggests the final gravimetric analysis approach used in this study is reliable (Garland et al., 2018). It should not be overlooked, however, that our analysis may have incurred some error or bias from the gravimetric equipment malfunction and related remedies discussed in the Methods section. Additional assessments using more
traditional gravimetric analysis techniques may prove useful in confirming the data and our findings.

The World Health Organization recently undertook a collaborative process of revising existing survey indicators related to household energy use (World Health Organization, 2018a, 2018b). This includes an expanded set of questions focusing on both primary and secondary use of fuels and stoves for cooking, heating, and lighting. It is likely that this set of more nuanced survey indicators – combined with other traditional LSIS-style questions – may provide more robust household energy indicators and improve the predictive power and precision of models that rely upon them.

The impact of participant compliance on predictive power was not analyzed. Future work should assess compliance, perhaps through the use of accelerometers on monitors. This will also help investigators better understand how their measured exposures relate to true exposures. Approaches with smaller, more targeted variable sets – perhaps informed by the initial findings of our analysis or similar future work performed across a wider geographic and cultural domain – may produce models that are more manageable and less onerous for researchers and, importantly, participants. Finally, comprehensive longitudinal measurements of both predictors and exposures were not collected in each sampling period. Expanded collection and more in-depth analysis of repeated or long-term measurements would better capture between- and within-individual variability. This
has been shown to considerably improve the reliability of predictions of HAP-related exposures (McCracken et al., 2009).
7. Acknowledgements

The success of this research was fostered by the generosity and backing of local leaders in Lao PDR, Lao Government officials like Mr. Seumkham Thoummavongsa at the Ministry of Energy and Mines, and, of course, the kind study participants who allowed us into their homes, kitchens, and lives. Berkeley Air Monitoring Group, Inc., including Kirstie Jagoe, put a great deal of effort into the field preparation, team training, design, initial field work, and data quality assurance involved in the study from which this research evolved. We owe a debt of gratitude to the field teams who collected the data used. These include the following colleagues from Lao Institute for Renewable Energy (LIRE) who were managed by co-author A. Pelletreau: Keolamphanh Bouttasing, Laura Magni, Phetdavone Nammaxay, Souksengdao Phetsaphangthong, Soraia Sadid, and Lamduan Thammavong. We thank Geo-Sys colleagues Vichitta Linthavong and Line Ellen Ankjaer Nielsen who worked to revisit Lao questionnaire audio recordings. In addition, we are thankful for the support and guidance of Ken Newcombe, Rutu Dave, Natsuko Toba, Maria Teresa Hernandez, Ellen Eisen, and Mark Nicas and for the support of SNV Vientiane, the stove disseminator.

This work also benefits from the development of a collaborative report on the stove intervention project presented by many of the study co-authors to the Ministry of Health and Inter-Ministerial Clean Stove Initiative of the Lao People’s Democratic Republic in 2015. The current study contributes substantial additional analysis to the
original report, including the implementation of super learning and machine learning to model personal exposures.

A version of this paper appeared as part of the UC Berkeley PhD dissertation, 2017, by Lawson Andrew Hill, which is available from the University of California’s online repository, “eScholarship,” and ProQuest.

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Luxemburg, the Netherlands, Norway, the Rockefeller Foundation, Sweden, Switzerland, the United Kingdom, and the World Bank.

8. Conflicts of Interest

No conflicts of interest are known.
9. Tables and Figures

**Figure 1.** Key aspects of the sampling scheme.
Figure 2. An example of the sampling garments used.
Table 1. Kitchen Air Pollution and Personal PM$_{2.5}$ Exposure Concentrations, by Period and Sample Day

<table>
<thead>
<tr>
<th></th>
<th>Kitchen Air Pollution Concentration</th>
<th>Personal PM$_{2.5}$ Exposure Concentration</th>
<th>KEF</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>n</td>
<td>c</td>
<td>mean (µg/m$^3$) +/- 95% CI</td>
</tr>
<tr>
<td>Before</td>
<td>27</td>
<td></td>
<td>499$^{a}$ 182 376 2.1</td>
</tr>
<tr>
<td>Day 1</td>
<td>470$^{a}$ 163 350 2.1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Day 2</td>
<td>462$^{a}$ 144 370 2.0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>48 Hour</td>
<td></td>
<td></td>
<td>131$^{a}$ 30 113 1.7</td>
</tr>
<tr>
<td>After</td>
<td>33</td>
<td></td>
<td>116 15 109 1.5</td>
</tr>
<tr>
<td>Day 1</td>
<td>124$^{a}$ 20 114 1.5</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Note: GM = Geometric Mean, GSD = Geometric Standard Deviation, KEF = Kitchen Exposure Factor
$^{a}$ Values comprising this arithmetic mean are distributed log-normally (p < 0.05)
$^{b}$ For non-transformed data
$^{c}$ Note the difference in Before and After sample sizes. Some data did not have both Before and After samples (i.e. were unpaired)
Figure 3. Kernel density plots of distributions of personal PM$_{2.5}$ exposure (left) and Kitchen PM$_{2.5}$ Air Pollution concentrations (KAP; right), by sampling period (blue and red) and sampling time (from top to bottom). Concentrations are limited to 600 µg/m$^3$ to allow easier interpretation; 6 points from the 48 Hour Combined KAP, 6 points from the Day 1 KAP, and 5 points from the Day 2 KAP plots were excluded.
Table 2. Summary Statistics for Outdoor Ambient PM$_{2.5}$ Concentrations, by Sampling Period and Village$^a$

<table>
<thead>
<tr>
<th></th>
<th>n</th>
<th>Mean (µg/m$^3$)</th>
<th>Max (µg/m$^3$)</th>
<th>Min (µg/m$^3$)</th>
<th>SD (µg/m$^3$)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Before</td>
<td>7</td>
<td>52</td>
<td>73</td>
<td>26</td>
<td>16</td>
</tr>
<tr>
<td>Village A</td>
<td>2</td>
<td>49</td>
<td>50</td>
<td>47</td>
<td>-</td>
</tr>
<tr>
<td>Village B</td>
<td>3</td>
<td>57</td>
<td>66</td>
<td>43</td>
<td>12</td>
</tr>
<tr>
<td>Village C</td>
<td>2</td>
<td>49</td>
<td>73</td>
<td>26</td>
<td>-</td>
</tr>
<tr>
<td>After</td>
<td>14</td>
<td>53</td>
<td>147</td>
<td>15</td>
<td>34</td>
</tr>
<tr>
<td>Village A</td>
<td>4</td>
<td>57</td>
<td>82</td>
<td>15</td>
<td>30</td>
</tr>
<tr>
<td>Village B</td>
<td>5</td>
<td>70</td>
<td>147</td>
<td>38</td>
<td>44</td>
</tr>
<tr>
<td>Village C</td>
<td>5</td>
<td>34</td>
<td>57</td>
<td>20</td>
<td>15</td>
</tr>
</tbody>
</table>

The difference between Before and After concentrations was not statistically significant ($p = 0.74$)

a. Data collected Before and After the intervention cannot be claimed as independent. Because the data as analyzed included a mix of paired and un-paired data, independent sample testing methods were applied. This may increase bias and the likelihood of Type I error (Guo and Yuan, 2017).

Table 3. Summary Statistics for Key Meteorology Data, by Sampling Period and Village$^a$

<table>
<thead>
<tr>
<th>Mean Barometric Pressure (mb)</th>
<th>Mean Temperature (°C)</th>
<th>Mean RH (%)</th>
<th>Mean Wind Speed (km/h)</th>
<th>Most Observed Wind Direction</th>
<th>Mean Afternoon Precipitation (mm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Before</td>
<td>1017.1</td>
<td>24.3</td>
<td>56</td>
<td>6.1</td>
<td>SW</td>
</tr>
<tr>
<td>Village A</td>
<td>1018.7</td>
<td>23.3</td>
<td>53</td>
<td>8.5</td>
<td>SE</td>
</tr>
<tr>
<td>Village B</td>
<td>1017.3</td>
<td>23.3</td>
<td>54</td>
<td>6.5</td>
<td>NW</td>
</tr>
<tr>
<td>Village C</td>
<td>1015.2</td>
<td>26.3</td>
<td>61</td>
<td>3.2</td>
<td>SW</td>
</tr>
<tr>
<td>After</td>
<td>1015.9</td>
<td>25.9</td>
<td>54</td>
<td>3.9</td>
<td>NW</td>
</tr>
<tr>
<td>Village A</td>
<td>1015.4</td>
<td>27.8</td>
<td>53</td>
<td>4.2</td>
<td>SE</td>
</tr>
<tr>
<td>Village B</td>
<td>1015.0</td>
<td>24.5</td>
<td>57</td>
<td>3.2</td>
<td>NW</td>
</tr>
<tr>
<td>Village C</td>
<td>1017.3</td>
<td>25.3</td>
<td>51</td>
<td>4.3</td>
<td>NW</td>
</tr>
</tbody>
</table>

Note: with the exception of mean afternoon precipitation, “mean” values are taken as the average among all morning and afternoon measurements.

a. Data collected Before and After the intervention cannot be claimed as “independent.” Because the data as analyzed included a mix of paired and un-paired data, independent sample testing methods were applied. This may increase bias and the likelihood of Type I error (Guo and Yuan, 2017).

b. Difference between Before and After concentrations marginally significant using un-paired student’s t-test ($p < 0.10$)

c. Difference between Before and After concentrations statistically significant using un-paired student’s t-test ($p < 0.05$)

d. Difference between Before and After values not tested for significance
Table 4. Summary of Selected Questionnaire Responses

<table>
<thead>
<tr>
<th></th>
<th>All Study Data Mean (SD or %)</th>
<th>Dataset as Analyzed Mean (SD or %)</th>
<th>p-valuec</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Before</td>
<td>After</td>
<td>Before</td>
</tr>
<tr>
<td>n</td>
<td>36</td>
<td>36</td>
<td>27</td>
</tr>
<tr>
<td>Age of Cook (years; reported during Baseline Questionnaire)</td>
<td>35 (11)</td>
<td>34 (11)</td>
<td>35 (11)</td>
</tr>
<tr>
<td>Female Head of Household</td>
<td>4 (11%)</td>
<td>4 (15%)</td>
<td>4 (12%)</td>
</tr>
<tr>
<td>Self Reported Spending (KIP/month)</td>
<td>316,000 (710,000)</td>
<td>201,000 (343,000)</td>
<td>314,000 (745,000)</td>
</tr>
<tr>
<td>Electricity Access</td>
<td>36 (100%)</td>
<td>27 (100%)</td>
<td>33 (100%)</td>
</tr>
<tr>
<td>Primary Biomass Stove is ACE 1</td>
<td>0 (100%)</td>
<td>0 (100%)</td>
<td>33 (100%)</td>
</tr>
<tr>
<td>Primary Biomass Stove is Open Fire</td>
<td>30 (83%)</td>
<td>0 (b) 24 (88%)</td>
<td>0 (b) 24 (88%)</td>
</tr>
<tr>
<td>Reported Wood Use for Cooking (kg)</td>
<td>10.5 (5.1)</td>
<td>2.4 (1.2)</td>
<td>10.2 (4.5)</td>
</tr>
<tr>
<td>Kitchen Size (m3)</td>
<td>40 (33)</td>
<td>41 (32)</td>
<td>42 (34)</td>
</tr>
<tr>
<td>Cooking Location at Home</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>In House (Separate Room)</td>
<td>1 (3%)</td>
<td>0 (b)</td>
<td>1 (4%)</td>
</tr>
<tr>
<td>In House (Elsewhere)</td>
<td>30 (83%)</td>
<td>33 (92%)</td>
<td>22 (81%)</td>
</tr>
<tr>
<td>In Separate Building</td>
<td>5 (14%)</td>
<td>3 (8%)</td>
<td>4 (15%)</td>
</tr>
<tr>
<td>Time Spent Cooking per Day at Home (minutes)</td>
<td>543 (211)</td>
<td>364 (146)</td>
<td>542 (223)</td>
</tr>
<tr>
<td>Total Cooking Exposure Score</td>
<td>6,896 (3,441)</td>
<td>4,356 (3,498)</td>
<td>7,423 (3,643)</td>
</tr>
<tr>
<td>Time Spent Grilling per Day at Home (minutes)</td>
<td>86 (45)</td>
<td>29 (59)</td>
<td>87 (123)</td>
</tr>
<tr>
<td>Total Grilling Exposure Score</td>
<td>3,744 (6,537)</td>
<td>1,414 (5,375)</td>
<td>4,384 (7,315)</td>
</tr>
<tr>
<td>Smoking Occurred in House</td>
<td>28 (78%)</td>
<td>27 (75%)</td>
<td>23 (85%)</td>
</tr>
<tr>
<td>Smoking Occurred in Kitchen</td>
<td>5 (14%)</td>
<td>3 (8%)</td>
<td>4 (15%)</td>
</tr>
<tr>
<td>Ever Used a Heat Source During Sampling</td>
<td>23 (64%)</td>
<td>10 (28%)</td>
<td>20 (74%)</td>
</tr>
<tr>
<td>Time Activity During 48 Hour Sampling (hours)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Kitchen</td>
<td>8.9 (3.3)</td>
<td>7.4 (3.2)</td>
<td>9.3 (3.5)</td>
</tr>
<tr>
<td>Inside Home, but Not In Kitchen</td>
<td>21.6 (5.2)</td>
<td>27.7 (5.2)</td>
<td>22.4 (5.4)</td>
</tr>
<tr>
<td>Inside, at a Job</td>
<td>0.1 (0.5)</td>
<td>0 (b)</td>
<td>0.1 (0.6)</td>
</tr>
<tr>
<td>Inside, Elsewhere</td>
<td>2.4 (3.1)</td>
<td>1.1 (2.0)</td>
<td>2.0 (3.1)</td>
</tr>
<tr>
<td>Outdoors, at a Job Site</td>
<td>6.6 (5.2)</td>
<td>6.2 (6.8)</td>
<td>6.5 (5.2)</td>
</tr>
<tr>
<td>Outdoors, Elsewhere</td>
<td>8.4 (4.3)</td>
<td>5.6 (5.7)</td>
<td>7.7 (4.1)</td>
</tr>
</tbody>
</table>

a. Twelve samples were dropped prior to analysis for a lack of outcome data as described in the supplement. Tests for significant differences between Before and After responses were performed only for the dataset as analyzed.
b. Field notes indicate that at least one participant’s ACE-1 stove was non-operational during one of the two days of After period sampling.

c. Data collected Before and After the intervention cannot be claimed as “independent.” Because the data as analyzed included a mix of paired and un-paired data, independent sample testing methods were applied. This may increase bias and the likelihood of Type I error (Guo and Yuan, 2017); reported statistical significance should be used as a general indicator and with caution.

* Significant difference; see note “c”.

Table 5. 48-Hour Model Results - Observed vs. Predicted

<table>
<thead>
<tr>
<th>Model</th>
<th>CV $r^2$</th>
<th>Mean</th>
<th>SD</th>
<th>p-value*</th>
<th>Mean</th>
<th>SD</th>
<th>p-value*</th>
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<tr>
<td>Full</td>
<td>0.26</td>
<td>120.1</td>
<td>17.9</td>
<td>0.76</td>
<td>88.1</td>
<td>16.4</td>
<td>0.15</td>
</tr>
<tr>
<td>Full, Un-imputed Dataset</td>
<td>0.49</td>
<td>117.6</td>
<td>10.8</td>
<td>0.46</td>
<td>86.8</td>
<td>15.3</td>
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<tr>
<td>Full without KAP</td>
<td>0.01</td>
<td>105.5</td>
<td>11.6</td>
<td>0.12</td>
<td>91.7</td>
<td>6.9</td>
<td>0.07</td>
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<td>Full Without Surveys</td>
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<td>119.0</td>
<td>23.4</td>
<td>0.68</td>
<td>87.1</td>
<td>22.7</td>
<td>0.21</td>
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<td>KEF Only</td>
<td>0.03</td>
<td>240.8</td>
<td>206.1</td>
<td>0.02</td>
<td>67.4</td>
<td>58.2</td>
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<tr>
<td>KEF Only - Stratified</td>
<td>0.02</td>
<td>199.5</td>
<td>170.5</td>
<td>0.04</td>
<td>89.0</td>
<td>40.3</td>
<td>0.28</td>
</tr>
<tr>
<td>LSIS Only</td>
<td>0.00</td>
<td>97.5</td>
<td>6.0</td>
<td>0.02</td>
<td>97.7</td>
<td>6.5</td>
<td>0.01</td>
</tr>
<tr>
<td>LSIS and Outdoor</td>
<td>0.31</td>
<td>119.7</td>
<td>26.0</td>
<td>0.72</td>
<td>85.8</td>
<td>16.4</td>
<td>0.30</td>
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<td>LSIS and Wood Use</td>
<td>0.05</td>
<td>102.7</td>
<td>14.5</td>
<td>0.06</td>
<td>91.9</td>
<td>9.1</td>
<td>0.06</td>
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<tr>
<td>LSIS and Heating</td>
<td>0.04</td>
<td>108.1</td>
<td>17.7</td>
<td>0.16</td>
<td>91.7</td>
<td>10.7</td>
<td>0.09</td>
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<td>LSIS and Exposure Scores</td>
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<td>103.6</td>
<td>8.8</td>
<td>0.08</td>
<td>98.2</td>
<td>10.9</td>
<td>0.00</td>
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</table>

* against Observed
Figure 4. Observed 48-hour average exposures plotted against predicted values for the three primary models and the KEF with stratification model. Individual concentrations are depicted by smaller points, and mean concentrations, by larger points. All data are stratified by sampling period. The coefficient of determination ($r^2$) for the regression of observed values on predicted values is shown in the top left corner of each panel. X and Y axes were limited to 350 µg/m$^3$ to improve interpretability of the figures; these bounds were exceeded by 4 predicted values (max X = 861.3 µg/m$^3$) in the KEF Only – Stratified panel.
Table 6. 10 Most Important Variables for Prediction as Estimated for Select Datasets

<table>
<thead>
<tr>
<th>Variable</th>
<th>Full Dataset % MSE Increase</th>
<th>Full Without KAP Variable % MSE Increase</th>
<th>LSIS and Heating Variable % MSE Increase</th>
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<tbody>
<tr>
<td>Morning Wind Speed - Day 1</td>
<td>407</td>
<td>Combined Cooking Exp Score 147 and Wood Fuel Use (kg) 52</td>
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<tr>
<td>Morning Wind Speed - Day 2</td>
<td>257</td>
<td>Home Cooking Exposure Score - Morning 48 and Ethnicity - Lao 87</td>
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<tr>
<td>Morning %RH - Day 1</td>
<td>187</td>
<td>24-Hour KAP Conc - Day 1 150 and Indoor Heating Type - None (a) 45</td>
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<tr>
<td>24-Hour KAP Conc - Day 1</td>
<td>150</td>
<td>Ambient PM2.5 Concentration 34 and Number of Household Members aged 5-14 Years 19</td>
<td></td>
</tr>
<tr>
<td>48-Hour KAP Conc</td>
<td>73</td>
<td>Combined Cooking Exp Score 41 and Indoor Heating - Yes (a) 34</td>
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<tr>
<td>Combined Cooking Exp Score</td>
<td>41</td>
<td>Afternoon Pressure - Day 1 32 and Number of Pigs Owned 28</td>
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<tr>
<td>Afternoon Pressure - Day 1</td>
<td>32</td>
<td>Afternoon Wind Speed - Day 1 31 and Home Cooking Exposure Score - Afternoon 25</td>
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<tr>
<td>Afternoon Wind Speed - Day 1</td>
<td>31</td>
<td>Morning Wind Direction, Blowing West - Day 2 29 and Combined Grilling Exposure Score 24</td>
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<tr>
<td>Afternoon Temp - Day 2</td>
<td>29</td>
<td>Owns Radio 14 and Highest Education in Home - Lower Secondary 8</td>
<td></td>
</tr>
<tr>
<td>a. Perfectly correlated with each other</td>
<td>29</td>
<td>Kitchen Type - Cooks In Separate Building 6</td>
<td></td>
</tr>
</tbody>
</table>


Figure 5. Observed 24-hour average exposures plotted against predicted values produced with CV SuperLearner and the Full dataset. Individual concentrations are depicted by smaller points, and mean concentrations, by larger points. All data are stratified by sampling period. The coefficient of determination ($r^2$) for the regression of observed values on predicted values, the p-value for a paired student’s t-test for significance between observed and predicted Before values ($p_B$), and the same for After values ($p_A$) are shown in the bottom right corner of each panel.
Figure 6. A kitchen with ventilation features typical of those found in the area of study (Credit: Philipp Koetting).

10. References


ICF International. Demographic and Health Surveys methodology - questionnaires: household, woman’s, and man’s 2011.


Morawska L, Mengersen K, Wang H, Tayphasavanh F, Darasavong K, Holmes NS. Pollutant concentrations within households in Lao PDR and association with housing


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Graphical abstract
Highlights

- PM$_{2.5}$ exposures of rural Lao women cooking with wood are within the global range.
- Ensemble modeling and machine learning can improve personal exposure estimation.
- Kitchen exposure factor poorly predicted exposures for traditional stove users.