Outpatient clonal propagation propelled rapid regional establishment of an emergent carbapenem-resistant Acinetobacter baumannii lineage ST499Pas

Juan J Calix, M.D, Maria C Stockler de Almeida, M.D, Robert F Potter, M.D, Meghan A Wallace, Carey-Ann D Burnham, Ph.D, Gautam Dantas, Ph.D
Outpatient clonal propagation propelled rapid regional establishment of an emergent carbapenem-resistant Acinetobacter baumannii lineage ST499

Juan J. Calix M.D. 1,2 †, Maria C. Stockler de Almeida M.D. 3, Robert F. Potter M.D. 4, Meghan A. Wallace 4, Carey-Ann D. Burnham Ph.D. 1,4,5, and Gautam Dantas Ph.D. 2,4,5,6 *

1 Division of Infectious Diseases, Washington University in St. Louis School of Medicine, St. Louis, Missouri 63130, United States of America
2 The Edison Family Center for Genome Sciences and Systems Biology, Washington University School of Medicine, St. Louis, Missouri 63130, United States of America
3 Department of Medicine, University of Sao Paolo, Sao Paolo, Brazil
4 Department of Pathology and Immunology, Washington University School of Medicine, St. Louis, Missouri 63130, United States of America
5 Department of Molecular Microbiology, Washington University School of Medicine, St. Louis, Missouri 63130, United States of America
6 Department of Biomedical Engineering, Washington University in St. Louis, St. Louis, Missouri 63130, United States of America

† For correspondence during review: J.J.C. (juancalix@uabmc.edu).

Juan J. Calix, MD, PhD
University of Alabama in Birmingham Heersink School of Medicine
BBRB Room 534, 4523 Clayton Avenue
845 19th St S, Birmingham, AL 35205, United States of America
Phone (205) 934-3914 | Fax (205) 996-2416 | Email juancalix@uabmc.edu

* Corresponding author (after review): G.D. (dantas@wustl.edu)

Running title: CR-A. baumannii ST499 rapid emergence
Abstract

Eliminating carbapenem-resistant *Acinetobacter baumannii* (CRAb) disease requires comprehensive knowledge of how this non-commensal organism propagates among at-risk hosts. We molecularly characterized an ongoing surge of CRAb cases among patients in a Midwest USA healthcare system, which coincided with sustained reductions in hospital-acquired CRAb infections and falloffs of cases associated with distinctly more resistant antibiotypes. Genome sequencing revealed surge isolates belonged to an emergent Pasteur scheme sequence type 499 (ST499Pas) and comprised multiple contemporaneous clonal clusters. Detailed query of health records revealed no consistent hospital source but instead identified various outpatient healthcare settings linked to cluster cases. We show CRAb can rapidly establish a regional presence even without gains in breadth of antibiotic resistance and negligible contribution from sustained intrahospital transmission. As CRAb lineages may sidestep control efforts via outpatient epidemiological niches, our approach can be implemented to investigate outpatient CRAb propagation and inform subsequent local surveillance outside of hospital settings.

Key words: *Acinetobacter baumannii*, molecular epidemiology, healthcare-associated infections, drug resistance
Background

Recognition of carbapenem-resistant Acinetobacter baumannii (CR\textit{Ab}) as a top priority in the battle against multidrug resistant infections\cite{1} has prompted efforts to interrupt the propagation of this incidental pathogen among at-risk human hosts. CR\textit{Ab} infections disproportionately impact critically-ill individuals, so surveillance efforts have generally focused on intrahospital microbial reservoirs and outbreaks of hospital-acquired (HA) infections\cite{2, 3}. However, reports of CR\textit{Ab} acquisition occurring outside of hospital environments\cite{4-6}, raise concerns that outpatient reservoirs could sustain the CR\textit{Ab} epidemic, even if intrahospital transmission is eradicated.

Long-term care facilities and acute care hospitals (herein collectively referred as \textquotedblright LTFs\textquotedblright) are implicated as nonhospital \textquotedblright hubs\textquotedblright communicating multidrug resistant organism (MDRO) outbreaks between hospitals\cite{6-11}. Evolutionary adaptations to colonize human gut, skin, genitourinary or upper respiratory niches may facilitate the flow of MDRO pathobionts (e.g., Enterobacterales, \textit{Staphylococcus}, \textit{Enterococcus} etc.) among these non-hospital environments. Clinically-relevant lineages of environmental MDROs like CR\textit{Ab}, however, are not routinely identified in these commensal niches\cite{3, 12-14} and their propagation is thought to more heavily rely on microbial reservoirs on nosocomial surfaces\cite{3}. Given a presumptive lack of mobility, it remains controversial whether LTFs and other nonhospital reservoirs are sources for sustained occurrence of CR\textit{Ab} disease, independent of stable intrahospital pools.

\textit{Ab} is a genetically diverse species capable of causing many types of infections\cite{3, 15-16}, but only a few global \textit{Ab} lineages like the Pasteur scheme multilocus sequence types 2 and 79 (ST\textsuperscript{2}Pas and ST\textsuperscript{79}Pas) have historically accounted for most healthcare-associated CR\textit{Ab} infections\cite{17}. Acquisition of antimicrobial resistance and the ability to colonize nosocomial surfaces likely contribute to these lineages’ fitness in healthcare environments\cite{3}. However, \textit{Ab} demonstrates a high degree of genomic plasticity and access to a deep gene pool\cite{18}, which
could conceivably foster other lineages incidentally equipped to exploit changing trends in medical practices.

Here, we investigated CRAb propagation behaviors via detailed characterization of cases in a large USA healthcare system experiencing a sustained decrease of HA cases (i.e., cases with index cultures identified >48 hours after hospital admission) attributed to the 2011-2012 remodeling of a BJC intensive care unit implicated in several nosocomial outbreaks and the implementation of robust hospital-wide infection control practices. [16] The steady occurrence non-HA (nHA) cases through 2019 a lack of in-hospital outbreaks since 2012 affords an opportunity to study CRAb propagation with limited contribution from intrahospital pools, so we employed whole genome sequencing (WGS) of clinical isolates to describe the regional nonhospital arena contributing to CRAb cases. We also tested the hypothesis that CRAb lineages responsible for HA cases pre-2012 are also driving the recent persistence of nHA cases.

Methods

Study location and period. This study was approved by the Washington University Institutional Review Board (IRB# 201707046 and 201707049) and was performed in five hospitals (herein denoted as BJC1-5) in the affiliated BJC HealthCare System (BJC) from January 1, 2007 to December 31, 2019. BJC is a large integrated inpatient and outpatient healthcare system serving St. Louis, Missouri, USA and surrounding areas. The BJC Epic electronic medical record (EMR) system integrates records from 27 of the 29 hospitals in the area, which allowed for review of an individual’s microbiological results, hospitalization notes and outpatient appointments in both BJC and non-BJC systems. Study BJC hospitals and affiliated clinics use a central BJC clinical microbiology laboratory (BJC-CML).
Retrospective case identification and definitions. The BJC Clinical Data Repository (CDR) was used to identify cases associated with isolates identified as *Acinetobacter* according to automated biochemical methods or matrix-assisted laser desorption/ionization and time of flight mass spectroscopy (MALDI-TOF MS). To account for the unreliability of biochemical methods in distinguishing species within the *Acinetobacter calcoaceticus-baumannii* complex (*Acbc*), isolates reported as “*Acinetobacter baumannii*” or “*Acinetobacter calcoaceticus-baumannii complex*” were binned as “*Acbc* cases”. However, because carbapenem resistance (CR) is rarely reported in non-*baumannii* species and all genotyped carbapenem-resistant isolates in this and a previous U.S. study[19] were confirmed as *Ab*, all CR isolates were labeled CR*Ab*. Case clinical data was obtained from the BJC CDR and by review of EMR, and cases lacking carbapenem susceptibility data (n=98) and hospital outbreak surveillance cultures (n=54) were excluded. Remaining cases were defined by the first culture containing an *Acinetobacter* isolate per patient (“index culture”) and classified into five categories according to tissue source: “respiratory”, “skin and soft tissue/musculoskeletal” (SST/MSK), “urinary”, “blood” (isolates obtained from blood, central lines, or other endovascular devices or grafts), or “other.” Nearly all 2017-2019 CR*Ab* cases met “healthcare-associated” criteria[20]. So to better resolve changes in epidemiology, we defined cases as “hospital-acquired” (HA) if index culture was collected ≥48 hours after hospital admission and prior to discharge, or “nonhospital-acquired” (nHA) for all others, as done before[16].

Clinical isolate banking and definitions. We performed a prospective, convenience banking of *Acinetobacter* isolates identified in the BJC-CML between July 1, 2017, and May 31, 2019 (Figure S1A). Isolates identified as an *Acinetobacter* species according to MALDI-TOF MS were eligible for inclusion, though we were occasionally unable to bank all isolates prior to their disposal by routine BJC-CML practices. If more than one morphologically distinct colony on culture plate was identified as *Acinetobacter*, both colonies were stored. At the end of the banking period, all banked isolates (n=207) were matched to their respective clinical metadata.
and processed for genomic analysis. The earliest isolate belonging to a Pasteur scheme
multilocus sequence typing (MLST) sequence type (ST) per person was denoted the “index
isolate”, with subsequent isolates denoted as “non-index isolates.” Multiple index isolates with
unrelated STs could be obtained from a single individual. Herein, banked isolates are named by
number (e.g., “isolate 212” denotes WU_MDCI_Ab212, etc.).

Antibiotic susceptibility testing (AST) and antibiotyping. AST was performed in a CLIA and
CAP accredited clinical microbiology laboratory, and interpreted per Clinical and Laboratory
Standards Institute guidelines[21]. Per BJC-CML protocols, all Acinetobacter isolates are
routinely tested by Kirby-Bauer disk diffusion on Mueller-Hinton Agar, for susceptibility to
ceftriaxone (CRO), ceftazidime (CAZ), cefepime (FEP), meropenem (MEM), piperacillin-
tazobactam (TZP), ampicillin-sulbactam (SAM), trimethoprim-sulfamethaxazole (SXT),
gentamicin (GM), and ciprofloxacin (CIP). MEM non-susceptible isolates are reflex tested with
tobramycin (TOB), amikacin (AMK), imipenem (IPM), doxycycline (DOX) and minocycline (MIN).
Zone of clearance (ZOC) results were obtained from the BJC CDR, and index isolates missing
AST results were tested when recovered from frozen cultures. Isolates non-susceptible to either
IPM or MEM were defined as “CR”. All cases and isolates were assigned an antibiotype, i.e.,
ast-1 thru ast-5, according to their AST results and the algorithm shown in Figure S1B. Non-
banked cases missing any AST result in the algorithm, were labeled “non-typeable” (nt).

Whole-genome sequencing (WGS) and comparative analysis. A full description of the well-
established processing pipelines [22] used for genome assembly and comparative genomics
and clonality analyses (Figure S1) is provided in the Supplementary Text. All sequence files
are available under NCBI BioProject PRJNA739144 (BioSample accession numbers
SAMN19774044-4250) (Data S2). Genome sequences of Ab isolates from other geographical
regions were obtained from NCBI for global comparative analyses (Data S3). In a multi-step
clonality analysis, “presumptive” clonal clusters were identified using pairwise core genome
single nucleotide polymorphisms (cgSNP) and whole genome average nucleotide identity (wgANI). Clustered isolates that shared a common ancestor per subsequent intra-ST phylogenetic analysis were binned into “confirmed” clonal clusters labeled with their respective ST and a number (i.e., “cluster 499-1”). Patients in each cluster were labeled according to when an isolate displaying the pertinent antibiotype was first identified in their clinical timeline (see below), where patient A being the earliest.

**Visualizing clinical timelines and suspected transmission sites.** Clinical care received by patients in clusters was detailed by extensive review of EMR. Dates for seven “encounter” types as defined in Table S1, were logged. The “wound care/surgical clinic” encounter type was chosen because of the predominance of SST/MSK specimens in a recent BJC study[16]. We defined facilities as “suspected transmission sites” if either (a) a CRAb-positive patient shared an encounter with a different patient within the same cluster with a subsequently positive culture; or (b) a shared encounter preceded positive culture for at least two patients in a cluster. Other shared features incidentally identified in our analysis, are noted in Results.

**Statistical analysis.** Univariate analyses were performed with SPSS v25 (IBM, USA) or R software v 3.6.2[23]. Chi-squared or independent t-test was performed for comparing categorical or continuous variables, respectively. Statistical significance was defined as p values <0.05.

**Results**

**Shifts in CRAb epidemiology in BJC**

Of 2,157 Acbc cases identified in five BJC hospitals in 2007-2019, 2,059 index cultures with carbapenem susceptibility results were eligible for analysis, including 928 (45·1%) CRAb cultures (Data S1). The annual percent of CRAb cases being nHA increased from 30-45% in 2007-2009 to 60-75% in 2014-2019 (Figure 1A). Additionally, latter CRAb isolates were more
susceptible to non-carbapenem antibiotics (Table S2), with the prevalence of the most narrowly resistant antibiotype, ast-5, increasing from 1.8% of CRAb cases (13/726) prior to 2017 to 73.6% (23/40) in 2019 (Figure 1B). This coincided with the near disappearance of more broadly resistant antibiotypes that prevailed pre-2012, when CRAb cases were predominantly HA (Figure 1).

ST499<sup>Pas</sup> CRAb lineage displaying a distinguishable antibiotype predominates BJC

To better understand this shift in CRAb epidemiology, we performed WGS on 207 isolates representing 53.5% (177/331) and 62.0% (54/87) of total Acinetobacter and CRAb cases, respectively, identified in BJC between July 2017 and May 2019. Except for a higher likelihood of being banked in earlier calendar quarters, characteristics of banked and non-banked cases were alike (Table S3 and S4). wgANI confirmed 90 index and 20 non-index Ab isolates from 87 cases (Data S2), including cases where strains from distinct Pasteur scheme multilocus sequence types (ST<sup>Pas</sup>) were co-isolated on the same (isolates 32/33 and 204/205) or subsequent dates (isolates 215/223). All genotyped CR isolates belonged to Ab STs: ST499<sup>Pas</sup>, ST406<sup>Pas</sup>, ST2<sup>Pas</sup>, ST79<sup>Pas</sup>, ST78<sup>Pas</sup> and ST1<sup>Pas</sup> (n= 35 [38.9% of total Ab index isolates], 13 [14.4%], 5, 3, 2, and 1 respectively) (Figure 2A). Isolates within these STs largely shared lineage-associated beta-lactamase gene repertoires, according to antimicrobial resistance gene analysis (Figure S2A). Of 35 isolates exhibiting the ast-5 antibiotype, 31 (88.6%) were ST499<sup>Pas</sup>. The other four (i.e., isolates 39, 165, 233, and 249) were outlier ST406<sup>Pas</sup> isolates lacking ant(2")-la/aadA2 and sul1, the genes putatively conferring aminoglycoside and sulfonamide resistance, respectively, in all other ST406<sup>Pas</sup> genomes and the outlying ST499<sup>Pas</sup> isolate 212 (Figure 2 and Data S4).

Our initial antibiotyping scheme relied on only a subset of EMR-reported susceptibility interpretations (Figure S1B). To better define BJC CRAb subtypes, we integrated genomic results and a more exhaustive quantitative analysis of AST results to all antibiotics routinely
tested in the BJC-CML. AST ZOC data of 2017-2019 Ab cases (Figure 2B-2D, Figure S2B, Table S5) revealed that non-genotyped ast-5 isolates composed a distinctively homogenous group that most resembled genotyped ST499\textsuperscript{Pas} isolates. Like ST499\textsuperscript{Pas}, ast-5 isolates displayed (a) intermediate SXT susceptibility lower than most CsAb isolates and ST406\textsuperscript{Pas} outliers that lacked sul1 (resulting in the variation reported in their SXT AST results, Figure 2A and 2B); (b) ZOC to tetracyclines and aminoglycosides comparable to other susceptible CRAb; and (c) characteristic ZOC distribution to each β-lactam antibiotic, including high resistance to MEM/IPM (Figure 2C) but intermediate susceptibility to CAZ/FEP (Figure S2B). Though the link between ST and antibiotype was not absolute (Figure 2A), these findings are strongly suggestive that ST499\textsuperscript{Pas} is responsible for the recent surge of ast-5 isolates observed in BJC (Figure 1B).

ST499\textsuperscript{Pas} clonal clusters were linked to regional nonhospital settings

A global phylogenetic analysis incorporating 510 genomes of Ab isolates from other regions revealed that BJC ST2\textsuperscript{Pas} and ST406\textsuperscript{Pas} isolates represented multiple subgroups within their respective global clades (Figure S3), consistent with sporadic introductions of these ST into our cohort. In contrast, a single phylogenetic cluster contained all BJC ST499\textsuperscript{Pas} isolates except for the outlying isolate 212, which was obtained from an individual transferred from an unaffiliated hospital in the Southeastern USA. ST499\textsuperscript{Pas} isolates were regularly isolated throughout 2017-2019 from various tissues sources and in four of the five study hospitals, and only 13 of 35 cases met HA criteria (Figure 2). So, despite sharing a recent common ancestor, ST499\textsuperscript{Pas} cases unlikely resulted from a spatiotemporally hyperlocalized outbreak.

To understand how BJC ST499\textsuperscript{Pas} emerged in our region, we assigned presumptive clonal relationships between isolates according to cutoffs of cgSNP distance ≤5 and wgANI ≥99·997\% (Figure 3A-B). The use of more inclusive cutoffs (i.e., cgSNP distance 10-30 and wgANI 99·990-99·995\%) yielded minimal changes to presumptive clusters in sensitivity
analyses (Figure S4). Cluster composition was subsequently confirmed if isolates shared a common ancestor according to ST\textsuperscript{Pas}-specific core genome phylogeny (Figure 3C-D). All non-index and corresponding index isolates clustered closely (Figure 3), and comparable results were obtained when clustering by either ST\textsuperscript{Pas}-specific accessory gene content or adjusting for potential recombination events (Figure S5).

We detected six ST499\textsuperscript{Pas} (Figure 3C and 4A-B) and one ST406\textsuperscript{Pas} clonal clusters (Figure 3D), and suspected transmission sites were identified in four ST499\textsuperscript{Pas} clusters (Figure 4C-F). Cluster 499-1 was composed of four patients (A-D) with recent exposure to clinic 4 (CLIN4) and/or CLIN5; two overlapping residents of long-term care facility 6 (LTF6) patients colonized with the phylogenetically distinct isolates 210 and 217 (Figure 4B, patients D and E); and two patients with multiple incidences of ST499\textsuperscript{Pas}/ast-5 isolates across many months (G, H), who had resided in LTF9 (Figure 4C). Patient 499-1F lacked an obvious link, except for recurrent admission to BJC1. Three of four cluster 499-2 patients repeatedly visited CLIN2 (Figure 4D), and all cluster 499-5 patients had resided in LTF10 during a 3-month span (Figure 4E). Lastly, 499-6 was the only cluster containing individuals (A-D) enrolled in the local Veterans Affairs (VA) medical system. Though VA records were not available, patients B-D were long-term residents of LTF26 (Figure 4F). Shared exposures were not identified for clusters 499-3, cluster 499-4 and 406, but no single hospital linked these cases, either. Moreover, patients 499-3B, 499-3D and 499-4D were not hospitalized in a study hospital prior to their index culture (Figure S6). In summary, various contemporary clonal networks were linked to cluster-specific, nonhospital settings that likely facilitated their propagation.

**Discussion**

We report a sharp rise of ST499\textsuperscript{Pas} CR\textit{Ab} cases in the St. Louis region, which remarkably coincided with the decline of CR\textit{Ab} displaying differing antibiotypes. ST2\textsuperscript{Pas} and ST79\textsuperscript{Pas}, two of the top three globally prevalent CR\textit{Ab} lineages[17], overwhelmingly
predominated Ab cases in recent molecular surveys at two other USA Midwest academic
hospital systems (Figure S3)[24, 25], and evidence supports these lineages previously
predominated in our region. The ast-1/-2 or ast-4 antibiotypes characteristic of BJC ST2Pas
or ST79Pas isolates, respectfully (Figure 2A), were displayed by >85% of pre-2016 BJC CRAb
isolates but only 17% of CRAb in 2019. Furthermore, in a U.S. nationwide CRAb survey
conducted in 2008-2009, all isolates contributed by BJC were ST2Pas or ST79Pas (n=11 and 2,
respectively)[19]. While these putative pre-2012 BJC ST2Pas infections were overwhelmingly HA
and arguably resulted from clonal, intrahospital outbreaks, recent ST2Pas cases were seemingly
result of sporadic, unrelated clones (Figure S3). Altogether, these findings imply the elimination
of conditions that were once conducive to the propagation of major global lineages in the region.

Conversely, the coinciding emergence of BJC ST499Pas reflects the lineage’s capacity to
exploit a different epidemiological space. In contrast to CRAb propagation centering on inpatient
and critical care settings pre-2012, we appreciated no contribution from intrahospital
transmission to the ongoing occurrence of ST499Pas (Figure 5). Consistent with local CRAb
cases transitioning towards nHA SST/MSK and urinary cases[16], ST499Pas propagation
appeared to center around clinics serving patients with chronic wounds and LTFs (Figure 4).
Though the latter are recognized hotspots for CRAb transmission[6-8], wound clinics have been
historically underappreciated. We observed multiple instances (e.g., patients 499-1B, 499-1G,
499-1H, 499-4D, etc.) in which ST499Pas was isolated over the span of up to 12 months from a
single patient, establishing that individuals residing in the community are either persistently
colonized or repeatedly exposed to long-lived CRAb pools outside of hospitals. This merits
further investigation, as these asymptomatic individuals could serve as incidental vectors
communicating outbreaks between different locations and exposing individuals at risk for severe
disease[26].

Pasteur scheme ST499Pas (not to be confused with the unrelated Oxford scheme
ST499Oxf [27]) was first described in the US in a 2010 blood isolate [28], although the single
locus variant ST123\textsuperscript{Pas} was detected once among Las Vegas 2008-2009 isolates [19].

Remarkably, though the 2008-2009 U.S. nationwide survey identified no ST499 isolates [19], a subsequent analysis of CRA\textit{b} in four U.S. hospital centers (performed concurrently and independently from our study) revealed ST499\textsuperscript{Pas} as the second most prevalent lineage, second only to ST2\textsuperscript{Pas} [29]. ST499\textsuperscript{Pas} is not part of any major global clone and, apart from three 2014 isolates in Tanzania and one 2000 isolate in Australia, has been exclusively identified in North America [17, 30, 31]. Though capable of acquiring genes encoding broader resistance, as evidenced by isolate 212 (Figure 2), ST499\textsuperscript{Pas} displayed the most narrow CRA\textit{b} antibiotype, effectively dismissing antibiotics as the main driver of its emergence. Importantly, the fact that no ST499\textsuperscript{Pas} intrahospital outbreak has been reported to our knowledge does not preclude its capacity to establish sustained intrahospital transmission and disease (akin to preceding lineages), if given the opportunity.

Though our study is limited to describing CRA\textit{b} in a single metropolitan area, BJC is representative of modern healthcare systems[24] where increasing reliance on outpatient services for managing chronic illnesses may facilitate outpatient CRA\textit{b} propagation. Surveillance studies remain the gold standard for tracking MDRO transmission trends, but non-targeted surveillance of outpatient environments can be resource intensive and complicated by local practice variations. Furthermore, the utility of surveillance screening of \textit{Ab} has been brought into question[32], in part due to an incomplete understanding of \textit{Ab} carriage and natural reservoirs. As shown here, combining genomic analysis of clinical isolates with a regionally integrated EMR system represents an alternative that can be especially valuable for guiding larger subsequent surveillance efforts.

Importantly, the resolution afforded by employing WGS and multilevel criteria for clonal clusters was key to disentangling CRA\textit{b} networks in a patient population with overlapping exposures. For example, patient 499-1H admission to LTF10 (Figure 4A) coincided with the LTF10 admission of cluster 499-5 patients (Figure 4E), but unambiguous assignment of isolate
237 to cluster 499-1, instead, implicates LTF9. Though our convenience cohort was representative of the overall BJC isolate population, being unable to assign shared exposures to some ST499\textsuperscript{pas} clusters may have resulted from failure to capture keystone cases, including possible cases of intrahospital transmission. Also, future investigations should include other healthcare exposures associated with chronic medical conditions, including home health services, emergency room visits, non-wound/surgical clinics, and other outpatient services (e.g., radiology, dialysis, infusion centers, etc.), as these may be underappreciated factors facilitating outpatient CR\textit{Ab} propagation (Figure 5). Lastly, the phylogenetic diversity of BJC Cs\textit{Ab} isolates (Figure 2A) implies exposure to a deep \textit{Ab} gene pool existing outside of healthcare settings and highlights that \textit{Ab} is an environmental species that survives in various human-independent niches that remain to be identified [2]. The outpatient arena represents the next front in the fight against CR\textit{Ab} disease, and elucidating how CR\textit{Ab} persists in nonhospital settings will be a cornerstone for future combat strategies.
Footnotes

Competing interests. The authors declare no competing interests in regards to this work.

Funding. This work was supported in part by awards to G.D. through the National Institute of Allergy and Infectious Diseases and the Eunice Kennedy Shriver National Institute of Child Health & Human Development of the National Institutes of Health (NIH) under award numbers U01 AI123394 and R01 HD092414, and awards to J.J.C by the NIH under award number K08 AI148582. The content is solely the responsibility of the authors and does not necessarily represent the official views of the funding agencies.

Prior presentations. This research was presented in part as an oral abstract (#154) at ID Week in October, 2020 and as an oral abstract at the AcinetoVibes Online Conference in June, 2022.

For reprints please contact Gautam Dantas, 4515 McKinley Ave, Rm 5314, Box 8510, St Louis, MO 63110. [p] (314) 362-7238 [f] (314) 362-2156 [e] dantas@wustl.edu.

Authors’ change in affiliation. JJC currently is an Assistant Professor in the Division of Infectious Diseases, Department of Medicine, University of Alabama at Birmingham Heersink School of Medicine, Birmingham, Alabama, USA. Correspondence for JJC should be addressed to Bevill Biomedical Research Building, Room 534, 845 19th St S, Birmingham, AL 35205.

Authors’ contributions. JJC conceived and designed the study. MCSA performed health record review. RFP was involved with design of comparative genomic analysis. CAB and MAW collected samples. JJC performed specimen handling, genome sequencing, data management and statistical analysis. JJC prepared figures and tables, and wrote the first draft of the manuscript. CAB and GD analyzed and discussed data and critically revised the manuscript.

Acknowledgements. The authors thank the Edison Family Center for Genome Sciences & Systems Biology at WUSM staff, Eric Martin, Brian Koebbe, Jessica Hoisington-López, and MariaLynn Crosby for technical support in high-throughput sequencing and computing. The
The authors thank Dorothy Sinclair and Cherie Hill for their essential and expert contributions in medical record data retrieval for this study.

**Ethics approval and consent to participate.** This study was approved by the Institutional Review Board of Washington University in St. Louis (IRB# 201707046 and 201707049). A waiver of informed consent was granted as all specimens and clinical records were obtained during routine clinical care and many patients were already deceased or would otherwise have been unable to be contacted.

**Availability of data and materials.** Sequence read files and constructed genomes for sequenced BJC isolates are available on NCBI under BioProject PRJNA739144, and BioSample accession numbers SAMN19774044-4250. The datasets used and analyzed during the current study are available from JJC on reasonable request. Access to retrospective clinical case datasets including patient demographics and specific dates is restricted due to patient privacy protections.
References


28. Ozer EA, Fitzpatrick MA, Hauser AR. Draft Genome Sequence of Acinetobacter baumannii Strain ABBL099, a Multidrug-Resistant Clinical Outbreak Isolate with a Novel Multilocus Sequence Type. Genome Announc 2014; 2.


Figure Legends

Figure 1. Recent BJC carbapenem-resistant *Acinetobacter* (CRAb) cases are principally non-hospital acquired (nHA) and associated with isolates displaying a unique antibiotype (ast). (a) Annual CRAb cases that were hospital-acquired (HA) and nHA (gray and black bars, respectively) and annual percentage of cases that were nHA (“nHA ratio”, dots). (b) Annual CRAb cases associated with index isolates exhibiting each antibiotype (colored bars) and annual percentage of cases associated with ast-5 isolates (“ast-5 ratio”, dots). Y-axes values in all panels represent rolling two-year average for respective ratios. nt, non-typeable due to missing AST data (see Figure S1B). Raw values are listed in Data S1.

Figure 2. Unique ast-5 antibiotype is linked to prevalent CRAb lineage BJC ST499Pas. (a) Maximum likelihood phylogenetic tree of BJC *A. baumannii* index isolates according to core genome alignment. STPas containing CRAb isolates are highlighted and labeled. Inner rings represent isolate metadata according to the corresponding keys surrounding the tree. Outer rings show AST results grouped by class and flanked by boxes denoting the presence (filled box) or absence of listed genes putatively conferring class resistance. For clarity, only genes exclusive to resistant isolates are included. Isolate metadata are listed in Data S2, and all identified resistance genes are listed in Data S4. (b-d) Antibiotic susceptibility according to zone of clearance, of genotyped *Ab* index isolates (red dots, grouped by STPas) and non-genotyped Acbc index cultures (black dots, grouped by antibiotype) identified between January 2017 and December 2019. Backgrounds highlight ranges for “resistant” (dark) and “intermediate” (light) susceptibility, per CLSI guideline interpretation. Box-plot center lines denote medians, while box limits denote upper and lower quartile values (listed in Table S5). Whiskers denote 1.5x interquartile range. Medians were compared according to Mann-Whitney test with Bonferroni adjustment for multiple comparisons, with bar color denoting pairwise significant differences.
black bars, $p<0.05$; green bars, $p<0.005$; red bars, $p<0.0005$. CsAb, carbapenem-susceptible A. baumannii.

Figure 3. Clonal clusters were identified exclusively among ST499<sup>Pas</sup> and ST406<sup>Pas</sup> isolates. (a,b) Network analyses visualized with Cytoscape, demonstrating relatedness of isolates according to core genome SNP distance (panel A) and whole genome ANI (panel B). Each node represents an isolate, with nodes color-coded according to isolation hospital (border) and calendar quarter (fill), per key in panel B. Edges represent interactions that meet cutoffs of cgSNP≤5 (panel A) or wgANI≥99.997% (panel B), with degree of relatedness represented by edge width and color, both represented in key. Edge lengths are manually adjusted for clarity. Insets demonstrate histograms of values from pairwise comparisons between isolates obtained from different patients (light gray) or isolates obtained from the same patient (dark gray). Only extreme values are displayed, for clarity. Dotted lines denote cutoff values for edges in respective networks. (c) Maximum likelihood tree derived using 1881 SNPs identified from alignment of 2.63 Mbp in 2702 core genes of ST499<sup>Pas</sup> isolates. (d) Maximum likelihood trees derived using 16644 SNPs identified from alignment of 2.09 Mbp in 2110 core genes of ST406<sup>Pas</sup> isolates. For clarity in panels C and D, only bootstrap values <90% are included. Each leaf is color-coded according to isolation hospital (square) and calendar quarter (circle), per key in panel D. Isolates obtained from the same patient are highlighted in shared label colors, with index isolates listed in bold. Isolates belonging to clonal clusters highlighted in panels A and B, are denoted by branch color and vertical labels in panel C and D.

Figure 4. Epidemiologic links within ST499<sup>Pas</sup> clonal clusters. (a,b) Unrooted version of ST499<sub>P</sub> phylogenetic tree from Figure 3C. Panel B is ~10x enlargement of region highlighted by
gray rectangle in panel A. Clonal clusters are denoted by colored branches. Tree leaf symbols
denote hospital of isolation (see panel B inset key). BJC isolates obtained from the same patient
share label color. (c-f) Clinical timelines of patients (labeled A-H on Y-axis) in 499-1, 499-2,
499-5 and 499-6, respectively. As presented in the key adjacent to panel C, colored symbols on
each patient timeline denote dates of hospitalizations (wide rectangles), long term care facilities
admissions (LTF, narrow rectangles), outpatient clinic visits (CLIN, circles), and pertinent clinical
cultures (triangles with labels colored according to culture specimen). Full color-coded key is on
right. Shaded areas between timelines highlight shared exposures suspected of facilitating
clonal propagation (see text). 28-month span represented on X-axis of panel F, is conserved in
all panels. WGS, whole genome sequencing; EMR, electronic health record; Ab, A. baumannii.

Figure 5. The spectrum of CRAb propagation habits ranging from stable intrahospital
pools (left) to centering around outpatient environments (right). LTF, long-term care
facilities; trx, patient transfers.
Figure 1
135x56 mm (.83 x DPI)
Figure 2
165x121 mm (.83 x DPI)
Figure 3
165x102 mm (.83 x DPI)
Figure 4
165x161 mm (.83 x DPI)
Figure 5
123x56 mm (.83 x DPI)