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2001

Open to Public Inspection

Form 990

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

Department of the Treasury Internal Revenue Service

The organization may have to use a copy of this return to satisfy state reporting requirements

A For the 2001 calendar year, or tax year beginning JULY 1, 2001, and ending JUNE 30, 2002

B Check if applicable: Address change, Name change, Initial return, Final return, Amended return, Application pending. C Name of organization: JUVENILE DIABETES RESEARCH FOUNDATION INT. D Employer identification number: 23 1907729. E Telephone number: (212) 479-7552. F Accounting method: Accrual.

H and I are not applicable to section 527 organizations. H(a) Is this a group return for affiliates? No. H(b) If "Yes" enter number of affiliates: NA. H(c) Are all affiliates included? No. H(d) Is this a separate return filed by an organization covered by a group ruling? No.

G Web site: WWW.JDRF.ORG. J Organization type: 501(c)(3).

K Check here: If the organization's gross receipts are normally not more than \$25,000. I Enter 4 digit GEN: NA.

L Gross receipts: Add lines 6b, 8b, 9b and 10b to line 12: \$215,099,174. M Check: If the organization is not required to attach Sch B.

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See Specific Instructions on page 16)

Table with 21 rows and 4 columns. Rows include: 1 Contributions, gifts, grants, and similar amounts received; 2 Program service revenue; 3 Membership dues and assessments; 4 Interest on savings and temporary cash investments; 5 Dividends and interest from securities; 6a-6c Rental income; 7 Other investment income; 8a-8d Gross amount from sales of assets other than inventory; 9 Special events and activities; 10a-10c Gross sales of inventory; 11 Other revenue; 12 Total revenue; 13-17 Expenses; 18-21 Net Assets.

ENVELOPE POSTMARK DATE FEB 14 2003

SCANNED MAR 12 2003

RECEIVED FEB 19 2003 GOODWILL

913-14 7

**Part II Statement of Functional Expenses** All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others. (See Specific Instructions on page 21.)

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I		(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22	Grants and allocations (attach schedule) (cash \$ <u>107,923,076</u> noncash \$ <u>0</u> )	22 107,923,076	107,923,076		
23	Specific assistance to individuals (attach schedule)	23 0	0		
24	Benefits paid to or for members (attach schedule)	24 0	0		
25	Compensation of officers, directors, etc	25 1,064,650	910,595	65,795	88,259
26	Other salaries and wages	26 26,478,672	15,623,782	4,887,326	5,967,564
27	Pension plan contributions	27 1,330,464	794,464	233,375	302,625
28	Other employee benefits	28 2,591,808	1,510,726	487,573	593,508
29	Payroll taxes	29 1,900,824	1,120,356	354,065	426,404
30	Professional fundraising fees	30 0	0	0	0
31	Accounting fees	31 3,159,004	2,156,884	379,612	622,508
32	Legal fees	32 310,739	179,283	78,592	52,864
33	Supplies	33 159,084	104,194	31,104	23,785
34	Telephone	34 513,397	312,341	111,244	89,813
35	Postage and shipping	35 1,325,639	355,455	41,365	928,819
36	Occupancy	36 7,692,221	3,686,036	1,426,238	1,336,951
37	Equipment rental and maintenance	37 714,384	1,242,996	475,403	238,981
38	Printing and publications	38 6,076,246	3,144,819	779,565	2,151,863
39	Travel	39 2,327,209	1,856,550	174,607	296,052
40	Conferences, conventions, and meetings	40 2,873,015	1,995,135	416,436	461,444
41	Interest	41 0	0	0	0
42	Depreciation, depletion, etc (attach schedule)	42 734,489	448,038	198,312	88,139
43	Other expenses not covered above (itemize) a MISC	43a 1,680,674	1,160,493	272,642	247,539
b	BANK FEES & FINANCE CHARGES	43b 187,389	62,015	27,118	98,256
c	DUES & SUBSCRIPTION	43c 98,213	70,350	14,857	13,006
d		43d			
e		43e			
44	Total functional expenses (add lines 22 through 43) Organizations completing columns (B)-(D), carry these totals to lines 13-15	44 169,141,197	144,657,588	10,455,229	14,028,380

Joint Costs Check  if you are following SOP 98-2  
 Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services?  Yes  No  
 If "Yes," enter (i) the aggregate amount of these joint costs \$ \_\_\_\_\_, (ii) the amount allocated to Program services \$ \_\_\_\_\_  
 (iii) the amount allocated to Management and general \$ \_\_\_\_\_ and (iv) the amount allocated to Fundraising \$ \_\_\_\_\_

**Part III Statement of Program Service Accomplishments** (See Specific Instructions on page 24)

What is the organization's primary exempt purpose? Please see attachment (F) to return.	Program Service Expenses (Required for 501(c)(3) and (4) orgs. and 4947(a)(1) trusts; but optional for others.)
a RESEARCH GRANTS- PRIMARY GOAL IS TO FIND A CURE FOR DIABETES THROUGH RESEARCH WE HAVE FUNDED APPROXIMATELY 566 RESEARCH PROJECTS TO ACHIEVE THIS GOAL (Grants and allocations \$ 107,923,076 )	107,923,076
b PUBLIC EDUCATION- THE COST OF YEAR ROUND EFFORTS TO INFORM AND EDUCATE THE PUBLIC OF THE METHOD OF TREATMENT AND NEED FOR A CURE, INCLUDES PRINTING APROX 20 DIFF BROCHURES USED BY CHAPTERS IN BOTH THE US AND CANADA (Grants and allocations \$ )	29,372,661
c RESEARCH SUPPORT/MEETINGS, CONFERENCES & TRAVEL- COST/YEAR ROUND CONFERENCES PROVIDE UPDATES ON DIABETES RESEARCH AS WELL AS ENABLE THOSE CONCERNED WITH FINDING A CURE FOR DIABETES THE OPPORTUNITY TO FORECAST FUTURE ENDEAVORS (Grants and allocations \$ )	7,361,851
d (SEE ATTACHMENT ( F ) FOR ADDITIONAL DATA (Grants and allocations \$ )	
e Other program services (attach schedule) (Grants and allocations \$ )	
f Total of Program Service Expenses (should equal line 44 column (B) Program services)	144,657,588

**Part IV** Balance Sheets (See Specific Instructions on page 24 )

Note		Where required attached schedules and amounts within the description column should be for end-of-year amounts only		(A) Beginning of year		(B) End of year	
Assets	45	Cash—non-interest-bearing			45		
	46	Savings and temporary cash investments		30,639,323	46	14,606,271	
	47a	47a	Accounts receivable	? 4,739,590	47c	442,451	
		47b	Less allowance for doubtful accounts				
		48a					
	48a	Pledges receivable		25,724,648	48c	24,377,376	
		48b	Less allowance for doubtful accounts				
	49	Grants receivable		0	49		
	50	Receivables from officers, directors, trustees, and key employees (attach schedule)		0	50		
	51a	Other notes and loans receivable (attach schedule)		0	51c	0	
		51b	Less allowance for doubtful accounts				
	52	Inventories for sale or use		0	52		
	53	Prepaid expenses and deferred charges		1,126,921	53	1,394,721	
	54	Investments—securities (attach schedule) <input type="checkbox"/> Cost <input checked="" type="checkbox"/> FMV		98,694,109	54	96,301,345	
55a	Investments—land, buildings and equipment basis		0	55c	0		
	55b	Less accumulated depreciation (attach schedule)					
56	Investments—other (attach schedule)		0	56	0		
57a	57a	Land, buildings, and equipment basis	1,067,004	57c	1,950,245		
	57b	Less accumulated depreciation (attach schedule)	883,241				
58	Other assets (describe <input type="checkbox"/> CHARITABLE REMAINDER TRUST )		3,078,005	58	2,700,522		
59	Total assets (add lines 45 through 58) (must equal line 74)		166,313,821	59	141,772,931		
Liabilities	60	Accounts payable and accrued expenses		6,186,433	60	6,396,245	
	61	Grants payable		112,565,888	61	119,282,618	
	62	Deferred revenue		375,000	62	412,175	
	63	Loans from officers, directors, trustees, and key employees (attach schedule)			63		
	64a	Tax-exempt bond liabilities (attach schedule)			64a		
		b Mortgages and other notes payable (attach schedule)			64b		
	65	Other liabilities (describe <input type="checkbox"/> Char RemTr & Accru Rent Escalation )		2,882,160	65	1,986,539	
66	Total liabilities (add lines 60 through 65)		122,009,480	66	128,077,578		
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74						
	67	Unrestricted		12,861,385	67	(16,256,281)	
	68	Temporarily restricted		26,461,373	68	24,929,281	
	69	Permanently restricted		4,981,584	69	5,022,353	
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 70 through 74						
	70	Capital stock, trust principal, or current funds			70		
	71	Paid-in or capital surplus or land, building, and equipment fund			71		
	72	Retained earnings, endowment, accumulated income, or other funds			72		
	73	Total net assets or fund balances (add lines 67 through 69 OR lines 70 through 72, column (A) must equal line 19, column (B) must equal line 21)		44,304,342	73	13,695,353	
	74	Total liabilities and net assets / fund balances (add lines 66 and 73)		166,313,822	74	141,772,931	

Form 990 is available for public inspection and, for some people serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes in Part III, the organization's programs and accomplishments.

**Part IV-A** Reconciliation of Revenue per Audited Financial Statements with Revenue per Return (See Specific Instructions, page 26)

a	Total revenue, gains, and other support per audited financial statements ▶	a	138,532,208
b	Amounts included on line a but not on line 12, Form 990		
(1)	Net unrealized gains on investments \$ (969,405)		
(2)	Donated services and use of facilities \$ 0		
(3)	Recoveries of prior year grants \$ 0		
(4)	Other (specify)		
	..... \$ 0		
	Add amounts on lines (1) through (4) ▶	b	(969,405)
c	Line a minus line b ▶	c	139,501,613
d	Amounts included on line 12, Form 990 but not on line a		
(1)	Investment expenses not included on line 6b, Form 990 \$ 0		
(2)	Other (specify)		
	..... \$ 0		
	Add amounts on lines (1) and (2) ▶	d	0
e	Total revenue per line 12, Form 990 (line c plus line d) ▶	e	139,501,613

**Part IV-B** Reconciliation of Expenses per Audited Financial Statements with Expenses per Return

a	Total expenses and losses per audited financial statements ▶	a	169,141,197
b	Amounts included on line a but not on line 17, Form 990		
(1)	Donated services and use of facilities \$ 0		
(2)	Prior year adjustments reported on line 20 Form 990 \$ 0		
(3)	Losses reported on line 20, Form 990 \$		
(4)	Other (specify)		
	..... \$ 0		
	Add amounts on lines (1) through (4) ▶	b	
c	Line a minus line b ▶	c	169,141,197
d	Amounts included on line 17, Form 990 but not on line a:		
(1)	Investment expenses not included on line 6b, Form 990 \$ 0		
(2)	Other (specify)		
	..... \$		
	Add amounts on lines (1) and (2) ▶	d	
e	Total expenses per line 17, Form 990 (line c plus line d) ▶	e	169,141,197

**Part V** List of Officers, Directors, Trustees, and Key Employees (List each one even if not compensated, see Specific Instructions on page 26)

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (if not paid, enter -0-)	(D) Contributions to employee benefit plans & deferred compensation	(E) Expense account and other allowances
PETER VAN ETTEN- 120 Wall St, NY NY 10005	CEO- 40+ HRS	460,000	40,292	*60,000
ROBERT GOLDSTEIN- 120 Wall St, NY NY 10005	VP RESEARCH - 40+ HR	362,250	27,898	**57,092
GARY HENN- 120 Wall St, NY NY 10005	CFO - 40+ HRS	242,400	27,783	0
KAREN PETERSON - 120 Wall Street, NY NY 10005	Secretary	0	0	0
ROSS COOLEY - 120 Wall Street, NY NY 10005	Chairman of The Board	0	0	0
CHARLIE QUEENAN III - 120 Wall Street, NY NY	Chairman, Research	0	0	0
GORDON BARKER - 120 Wall Street, NY NY 10005	Chairman, Program Dev	0	0	0
CRANDALL BOWLES - 120 Wall Street, NY NY	Chairman, Finance/Tres	0	0	0
* HOUSING ALLOWANCE \$60,000				
** HOUSING ALLOWANCE \$57,092				
See Attached List of officers & Directors				

75 Did any officer, director, trustee, or key employee receive aggregate compensation of more than \$100,000 from your organization and all related organizations, of which more than \$10,000 was provided by the related organizations?  Yes  No  
If "Yes," attach schedule—see Specific Instructions on page 27

<b>Part VI Other Information (See Specific Instructions on page 27)</b>		Yes	No
76	Did the organization engage in any activity not previously reported to the IRS? If "Yes" attach a detailed description of each activity	76	<input checked="" type="checkbox"/>
77	Were any changes made in the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes	77	<input checked="" type="checkbox"/>
78a	Did the organization have unrelated business gross income of \$1 000 or more during the year covered by this return?	78a	<input checked="" type="checkbox"/>
78b	If "Yes," has it filed a tax return on Form 990-T for this year?	78b	<input checked="" type="checkbox"/>
79	Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement	79	<input checked="" type="checkbox"/>
80a	Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization?	80a	<input checked="" type="checkbox"/>
81a	Enter direct or indirect political expenditures See line 81 instructions <input type="checkbox"/> exempt OR <input type="checkbox"/> nonexempt	81a	NONE
81b	Did the organization file Form 1120-POL for this year?	81b	<input checked="" type="checkbox"/>
82a	Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?	82a	<input checked="" type="checkbox"/>
82b	If "Yes," you may indicate the value of these items here Do not include this amount as revenue in Part I or as an expense in Part II (See instructions in Part III)	82b	
83a	Did the organization comply with the public inspection requirements for returns and exemption applications?	83a	<input checked="" type="checkbox"/>
83b	Did the organization comply with the disclosure requirements relating to quid pro quo contributions?	83b	<input checked="" type="checkbox"/>
84a	Did the organization solicit any contributions or gifts that were not tax deductible?	84a	<input checked="" type="checkbox"/>
84b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	84b	
85a	501(c)(4), (5), or (6) organizations a Were substantially all dues nondeductible by members?	85a	
85b	b Did the organization make only in-house lobbying expenditures of \$2,000 or less? If "Yes" was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year	85b	
85c	c Dues, assessments, and similar amounts from members	85c	
85d	d Section 162(e) lobbying and political expenditures	85d	
85e	e Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices	85e	
85f	f Taxable amount of lobbying and political expenditures (line 85d less 85e)	85f	
85g	g Does the organization elect to pay the section 6033(e) tax on the amount on line 85f?	85g	
85h	h If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount on line 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year?	85h	
86a	501(c)(7) orgs Enter a Initiation fees and capital contributions included on line 12	86a	
86b	b Gross receipts, included on line 12, for public use of club facilities	86b	
87a	501(c)(12) orgs Enter a Gross income from members or shareholders	87a	
87b	b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	87b	
88	At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes" complete Part IX	88	<input checked="" type="checkbox"/>
89a	501(c)(3) organizations Enter Amount of tax imposed on the organization during the year under section 4911 <u>0</u> , section 4912 <u>0</u> , section 4955 <u>0</u>	89a	
89b	b 501(c)(3) and 501(c)(4) orgs Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction	89b	<input checked="" type="checkbox"/>
	c Enter Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958		NONE
	d Enter Amount of tax on line 89c, above, reimbursed by the organization		NONE
90a	List the states with which a copy of this return is filed <b>SEE ATTACHED LIST UNDER ATTACHMENTS TO RETURN</b>	90a	
90b	b Number of employees employed in the pay period that includes March 12, 2001 (See instructions)	90b	471
91	The books are in care of <b>TAX PAYER</b> Telephone no <b>( 212 ) 479-7552</b> Located at <b>120 WALL STREET NEW YORK, NY</b> ZIP + 4 <b>10005-4001</b>	91	
92	Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041—Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the tax year <b>92</b>	92	

**Part VII Analysis of Income-Producing Activities** (See Specific Instructions on page 32)

Note Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513 or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclusion code	(D) Amount	
93 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					
94 Membership dues and assessments					
95 Interest on savings and temporary cash investments					
96 Dividends and interest from securities			14	2,975,978	
97 Net rental income or (loss) from real estate					
a debt-financed property					
b not debt-financed property					
98 Net rental income or (loss) from personal property					
99 Other investment income					
100 Gain or (loss) from sales of assets other than inventory			18	702,872	
101 Net income or (loss) from special events					
102 Gross profit or (loss) from sales of inventory					
103 Other revenue <b>a ADVERTISING INCOME</b>	7310	403,922			
<b>b MISC INCOME</b>					86,750
c _____					
d _____					
e _____					
104 Subtotal (add columns (B), (D) and (E))		403,922		3,678,850	86,750
105 Total (add line 104, columns (B), (D), and (E))					4,169,522

Note Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I

**Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes** (See Specific Instructions on page 32)

Line No	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes)
103	ALL FUNDS RECEIVED GO TOWARD RESEARCH IN FINDING A CURE FOR DIABETES & ITS COMPLICATIONS

**Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities** (See Specific Instructions on page 33)

(A) Name, address and EIN of corporation, partnership or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End of year assets
	%			
	%			
	%			
	%			

**Part X Information Regarding Transfers Associated with Personal Benefit Contracts** (See Specific Instructions on page 33)

- (a) Did the organization during the year receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No
- (b) Did the organization, during the year, pay premiums, directly or indirectly on a personal benefit contract?  Yes  No

Note If "Yes" to (b), file Form 8870 and Form 4720 (see instructions)

Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Please Sign Here: *J. Garrett Henn* Signature of officer, Date: 2/14/03  
**J. GARRETT HENN CFO, VP FINANCE & ADMINISTRATION**  
 Type or print name and title

Paid Preparer's Use Only: Preparer's signature: *Sam Priello*, Date: 2/13/03, Check if self-employed: , Preparer's SSN or PTIN: 13 4008324  
 Firm's name (or yours if self-employed): PRICEWATERHOUSECOOPERS LLP, EIN: 13 4008324  
 address and ZIP + 4: 1301 AVE OF THE AMERICAS NEW YORK, NY 10019, Phone no: 646 471-4000



**SCHEDULE A**  
(Form 990 or 990-EZ)

**Organization Exempt Under Section 501(c)(3)**

(Except Private Foundation) and Section 501(e), 501(f), 501(k),  
501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

OMB No 1545-0047

**2001**

Department of the Treasury  
Internal Revenue Service

Supplementary Information—(See separate instructions.)

▶ **MUST be completed by the above organizations and attached to their Form 990 or 990-EZ**

Name of the organization

JUVENILE DIBETES RESERCH FOUNDATION INTERNATIONAL

Employer identification number

23 1907729

**Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees**  
(See page 1 of the instructions List each one If there are none, enter "None ")

(a) Name and address of each employee paid more than \$50 000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
ROBIN HARDING- ..... JDRF 120 Wall St, NY NY 10005	VP DEVELOPMENT - 40+ HRS	228,000	27,820	0
DAN DUPONT ..... JDRF 120 Wall St, NY NY 10005	DIRECTOR PROGRAM DEV 40+ HRS	203,000	27,784	0
RICHARD FURLANETTO ..... JDRF 120 Wall St, NY NY 10005	SCIENTIFIC DIRECTOR 40+ HRS	200,000	22,157	0
BENITA SHOBE ..... JDRF 120 Wall St, NY NY 10005	NATIONAL DIR OF FIELD OPERATIONS 40+ HRS	174,000	21,245	0
KAREN BARFOOT ..... JDRF 120 Wall St, NY NY 10005	VP OF INTERNATIONAL DEV 40+ HRS	170,400	21,201	0
Total number of other employees paid over \$50 000 ▶	151			

**Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services**  
(See page 2 of the instructions List each one (whether individuals or firms) If there are none, enter "None ")

(a) Name and address of each independent contractor paid more than \$50 000	(b) Type of service	(c) Compensation
LEVINE & ASSOCIATES ..... 1090 VERMONT AVE NW. WASHINGTON, DC	GRAPHIC DESIGNER	797,031
MCKINSEY & COMPANY ..... PO BOX 7247-7255 PHILADELPHIA, PA	MANAGEMENT CONSULTANT	519,334
POWER PACT LLC ..... 2909 POLO PARK, MIDLOTHIAN VA	PUBLIC RELATIONS/CONSULTANT	457,983
OBER KALER GRIMES - ..... 1401 H STREET WASHINGTON, DC 20005	LEGAL	238,781
PRICEWATERHOUSECOOPERS ..... 1301 AVE OF THE AMERICA'S, NY NY 10019	ACCOUNTING	228,100
Total number of others receiving over \$50 000 for professional services ▶	15	



**Part III** Statements About Activities (See page 2 of the instructions)

	Yes	No
<p>1 During the year has the organization attempted to influence national, state or local legislation including any attempt to influence public opinion on a legislative matter or referendum? If "Yes" enter the total expenses paid or incurred in connection with the lobbying activities ▶ \$ <u>240,000</u> (Must equal amounts on line 38, Part VI-A, or line i of Part VI B)</p> <p>Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI A Other organizations checking "Yes" must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities</p>	✓	
2 During the year, has the organization, either directly or indirectly engaged in any of the following acts with any substantial contributors trustees, directors officers, creators, key employees, or members of their families or with any taxable organization with which any such person is affiliated as an officer director trustee, majority owner or principal beneficiary? (If the answer to any question is "Yes" attach a detailed statement explaining the transactions)		
a Sale, exchange, or leasing of property?		✓
b Lending of money or other extension of credit?		✓
c Furnishing of goods, services, or facilities?		✓
d Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)?	✓	
e Transfer of any part of its income or assets?		✓
3 Does the organization make grants for scholarships, fellowships student loans, etc? (See Note below)	✓	
4 Do you have a section 403(b) annuity plan for your employees?	✓	
<p>Note Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs "qualify" to receive payments</p>		

**Part IV** Reason for Non-Private Foundation Status (See pages 3 through 6 of the instructions)

The organization is not a private foundation because it is (Please check only ONE applicable box)

- 5  A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)
- 6  A school Section 170(b)(1)(A)(ii) (Also complete Part V)
- 7  A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)
- 8  A Federal state or local government or governmental unit Section 170(b)(1)(A)(iv)
- 9  A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(ii) Enter the hospital's name, city, and state ▶ .....
- 10  An organization operated for the benefit of a college or university owned or operated by a governmental unit Section 170(b)(1)(A)(iv) (Also complete the Support Schedule in Part IV-A)
- 11a  An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(vi) (Also complete the Support Schedule in Part IV-A)
- 11b  A community trust Section 170(b)(1)(A)(vi) (Also complete the Support Schedule in Part IV-A)
- 12  An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees and gross receipts from activities related to its charitable, etc., functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the Support Schedule in Part IV-A)
- 13  An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above or (2) section 501(c)(4) (5), or (6), if they meet the test of section 509(a)(2) (See section 509(a)(3))

Provide the following information about the supported organizations (See page 5 of the instructions)

(a) Name(s) of supported organization(s)	(b) Line number from above

- 14  An organization organized and operated to test for public safety Section 509(a)(4) (See page 6 of the instructions)

**Part IV-A Support Schedule** (Complete only if you checked a box on line 10 11 or 12) *Use cash method of accounting*

Note You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting

Calendar year (or fiscal year beginning in)	(a) 2000	(b) 1999	(c) 1998	(d) 1997	(e) Total
15 Gifts, grants, and contributions received (Do not include unusual grants See line 28)	\$144,233,883	\$112,209,248	\$94,129,459	\$93,643,393	\$444,215,983
16 Membership fees received					
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable etc., purpose					
18 Gross income from interest, dividends amounts received from payments on securities loans (section 512(a)(5)) rents royalties and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	\$3,967,298	\$3,245,498	\$3,060,710	\$447,911	\$10,721,417
19 Net income from unrelated business activities not included in line 18	\$283,000	223,000	295,000	295,000	\$1,096,000
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge Do not include the value of services or facilities generally furnished to the public without charge					
22 Other income Attach a schedule Do not include gain or (loss) from sale of capital assets	\$66,981	\$190,289	\$310,387	\$774,170	\$1,341,827
23 Total of lines 15 through 22	\$148,551,162	\$115,868,035	\$97,795,556	\$95,160,474	\$457,375,227
24 Line 23 minus line 17	\$148,551,162	\$115,868,035	\$97,795,556	\$95,160,474	\$457,375,227
25 Enter 1% of line 23	1,485,511	1,158,680	977,955	951,604	
26 Organizations described on lines 10 or 11	a Enter 2% of amount in column (e), line 24				26a 9,147,505
b Prepare a list for your records to show the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1997 through 2000 exceeded the amount shown in line 26a Do not file this list with your return Enter the total of all these excess amounts					26b 0
c Total support for section 509(a)(1) test Enter line 24 column (e)					26c 457,375,227
d Add Amounts from column (e) for lines	18 10,721,417	19 1,096,000			26d 13,159,244
	22 1,341,827	26b 0			26e 444,215,983
e Public support (line 26c minus line 26d total)					26e 444,215,983
f Public support percentage (line 26e (numerator) divided by line 26c (denominator))					26f 97.00 %
27 Organizations described on line 12	a For amounts included in lines 15 16 and 17 that were received from a "disqualified person" prepare a list for your records to show the name of and total amounts received in each year from, each "disqualified person" Do not file this list with your return Enter the sum of such amounts for each year				
	(2000)	(1999)	(1998)	(1997)	
b For any amount included in line 17 that was received from each person (other than "disqualified persons") prepare a list for your records to show the name of and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000 (Include in the list organizations described in lines 5 through 11 as well as individuals) Do not file this list with your return. After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year	(2000)	(1999)	(1998)	(1997)	
c Add Amounts from column (e) for lines	15 _____	16 _____			27c _____
	17 _____	20 _____	21 _____		
d Add Line 27a total _____ and line 27b total _____					27d _____
e Public support (line 27c total minus line 27d total)					27e _____
f Total support for section 509(a)(2) test Enter amount from line 23, column (e)	27f				27f _____
g Public support percentage (line 27e (numerator) divided by line 27f (denominator))					27g _____ %
h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))					27h _____ %
28 Unusual Grants For an organization described in line 10 11 or 12 that received any unusual grants during 1997 through 2000 prepare a list for your records to show for each year the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant Do not file this list with your return Do not include these grants in line 15					

**Part V Private School Questionnaire** (See page 7 of the instructions)  
 (To be completed ONLY by schools that checked the box on line 6 in Part IV)

	Yes	No
29 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter bylaws other governing instrument or in a resolution of its governing body?		
30 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues and other written communications with the public dealing with student admissions, programs, and scholarships?		
31 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students or during the registration period if it has no solicitation program in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe, if "No," please explain (If you need more space, attach a separate statement )		
32 Does the organization maintain the following		
a Records indicating the racial composition of the student body, faculty, and administrative staff?		
b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?		
c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?		
d Copies of all material used by the organization or on its behalf to solicit contributions?		
If you answered "No" to any of the above, please explain (If you need more space, attach a separate statement )		
33 Does the organization discriminate by race in any way with respect to		
a Students' rights or privileges?		
b Admissions policies?		
c Employment of faculty or administrative staff?		
d Scholarships or other financial assistance?		
e Educational policies?		
f Use of facilities?		
g Athletic programs?		
h Other extracurricular activities?		
If you answered "Yes" to any of the above, please explain (If you need more space attach a separate statement.)		
34a Does the organization receive any financial aid or assistance from a governmental agency?		
b Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either 34a or b please explain using an attached statement		
35 Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587 covering racial nondiscrimination? If "No " attach an explanation		

**Part VI-A Lobbying Expenditures by Electing Public Charities** (See page 9 of the instructions )  
 (To be completed **ONLY** by an eligible organization that filed Form 5768)

Check  **a** if the organization belongs to an affiliated group Check  **b** if you checked "a" and "limited control" provisions apply

Limits on Lobbying Expenditures		(a) Affiliated group totals	(b) To be completed for ALL electing organizations
(The term "expenditures" means amounts paid or incurred )			
36	Total lobbying expenditures to influence public opinion (grassroots lobbying)	36	30,000
37	Total lobbying expenditures to influence a legislative body (direct lobbying)	37	210,000
38	Total lobbying expenditures (add lines 36 and 37)	38	240,000
39	Other exempt purpose expenditures	39	168,901,197
40	Total exempt purpose expenditures (add lines 38 and 39)	40	169,141,197
41	Lobbying nontaxable amount Enter the amount from the following table—		
	If the amount on line 40 is—		The lobbying nontaxable amount is—
	Not over \$500,000		20% of the amount on line 40
	Over \$500,000 but not over \$1,000,000		\$100,000 plus 15% of the excess over \$500,000
	Over \$1,000,000 but not over \$1,500,000	41	\$175,000 plus 10% of the excess over \$1,000,000
	Over \$1,500,000 but not over \$17,000,000		\$225,000 plus 5% of the excess over \$1,500,000
	Over \$17,000,000		\$1,000,000
42	Grassroots nontaxable amount (enter 25% of line 41)	42	250,000
43	Subtract line 42 from line 36 Enter -0- if line 42 is more than line 36	43	0
44	Subtract line 41 from line 38 Enter -0- if line 41 is more than line 38	44	0

**Caution** If there is an amount on either line 43 or line 44, you must file Form 4720

**4-Year Averaging Period Under Section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below  
 See the instructions for lines 45 through 50 on page 11 of the instructions )

Calendar year (or fiscal year beginning in) ▶	Lobbying Expenditures During 4-Year Averaging Period				
	(a) 2001	(b) 2000	(c) 1999	(d) 1998	(e) Total
45	1,000,000	1,000,000	1,000,000	0	3,000,000
46					4,500,000
47	240,000	320,000	260,000	0	920,000
48	250,000	250,000	250,000	0	750,000
49					1,125,000
50	30,000	30,000	0	0	60,000

**Part VI-B Lobbying Activity by Nonelecting Public Charities**  
 (For reporting only by organizations that did not complete Part VI-A) (See page 12 of the instructions )

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of	Yes	No	Amount
a Volunteers			
b Paid staff or management (include compensation in expenses reported on lines c through h )			
c Media advertisements			
d Mailings to members, legislators, or the public			
e Publications, or published or broadcast statements			
f Grants to other organizations for lobbying purposes			
g Direct contact with legislators, their staffs government officials, or a legislative body			
h Rallies demonstrations, seminars, conventions speeches, lectures, or any other means			
i Total lobbying expenditures (Add lines c through h )			

If "Yes" to any of the above also attach a statement giving a detailed description of the lobbying activities

**Part VII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations (See page 12 of the instructions)**

**51** Did the reporting organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

**a** Transfers from the reporting organization to a noncharitable exempt organization of

- (i) Cash
- (ii) Other assets

**b** Other transactions

- (i) Sales or exchanges of assets with a noncharitable exempt organization
- (ii) Purchases of assets from a noncharitable exempt organization
- (iii) Rental of facilities, equipment, or other assets
- (iv) Reimbursement arrangements
- (v) Loans or loan guarantees
- (vi) Performance of services or membership or fundraising solicitations

	Yes	No
<b>51a(i)</b>		✓
<b>a(ii)</b>		✓
<b>b(i)</b>		✓
<b>b(ii)</b>		✓
<b>b(iii)</b>		✓
<b>b(iv)</b>		✓
<b>b(v)</b>		✓
<b>b(vi)</b>		✓
<b>c</b>		✓

**c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees

**d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization. If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

**52a** Is the organization directly or indirectly affiliated with, or related to one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?  Yes  No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Juvenile Diabetes Research Foundation International  
120 Wall Street, New York, NY 10005

**Index of Schedules Attached to the Return.**  
For the fiscal year ending June 30,2002

Attachments Relating to Line Items on Form 990

- a Part I, Item B Request for private ruling letter
- b Part I, Line 8(c) - Gains or (Loss) on Securities
- c Part I, Line 20- Other Changes in Net Assets
- d Part II, Line 22- Grants and Allocations
- e Part III- Organization's Primary Exempt Purpose
- f Part III- Statement of Program Service Accomplishments
- g Part IV- Line 54- Investment Securities
- h Part IV- Line 57- Land, Building and Equipment
- i Part IV- Line 65- Charitable Remainder Trust & Accrued Rent Escalation
- j Part V- List of Officers and Directors
- k Part VI, Line 90- States in which JDRF is Registered to do business and files a Form 990
- l Part VI Form 990 - Change in Corporate Bylaws (Pages 20 & 21)  
regarding Research Portfolio Committee's

Other Schedules and Forms

Form 99T- Unrelated Business Tax Return

NYS- CT-13 Unrelated Business Tax Return

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Juvenile Diabetes Research Foundation International  
120 Wall Street, New York, NY 10005  
For the fiscal year ending June 30,2002

a **Part I, Item B. Request for Private Ruling Letter**

On October 8<sup>th</sup>, 2002, JDRF met with the Internal Revenue Service and requested a private ruling letter. This may change the filing procedure for JDRF such that one tax return be filed. In previous years, JDRF filed both a National and a Group Form 990. Under either filing procedure, all financial and other information required to be disclosed is accurately reflected.

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120 Wall Street, New York, NY 10005

For the fiscal year ending June 30,2002

**b. Part I, Line 8(c)- Gains or (Loss) on Securities**

**SEE ATTACHED STATEMENT**



**JUVENILE DIABETES RESEARCH FOUNDATION INTERNATIONAL**

Investment Details

For The Year Ended June 30, 2002

Broker		Cost	Market Value	Unrealized App/(Dep)	Purchases	Proceeds	Cost	Realized Gain/Loss
Ortbank	Fixed	18,962,151.22	17,279,750.92	317,599.70	28,658,279.87	25,564,961.59	25,332,286.05	232,895.64
FBMCO	Fixed	23,689,409.98	24,720,855.27	731,445.29	4,521,894.86	2,832,382.87	2,664,075.90	168,318.97
John Levin	Equity	14,922,686.64	12,605,364.80	(2,317,332.04)	17,739,186.85	21,943,524.11	21,791,522.58	152,001.53
Maverick	Equity	8,656,859.40	12,184,877.02	3,508,017.62	0.00	0.00	0.00	0.00
M. Hutchins	Equity	0.00	0.00	0.00				0.00
W.P. Stewart	Equity	7,123,686.79	7,416,110.00	292,423.21	5,832,020.29	5,529,830.89	5,641,880.44	(112,049.55)
Silchester	Equity	6,653,908.71	10,969,918.56	4,196,009.85		98,301.00	68,749.35	29,551.65
Tudor - (Raptor)	Equity	5,368,152.42	9,692,920.26	4,296,767.84				0.00
Melton Bank	Fixed	796,019.88	794,778.73	(3,241.15)				0.00
Melton Bank	Equity	486,541.56	421,758.94	(44,782.62)	281,120.15	91,451.16	97,094.83	(5,643.67)
Sub total		85,189,416.80	96,086,324.30	10,916,907.70	54,832,302.02	56,060,481.82	55,595,589.15	464,872.47
Israel Bonds	Fixed	201,000.00	201,000.00		0.00	0.00	0.00	0.00
<b>Totals</b>		<b>85,370,416.80</b>	<b>96,287,324.30</b>		<b>54,832,302.02</b>	<b>56,060,481.82</b>	<b>55,595,589.15</b>	<b>702,872.47</b>
Fixed		41,749,581.08	42,569,364.82					
Equity		43,620,835.52	53,491,939.38					
<b>Totals</b>		<b>85,370,416.80</b>	<b>96,061,324.30</b>					
Market Value, June 30, 2002			96,061,324.30					
Cost Value, June 30, 2002			85,370,416.80					
			10,690,907.70					
Prior Year			11,850,312.28					
Unrealized loss, June 30, 2002			(969,404.58)					

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Juvenile Diabetes Research Foundation International  
120 Wall Street, New York, NY 10005

For the fiscal year ending June 30,2002

**c Part I, Line 20- Other Changes in Net Assets or Fund Balances**

Unrealized Loss (969,405)

\*(See attachment # b, Schedule of Investment Details)

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**Juvenile Diabetes Research Foundation International**  
120 Wall Street, New York, NY 10005

For the fiscal year ending June 30,2002

**d. Part II, Line 22- Grants and Allocations**

For the period ending June 30, 2002, JDRF funded \$107,923,076 in research grants and funded projects. A copy of JDRF research commitments can be found in our Winter 2002 issue of Countdown and is available upon request.

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Juvenile Diabetes Research Foundation International  
120 Wall Street, New York, NY 10005

**e Part III- Organization's Primary Exempt Purpose**

**To find a cure for diabetes as well as provide material of an educational and informational nature to people interested in and effected by juvenile diabetes and to support research in the field of juvenile diabetes.**

JDRF is a worldwide organization that has funded 566 research projects to help achieve our primary goal, finding a cure for juvenile diabetes.

**Organization's Motto: Dedicated to finding a cure.**

Juvenile Diabetes Research Foundation International  
120 Wall Street, New York, NY 10005

For the fiscal year ending June 30,2002

**f. Part III- Statement of Program Service Accomplishments**

For a full list of JDRF's Research Funding and Program Service Accomplishments  
See website: [http://www.jdrf.org/research/research\\_funding.php](http://www.jdrf.org/research/research_funding.php)

Working together, JDRF Chapter Volunteers and Staff have been responsible for raising more than \$500 million for diabetes research. Our volunteers are the driving force behind more than 120 Chapters, Branches, and Affiliates worldwide, giving generously of their time, money, and expertise to fulfill an ambitious agenda of fundraising events and advocacy activities.

**Islet Transplantation: A JDRF Success Story**

New York City, February 13, 2002—The restoration of euglycemia (normal blood sugar) in people with Type 1 diabetes has been the ultimate goal of researchers for more than 30 years. In recent years, islet cell transplantation has emerged as the most promising option for achieving euglycemia. With remarkable foresight and determination, JDRF has shepherded this procedure from a field marked by disappointment and frustration to one of tremendous promise.

With islet transplantation always high on JDRF's research agenda, its investment began paying off dramatically in 2000 with the success of the "Edmonton Protocol" at the University of Alberta in Canada. The protocol — transplanting insulin-producing islets into diabetic patients with less toxic immunosuppressive drug therapy — was quickly hailed around the world as a major transplantation breakthrough, a major scientific "proof of principle," and the start of a JDRF-led accelerated effort to replicate and improve on this achievement.

**Prior year accomplishments:**

**Accomplishment:** 2001/JDRF raised \$150 million during fiscal year 2001. Legislation was enacted that increased National Institutes of Health appropriations for Type 1 research by \$240 million through 2003 million through 2003.

**Accomplishment:** 2001/FY2001 was marked by stunning islet cell transplantation progress. JDRF funded 46 institutions throughout the world in this type of research.

**Accomplishment:** 2001/JDRF achieved a significant victory as the leading advocates for stem cell research-influencing both federal policy and public awareness.

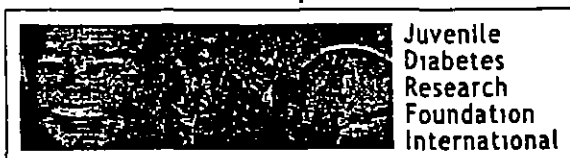
**Accomplishment:** 2000/40 percent increase in research funding. JDRF increased our funding commitments to \$120 million for FY 2001, giving almost as much money in one year for Type 1 diabetes research as the NIH.

**Accomplishment:** 2000/Eight new JDRF centers launched during 2000 worldwide. JDRF now funds 18 multidisciplinary centers throughout the world.

**Organization's Reach:** JDRF is a worldwide organization that has funded 566 research projects to help achieve our primary goal, finding a cure for juvenile diabetes.

**Organization's Motto:** Dedicated to finding a cure.

***See attached August 2002 Research Funding and General Fact sheet.***



*dedicated to finding a cure*

## Juvenile Diabetes Research Foundation International (JDRF)

### What is JDRF?

- JDRF is the world's leading nonprofit, nongovernmental funder of diabetes research.
- JDRF structures its research on a business-world model to ensure that resources are spent most effectively to accelerate progress toward finding a cure.
- JDRF is the only major diabetes organization focused exclusively on research

### Lean and Efficient Organization

- For the fourth year in a row, the American Institute of Philanthropy has given JDRF an "A" rating, the only such rating for any national diabetes organization
- JDRF has been named one of "America's 100 Best Charities" by *Worth* magazine
- JDRF received high marks from other prominent independent sources on charitable giving, including *Forbes* magazine, *The Chronicle of Philanthropy*, and *The Wall Street Journal's Smart Money* magazine.
- In a typical year, 85 percent of JDRF's expenditures directly support research and education about research.

### Only Research Will Find a Cure

- Insulin is not a cure for diabetes nor does it prevent its eventual and devastating effects kidney failure, blindness, nerve damage, amputation, heart attack, and stroke.
- Researchers are closing in on finding a cure for juvenile diabetes, and progress here could help scientists find a cure for other autoimmune diseases like rheumatoid arthritis and lupus
- JDRF has three major "cure" goals restoring normal blood sugar, preventing and reversing diabetes-related complications, and preventing diabetes and its recurrence.
- JDRF provided \$115 million to diabetes research in FY2001

### Dedicated and Active Volunteers Are Backbone of JDRF

- JDRF was founded in 1970 by the parents of children with juvenile diabetes—a disease which strikes children suddenly, makes them insulin dependent for life, and carries the constant threat of devastating complications
- Volunteers help define research priorities, select research grant recipients, lead advocacy efforts, and provide guidance to overall operations.
- JDRF has more than 120 Chapters, Branches and Affiliates worldwide, which have helped to raise more than \$600 million for diabetes research.
- Volunteers help raise funds through our "Walk to Cure Diabetes," galas and golf tournaments, community activities, advocacy, and private donations. JDRF receives no federal funding

### In our mission to find a cure we collaborate with all diabetes stakeholders, including:

- Individuals with diabetes and their families
- World-leading diabetes researchers and academic institutions.
- Foundations, corporate partners, individual donors and volunteers
- Government agencies such as the National Institutes of Health (NIH), the National Aeronautics and Space Administration (NASA), and the Department of Veterans Affairs.
- The Medical Research Councils of Australia, Canada and Sweden.
- International organizations such as The Sigrid Juselius Foundation, the Knut and Alice Wallenberg Foundation, the Academy of Finland, The Wellcome Trust, Telethon-Italy, the European Foundation for the Study of Diabetes, and Novo Nordisk.
- Biotech/pharmaceutical companies

For more information, visit our website at [www.jdrf.org](http://www.jdrf.org) or call 800-533-CURE.

*Revised August 2002*



*dedicated to finding a cure*

## Research Funding Facts

### World's Leading Funder of Diabetes Research

- JDRF is the only major diabetes organization focused exclusively on research
- It is the number one nonprofit, nongovernmental funder of diabetes research worldwide
- Since its founding in 1970 by parents of children with juvenile diabetes, JDRF has awarded more than \$600 million to diabetes research. In FY2001, it provided \$115 million to diabetes research.
- Through its research funding, JDRF has been instrumental in establishing a focus on the needs of all people with diabetes, which has helped set the world's diabetes research agenda to find a cure
- In a typical year, 85 percent of JDRF's expenditures directly support research and education about research

### Cutting-Edge Research

- JDRF has three major "cure" goals: restoring normal blood sugar, preventing and reversing diabetes-related complications, and preventing diabetes and its recurrence
- Through its unique scientific peer and lay review program, JDRF funds the most innovative, cutting-edge research worldwide. In FY2001, the Foundation awarded more than 390 research grants and 130 fellowship and career development awards to scientists in 19 countries throughout the world
- JDRF structures its research program on a business-world model to ensure that resources are spent most effectively to accelerate progress towards finding a cure
- Each year, JDRF rigorously evaluates the field of diabetes research worldwide to identify opportunities where JDRF funds will make a difference. In 2001, this review was conducted with assistance from McKinsey and Company. This review confirmed JDRF's three major "cure" goals and identified specific priority areas including, the generation of beta cells from stem cells, advancing human islet transplantation, preservation of beta cell mass, prevention of end organ damage, genetics of type 1 diabetes and its complications, prevention and treatment of hypoglycemia.
- The Foundation creates multidisciplinary programs that bring together diabetes researchers from many institutions and such diverse disciplines as molecular biology and genetics, immunology, transplantation and vascular biology to find a cure for diabetes and its complications.

### Leveraging Research Funds

- JDRF leverages its research impact by partnering with and stimulating increased research spending on the part of public and private medical organizations and other entities throughout the world.
- JDRF led the national effort to ensure that federal funding would be available for stem cell research, one of the most promising avenues toward a cure. JDRF created and chaired a coalition of more than 50 major national organizations who worked together to support the research. JDRF was credited by senior Administration officials and journalists with playing the critical role in convincing the Administration to permit this research to move forward.
- JDRF advocates won a major victory in 2000 when Congress approved legislation providing the largest increase for type 1 diabetes funding in history. Federal type 1 diabetes research funding at the NIH was increased by more than 60 percent.
- JDRF collaborates with all diabetes stakeholders including individuals with diabetes and their families, world-leading diabetes researchers and academic institutions, foundations, corporations, individual donors and volunteers, government agencies, and biotech/pharmaceutical companies.

JDRF, the world's leading nonprofit, nongovernmental funder of diabetes research, was founded in 1970 by the parents of children with juvenile diabetes—a disease which strikes children suddenly, makes them insulin dependent for life, and carries the constant threat of devastating complications. Since inception, JDRF has provided more than \$600 million to diabetes research worldwide. In a typical year, 85 percent of JDRF's expenditures directly support research and education about research. Our mission is constant: to find a cure for diabetes and its complications through the support of research. For more information, visit our website at [www.jdrf.org](http://www.jdrf.org), or call 800-533-CURE.

*Revised August 2002*

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Juvenile Diabetes Research Foundation International  
120 Wall Street, New York, NY 10005  
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**g. Part IV- Line 54- Investment Securities**

1210-000 Investments- Other	\$70,000
1209-000 Investments- Bonds	\$131,000
1201-1213 Investments at Market Value	\$95,850,345
<u>1508-1509 Investment-Real Estate-Net</u>	<u>\$250,000</u>
<b>Total Investments</b>	<b>\$96,301,345</b>

Copies of Brokerage statements on request



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Juvenile Diabetes Research Foundation International  
120 Wall Street, New York, NY 10005  
For the fiscal year ending June 30,2002

**h. Part IV- Line 57- Land, Building and Equipment**

Fixed assets, net	
1510/1515 Office Equipment (net)	\$1,067,004.39
1550/1555 Leasehold Improvements (net)	<u>\$83,240.94</u>
Fixed assets, net	<u>\$1,950,245.33</u>

EIN # 23-1907729

Juvenile Diabetes Research Foundation International  
120 Wall Street, New York, NY 10005

For the fiscal year ending June 30,2002

1. Part IV- Line 65- Charitable Remainder Trust & Accrued Rent Escalation

**Liabilities Related to Split-interest**

2210-000 Payables - CRT

\$1,461,182

**Accrued Rent Escalation**

2310-000 Payables - Deferred Rent

\$525,357

**Total to line 65 Part IV**

**\$1,986,539**

EIN # 23-1907729

Juvenile Diabetes Research Foundation International  
120 Wall Street, New York, NY 10005

**j. Part V- List of Officers and Directors**

**—SEE ATTACHED—**

**JDRF Officers of the Foundation  
FY 2001/02 (For the period 7/1/01-6/30/02)**

**\* Peter Van Etten**  
*President & CEO*

**\*\* Robert Wood Johnson IV**  
*Chairman of JDFI*

**\*\* Ross Cooley**  
*Chairman of the Board*

**\*\* Crandall Bowles**  
*Chairman, Finance/Treasurer*

**\*\* Charlie Queenan III**  
*Chairman, Research*

**\*\* Gordon Barker**  
*Chairman, Program Development*

**\*\* Karen Peterson**  
*Secretary*

**\*Robin Harding**  
*Vice President, Development*

**\*Gary Henn**  
*Vice President, Finance & Administration; Chief Financial Officer*

**\* The address for the above officers is:**  
*120 Wall Street  
New York, NY 10005-4001  
P-800-533-2873  
Fax-212-785-9595*

**Note: \*\* Also a Director, see attached list with addresses.**

**Note: Directors do not receive Compensation for their services as director.**

**JUVENILE DIABETES RESEARCH FOUNDATION INTERNATIONAL  
BOARD OF DIRECTORS  
FY 2001/02**

**Sue Alberti AM**  
Dansu Construction  
PO Box 3159  
Wheeler's Hill, Victoria 3150  
AUSTRALIA

**Her Highness Sheikhha Mozah Nasser  
Al Misnad**

**Aubrey W. Baillie**  
Newport Partners Inc.  
Scotia Plaza  
40 King Street West, Suite 5012  
Toronto, Ontario, CANADA M5H3Y2

**Gordon D. Barker, R.Ph.**  
Att: Snyder's Drug Store  
14525 Hwy #7  
Minnetonka, MN 55345

**Maureen Barunas**  
1529 King Edward Drive  
Pittsburgh, PA 15237

**Roy Berggren, M.D.**  
McKinsey & Company  
55 East 52nd Street  
New York, NY 10022

**Crandall C. Bowles**  
Springs Industries, Inc.\*\*  
PO Box 70  
Fort Mill, SC 29716

**Tina Cohoe**  
OgilvyOne  
309 West 49th Street  
New York, NY 10019-7399

**Ross A. Cooley**  
16519 Southern Oaks Dr  
Houston, TX 77068

**Michael C. De Meyer**  
Chairman and CEO  
DE MEYER Brand Consultants  
1740 44<sup>th</sup> Street SW, Suite 5, #200  
Grand Rapids, MI 49509

**Samuel D. Ewing, Jr.**  
President  
Ewing Capital, Inc.  
6630 16th Street NW Washington, DC

**Robert D. German, Esq.**  
Sherrard, German & Kelly, P.C.  
One Oliver Plaza, 35th Floor  
Pittsburgh, PA 15222

***\*Preferred Address For  
Fedex's only:***

For security reasons, only work address's

**The Honorable Newt Gingrich**  
Attention: Dana Pavey  
c/o American Enterprise Institute  
1150 17<sup>th</sup> Street, NW  
Washington, DC 20036

**William F. "Hank" Hayes**  
11434 Old Works Dr  
Frisco, TX 75035

**Melody Hobson**  
Ariel Capital Management, Inc.  
Aon Center  
200 E. Randolph Drive, Suite 2900  
Chicago, IL 60601

**Michael J. Hopkins, Esq.**  
Bennett Jones  
4500 Bankers Hall  
855-2nd Street, SW  
Calgary, Alberta T2P 4K7, CANADA

**Ardythe Johnson**  
10820 Kulshan Road  
Woodway, WA 98020

**Robert Wood Johnson IV**  
The Johnson Company, Inc.  
630 Fifth Avenue, Suite 1510  
New York, NY 10111  
**Larry King**  
c/o Patty Leoni  
13607 Hatteras Street  
Valley Glen, CA 91401

**Robert Knowling, Jr.**  
CEO and Chairman  
Internet Access Technologies  
5450 Northwest Central, suite 300  
Houston, TX 77092

**Joann Leatherby, Esq.**  
Leatherby Family Offices  
620 Newport Center Drive, Suite 1100  
Newport Beach, CA 92660

**Captain James Lovell**  
P O Box 49\*\*\*  
Lake Forest, IL 60045

**James M. Lurie, Esq.**  
O'Sullivan LLP  
30 Rockefeller Plaza  
New York, NY 10112

**Mollie Miller**  
A1 Rubber Stamp & Engraving  
3111 S Valley View Blvd, Suite 0-103  
Las Vegas, NV 89102

**\* Margery Perry**  
17116 Sobre Los Cerros  
Rancho Sante Fe, CA 92067

**Katharine S. "Trina" Overlock**  
32 Peddlesland Road  
Greenwich, CT 06831

**Penn Payne, Esq.**  
Kirkley & Payne  
999 Peachtree St. NE  
Suite 1640  
Atlanta, GA 30309

**Margery D. Perry**  
PO Box 664\*  
Rancho Sante Fe, CA 92067

**Karen Peterson**  
LEXCO Cable Mfg. & Dist.  
2738 West Belmont  
Chicago, IL 60618

**Sandra Puczymski, Ph.D.**  
Medical College of Ohio  
Department of Family Medicine  
1015 Garden Lake Parkway  
Toledo, OH 43614

**Charles J. Queenan III**  
6619 JIII Court  
McLean, VA 22101

**Steven T. Ruby, MD**  
Vascular Associates of Connecticut  
1000 Asylum Avenue, Suite 2120  
Hartford, CT 06105

**Sandra D. Silvestri**  
72 Fern Canyon Road  
Carmel, CA 93923

**Roy C. Smith**  
Professor of Finance  
The Stern School of Business, 9<sup>th</sup> FL  
44 W 4th Street  
New York, NY 10012

**Lawrence B. Sorrel**  
Welsh, Carson Anderson & Stowe  
320 Park Avenue, Suite 2500  
New York, NY 10022

**James Stuart Jr.**  
Cardinal Management Co.  
2001 Pine Lake Road  
Lincoln, NE 68512

**James C. Tyree**  
Mesirow Financial  
350 Clark Street  
Chicago, IL 60610

**\*\* Crandall Bowles**  
205 North White Street  
Fort Mill, SC 29715

**\*\*\* Captain James Lovell**  
915 S Waukegan Rd  
Lake Forest, IL 60045

**Note: Directors do not receive Compensation.**

EIN # 23-1907729

Juvenile Diabetes Research Foundation International  
120 Wall Street, New York, NY 10005

For the fiscal year ending June 30,2002

**k. Part VI, Line 90- States in which JDRF is Registered to do business and files a Form 990.**

Alabama	Missouri
Alaska	Montana
Arizona	Nebraska
Arkansas	Nevada
California	New Hampshire
Colorado	New Jersey
Connecticut	New York
Delaware	North Carolina
Dist of Columbia	North Dakota
Florida	Ohio
Georgia	Oklahoma
Hawaii	Oregon
Illinois	Pennsylvania
Indiana	Rhode Island
Iowa	South Carolina
Kansas	Tennessee
Kentucky	Texas
Louisiana	Utah
Maine	Vermont
Maryland	Virginia
Massachusetts	Washington
Michigan	West Virginia
Minnesota	Wisconsin
Mississippi	Wyoming

EIN # 23-1907729

Juvenile Diabetes Research Foundation International  
120 Wall Street, New York, NY 10005

For the fiscal year ending June 30,2002

**1. Part VI, Line 77- Changes made to Organizing Documents**

Change in corporate bylaws (pages 20 & 21 ) Regarding to Research Portfolio Committee's

## B Research Portfolio Committee

1. The Research Portfolio Committee (RPC) shall develop and recommend to the Board of Directors of JDRF research goals and objectives, including annual recommendations on the composition of, and annual evaluations of the effectiveness of, JDRF's portfolio of funded research with the objective of maximizing research effectiveness in achieving JDRF's goals. The RPC will appoint a Scientific Advisory Board ("SAB") and a Management Advisory Board ("MAB") to provide recommendations to it on various scientific and research management issues, respectively, and on such other issues within the responsibility of the RPC as the RPC shall determine. The SAB shall provide independent advice to the Board of Directors of JDRF, through the RPC, on the scientific priorities of JDRF's funded research, on the opportunities to generate research consistent with these priorities, and on the success of JDRF's current research portfolio. The MAB shall provide independent advice to the Board of Directors of JDRF, through the RPC, on the tradeoffs among alternative research portfolios, and on the generation of research opportunities. To fulfill these responsibilities, the MAB shall consider the advice of the SAB, and shall evaluate the success of the current JDRF research portfolio. The membership of the SAB and MAB shall, in each case, be comprised of scientific experts, members of JDRF, and other qualified individuals.
2. The members of the RPC shall be appointed annually, and shall include the Chairman of the Board of JDRF, the Chairman of the Research Executive Committee, the Chairman of Fundraising, the Chairman of the Planning Committee, and the Chairman of the Lay Review Committee together with other individuals nominated by the Chairman of the Board of JDRF and approved by the Board of Directors of JDRF. The RPC shall meet at least annually.

## C. Research Executive Committee and Subcommittees

1. The Research Executive Committee (REC) shall oversee implementation of the research goals and priorities established by the Board of Directors of JDRF. The REC shall recommend research policies to the Board of Directors and shall advise the RPC with respect to research portfolio composition. The REC is hereby delegated the authority to review and approve research communications, research review policies and procedures, letters of intent, memoranda of understanding, and progress reports from funded researchers. The REC shall advise the JDRF Board on special grants, innovative grants and research partnerships, and



other matters as may be requested by the JDRF Board of Directors. The REC shall also receive funding recommendations from the Lay Review Committee (LRC) and make funding recommendations to the Board of Directors of JDRF, which retains the ultimate authority within JDRF to approve all research grants.

2. The REC shall be composed of at least seven members, including its Chairman, the Chairman of the Medical Science Review Committee (MSRC), the Chairman of the LRC, and at least four other members recommended by the Chairman of the REC and appointed by the Board of Directors of JDRF. The Chairman of the REC shall be appointed by the Board of Directors of JDRF.
3. The MSRC and LRC shall serve as subcommittees to the REC. Subcommittee members shall be appointed by the Board of Directors of JDRF in consultation with the Chairman of the REC, and, in addition, with respect to the MSRC members, the Chairman of the MSRC; and with respect to the LRC members, the Chairman of the LRC. The Chairman of each Subcommittee shall be nominated by the Chairman of the Board of JDRF, in consultation with the Chairman of the REC, and appointed by the Board of Directors of JDRF.
4. The Subcommittees shall have the following responsibilities: The MSRC shall review the scientific merit of research applications under consideration for funding and shall provide its recommendations to the LRC. The LRC shall review and provide recommendations to the REC regarding the funding of grants that most accurately meet the goals of JDRF.

#### **D Finance Committee**

The Chairman of Finance will chair the Finance Committee. The Finance Committee shall provide oversight for all of the financial activities of JDRF. The Finance Committee shall be responsible for working with the Executive Office staff and all regular and special committees in reviewing and recommending to the Board of Directors an annual budget for JDRF. The Finance Committee shall monitor all financial statements of the chapters and the Executive Office on a regular basis and shall concern itself with other financial matters of JDRF as requested by the Chairman of the Board and/or the JDRF President & CEO.

# Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

▶ File a separate application for each return

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box  ▶
  - If you are filing for an Additional (not automatic) 3-Month Extension, complete only Part II (on page 2 of this form).
- Note: Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Part I Automatic 3-Month Extension of Time—Only submit original (no copies needed)**

Note: Form 990-T corporations requesting an automatic 6-month extension—check this box and complete Part I only  ▶

All other corporations (including Form 990-C filers) must use Form 7004 to request an extension of time to file income tax returns. Partnerships, REMICs and trusts must use Form 8736 to request an extension of time to file Form 1065, 1066, or 1041

Type or print	Name of Exempt Organization <b>JUVENILE DIABETES RESEARCH FOUNDATION INTERNATIONAL</b>	Employer identification number <b>23:1907729</b>
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P O box, see instructions. <b>120 WALL STREET</b>	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>NEW YORK, NY 10005</b>	

Check type of return to be filed (file a separate application for each return):

- |  |   |                                    |
|--|---|------------------------------------|
| <input checked="" type="checkbox"/> Form 990 | <input checked="" type="checkbox"/> Form 990-T (corporation)      | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL         | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ         | <input type="checkbox"/> Form 990-T (trust other than above)      | <input type="checkbox"/> Form 6069 |
| <input type="checkbox"/> Form 990-PF         | <input type="checkbox"/> Form 1041-A                              | <input type="checkbox"/> Form 8870 |

- If the organization does not have an office or place of business in the United States, check this box  ▶
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ . If this is for the whole group, check this box  ▶. If it is for part of the group, check this box  ▶ and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6-month, for 990-T corporation) extension of time until \_\_\_\_\_, 20\_\_\_\_ to file the exempt organization return for the organization named above. The extension is for the organization's return for

▶  calendar year 20 \_\_\_\_ or

▶  tax year beginning **JULY 1**, 20 **01**, and ending **JUNE 30**, 20 **02**

2 If this tax year is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions \$ 0

b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit \$ 0

c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions \$ 0

### Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶  Title ▶ **NAT DIRECTOR OF FINANCE** Date ▶ **8/28/02**