

# TOWN OF MARANA



## REVISED PERSONNEL POLICIES AND PROCEDURES

### **Navigating this PDF:**

This PDF was created with automatic cross-references that allow you to navigate easily through the document. Automatic cross-references are identified by [blue text](#). For example, all of the tables of contents automatically cross-reference to the section and subsection headings of the text. Jump to the cross-referenced location by left-clicking (on most computers) or touching (on touch-screen devices) the blue text.

**(Revised as noted in the Appendix)**

**TOWN OF MARANA**  
**REVISED PERSONNEL POLICIES AND PROCEDURES**

**Master Table of Contents**

Forward

Introduction to Personnel Policies and Procedures

Chapter 1. General Employment Rights and Responsibilities

Chapter 2. Employment Process

Chapter 3. Classification and Compensation

Chapter 4. Employment Benefits and Leaves

Chapter 5. Work Rules and Employee Discipline

Chapter 6. Performance Management and Employee Development

Chapter 7. Safety and Health

Chapter 8. Termination of Employment

Appendix: Table of Revisions

## FORWARD

These personnel policies for the Town of Marana have been designed, revised, approved and implemented in order to provide each employee of the Town a clear and thorough understanding of the policies by which Marana strives to operate, and the conditions under which employment with the Town is accepted or continued. Knowing what your responsibilities are to the Town and understanding which rights and privileges you enjoy will serve to optimize working conditions and result in a professional, safe, enjoyable and efficient workplace.

Amendments or additions to these personnel policies shall be authorized only by the Marana Town Council. Each Town employee shall then be advised of the amendment or addition and shall immediately adhere to it. No employee, supervisor, Department Head or Council Member is authorized to make any oral representations or promises that vary from the provisions of these policies or that vary from the departmental rules and regulations (if any) applicable to that employee's department. Any such oral representations or promises are hereby declared to be null and void and should not be relied upon by any employee. Neither this manual nor the personnel policies create an employment contract with employees.

Violations of these personnel policies will be perceived as a serious matter and may result in disciplinary or other administrative action.

# INTRODUCTION TO PERSONNEL POLICIES AND PROCEDURES

## Section 1 Purpose

- A. These policies were developed to provide:
  - 1. A written set of guidelines for human resources decisions;
  - 2. A means of communication with employees, supervisors and directors;
  - 3. A framework for consistency and fairness in recruitment, selection, placement, promotion, retention and separation of Town employees based upon employees' qualifications for a position;
  - 4. A way to promote the Town's philosophy;
  - 5. A tool to assist managers in the development of sound management practices and procedures; and
  - 6. A means of protecting the legal interests of the Town in compliance with federal and state laws.

## Section 2 Scope

- A. These policies and procedures apply to all employees of the Town of Marana except where specifically stated otherwise in the Town Code, in these personnel policies, or in the case of the Town Manager and Town Magistrate, in an employment agreement. These policies and procedures do not apply to non-employee positions as defined in the Town Code, including elected officials; members of boards, committees, and commissions; persons engaged by the town on a contractual basis; volunteer personnel; and other personnel whom the Council may designate.
- B. In the event of conflict between these policies and procedures and state, local, or federal law, the terms and conditions of the state, local, or federal law shall prevail.

## Section 3 Amendments

- A. Amendments to these policies may be proposed to the Town Council through the Town Manager or Human Resources Director. The Council may, at its sole discretion by ordinance and/or resolution, amend or repeal these policies at any time, with or without notice. Amendments to these policies become effective upon their adoption by the Town Council, or as otherwise designated by the Town Council.
- B. Amendments to these personnel policies may be adopted by a majority vote of the Town Council at any public meeting of the Council. Amendments may be proposed and adopted on the consent agenda.

#### **Section 4 Personnel Policy Administration**

Administration of the personnel policies is the responsibility of the Human Resources Director.

#### **Section 5 Disclaimer**

None of these provisions shall be deemed to create a vested contractual right for any employee nor to limit the power of the Town Manager or Council to repeal or modify these rules. The policies are not to be interpreted as promises of specific treatment.

**TOWN OF MARANA**

**REVISED PERSONNEL POLICIES AND PROCEDURES**

**Table of Contents**

**CHAPTER 1. GENERAL EMPLOYMENT RIGHTS AND RESPONSIBILITIES**

Policy 1-1 Equal Employment Opportunity.....1  
    Section 1-1-1 Non-Discrimination .....1  
    Section 1-1-2 Consequences of Prohibited Conduct .....1  
    Section 1-1-3 Equal Employment Opportunity Program.....1  
    Section 1-1-4 Program Responsibility .....2  
    Section 1-1-5 Anti-Harassment Policy .....2  
    Section 1-1-6 Harassment Prevention and Elimination.....3  
    Section 1-1-7 Reporting Possible Harassment .....4  
    Section 1-1-8 Investigation .....5  
    Section 1-1-9 No Reprisals.....5  
    Section 1-1-10 Option to Report to Outside Agency(ies) .....5  
    Section 1-1-11 Employee Development .....5  
    Section 1-1-12 Coordination with State and Federal Laws .....6  
    Section 1-1-13 Definitions.....6  
Policy 1-2 Code of Ethics .....6  
    Section 1-2-1 Performance Of Duties .....7  
    Section 1-2-2 Abuse of Position .....7  
    Section 1-2-3 Conflict of Interest.....8  
    Section 1-2-4 Outside Employment.....9  
    Section 1-2-5 Volunteer Activities .....10  
    Section 1-2-6 Confidentiality .....10  
    Section 1-2-7 Political Activity .....10  
    Section 1-2-8 Use of Public Property .....11



## CHAPTER 1

# GENERAL EMPLOYMENT RIGHTS AND RESPONSIBILITIES

### **POLICY 1-1 EQUAL EMPLOYMENT OPPORTUNITY**

The Town of Marana provides equal employment opportunities to all employees and applicants for employment without regard to race, color, religion, sex, national origin, age, disability, sexual orientation, gender identity or expression, or status as a veteran in accordance with applicable federal laws.

#### **Section 1-1-1 Non-Discrimination**

- A. The Town complies with applicable state laws governing non-discrimination in employment. This policy applies to all terms and conditions of employment, including but not limited to, hiring, placement, promotion, termination, layoff, recall, transfer, leaves of absence, compensation and training.
- B. The Town also provides equal treatment for disabled employees who can perform with accommodation the essential tasks of the position that are bona fide occupational qualifications of the position when such accommodations do not impose an undue hardship on the Town.

#### **Section 1-1-2 Consequences of Prohibited Conduct**

Violations of this policy may be cause for the full range of disciplinary action, up to and including termination.

#### **Section 1-1-3 Equal Employment Opportunity Program**

The Equal Opportunity Officer shall undertake the following actions to ensure equal employment opportunities in the Town:

- A. Periodically review all position qualifications and descriptions to ensure requirements are relevant to the tasks to be performed and make recommendations as needed to delete requirements not reasonably related to the tasks to be performed.
- B. Ensure that pay and benefits depend upon position responsibility and, along with overtime work, are administered on a non-discriminatory basis.
- C. Inform and provide guidance to staff and management personnel who make hiring decisions so that all applications for selections, promotion and termination, including those of minorities and women, are considered without discrimination and all applicants be given equal opportunity regardless of race, color, national origin, sex, age, disability, sexual orientation, gender identity or expression, or status as a veteran in accordance with applicable federal law.



## CHAPTER 1

# GENERAL EMPLOYMENT RIGHTS AND RESPONSIBILITIES

- D. Create a pool of qualified candidates to encourage diversity and ensure equal employment opportunity in hiring. The following recruitment practices will be followed under the Equal Employment Opportunity officer's direction:
  - 1. Positions selected for an external competitive recruitment process will be open for a minimum of five working days.
  - 2. Positions selected for an external competitive recruitment process shall be advertised to the broadest audience available and appropriate for the position.
- E. Distribute the Equal Employment Opportunity Policy to employees, contractors and suppliers.
- F. Include an equal employment opportunity phrase on applications and job announcements.

### **Section 1-1-4 Program Responsibility**

The Human Resources Director shall serve as the Equal Opportunity Officer to carry out the Equal Employment Opportunity Policy and Program.

- A. The Equal Employment Opportunity Officer shall be the focal point for the Town's equal opportunity efforts and shall advise and assist staff and management personnel in all matters regarding implementation of and compliance with the Equal Employment Opportunity Policy and be responsible for the successful execution of the program, utilizing the assistance of appropriate state and community agencies.
- B. The Equal Employment Opportunity Officer will have the responsibility to examine existing internal policies or procedures that may serve as barriers to implementing the Equal Employment Opportunity Program.

### **Section 1-1-5 Anti-Harassment Policy**

The Town of Marana strictly prohibits any form of unlawful employee harassment based on race, color, religion, sex, national origin, age, disability, sexual orientation, gender identity or expression, status as a veteran or status in any group protected by federal, state or local law. Improper interference with the ability of the Town's employees to perform their expected job duties will not be tolerated. Each member of management is responsible for creating an atmosphere free of discrimination and harassment, sexual or otherwise. Further, employees are responsible for respecting the rights of their co-workers.

With respect to sexual harassment, the Town prohibits the following:

- A. Unwelcome sexual advances, requests for sexual favors and all other verbal or physical conduct of a sexual or other offensive nature, especially where:



## CHAPTER 1

# GENERAL EMPLOYMENT RIGHTS AND RESPONSIBILITIES

1. Submission to such conduct is made either explicitly or implicitly a term or condition of employment;
  2. Submission to or rejection of such conduct is used as the basis for decisions affecting an individual's employment; or
  3. Such conduct has the purpose or effect of creating an intimidating, hostile or offensive work environment.
- B. Offensive comments, jokes, innuendoes, and other sexually oriented statements. Examples of the types of conduct expressly prohibited by this policy include, but are not limited to, the following:
1. Touching, such as rubbing or massaging someone's neck or shoulders, stroking someone's hair or brushing against another's body;
  2. Sexually suggestive touching;
  3. Grabbing, groping, kissing, fondling;
  4. Violating someone's "personal space";
  5. Lewd, off-color, sexually oriented comments or jokes;
  6. Foul or obscene language;
  7. Leering, staring or stalking;
  8. Suggestive or sexually explicit posters, calendars, photographs, graffiti, cartoons;
  9. Sexually oriented or explicit remarks;
  10. Questions about one's sex life or experience;
  11. Repeated requests for dates.

### **Section 1-1-6 Harassment Prevention and Elimination**

A. Education.

1. The Human Resources Director shall be responsible for formally notifying all employees, Department Heads, elected and appointed officials, volunteers, and contractors and vendors regarding the Town's Equal Employment Opportunity Policy and Program and Anti-Harassment Policy.
2. The Human Resource Director shall provide periodic training regarding the Town's policies, appropriate workplace behaviors, and harassment.
3. Attendance at the training will be mandatory for all employees. The training will also be offered to elected and appointed officials and others.

B. Implementation. The Town Manager, Department Heads, and supervisors shall:



## CHAPTER 1

# GENERAL EMPLOYMENT RIGHTS AND RESPONSIBILITIES

1. Create a productive work environment in which offensive conduct and harassment are not tolerated.
2. Take immediate and appropriate corrective action in response to any confirmed violation of the Town's Equal Employment Opportunity or Anti-Harassment Policies.
3. Ensure that no reprisals are taken against reporting or corroborating witnesses.

### C. Enforcement.

1. The Town is committed to thoroughly investigating each complaint and taking immediate and appropriate corrective action on all confirmed violations of Town policy.
2. The Human Resources Director is responsible for ensuring a thorough investigation and appropriate resolution of any complaints, in conjunction with the Town Manager or designee, the appropriate Department Head(s), and the Legal Department, as necessary.
3. The Human Resources Director is responsible for auditing the operation of the Town's Equal Employment Opportunity Policy and Program and Anti-Harassment Policy.
4. Any acts of alleged judicial misconduct shall be referred to the Arizona Commission on Judicial Conduct for investigation.

### Section 1-1-7 Reporting Possible Harassment

- A. If an employee experiences any job-related harassment based on sex, race, national origin, disability or other protected factor, or believes that he or she has been treated in an unlawful, discriminatory manner, the incident should be reported promptly to a Department Head, the Human Resources Director or the Town Manager, who will investigate as necessary to determine the cause of the complaint and work with the employee to affect an equitable solution. Every effort shall be made to resolve the difficulty at the lowest level practicable. The complaint will be kept confidential to the maximum extent practicable.
- B. All other employees, including supervisors, managers or directors, who become aware of possible harassment of an employee, either as a result of having received a complaint directly from the employee from any other reliable source of information, or from his or her personal observations, should report the situation to a Department Head, the Human Resources Director or the Town Manager.



## CHAPTER 1

# GENERAL EMPLOYMENT RIGHTS AND RESPONSIBILITIES

### **Section 1-1-8 Investigation**

- A. The goal will be to investigate any such complaint promptly and thoroughly.
- B. If the Town determines that an employee has harassed another individual, appropriate disciplinary action will be taken against the offending employee, up to and including termination of employment.

### **Section 1-1-9 No Reprisals**

- A. No reprisals of any kind by any employee or manager shall be taken against an employee because that employee has asserted a complaint or against any witness because that individual has reported or has assisted in any way in the investigation of a harassment complaint.
- B. If, after investigating any complaint of harassment, the Town determines that the complaint is not bona fide and was not made in good faith or that an employee has provided false information regarding the complaint, disciplinary action may be taken against the individual who filed the complaint or who gave the false information, up to and including termination.

### **Section 1-1-10 Option to Report to Outside Agency(ies)**

At the option of the employee, the services of the State of Arizona Department of Law Civil Rights Division or the federal Equal Employment Opportunity Commission may be requested at any time.

### **Section 1-1-11 Employee Development**

The following actions shall be undertaken to achieve employee job satisfaction and fair treatment:

- A. Assure that there shall be no discrimination with regard to training and educational opportunities, upgrading, promotions, transfer and demotion, layoffs and termination of employees. Any actions that might adversely affect employees in accordance with state and federal law will be brought to the attention of the Equal Opportunity Officer.
- B. Actively encourage employees to increase their skills and job potential through training and educational opportunities. Offer guidance and counseling in developing programs tailored to individual aptitudes and desires, taking full advantage of programs offered by state and federal agencies and other appropriate programs.



## CHAPTER 1

# GENERAL EMPLOYMENT RIGHTS AND RESPONSIBILITIES

### Section 1-1-12 Coordination with State and Federal Laws

The Town recognizes its responsibilities to comply with and ensure that equal opportunity and non-discrimination policies of state or federal agencies with which it conducts business are carried out in compliance with Executive Order No. 11246.

### Section 1-1-13 Definitions

- A. **Equal Employment Opportunity Policy:** The commitment to ensure equal employment opportunity for all employees and appointed officials to the full extent of state and federal law.
- B. **Equal Employment Opportunity Program:** The written, results-oriented program specifically set forth in this policy detailing the steps to be taken to ensure equal employment opportunity.
- C. **Equal Employment Opportunity Officer:** That person designated by the Town Manager who is responsible for meeting the obligations and requirements of the Equal Employment Opportunity Policy and Program.

## POLICY 1-2 CODE OF ETHICS

The Town of Marana code of ethics defines a foundation of behavior for all employees of the Town to follow. Employees of the Town of Marana hold their positions to serve and benefit the public, and not for obtaining unwarranted personal or private gain in exercise and performance of their official powers and duties. The Town recognizes that, in furtherance of this fundamental principle, there is a need for clear and reasonable standards of ethical conduct. This code of ethics establishes those standards.

The code of ethics is supported by the Town's four core values that form the ethical foundation for the Town of Marana: Dedicated Service, Respect, Teamwork, and Engaged Innovation.

1. **Dedicated Service:** We are dedicated to service and excellence. Our primary purpose is to serve Marana residents, businesses, and visitors and provide, with the resources available, a quality well-managed community.
2. **Respect:** We treat everyone in our community (both employees and citizens) like family. We value diversity of thought and experience by every member of the Town organization.
3. **Teamwork:** We trust and value each other. We share, collaborate, and partner as professionals to enhance the quality of work produced for Marana residents, businesses, and visitors.



## CHAPTER 1

# GENERAL EMPLOYMENT RIGHTS AND RESPONSIBILITIES

4. **Engaged Innovation:** We do what it takes to make the Town of Marana better. We strive to continuously learn, explore, and seek ways to better serve Marana residents, businesses, and visitors.

Any violation of this code of ethics may result in disciplinary action up to and including dismissal as provided by Chapter 5, Work Rules and Employee Discipline, of these Personnel Policies and Procedures.

### Section 1-2-1 Performance Of Duties

- A. Employees should perform official duties diligently, conscientiously and to the best of their ability, remembering that they are public servants.
- B. Employees should always perform their duties with courtesy and respect for the public and for co-workers and without bias or prejudice, manifested by words or conduct, based upon age, race, religion, national origin, gender, sexual orientation, gender identity or expression, veteran status, disability or political affiliation.
- C. With support from the Town, employees should seek to maintain and improve their personal and professional growth and development and that of their co-workers through cooperation and participation in training and educational programs relevant to their duties and through any licensing or certification required for their position.
- D. Employees should perform their duties impartially in a manner consistent with law and the public interest, unswayed by kinship, position, partisan interests, public pressure or fear of criticism or reprisal.
- E. Employees should bring to the attention of their supervisor any information that, by its nature or inference, could disclose or cause to be addressed any condition or situation that is detrimental to the image of the Town of Marana or that they regard as a threat of liability, a threat to safety or a breach of law. The Town will not retaliate against any employee who makes such a disclosure in good faith. Resolution shall be pursued in accordance with the provisions of applicable local, state and federal law.

### Section 1-2-2 Abuse of Position

- A. No employee should use or attempt to use his or her official position to secure unwarranted privileges or exemptions.
- B. No employee or a member of the employee's immediate family should accept, solicit, or agree to accept any gift, favor or anything of value with the understanding that the official actions, decisions or judgments of any employee will be influenced.
- C. No employee should request or accept any fee or compensation beyond that received by the employee in his or her official capacity for advice or assistance given in the course of his or her public employment.



## CHAPTER 1

### GENERAL EMPLOYMENT RIGHTS AND RESPONSIBILITIES

- D. Each employee should use the public resources, property and funds under the employee's control responsibly and for the public purpose intended by law and not for any private purpose.

#### **Section 1-2-3 Conflict of Interest**

All employees must manage their personal and business affairs so as to avoid situations that might lead to conflict, or the appearance of conflict, between self-interest and our duty to the Town, to the persons served by the Town and to the general public. Every employee has an obligation to diligently identify, disclose, avoid and/or manage conflicts of interest. Potential conflict of interest exists when an employee or an employee's immediate family may be directly or indirectly financially impacted, whether favorably or detrimentally, by a decision made by the Town of Marana in which the employee participates. Even if no abuse of position actually occurs, a conflict of interest or its appearance can seriously undermine the public's confidence and trust in the Town's governmental system.

- A. **Outside Contracts.** Employees and their immediate family members should not enter into any contract with any component of the Town government for financial gain apart from an employment appointment without full disclosure and satisfactory management of any potential conflict of interest in accordance with policies established by the Town of Marana.
- B. **Nepotism.** Employees should not be involved in the decision to hire or in the supervision of any member of their immediate family.
1. Immediate family or employees who reside in the same household will be allowed to work in the same department, and neither will be required to transfer or terminate employment, as long as neither is in a position that requires supervising the other.
  2. If a supervisory responsibility is involved, then the affected employees will determine which of them will transfer or resign in order to ensure compliance with this policy. The Town will assist in exploring transfer opportunities to like or similar positions for either employee.
  3. If no transfer opportunity exists after 90 days, one of the employees will be required to resign employment with the Town.
  4. For purposes of this policy, immediate family and relative is defined as husband, wife, daughter (in-law), son (in-law), mother (in-law), father (in-law), brother (in-law), sister (in-law), parents (in-law), step children, step parents (in-law), grandparent or grandchild of an employee or other legal dependent of an employee or the employee's relatives.
- C. **Business with Private Party or Vendor.** Employees should not participate in decisions regarding conduct of Town business with any private party or vendor by whom the



## CHAPTER 1

# GENERAL EMPLOYMENT RIGHTS AND RESPONSIBILITIES

employee or an immediate family member is employed or is actively seeking employment.

- D. Acceptance of Gifts, Gratuities, Hospitality. Employees should not accept gifts, loans, gratuities, discounts, favors, hospitality, services or other compensation under circumstances from which it could reasonably be inferred that a major purpose of the donor is to influence the employee in the performance of duties. Examples of acceptable courtesies include a meal or social event; floral offerings or gifts of food to commemorate events such as illness, death, birth, holidays, promotions; or a sample or promotional gift of nominal value (\$25 or less).

### Section 1-2-4 Outside Employment

- A. While the Town of Marana does not oppose employees engaging in outside employment, each full-time employee should consider his or her position with the Town of Marana to be his/her primary place of employment. The outside employment of part-time employees may also reflect on the Town. Therefore, the Town of Marana will oppose outside employment when it interferes with any employee's duties with the Town of Marana, involves a potential conflict of interest, or compromises the integrity or credibility of the Town. Consequently, in addition to conflict of interest situations addressed above, employees should avoid:
1. Outside employment with an entity that conducts business with the Town or requires the employee to have frequent contact with entities that regularly do business with the Town without full disclosure and satisfactory management of any potential conflict of interest.
  2. Outside employment that cannot be accomplished outside of the employee's normal working hours or is otherwise incompatible with the performance of the employee's duties by placing the employee in a position of conflict between the employee's role at the Town of Marana and the employee's role in the outside employment.
  3. Performance of work for any governmental entity within the State of Arizona without the written consent of both employers.
  4. Outside employment that exploits official position or confidential information acquired in the performance of official duties for personal gain.
  5. Outside employment that the public may view as work on behalf of the Town of Marana.
- B. An exception to restrictions on outside employment pertain to the police. Outside employment of police must conform to Police Department Policies and Procedures.
- C. Due to the importance of the public's perception of the governmental system, the Town of Marana requires that all employees who engage in outside employment disclose such



## CHAPTER 1

# GENERAL EMPLOYMENT RIGHTS AND RESPONSIBILITIES

work to the Department Head, who will notify the Human Resources Director if appropriate. Outside employment is subject to review for conformance to this Code of Conduct. Employees engaged in outside employment determined not to be in conformance may be required to cease such employment.

### **Section 1-2-5 Volunteer Activities**

Employees are encouraged to engage in volunteer activities. However, employees should evaluate their volunteer activities in the same manner as outside employment to identify any potential conflict with the employee's position with the Town of Marana. Employees should discuss these potential conflicts with their Department Head.

- A. An employee should declare volunteer activities only if the employee believes there is some reason for concern consistent with the spirit of this Code of Conduct.
- B. All reported volunteer activities will be reviewed for appropriateness under the guidelines in this policy by the Department Head and the Human Resources Director.
- C. Should an employee disagree with the decision of the Department Head and Human Resources Director, he/she may request an additional review by the Town Manager, whose decision is final.

### **Section 1-2-6 Confidentiality**

Employees of the Town of Marana should carry out their duties in a manner which would withstand public scrutiny. Some employees handle confidential court-related, law enforcement-related or employee-related documents, while others handle sensitive matters concerning the operation of the government. Employees should maintain the confidentiality of these matters, ensuring information about these activities is made public only upon appropriate authorization by the Department Head, Human Resources Director or Town Manager.

### **Section 1-2-7 Political Activity**

The Town seeks to maintain neutrality concerning political matters to the extent humanly possible. Employees of the Town of Marana have a right to entertain and express personal opinions about political candidates and issues, but when performing their duties on behalf of the Town during working hours, employees of the Town of Marana should endeavor to maintain neutrality in action and appearance, except where an employee's position entails political advocacy on the part of the Town.

#### **A. Political Campaigns**

Each employee retains the right to vote as the employee chooses and is free to participate actively in political campaigns during non-working hours. Such activity



## CHAPTER 1

### GENERAL EMPLOYMENT RIGHTS AND RESPONSIBILITIES

includes, but is not limited to, membership and holding office in a political party, campaigning for a candidate in a partisan election by making speeches, and making contributions of time or money to individual candidates, political parties or other groups engaged in political activity. An employee who chooses to participate in political activity during off-duty hours should not use his or her position or title within the Town in connection with such political activities.

#### B. Candidate for Office

1. An employee who declares an intention to run for partisan elective office must take an unpaid leave of absence upon the filing of nomination papers, unless more than 50% of the employee's salary is paid by federal funds, in which case the employee must resign. If elected, he or she must resign.
2. An employee may be a candidate for an unpaid non-partisan elective office or may be appointed to an unpaid non-partisan office in another jurisdiction, without separating from employment, provided that the employee otherwise complies with this Code of Conduct.

#### C. Political Activism

Employees should not engage in political activity during scheduled work hours, or when using government vehicles or equipment, or on Town property except in the performance of their duties on behalf of the Town. Political activity includes, but is not limited to:

1. Displaying literature, badges, stickers, signs or other items of political advertising on behalf of any party, committee, agency, candidate for political office or political issues sought to be placed on the ballot.
2. Using official authority or position, directly or indirectly, to influence or attempt to influence any other employee in Town employment to become a member of any political organization or to take part in any political activity.
3. Soliciting signatures for political candidacy or for the purpose of placing an issue on the ballot.
4. Soliciting or receiving funds for political purposes.

#### D. Political Discrimination

Employees should not discriminate in favor of or against any employee or applicant for employment on account of political contributions or permitted political activities.

#### **Section 1-2-8 Use of Public Property**

- A. No employee of the Town shall request, use or permit the use of Town-owned vehicles, clothing, equipment, materials, or other property for unauthorized personal



**CHAPTER 1**  
**GENERAL EMPLOYMENT RIGHTS AND**  
**RESPONSIBILITIES**

convenience, for profit, for private use, or as part of secondary employment. Use of such Town property is to be restricted to such services as are available to the Town generally and for the conduct of official Town business.

- B. Authorized personal uses include taking a Town vehicle to lunch when going to and from meetings on workdays as needed, use of a Town copy machine at cost, stopping to run personal errands when in a Town vehicle when the destination point is in conjunction with official or authorized business, and other nominal personal uses as permitted by the Town Manager on a case-by-case basis.
- C. Employees shall not have passengers in Town-owned vehicles unless the passenger is present for official Town business or there is an emergency.

# TOWN OF MARANA

## REVISED PERSONNEL POLICIES AND PROCEDURES

### Table of Contents

#### CHAPTER 2. EMPLOYMENT PROCESS

- Policy 2-1 Recruitment .....1
- Section 2-1-1 Conditions of Employment.....1
- Section 2-1-2 External Competitive Recruitment Process .....1
- Section 2-1-3 Internal Competitive Recruitment Process .....1
- Section 2-1-4 Non-Competitive Process .....2
- Policy 2-2 Employment Application .....3
- Section 2-2-1 Employment Application.....3
- Section 2-2-2 Rejection of Application .....3
- Policy 2-3 Examination.....3
- Section 2-3-1 Physical and Mental Fitness .....4
- Section 2-3-2 Pre-Employment Drug Test.....4
- Section 2-3-3 Test Development .....4
- Section 2-3-4 Test Administration .....4
- Section 2-3-5 Reasonable Accommodation .....4
- Policy 2-4 Preferences.....4
- Policy 2-5 Interviewing .....5
- Section 2-5-1 Interview Process .....5
- Policy 2-6 Reference Check and background investigation .....6
- Section 2-6-1 Procedure .....6
- Policy 2-7 Selection .....7
- Policy 2-8 Fingerprinting .....7
- Section 2-8-1 Affected Positions .....7
- Section 2-8-2 Procedures.....7
- Section 2-8-3 Failure to Comply .....8
- Policy 2-9 Employee Orientation .....8
- Section 2-9-1 Citizenship .....8
- Section 2-9-2 Loyalty Oath .....8
- Section 2-9-3 Hiring Department Responsibilities .....8
- Section 2-9-4 Date of Hire/Length of Service Anniversary .....9
- Policy 2-10 Employee Identification Cards .....9
- Section 2-10-1 Issuance, Use and Return of Card.....9



## CHAPTER 2

### EMPLOYMENT PROCESS

#### **POLICY 2-1 RECRUITMENT**

Vacancies for regular and temporary, full- and part-time classified and unclassified positions may be filled by an external competitive recruitment process, an internal competitive recruitment process or a non-competitive process. The Human Resources Department and the hiring department will work together to develop recruitment and selection strategies for each vacant position. The Human Resources Department is responsible for ensuring compliance with all applicable laws and policies regarding recruitment and shall conduct all recruitment processes. The hiring department is responsible for the selection of candidates.

#### **Section 2-1-1 Conditions of Employment**

The appointment, promotion and tenure of every Town employee shall be conditioned solely on merit and fitness and the satisfactory performance of the duties and responsibilities assigned. No employee or applicant shall be discriminated against on the basis of race, color, national origin, religion, sex, disability, marital or familial status, veteran status or political affiliation.

#### **Section 2-1-2 External Competitive Recruitment Process**

- A. Positions selected for an external competitive recruitment process may be publicized to the general public by advertisement in a newspaper of appropriate circulation, by posting announcements in Town facilities, through electronic means such as the Town's website, or by other methods as determined by the Human Resources Director or designee, with the goal of reaching the broadest audience available.
- B. Positions will be open and applications accepted for a minimum of five working days.
- C. Any Town employee may apply for positions posted as external recruitments.

#### **Section 2-1-3 Internal Competitive Recruitment Process**

- A. Positions selected for an internal competitive recruitment process may be publicized to Town employees by posting announcements in Town facilities, through electronic means such as the Town's website or Town e-mail, or by other methods as determined by the Human Resources Director or designee.
- B. Positions will be open and applications accepted for a minimum of five working days.
- C. Regular employees who have completed an initial evaluation period in any position with the Town may apply for positions posted as internal recruitments. Regular employees who are serving in a second or subsequent initial evaluation period in a new position may also apply for positions posted as internal recruitments.



## CHAPTER 2

### EMPLOYMENT PROCESS

- D. Term-limited temporary employees who meet all of the following criteria may apply for positions posted as internal recruitments.
  - 1. The temporary employee must be employed directly with the Town and not through a temporary agency or under a contract;
  - 2. The employee must have worked a minimum of 1040 hours in the temporary position; and
  - 3. The employee must have completed a written application for the temporary position and that application must be on file in their official personnel file in the Human Resources Department.
- E. Short-term temporary employees are not eligible to apply for positions posted as internal recruitments.

#### **Section 2-1-4 Non-Competitive Process**

- A. The Town of Marana actively seeks a qualified, diverse workforce through competitive recruitment processes. The Town recognizes, however, that there may be situations in which a compelling justification exists to make a non-competitive selection.
- B. Non-competitive selections shall only be made with the approval of the Town Manager.
- C. All candidates selected through a non-competitive process must meet the minimum qualifications of the position in question.
- D. In all cases, the Human Resources Department and the hiring department shall document the circumstances of the non-competitive hire with a memorandum to the personnel file of the employee hired through the non-competitive process.
- E. The following circumstances may justify an appointment without a competitive recruitment process:
  - 1. In an emergency situation in which failure to fill a vacancy would compromise the operations of the Town, the Town may temporarily hire someone to fill the position while it conducts a competitive search.
  - 2. On rare occasions, the Town Manager may identify an individual whose expertise and skills are aligned with pressing Town needs or strategic priorities. When time and resources limit the Town's ability to competitively recruit such an individual, the hiring department and/or the Human Resources Department may recommend to the Town Manager that the individual be hired on a non-competitive basis.
  - 3. Regular employees who resign from Town service may be considered for re-employment without a competitive recruitment process in accordance with Policy 8-4 of these Personnel Policies and Procedures.



## CHAPTER 2

### EMPLOYMENT PROCESS

4. In recognition of an employee's talents, contributions, and performance, the Town may appoint a current regular employee who has completed an initial evaluation period in any position with the Town or a term-limited temporary employee who has worked a minimum of 1040 hours to a regular or temporary position within the Town without conducting a competitive search.

#### **POLICY 2-2 EMPLOYMENT APPLICATION**

##### **Section 2-2-1 Employment Application**

- A. Applications shall be accepted for positions that are open.
- B. An applicant may apply for more than one position, provided that each position is open for applications.
- C. Application forms normally require information regarding training, work experience, other pertinent personal and employment information and employment references. Each applicant, including senior management, must submit a completed application.
- D. Each application must be signed by the person submitting the application and filed with the Human Resources Department. Applications submitted electronically via the Town's website may be signed electronically. All applications, together with accompanying materials, become the property of the Town.
- E. The employment process may require that applicants submit to a physical examination in compliance with the Americans with Disabilities Act and/or to fingerprint background investigations as defined in the Fingerprinting Policy.

##### **Section 2-2-2 Rejection of Application**

The Town may reject any application that indicates that the applicant does not possess the minimum qualifications required for the position, has made any misstatement of any material fact or has practiced any deception or fraud in his/her application. Applicants who practice any deception or fraud may be ineligible for hire in the future.

#### **POLICY 2-3 EXAMINATION**

Selection techniques used by the Town are impartial, practical and job-related and are designed to determine the candidate's knowledge, skills and abilities for the position. The examinations used may include but are not limited to oral, written, performance, in-basket exercise or assessment center, physical/mental fitness and training/experience evaluations. In addition, evaluation of past work performance, work samples, personal interviews, and background investigations may be used in the selection process.



## CHAPTER 2

# EMPLOYMENT PROCESS

### **Section 2-3-1 Physical and Mental Fitness**

- A. All applicants for Town employment shall be of sufficient mental and physical fitness to be able to perform the essential functions of the positions for which they have applied. The physical and mental fitness of individuals entering Town employment may be evaluated by physicians or employee assistance professionals approved by the Town.
- B. Reasonable accommodation for a qualified individual with a disability shall be provided unless provision of such an accommodation would impose an undue hardship upon the Town. The physical and mental qualifications of entering disabilities may be evaluated by physicians approved by the Town.

### **Section 2-3-2 Pre-Employment Drug Test**

Applicants selected for employment in safety sensitive positions and positions requiring a commercial driver's license will normally submit to a pre-employment drug test for illegal drugs. Any potential hire who tests positive for illegal drug use will be ineligible for employment with the Town.

### **Section 2-3-3 Test Development**

The examination contents are developed by the hiring department with assistance provided by the Human Resources Department. Examination contents are confidential, and unauthorized disclosure to any candidate is grounds for discipline. In certain situations, outside consultants may be contracted to assist with test development.

### **Section 2-3-4 Test Administration**

The testing process will be administered by the Human Resources Department unless otherwise designated to the hiring department.

### **Section 2-3-5 Reasonable Accommodation**

The Human Resources Department shall ensure that reasonable accommodations are made in test procedures so that persons with disabilities can be tested in an appropriate manner.

## **POLICY 2-4 PREFERENCES**

The Town shall follow state law with regard to hiring preferences for disabled individuals, veterans and spouses of veterans. Proof of eligibility for any preference must be presented to the Human Resources Department at the time of application or examination.



## CHAPTER 2

### EMPLOYMENT PROCESS

#### POLICY 2-5 INTERVIEWING

Interviews may be conducted to gather information specific to the candidate's ability to meet job requirements. Interviewers will prepare an appropriate process that relates to the applicant's ability to meet educational, technical and other requirements of the position to be filled. The focus of the interview will normally be on the applicant's work and pertinent non-work experience.

##### Section 2-5-1 Interview Process

- A. The Human Resources Department shall coordinate the interview process unless otherwise designated to the hiring department.
- B. An interview panel will be selected and confirmed by the Human Resources Department with input by the hiring department. The panel shall generally consist of personnel who have expertise with the technical elements of the position and a personnel expert. Relatives or personal friends of the applicants will be excluded from serving on the panel. Reasonable accommodations shall be made for disabled applicants to allow participation in the interview process.
- C. The hiring department and the Human Resources Department shall be responsible for the development of interview questions and standards for measurement of candidate responses.
  1. Consistency will be maintained in the questions asked of all candidates.
  2. The questions must be job related.
  3. Questions that pertain to race, religion, sex, marital status or other protected classes or other inquiries that directly or indirectly disclose such information are prohibited.
  4. Inquiries about an applicant's ability to read, write or speak foreign languages are permitted when such inquiries are based on job requirements.
  5. The Human Resources Department may provide the interview panel with copies of the applications of final candidates prior to the interview, along with proposed interview questions and a schedule of interviews. Human Resources Department staff will meet with panel members prior to the interview for an orientation on appropriate interview and assessment techniques needed to evaluate each candidate objectively on an as-needed basis.
  6. Each panel member will score the candidates independently.
  7. Following the interview, the interview panel shall reach consensus and report the interview results and recommendations to the Human Resources Department.



## CHAPTER 2

### EMPLOYMENT PROCESS

#### **POLICY 2-6 REFERENCE CHECK AND BACKGROUND INVESTIGATION**

It is the policy of the Town to carefully investigate the backgrounds of all prospective applicants selected for employment to ensure that the relevant facts about an applicant's employment history and personal background have not been misstated, either on the employment application or resume or during the job interview, and to determine the applicant's fitness for the position.

##### **Section 2-6-1 Procedure**

- A. Human Resources staff will conduct employment verification and reference checks on applicants being considered for a position. Parts of the reference check may be delegated to the hiring department.
- B. Human Resources Department staff shall also conduct a thorough and comprehensive background investigation of applicants selected for employment which may include, but is not limited to, any of the following:
  - 1. State or county criminal records search
  - 2. Multi-state/national criminal database search
  - 3. Federal criminal records search
  - 4. Education verification
  - 5. Employment verification
  - 6. Motor Vehicle Department record search
  - 7. Professional license and credential verification
  - 8. Sex offender registry search
  - 9. Social Security verification
  - 10. Address trace
  - 11. Character and/or personal reference checks
- C. The Police Department will also conduct an employment verification, reference check and background investigation as part of its process of qualifying Police Department candidates before selection.
- D. Applicants are required to sign all necessary releases for employment verification, reference checks and background investigations.
  - 1. Background investigations of Parks and Recreation Department employees covered under Marana Town Code Chapter 13-2 shall comply with the requirements set forth in that chapter.



## CHAPTER 2

### EMPLOYMENT PROCESS

- E. Some applicants may also be required to submit fingerprints as set forth in Policy 2-8.

#### **POLICY 2-7 SELECTION**

The hiring department is responsible for the selection of candidates. The Human Resources Department is responsible for contacting the successful candidate and extending an offer.

#### **POLICY 2-8 FINGERPRINTING**

##### **Section 2-8-1 Affected Positions**

- A. Candidates for employment in the following positions may be required, as a condition of hire, to furnish a full set of fingerprints on a standard fingerprint card to the town.
  - 1. Positions in which the employees' job duties include unsupervised contact with minor children.
  - 2. Parks and recreation department positions in which the employees work directly with children under the age of 18 or vulnerable adults. For purposes of this policy, "vulnerable adult" shall be defined as set forth in the Marana Town Code.
  - 3. All police department positions.
  - 4. Positions in the Marana Municipal Court, in accordance with any applicable state law or Arizona Supreme Court administrative orders or directives.
  - 5. Animal control officer positions.
  - 6. All technology services department positions.
  - 7. Senior Assistant Town Attorney
  - 8. Human Resources Director
- B. Employees who access, use, handle, disseminate or destruct criminal justice information or criminal history record information provided by the Department of Public Safety for noncriminal justice purposes may be required to furnish a full set of fingerprints on a standard fingerprint card to the Town.

##### **Section 2-8-2 Procedures**

- A. All fingerprints furnished pursuant to this policy shall be submitted to the Arizona Department of Public Safety. The Arizona Department of Public Safety is authorized to exchange this fingerprint data with the Federal Bureau of Investigation pursuant to A.R.S. § 41-1750 and Public Law 92-544 for the purpose of obtaining state and federal criminal history record information.



## CHAPTER 2

### EMPLOYMENT PROCESS

- B. The results of the criminal history record information checks shall be provided to the Human Resources Department.
- C. Criminal history record information obtained by the Town pursuant to this policy shall be used only for the purpose of evaluating the fitness of prospective or current employees. The Town shall comply with all relevant state and federal rules and regulations regarding the dissemination of criminal history record information.

#### **Section 2-8-3 Failure to Comply**

- A. Any prospective employee for a position listed in Section 2-8-1(A) who fails to be fingerprinted when required by the Town shall have his or her offer of employment with the Town rescinded.
- B. Any current employee in a position listed in Section 2-8-1(A) who fails to be fingerprinted when required by the Town shall be subject to immediate termination. Termination of an employee under this section supersedes the progressive discipline policies set forth in Policy 5-5.

#### **POLICY 2-9 EMPLOYEE ORIENTATION**

All new regular full-time and regular part-time employees will be scheduled for general orientation. Each employee will be provided with information on employee benefits and Town policies. Human Resources will distribute and explain the benefits enrollment forms and their completion deadlines.

#### **Section 2-9-1 Citizenship**

All newly hired employees must present evidence of United States citizenship or registration as a legal alien at the time of orientation in accordance with the Immigration Reform and Control Act of 1986 and the Legal Arizona Workers Act. All law enforcement applicants must be United States citizens.

#### **Section 2-9-2 Loyalty Oath**

All Town employees shall take the oath or affirmation as prescribed by state law at the time of orientation.

#### **Section 2-9-3 Hiring Department Responsibilities**

The hiring department provides additional information, including:

- A. Work standards and regulations;
- B. Hours of work and information regarding time entry and leave requests;



## CHAPTER 2

### EMPLOYMENT PROCESS

- C. Description and duties of the position;
- D. Safety rules and procedures, location of safety or protective equipment;
- E. Tour of the work area, including location of equipment, supplies, etc. and the procedures for use of the work area materials;
- F. Introduction to co-workers;
- G. Schedule for lunch and breaks;
- H. When and to whom to report absence from work;
- I. Who is responsible for performance planning and review.

#### **Section 2-9-4 Date of Hire/Length of Service Anniversary**

Date of hire shall mean the effective starting date of the individual's employment with the Town to determine length of service.

#### **POLICY 2-10 EMPLOYEE IDENTIFICATION CARDS**

It is the policy of the Town of Marana to issue electronic access identification cards to all regular full-time and regular part-time employees. Cards may also be issued to other Town officials who may require Town identification or facility access while working or representing the Town. The Technology Services Department is responsible for the issuance of electronic access identification cards. The Police Department may issue its own department identification card in lieu of or in addition to the Town identification card.

#### **Section 2-10-1 Issuance, Use and Return of Card**

- A. The card shall be carried at all times when an employee is acting in an official capacity. The card shall be used as identification if requested by a member of the public or another Town employee.
- B. Unauthorized or inappropriate use of the employee identification card is prohibited and will result in disciplinary action.
- C. It is the employee's responsibility to ensure accurate and timely updates of information contained on the employee identification card.
- D. Each employee is responsible for possession of the identification card and to take care to protect it from loss, theft or misuse. Employees shall report all lost, stolen, damaged or destroyed electronic access identification cards to their Department Head and to the Technology Services Department so that it can be replaced.
- E. All cards remain the property of the Town and shall be returned to the employee's Department Head or to the Human Resources Department upon termination of



## CHAPTER 2

# EMPLOYMENT PROCESS

employment or upon special request by the employee's Department Head or the Human Resources Department.

**TOWN OF MARANA**

**REVISED PERSONNEL POLICIES AND PROCEDURES**

**Table of Contents**

**CHAPTER 3. CLASSIFICATION AND COMPENSATION**

Policy 3-1 Position Status .....8  
    Section 3-1-1 Definitions .....9  
    Section 3-1-2 Classified Positions .....9  
    Section 3-1-3 Unclassified Positions .....9  
    Section 3-1-4 Temporary Positions .....3  
Policy 3-2 Classification Plan .....4  
    Section 3-2-1 Purpose .....4  
    Section 3-2-2 Plan Amendment .....4  
    Section 3-2-3 Position Classification .....4  
    Section 3-2-4 Position Classification Review .....5  
    Section 3-2-5 No Right of Appeal .....5  
Policy 3-3 Compensation Plan .....5  
    Section 3-3-1 Total Compensation Philosophy .....6  
    Section 3-3-2 Pay Adjustments Based on Market Movement and Performance .....6  
    Section 3-3-3 Compensation Funding .....7  
    Section 3-3-4 No Right of Appeal .....7  
Policy 3-4 Payroll Procedures .....7  
    Section 3-4-1 Time Entry and Payroll .....7  
    Section 3-4-2 Pay Checks .....7  
    Section 3-4-3 Payroll Deductions .....8  
    Section 3-4-4 Pay Advances .....8  
    Section 3-4-5 Payroll Errors .....8  
Policy 3-5 Wages and Hours .....9  
    Section 3-5-1 Work Week .....9  
    Section 3-5-2 Overtime Eligibility .....9  
    Section 3-5-3 Overtime and Compensatory Time .....9  
    Section 3-5-4 Exempt Employees .....10  
Policy 3-6 Holiday Pay .....10  
Policy 3-7 Adjustments to Pay Based on Employment Action .....10  
    Section 3-7-1 Promotion, Demotion and Lateral Transfer .....10  
    Section 3-7-2 Special Assignments and Special Assignment Pay .....11  
    Section 3-7-3 Call-Out Pay .....11  
    Section 3-7-4 On-Call/Stand-By Assignments and Pay .....11  
    Section 3-7-5 Shift Differential Pay .....11

Policy 3-8 Emergency Closures.....	12
Policy 3-9 Vehicle Allowance/Take-Home Vehicles .....	12
Section 3-9-1 Unclassified Employees .....	12
Section 3-9-2 Classified Employees .....	12
Section 3-9-3 Vehicle Allowance.....	13
Section 3-9-4 Take-Home Vehicles .....	13
Policy 3-10 Relocation and Interview Expenses .....	13
Section 3-10-1 Relocation Expenses.....	13
Section 3-10-2 Interview Expenses .....	13
Policy 3-11 Severance Benefits .....	14
Section 3-11-1 Eligibility .....	14
Section 3-11-2 Severance Benefits.....	14
Section 3-11-3 Disqualification .....	14
Section 3-11-4 Conditions for Receipt of Severance Benefits.....	15
Section 3-11-5 Budget Appropriation and Capacity .....	15



## CHAPTER 3

# CLASSIFICATION AND COMPENSATION

### **POLICY 3-1 POSITION STATUS**

All positions in the Town of Marana are categorized as classified, unclassified, or temporary positions.

#### **Section 3-1-1 Definitions**

The following definitions shall apply whenever these terms are used throughout these Personnel Policies and Procedures.

- A. At-will: Employment that may be terminated upon the will of the employer or employee at any time with or without cause.
- B. Full-time: A position for which the normal work schedule is at least 40 hours per week.
- C. Part-time: A position for which the normal work schedule is less than 40 hours per week.
- D. Probationary employee: A classified employee serving in an initial evaluation period.
- E. Regular employee: A classified or unclassified employee hired for an indefinite period in a budgeted position

#### **Section 3-1-2 Classified Positions**

- A. All positions not specifically identified in the salary schedule implemented by the Town Manager as unclassified or temporary positions are classified positions.
- B. Notwithstanding paragraph A above, an employee may be hired in a classified position, but on a temporary basis. When this occurs, the employee will be considered to be in a temporary position, and will be subject to the policies, rules, and regulations governing temporary positions.

#### **Section 3-1-3 Unclassified Positions**

All town officers, as defined in the Town Code, are in unclassified positions. Any other unclassified positions shall be identified as such in the salary schedule implemented by the Town Manager. Employees in unclassified positions are at-will employees.

#### **Section 3-1-4 Temporary Positions**

This category of employment is comprised of term-limited temporary positions and short-term temporary positions, as defined below. Employees in temporary positions are at-will employees.



## CHAPTER 3

# CLASSIFICATION AND COMPENSATION

- A. Term-limited temporary positions are positions with work related to a specific grant, project, or other non-routine significant or substantial body of work, for a term of six to 36 months.
- B. Short-term temporary positions are positions used to augment the workforce due to seasonal and other specific temporary workload needs that require additional staffing. Employees in short-term temporary positions shall work for a time period that does not exceed six months or 1040 hours in a rolling 12-month period.

### **POLICY 3-2 CLASSIFICATION PLAN**

The Town Manager shall ensure the preparation, development and maintenance of a classification plan consisting of descriptions of positions defined by essential duties, qualifications, knowledge, skills and abilities characteristic of the positions.

#### **Section 3-2-1 Purpose**

The classification plan shall be developed and maintained so that all positions substantially similar with respect to duties, responsibilities, authority and character of work are similarly classified, and positions substantially different in scope and complexity are appropriately classified.

#### **Section 3-2-2 Plan Amendment**

All amendments to the classification plan, including classification title changes, abolitions of obsolete classifications, and the creation of new classifications, require the approval of the Town Manager.

#### **Section 3-2-3 Position Classification**

- A. Position classifications shall be maintained by the Human Resources Department for all positions.
- B. Position classifications are descriptive only and are not restrictive in nature. The omission of specific duties from a position classification does not exclude those duties from the position if the work is related or a logical assignment to the position. Supervisors may assign different tasks to a position within a classification when the duties are similar in type and responsibility to those described in the classification description.
- C. The classification description does not constitute an employment agreement between the Town and employee and is subject to change as the needs of the Town and the requirements of the job change.



## CHAPTER 3

# CLASSIFICATION AND COMPENSATION

- D. When the duties or responsibilities of a position have changed significantly, the Town Manager will ensure that the position is reclassified accordingly.

### **Section 3-2-4 Position Classification Review**

Whenever a reorganization, change in job content or Town Council action causes the duties of a position to change or creates the need for a new classification, or a position otherwise appears to have been incorrectly classified, the Town Manager may reclassify the position to a more appropriate classification.

- A. The Town Manager, in consultation with the Human Resources Director, shall establish the process for review of a specific position classification and for review of the classification plan as a whole.
- B. If there has been a significant change or a gradual accretion of duties and responsibilities over the period of one year, a Department Head or the chain of authority above the level of Department Head may submit a written request to the Human Resources Department to determine if a review of the position is warranted. Such review shall require the completion of a position description questionnaire.
- C. When there is any substantial change, addition, or deletion to the duties assigned to a position, the Department Head or the chain of authority above the level of Department Head, where applicable, will provide a completed position description questionnaire to the Human Resources Department.
- D. Implementation of a reclassification and any related pay change shall be prospective and is effective when the employee assumes the new position or responsibilities, as applicable.

### **Section 3-2-5 No Right of Appeal**

The establishment of a classification plan and position classifications, allocation of classifications to a specific salary grade, position classification review decisions, and changes or adjustments to the classification plan, are not subject to appeal under the personnel action review procedures set forth in Chapter 5 of these Personnel Policies and Procedures.

## **POLICY 3-3 COMPENSATION PLAN**

The Town of Marana is committed to providing a fair, balanced, and highly competitive compensation package for its employees. In alignment with the Strategic Plan, the Town's goal is to recruit and retain high-performing employees who develop innovative approaches to serving citizens and community partners. The total compensation system will be one that is simple and easy to understand, and can be clearly communicated to employees.



## CHAPTER 3

# CLASSIFICATION AND COMPENSATION

### **Section 3-3-1 Total Compensation Philosophy**

The Town is a steward of community resources. As such, total compensation should take into consideration the Town's ability to pay. The Town will focus on:

- A. Monitoring and maintaining the compensation system to provide the flexibility needed to respond to changing conditions in the organization.
- B. Clearly communicating the benefits of our employees' total compensation. Total compensation is defined as:
  1. Base pay, which is comprised of wages and salaries
  2. Benefits, including but not limited to, health/life insurance, pension plans/retirement plans, Workers' Compensation, and paid leave
  3. Incentives, performance-based pay, and other supplemental pay and allowances
- C. Offering base pay that is competitive within our defined market and balances the external market with internal equity.
- D. Providing employee benefits in areas such as health insurance, retirement, and paid leave that offer flexible options for meeting our employees' needs within our fiscal constraints.
- E. Recognizing and providing incentives for employee creativity and innovation that benefit the public through improved efficiencies, productivity, and commitment to service excellence.
- F. Providing support, such as training opportunities and career development to our employees in their efforts to attain new skills, achieve organizational goals, and enhance their professional growth.

### **Section 3-3-2 Pay Adjustments Based on Market Movement and Performance**

- A. The Human Resources Department will conduct an annual survey in the region regarding market adjustments and performance-based pay and may recommend pay adjustments based upon that survey.
  1. If the salary schedule is adjusted due to market movement, employees whose salaries are at the minimum of the pay grade will receive salary increases equal to the structure adjustment in order to remain within the new pay grade.
  2. If performance-based increases are granted, such increases will be the basis upon which employees move through the pay grade.
- B. Any adjustments to the salary schedule or employee pay are subject to budget capacity and authority.



## CHAPTER 3

# CLASSIFICATION AND COMPENSATION

### **Section 3-3-3 Compensation Funding**

Within available resources, the Town will consider funding individual components of the compensation plan each year during its annual budget process, working to establish a highly competitive total compensation package for employees.

### **Section 3-3-4 No Right of Appeal**

The establishment of a compensation plan, including salary schedules, allocation of classifications to a specific salary grade, assignment of pay to an employee and changes or adjustments to the compensation plan, are not subject to appeal under the personnel action review procedures set forth in Chapter 5 of these Personnel Policies and Procedures, unless a change in pay is the result of a disciplinary action that is subject to the personnel action review procedures.

## **POLICY 3-4 PAYROLL PROCEDURES**

Regular Town employees are paid biweekly. There are 26 pay periods in the calendar year.

### **Section 3-4-1 Time Entry and Payroll**

- A. Employees shall use the Town's current approved time entry system for all time entry and leave requests.
- B. All non-exempt employees shall submit documentation of the number of hours worked, as well as any leave taken, in each pay period. The employee's direct supervisor shall verify and approve or disapprove the employee's hours worked and leave taken.
- C. All exempt employees shall submit documentation of leave taken each pay period. The employee's direct supervisor shall verify and approve or disapprove any leave taken.
- D. Payroll records shall be maintained by the Town in accordance with the Town's records retention schedule.
- E. The Finance Department is responsible for answering inquiries concerning payroll matters. The Finance Department and the Human Resources Department will work collaboratively to resolve pay inquiries in an expeditious manner.
- F. Falsification of time entry and leave taken is grounds for disciplinary action, up to and including termination

### **Section 3-4-2 Pay Checks**

- A. The Finance Department does not distribute paper checks. Employees are paid through direct deposit on the Friday following the close of the pay period. If the Friday following



## CHAPTER 3

# CLASSIFICATION AND COMPENSATION

the close of the pay period is a Town-recognized holiday, the Finance Department shall select and coordinate an alternate pay date if necessary.

- B. Employees receive a statement of earnings, deductions, leave balances and compensatory time balance for the period covered by the payment.

### **Section 3-4-3 Payroll Deductions**

- A. Mandatory deductions required by law shall be withheld from all employees' paychecks each pay period. These include, but are not limited to, state-mandated retirement contributions, federal income tax, state income tax, Social Security and Medicare (FICA) and any legal wage garnishment.
- B. Voluntary deductions require an employee's written authorization. Examples include, but are not limited to, direct deposit, deferred compensation, United Way contributions, dependent health insurance coverage and supplemental health insurance.

### **Section 3-4-4 Pay Advances**

The Town of Marana does not grant requests for pay in advance of the regular pay day.

### **Section 3-4-5 Payroll Errors**

Occasionally, a payroll error will occur regarding an employee's wages. If an employee becomes aware of a payroll error, either an overpayment or an underpayment of wages, the employee shall provide written notice to the employee's supervisor and to the Finance Director or designee as soon as the employee becomes aware of the error. Likewise, the Finance Director or designee shall provide written notice to an employee of a payroll error as soon as the Finance Department becomes aware of the error. Once an error has been discovered, the Finance Department will initiate a correction as follows:

- A. If the employee has been underpaid due to an error made by the Finance Department, the Finance Department will run a special payroll as soon as practical.
- B. If the employee has been underpaid due to an error made by the employee or the department payroll liaison, the Finance Department will make the appropriate adjustment in pay at the next regular pay cycle.
- C. If the employee has been overpaid due to an error made by the employee, the department payroll liaison or the Finance Department, the employee will be required to reimburse the Town for the overpayment. The Finance Department will make every effort to establish a repayment schedule that meets the Finance Department's responsibility to recoup public funds in a timely manner and incorporates consideration for the employee's ability to repay.



## CHAPTER 3

# CLASSIFICATION AND COMPENSATION

### POLICY 3-5 WAGES AND HOURS

#### Section 3-5-1 Work Week

- A. The work week will generally consist of five days within a 40 hour week or as determined otherwise by the Town Manager. The Town Manager may establish alternative or flexible work schedules, but at no time shall those schedules interfere with the normal operations of the Town government.
- B. A work week generally begins every Saturday at 12:01 A.M. and ends the following Friday at 12:00 midnight.
- C. The Town Manager or the Department Head may stagger, rearrange and adjust the work hours of employees to enable the Town to keep offices open at all times required.
- D. A supervisor may require any employee to temporarily perform service in excess of 40 hours in a five day week when necessary to maintain Town operations. When such work is required, the overtime and compensatory time provisions in these Personnel Policies and Procedures, Town administrative directives, and state and federal law shall apply.

#### Section 3-5-2 Overtime Eligibility

- A. As part of the classification plan, the Human Resources Director shall evaluate the body of work for a position classification and determine if employees in that position classification are subject to the provisions of the Fair Labor Standards Act (FLSA).
- B. Position classifications shall either be exempt or non-exempt from the provisions of the FLSA, as defined in state and federal law.
- C. The Human Resources Director shall employ all evaluation techniques and methods prescribed by the FLSA for determining the overtime status of a position classification. The Human Resources Director is responsible for continually reviewing and updating the FLSA status of position classifications.

#### Section 3-5-3 Overtime and Compensatory Time

- A. It is the Town's policy to avoid the necessity of overtime whenever possible, but overtime work may be necessary to handle emergency situations and to meet seasonal or peak workload requirements of a critical nature.
- B. Department Heads are responsible for the planning required to minimize the need for overtime.
- C. Overtime and compensatory provisions apply only to non-exempt employees.
- D. Nothing in this policy shall be construed to contravene the provisions of the Fair Labor Standards Act (FLSA).



## CHAPTER 3

# CLASSIFICATION AND COMPENSATION

### Section 3-5-4 Exempt Employees

- A. The overtime provisions of this policy shall not apply to employees whose positions have been determined to be exempt from the provisions of the FLSA. FLSA exempt employees are expected to work the hours necessary to satisfactorily perform their jobs. FLSA exempt employees may be required to work a specified schedule set by their supervisor.
- B. The Town prohibits any deductions from FLSA exempt employees' pay that are improper under the FLSA. If an FLSA exempt employee believes that an improper deduction has been made to his or her salary, the employee should immediately report this information to his or her direct supervisor, the Department Head or to the Human Resources Director. The Town will promptly investigate reports of improper deductions. If the Town determines that an improper deduction has occurred, the Town will promptly reimburse the employee for the improper deduction.

### POLICY 3-6 HOLIDAY PAY

It is the policy of the Town to grant paid time off to eligible employees on the Town Council-approved holidays listed in Chapter 4 of these Personnel Policies and Procedures. However, if a non-exempt employee is required to work on a Town-approved holiday, the employee is also eligible for holiday pay.

### POLICY 3-7 ADJUSTMENTS TO PAY BASED ON EMPLOYMENT ACTION

Adjustments to employee pay may occur on the basis of employment actions as set forth in this policy.

#### Section 3-7-1 Promotion, Demotion and Lateral Transfer

- A. An employee may move from a position in a lower classification to a position in a higher classification where the pay grade minimum and/or maximum is of greater value. Such movement is considered a promotion. Upon promotion, an employee shall receive commensurate pay.
- B. An employee may move from a position in a higher classification to a position in a lower classification where the pay grade minimum and/or maximum is of lesser value. Such movement is considered a demotion. Upon demotion, an employee may have his or her pay reduced.
- C. An employee may move from one position in one classification to a position in a different classification, or from one position to another position in the same classification whereby the salary minimum and maximum are equal. Such movement is considered a lateral transfer. The employee's pay will ordinarily not change in this circumstance.



## CHAPTER 3

# CLASSIFICATION AND COMPENSATION

### **Section 3-7-2 Special Assignments and Special Assignment Pay**

- A. The Town may, in its sole discretion, assign employees to special assignments. The number, type and duration of any special assignments shall be determined by the Town based on operational needs and is subject to periodic review by the Town.
- B. If assigned, special assignments shall not be considered a right or entitlement. An employee in a special assignment has no rights to that assignment or any tasks associated with that assignment no matter the duration of the special assignment.
- C. Special assignments may include:
  - 1. Working out-of-classification in a higher level classification
  - 2. Performing additional duties outside of the scope of the employee's regular classification for a period of time, such as assuming some or all of the responsibilities of a vacant position and/or temporarily assuming the title of a vacant position
  - 3. Serving in a lead or supervisory role when the employee's regular position does not require lead or supervisory responsibilities
- D. Special assignment pay may be provided to employees serving in a special assignment, depending upon economic conditions and the Town's ability to pay.

### **Section 3-7-3 Call-Out Pay**

When a non-exempt employee is called back to regular duty more than one hour after leaving Town facilities at a time other than the employee's regular assigned shift to perform unscheduled duties which are in excess of the employee's regular hours of work, the employee will receive a minimum of two hours pay each time called out, or the actual time worked, whichever is greater.

### **Section 3-7-4 On-Call/Stand-By Assignments and Pay**

The Town Manager may designate certain non-exempt positions as eligible to receive on-call and/or stand-by pay based on the need for 24 hours per day, 7 days per week coverage and emergency response requirements. Department Heads or those in the chain of authority above the level of Department Head who have such positions in their chain-of-command shall determine the length, duration and rotation of on-call or stand-by assignments.

### **Section 3-7-5 Shift Differential Pay**

The Town Manager may designate certain non-exempt positions as eligible to receive shift differential pay based on budget capacity with consideration given to the shift differential



## CHAPTER 3

# CLASSIFICATION AND COMPENSATION

rates paid in other jurisdictions that make up the Town's market for the purposes of surveying pay and benefits.

### **POLICY 3-8 EMERGENCY CLOSURES**

Emergencies such as severe weather, fires, power failures or floods can disrupt Town operations and may require the closing of a work facility. Closing of a work facility is at the sole discretion of the Mayor, Town Council or Town Manager. In the event that an emergency occurs during non-working hours, Department Heads or those in the chain of authority above the level of Department Head will be responsible for notifying the affected employees.

### **POLICY 3-9 VEHICLE ALLOWANCE/TAKE-HOME VEHICLES**

The Town Manager may authorize a monthly vehicle allowance or the use of a Town take-home vehicle for certain employees. The Town Manager shall take into consideration the amount and type of local travel required of a position when granting a vehicle allowance or providing a Town take-home vehicle.

#### **Section 3-9-1 Unclassified Employees**

- A. The Town Manager may provide a vehicle allowance or take-home vehicle to unclassified employees to compensate them for required frequent travel to meetings and work assignments throughout the Town and Pima County.
- B. The Town Manager may authorize the use of a Town take-home vehicle in lieu of a monthly vehicle allowance for an unclassified employee when using a Town vehicle results in operational efficiencies. In authorizing a Town take-home vehicle, the Town Manager shall take into consideration whether the employee must be routinely available for immediate emergency response, routinely carries and accesses Town equipment in the course of travel or routinely accesses areas that may be restricted to Town vehicles.

#### **Section 3-9-2 Classified Employees**

The Town Manager may authorize the use of a Town take-home vehicle for a classified employee when using a Town vehicle results in operational efficiencies. In authorizing a Town take-home vehicle, the Town Manager shall take into consideration whether the employee must be routinely available for immediate emergency response, routinely carries and accesses Town equipment in the course of travel or routinely accesses areas that may be restricted to Town vehicles.



## CHAPTER 3

# CLASSIFICATION AND COMPENSATION

### **Section 3-9-3 Vehicle Allowance**

- A. The Town Manager shall establish monthly vehicle allowance rates within Town Council-approved budgetary limits.
- B. Employees who receive a monthly vehicle allowance may not use a Town vehicle as a take-home vehicle and may not request mileage reimbursement for local travel within a one-way direction of 25 miles from the employee's regular work location.

### **Section 3-9-4 Take-Home Vehicles**

A Town employee who is authorized the use of a Town take-home vehicle may not receive a vehicle allowance during the same time period.

## **POLICY 3-10 RELOCATION AND INTERVIEW EXPENSES**

### **Section 3-10-1 Relocation Expenses**

- A. The Town Manager, in his or her sole discretion, may authorize reimbursement of relocation expenses for a newly hired unclassified employee in an amount of up to \$5,000.
- B. Reimbursement of relocation expenses shall be a one-time reimbursement, limited to the cost of moving furniture and personal effects from the employee's current home to his or her new home.
- C. The reimbursement shall be treated as income to the employee.
- D. The relocation and reimbursement of expenses must occur within the 12-month period following the unclassified employee's hire date.
- E. Unclassified employees who are offered and accept reimbursement of relocation expenses shall be required to sign an agreement as part of an initial offer of employment letter indicating acceptance of all the terms of the relocation expense reimbursement.

### **Section 3-10-2 Interview Expenses**

In an effort to attract and consider candidates with exceptional qualifications, and to ensure a competitive interview pool, the Town Manager may, in his or her sole discretion, authorize payment of travel expenses to and from an interview process at the Town of Marana for a vacant position. Only transportation and lodging expenses shall be considered for reimbursement.



## CHAPTER 3

# CLASSIFICATION AND COMPENSATION

### **POLICY 3-11 SEVERANCE BENEFITS**

The Town Manager may provide severance benefits to eligible employees pursuant to the provisions of this policy. This policy does not and shall not create employment or compensation rights.

#### **Section 3-11-1 Eligibility**

- A. The provisions of this policy apply to town officers, as defined in [Marana Town Code Section 3-1-1](#), whose employment is terminated by the Town without cause, or who resign in lieu of termination without cause.
- B. Employees in classified and temporary positions are not eligible for severance benefits.

#### **Section 3-11-2 Severance Benefits**

- A. The Town Manager may determine in his or her sole discretion whether to provide severance benefits to an eligible employee based on the provisions of this policy and any other relevant factors, including, without limitation, the employee's position and the employee's length of employment with the Town.
- B. When the Town Manager determines to provide severance benefits to an eligible employee, the severance benefits shall be at follows:
  - 1. Severance pay equal to up to three months' salary, based on the employee's annual base salary as of the date of employment separation, less applicable state and federal withholding taxes as required by law.
  - 2. If the employee elects COBRA (Consolidated Omnibus Budget Reconciliation Act of 1985) continuation coverage for medical, dental and/or vision insurance through the Town's COBRA administrator, the Town will pay 100% of the employee's COBRA premiums directly to the COBRA administrator on the employee's behalf for up to three months.
- C. Severance benefits do not include any payment which the employee is already entitled to for earned wages, accrued vacation hours, overtime compensation, or any other benefits accrued and vested to the employee. Payment of these items will be governed by applicable law and policy.

#### **Section 3-11-3 Disqualification**

An employee in an unclassified position is not eligible for severance benefits if his or her termination is due to one or more of the following actions:

- A. Voluntary resignation
- B. Retirement



## CHAPTER 3

# CLASSIFICATION AND COMPENSATION

### C. Termination for cause

#### **Section 3-11-4 Conditions for Receipt of Severance Benefits**

- A. To be eligible for benefits under this policy, the employee must execute a separation/severance agreement within the timelines and terms and conditions established by the Town.
- B. The separation/severance agreement will include, without limitation, a waiver by the employee of any and all legal claims or potential legal claims against the Town, its officers, employees, and agents relating to the employee's employment or separation of employment with the Town.

#### **Section 3-11-5 Budget Appropriation and Capacity**

All severance benefits are subject to any limitations imposed by the Town's budget appropriations and capacity and the availability of funds.

**TOWN OF MARANA**

**REVISED PERSONNEL POLICIES AND PROCEDURES**

**Table of Contents**

**CHAPTER 4. EMPLOYMENT BENEFITS AND LEAVES**

Policy 4-1 Vacation Leave .....4  
    Section 4-1-1 Eligibility .....4  
    Section 4-1-2 Accrual of Vacation Leave .....4  
    Section 4-1-3 Rate of Accrual .....4  
    Section 4-1-4 Maximum Accrued Hours Permitted .....5  
    Section 4-1-5 Request for Vacation Leave .....5  
    Section 4-1-6 Vacation Leave Pay .....6  
    Section 4-1-7 Payment of Vacation Leave Upon Termination of Employment .....6  
Policy 4-2 Sick Leave .....6  
    Section 4-2-1 Definitions .....6  
    Section 4-2-2 Accrual of Sick Leave .....7  
    Section 4-2-3 Permitted Uses .....7  
    Section 4-2-4 Notification to Supervisor .....8  
    Section 4-2-5 Reasonable Documentation of Sick Leave Use .....8  
    Section 4-2-6 Additional Conditions of Eligibility .....8  
    Section 4-2-7 Payment of Sick Leave Upon Termination of Employment .....9  
Policy 4-3 Managed Time Off (MTO) Leave .....9  
    Section 4-3-1 Purpose and Intent .....9  
    Section 4-3-2 Definitions .....9  
    Section 4-3-3 Eligibility .....10  
    Section 4-3-4 Amount of Managed Time Off Leave .....10  
    Section 4-3-5 Request for Planned Managed Time Off Leave .....11  
    Section 4-3-6 Request for Unplanned Managed Time Off Leave .....12  
    Section 4-3-7 Reasonable Documentation of Managed Time Off Leave Use .....12  
    Section 4-3-8 Additional Conditions of Eligibility .....13  
    Section 4-3-9 Termination of Employment .....13  
Policy 4-4 Holidays .....13  
    Section 4-4-1 Eligibility .....14  
    Section 4-4-2 Town-Approved Holidays .....14  
    Section 4-4-3 Amount of Paid Time Off for Town-Approved Holidays .....14  
    Section 4-4-4 Miscellaneous Rules .....14  
    Section 4-4-5 Exception .....15  
Policy 4-5 Military Leave .....15  
    Section 4-5-1 Eligibility .....15  
    Section 4-5-2 Military Leave .....15  
    Section 4-5-3 Advance Notice Requirements .....15  
    Section 4-5-4 No Break in Service .....16  
    Section 4-5-5 Return to Work .....16

Policy 4-6 Bereavement Leave .....	16
Section 4-6-1 Eligibility .....	16
Section 4-6-2 Amount of Bereavement Leave.....	16
Section 4-6-3 Request for Bereavement Leave.....	17
Section 4-6-4 Definition of Immediate Family .....	17
Policy 4-7 Family and Medical Leave .....	17
Section 4-7-1 Qualifying Reasons for FMLA Leave .....	17
Section 4-7-2 Definitions .....	17
Section 4-7-3 Eligibility .....	19
Section 4-7-4 Leave Entitlement .....	20
Section 4-7-5 Qualifying Exigency Leave under the NDAA .....	20
Section 4-7-6 How FMLA Leave is Measured .....	21
Section 4-7-7 Use of Paid Leave .....	21
Section 4-7-8 Request for Leave .....	21
Section 4-7-9 Medical Certification .....	22
Section 4-7-10 Certification for Qualifying Exigency Leave under the NDAA .....	22
Section 4-7-11 Designation of Leave as FMLA Leave.....	22
Section 4-7-12 Determination/Notice of Substantial and Grievous Economic Injury .....	22
Section 4-7-13 Intermittent Leave.....	23
Section 4-7-14 Maintenance of Group Health Plan Benefits .....	24
Section 4-7-15 Maintenance of Other Benefits .....	24
Section 4-7-16 No Break in Service .....	25
Section 4-7-17 Additional Leave.....	25
Section 4-7-18 Return to Work.....	25
Section 4-7-19 Termination of Employment .....	26
Policy 4-8 Administrative Leave.....	26
Section 4-8-1 Eligibility .....	26
Section 4-8-2 Administrative Leave for Medical Purposes .....	26
Section 4-8-3 Administrative Leave for Non-Medical Purposes.....	27
Section 4-8-4 Compensation.....	28
Section 4-8-5 Town-Assigned Administrative Leave .....	28
Section 4-8-6 Maintenance of Benefits .....	28
Section 4-8-7 Return to Work.....	29
Section 4-8-8 Termination of Employment .....	29
Section 4-8-9 No Right of Appeal.....	30
Policy 4-9 Leave Donation.....	30
Section 4-9-1 Definitions .....	30
Section 4-9-2 Eligibility .....	30
Section 4-9-3 Request for Donated Leave.....	31
Section 4-9-4 Donation of Leave .....	31
Section 4-9-5 Coordination with Other Payments .....	32
Section 4-9-6 Maintenance of Benefits .....	32
Section 4-9-7 Leave Accrual .....	32
Policy 4-10 Group Benefits .....	33
Section 4-10-1 Group Insurance Coverage.....	33
Section 4-10-2 Retirement Plans .....	33
Section 4-10-3 Employee Assistance Program.....	34
Section 4-10-4 Voluntary Benefits.....	34

Policy 4-11 Workers' Compensation .....	34
Section 4-11-1 Reporting Requirement .....	35
Section 4-11-2 Determination of Compensability .....	35
Section 4-11-3 Types of Claims .....	35
Section 4-11-4 Use of Leave .....	36
Section 4-11-5 Maintenance of Benefits .....	37
Section 4-11-6 Coordination with the Supplemental Benefits Plan for Public Safety Employees .....	37
Section 4-11-7 Miscellaneous .....	37
Policy 4-12 Supplemental Benefits Plan for Public Safety Employees .....	38
Section 4-12-1 Definitions .....	38
Section 4-12-2 Eligibility .....	38
Section 4-12-3 Supplemental Compensation .....	39
Section 4-12-4 Application Procedures .....	39
Section 4-12-5 Maintenance of Benefits .....	40
Section 4-12-6 Paid Leave Balances .....	41
Section 4-12-7 Miscellaneous .....	41
Policy 4-13 Benefits Continuation/COBRA .....	41
Section 4-13-1 Payments .....	41
Section 4-13-2 Written Notification of Eligibility .....	42
Policy 4-14 Alternative Work Assignments/Light Duty .....	42
Section 4-14-1 Eligibility .....	42
Section 4-14-2 Definitions .....	42
Section 4-14-3 Procedures .....	42
Section 4-14-4 Duration .....	44
Section 4-14-5 Interaction with Other Laws and Policies .....	44
Section 4-14-6 No Right to Alternative Work Assignment .....	44
Section 4-14-7 Miscellaneous .....	44
Section 4-14-8 No Right of Appeal .....	45
Policy 4-15 Civic Duty .....	45
Section 4-15-1 Eligibility .....	45
Section 4-15-2 Jury Duty .....	45
Section 4-15-3 Witness Duty .....	46
Section 4-15-4 Voting .....	46
Policy 4-16 Parental Paid Time Off .....	47
Section 4-16-1 Eligibility .....	47
Section 4-16-2 Amount of Parental Paid Time Off .....	47
Section 4-16-3 Request for Parental Paid Time Off .....	48
Section 4-16-4 Use of Parental Paid Time Off .....	48
Section 4-16-5 Compensation .....	48
Section 4-16-6 Additional Paid Time Off .....	49
Section 4-16-7 Employee Reimbursement of Parental Paid Time Off Pay .....	49
Section 4-16-8 Coordination with Other Benefits .....	49



## CHAPTER 4

# EMPLOYMENT BENEFITS AND LEAVES

### POLICY 4-1 VACATION LEAVE

The Town of Marana provides vacation leave with pay to eligible employees.

#### Section 4-1-1 Eligibility

The provisions of this policy apply to all regular full- and part-time classified and unclassified employees, including those serving in an initial evaluation period, and term-limited temporary employees.

#### Section 4-1-2 Accrual of Vacation Leave

- A. Eligible employees shall begin to accrue vacation leave on their effective date of hire.
- B. When an employee is on leave and a portion of the leave is unpaid, the employee shall accrue vacation leave on a prorated basis, based on the number of hours paid by the Town during the pay period. When an employee is on leave and receives no compensation from the Town, the employee shall not accrue vacation leave.
- C. Vacation leave accrual is cumulative, up to the maximum number of hours permitted by this policy.

#### Section 4-1-3 Rate of Accrual

- A. Eligible full-time employees shall accrue paid vacation leave at the rate shown in the following schedule:

Vacation Accrual Rate	
Length of Service	Vacation Days Each Year
0 to 5 years	80 hours (3.08 hours per pay period)
> 5 - 10 years	120 hours (4.62 hours per pay period)
> 10 years	160 hours (6.15 hours per pay period))

- B. Eligible part-time employees shall accrue vacation leave on a prorated basis each pay period based on the number of hours paid by the Town during the pay period.
- C. For purposes of determining length of service, the year noted begins on the day after the preceding anniversary. For example, an employee shall be employed for greater than (>) five years on the day after the employee's fifth anniversary; therefore, the employee shall begin earning at the higher accrual rate for the pay period in which this date falls.
- D. Notwithstanding the provisions of this section, as an incentive to recruit key employees, upon hiring an employee in an unclassified position, the Town Manager may negotiate a vacation accrual rate in excess of the initial vacation accrual rate specified in this section.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

However, in no event shall the Town Manager negotiate a vacation accrual rate of more than 4.62 hours per pay period.

#### Section 4-1-4 Maximum Accrued Hours Permitted

- A. Except as provided in paragraph D below, an employee's accrued vacation leave balance shall not be permitted to exceed the hours designated on the following schedule:

Maximum Vacation Hours	
Length of Service	Maximum Accrued Vacation Hours
0 to 5 years	180 hours
> 5 - 10 years	200 hours
> 10 years	240 hours

- B. Employees shall not be credited for vacation leave in excess of the maximum accrued hours permitted based on their length of service. Employees who are approaching the maximum accrued vacation hour cut-off shall be notified by the Finance Department of their vacation leave balance and given the opportunity to request vacation leave before vacation leave hours are forfeited.
- C. In extenuating circumstances, the Town Manager may approve an exception to this provision and grant an employee an additional 90 calendar days to come into compliance with the maximum accrued vacation-hour rule without forfeiting any vacation leave. This exception will only be granted once per rolling 12-month period. If the employee fails to come into compliance with the maximum accrued vacation-hour rule within the additional 90-day period, the employee will forfeit all accrued vacation leave in excess of the maximum accrued hours.
- D. Employees in the following categories shall be permitted to accrue a maximum of 240 hours of vacation, regardless of length of service:
1. Deputy or Assistant Town Managers
  2. General Managers
  3. Department Heads
  4. Deputy or Assistant Department Heads with management responsibilities including supervision of staff.

#### Section 4-1-5 Request for Vacation Leave

- A. Eligible employees may request to use vacation leave after 180 days of employment.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

1. If an eligible employee is approved for administrative leave for medical purposes prior to completing 180 days of employment, the employee may request to use vacation leave.
- B. Employees shall submit vacation leave requests to their supervisor before taking any time off. Requests will be reviewed based on a number of factors, including Town needs and staffing requirements.

#### **Section 4-1-6 Vacation Leave Pay**

Vacation leave shall be paid at the employee's base rate of pay, plus any special assignment pay, at the time of the leave. Vacation leave pay shall not include overtime or any adjustments to pay such as shift differential.

#### **Section 4-1-7 Payment of Vacation Leave Upon Termination of Employment**

Upon termination of employment, employees shall be paid at their base rate of pay for any unused accrued vacation leave earned through the last day of work.

### **POLICY 4-2 SICK LEAVE**

#### **Section 4-2-1 Definitions**

- A. For purposes of this policy, "sick leave" means time that is compensated at the same hourly rate and with the same benefits, including health care benefits, as the employee normally earns during hours worked and includes "earned paid sick time" as defined in A.R.S. § 23-371(D).
- B. For purposes of this policy, "family member" means:
  1. Regardless of age, a biological, adopted or foster child, stepchild or legal ward, a child of an employee's domestic partner, a child to whom the employee stands *in loco parentis*, or an individual to whom the employee stood *in loco parentis* when the individual was a minor;
  2. A biological, foster, stepparent or adoptive parent or legal guardian of an employee or an employee's spouse or domestic partner or a person who stood *in loco parentis* when the employee or employee's spouse or domestic partner was a minor child;
  3. A person to whom the employee is legally married under the laws of any state or a domestic partner of an employee as registered under the laws of any state or political subdivision;



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

4. A grandparent, grandchild, or sibling (whether of a biological, foster, adoptive, or step relationship) of the employee or the employee's spouse or domestic partner; or
5. Any other individual related by blood or affinity whose close association with the employee is the equivalent of a family relationship.

#### **Section 4-2-2 Accrual of Sick Leave**

- A. Short-term temporary employees shall accrue sick leave at the rate of one hour of sick leave for every 30 hours worked.
- B. Prior to June 24, 2017, all regular full- and part-time classified and unclassified employees, including those serving in an initial evaluation period, and term-limited temporary employees, accrued sick leave at a rate determined by the Town. As of June 24, 2017, regular full- and part-time classified and unclassified employees, including those serving in an initial evaluation period, and term-limited temporary employees, will no longer accrue sick leave. Sick leave hours accrued by these employees prior to June 24, 2017 will be transferred to a sick leave bank for the employee's use. The provisions of this sick leave policy will apply to the sick leave bank and whenever the term "sick leave" is used in these Personnel Policies and Procedures, it shall include sick leave bank hours. An employee shall receive no additional sick leave once the employee has used all the hours in the employee's sick leave bank.
- C. When an exempt employee's sick leave bank balance is less than one hour, the employee may use the remaining sick leave bank balance in conjunction with vacation time. When a non-exempt employee's sick leave bank balance is less than .25 hours, the employee may use the remaining sick leave bank balance in conjunction with vacation time.
- D. A sick leave bank balance of less than .01 will be reduced to zero.

#### **Section 4-2-3 Permitted Uses**

Employees shall be permitted to use sick leave for the following reasons:

1. Personal illness, disease or injury or surgical, medical, dental or optical appointments, including regular, preventative care appointments and travel time to and from medical appointments.
2. Medical conditions that prevent the employee from performing assigned tasks.
3. Illness or injury of a family member or surgical, medical, dental or optical appointments, including regular, preventative care appointments, for a family member and related travel time to and from medical appointments.
4. Any FMLA-qualifying reason not otherwise listed in this section.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

5. Any reason listed in A.R.S. § 23-373(A).

#### **Section 4-2-4 Notification to Supervisor**

- A. For unplanned absences, the employee shall notify his or her direct supervisor before the scheduled start of the employee's work day. The employee shall also contact his or her direct supervisor on each additional day of absence unless the employee has notified the supervisor in advance of the number of days the employee will be absent.
- B. Upon return to work, the employee shall submit a leave request to his or her direct supervisor for the time missed.
- C. For planned absences pursuant to this policy, such as a planned appointment or medical procedure, an employee shall make a good faith effort to provide notice of the need for such time to the employer in advance of the use of the sick leave and shall make a reasonable effort to schedule the use of sick leave in a manner that does not unduly disrupt the operations of the employer. The employee shall submit a leave request to his or her direct supervisor as soon as the employee becomes aware of the need for the planned absence.

#### **Section 4-2-5 Reasonable Documentation of Sick Leave Use**

- A. If an employee uses sick leave for three or more consecutive days, the employee's supervisor or Department Head may require the employee to submit reasonable documentation, as defined in A.R.S. § 23-373(G), that the sick leave has been used for a purpose covered by [Section 4-2-3](#).
- B. If the employee fails to provide the required documentation or if the employee's Department Head determines, in consultation with the Human Resources Director or designee, that the documentation provided by the employee is inadequate, the absence may be charged to another category of leave or considered leave without pay.
- C. The employee's department shall forward documentation received pursuant to this section to the Human Resources Department.

#### **Section 4-2-6 Additional Conditions of Eligibility**

- A. When applicable, an employee requesting to use sick leave must apply for any other available compensation and benefits that the employee may be eligible to receive under disability insurance coverage provided by the Town, such as workers' compensation and short- and long-term disability.
- B. Sick leave benefits may be used to supplement any payments that an employee is eligible to receive from workers' compensation or any disability insurance programs paid for by the Town.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

- C. The employee's pay shall not exceed the employee's normal weekly earnings through any combination of paid leave and other payments received by the employee, such as disability insurance payments, or workers' compensation benefits. If the combination of payments results in the employee being paid more than his or her normal weekly earnings, the Town shall require the employee to reimburse the Town for the overpayment.

#### **Section 4-2-7 Payment of Sick Leave Upon Termination of Employment**

- A. Employees shall not be compensated for unused accrued sick leave or sick leave bank hours upon termination of employment.
- B. If an employee is laid off and then recalled within a 12 calendar month period following the effective date of the layoff, the employee's previous unused accrued sick leave balance or sick leave bank hours shall be restored at the time of the employee's re-employment.
- C. If an employee is voluntarily or involuntarily terminated and then is rehired within nine months of termination, the employee's previous unused accrued sick leave balance or sick leave bank hours shall be restored at the time of the employee's re-employment.

#### **POLICY 4-3 MANAGED TIME OFF (MTO) LEAVE**

The Town of Marana provides managed time off (MTO) leave to eligible employees to allow for necessary rest and relaxation away from work, for attendance at commitments outside of work, and for income protection during medical leave. Employees are expected to responsibly use their MTO leave.

#### **Section 4-3-1 Purpose and Intent**

It is the purpose and intent of the Town of Marana that this policy shall comply with and exceed the requirements of "The Fair Wages and Healthy Families Act," in accordance with A.R.S. § 23-372(E) which provides that any employer with a paid leave policy who makes available an amount of paid leave sufficient to meet the accrual requirements of the Act that may be used for the same purposes and under the same conditions as earned paid sick time under the Act is not required to provide additional paid sick time.

#### **Section 4-3-2 Definitions**

- A. For purposes of this policy, MTO leave means time that is compensated at the same hourly rate and with the same benefits, including health care benefits, as the employee normally earns during hours worked and includes "earned paid sick time" as defined in A.R.S. § 23-371(D).



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

- B. For purposes of this policy, “family member” means:
1. Regardless of age, a biological, adopted or foster child, stepchild or legal ward, a child of an employee’s domestic partner, a child to whom the employee stands *in loco parentis*, or an individual to whom the employee stood *in loco parentis* when the individual was a minor;
  2. A biological, foster, stepparent or adoptive parent or legal guardian of an employee or an employee’s spouse or domestic partner or a person who stood *in loco parentis* when the employee or employee’s spouse or domestic partner was a minor child;
  3. A person to whom the employee is legally married under the laws of any state or a domestic partner of an employee as registered under the laws of any state or political subdivision;
  4. A grandparent, grandchild, or sibling (whether of a biological, foster, adoptive, or step relationship) of the employee or the employee’s spouse or domestic partner; or
  5. Any other individual related by blood or affinity whose close association with the employee is the equivalent of a family relationship.

#### **Section 4-3-3 Eligibility**

The provisions of this policy apply to all benefit-eligible regular full- and part-time classified and unclassified employees, including those serving in an initial evaluation period, and term-limited temporary employees. Eligible employees may request to use MTO leave at any time after start of employment.

#### **Section 4-3-4 Amount of Managed Time Off Leave**

- A. Eligible full-time employees shall receive 112 hours of MTO leave at the beginning of each calendar year.
- B. Eligible part-time employees shall receive a prorated amount of MTO leave at the beginning of each calendar year based on the number of hours per week that they are officially scheduled to work.
- C. Eligible employees who begin employment after the beginning of any calendar year shall receive a prorated amount of MTO leave based on the portion of the calendar year that remains.
- D. When an employee is on leave at the beginning of a calendar year, even if a portion of the leave is unpaid, the employee shall still receive the full allotment of MTO leave.
- E. As an incentive to recruit and retain key employees, the Town Manager may, upon hiring or in connection with an annual performance evaluation, grant additional MTO



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

leave, up to an additional 40 hours per calendar year, to employees in the following categories:

1. Deputy or Assistant Town Managers
  2. General Managers
  3. Department Heads
  4. Deputy or Assistant Department Heads with management responsibilities including supervision of staff.
- F. If the Town Manager grants additional MTO leave pursuant to paragraph E of this section, the additional MTO leave shall be granted to the employee for subsequent calendar years unless and until the Town Manager, in his or her sole discretion, determines that the employee's performance and/or workload no longer justify the granting of additional MTO leave. The Town Manager's decision regarding MTO leave is not subject to review under the personnel action review procedures set forth in [Chapter 5](#) of these Personnel Policies and Procedures.
- G. MTO leave shall accrue without limit.

#### **Section 4-3-5 Request for Planned Managed Time Off Leave**

- A. Eligible employees may request to use planned MTO leave for up to two consecutive days for any purpose, including the reasons listed in A.R.S. § 23-373(A).
- B. Eligible employees may request to use planned MTO leave for more than two consecutive days in the increments of time the employee reasonably needs to use based on the purpose of the leave. Planned MTO leave for more than two consecutive days may be used for any of the following purposes:
1. Personal illness, disease or injury, or surgical, medical, dental or optical appointments, including regular, preventative care appointments, and travel time to and from medical appointments.
  2. Medical conditions that prevent the employee from performing assigned tasks.
  3. Illness or injury of family member or surgical, medical, dental or optical appointments, including regular, preventative care appointments, for a family member and related travel time to and from medical appointments.
  4. Any FMLA-qualifying reason not otherwise listed in this paragraph.
  5. Any reason listed in A.R.S. § 23-373(A).
- C. Employees must request to use planned MTO leave in advance. Leave requests shall be submitted as far in advance as possible. Employees shall make a good faith effort to provide notice of the need for such time to the employer in advance of the use of the



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

MTO leave and shall make a reasonable effort to schedule the use of MTO leave in a manner that does not unduly disrupt the operations of the employer.

- D. No more than two planned MTO leave days may be used in conjunction with the use of vacation leave, compensatory time, military leave, bereavement leave or administrative leave unless the use is for medical purposes.

#### **Section 4-3-6 Request for Unplanned Managed Time Off Leave**

- A. Eligible employees may request to use unplanned MTO in the increments of time the employee reasonably needs to use based on the purpose of the leave for any of the following unforeseen reasons:
1. Personal illness, disease or injury, or surgical, medical, dental or optical appointments, including regular, preventative care appointments, and travel time to and from medical appointments.
  2. Medical conditions that prevent the employee from performing assigned tasks.
  3. Illness or injury of family member or surgical, medical, dental or optical appointments, including regular, preventative care appointments, for a family member and related travel time to and from medical appointments.
  4. Any FMLA-qualifying reason not otherwise listed in this paragraph.
  5. Any reason listed in A.R.S. § 23-373(A).
  6. Emergencies or other similar circumstances necessitating the employee's absence from work.
- B. For unplanned MTO leave, the employee shall notify his or her direct supervisor before the scheduled start of the employee's work day. The employee shall also contact his or her direct supervisor on each additional day of absence unless the employee has notified the supervisor in advance of the number of days the employee will be absent.
- C. Upon return to work, the employee shall submit a leave request to his or her direct supervisor for the time missed.

#### **Section 4-3-7 Reasonable Documentation of Managed Time Off Leave Use**

- A. If an employee uses planned or unplanned MTO leave for three or more consecutive days, the employee's supervisor or Department Head may require the employee to submit reasonable documentation, as defined in A.R.S. § 23-373(G), that the MTO leave has been used for a purpose listed in Section 4-3-5 or 4-3-6. If the employee fails to provide the required documentation, or if the employee's Department Head determines, in consultation with the Human Resources Director or designee, that the documentation



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

provided by the employee is inadequate, the absence will be considered leave without pay.

- B. The employee's department shall forward documentation received pursuant to this section to the Human Resources Department.

#### **Section 4-3-8 Additional Conditions of Eligibility**

- A. When applicable, an employee requesting to use MTO leave for medical purposes must apply for any other available compensation and benefits that the employee may be eligible to receive under disability insurance coverage provided by the Town, such as workers' compensation and short- and long-term disability.
- B. MTO leave benefits may be used to supplement any payments that an employee is eligible to receive from workers' compensation or any disability insurance programs paid for by the Town.
- C. The employee's pay shall not exceed the employee's normal weekly earnings through any combination of paid leave and other payments received by the employee, such as disability insurance payments, or workers' compensation benefits. If the combination of payments results in the employee being paid more than his or her normal weekly earnings, the Town shall require the employee to reimburse the Town for the overpayment.

#### **Section 4-3-9 Termination of Employment**

- A. Employees shall not be compensated for unused accrued MTO leave upon termination of employment.
- B. If an employee is laid off and then recalled within a 12 calendar month period following the effective date of the layoff, the employee's previous unused accrued MTO leave balance shall be restored at the time of the employee's re-employment.
- C. If an employee is voluntarily or involuntarily terminated and then is rehired within nine months of termination, the employee's previous unused accrued MTO leave balance shall be restored at the time of the employee's re-employment.

#### **POLICY 4-4 HOLIDAYS**

The Town of Marana provides paid time off to eligible employees for Town Council-approved holidays. Regular Town operations are officially closed during these approved holidays.



## CHAPTER 4

# EMPLOYMENT BENEFITS AND LEAVES

### Section 4-4-1 Eligibility

The provisions of this policy apply to all regular full- and part-time classified and unclassified employees, including those serving in an initial evaluation period, and term-limited temporary employees.

### Section 4-4-2 Town-Approved Holidays

A. The Town-approved holidays for each calendar year are as follows.

1. New Year's Day (January 1)
2. Martin Luther King, Jr./Civil Rights Day (third Monday in January)
3. Presidents' Day (third Monday in February)
4. Memorial Day (last Monday in May)
5. Independence Day (July 4)
6. Labor Day (first Monday in September)
7. Veterans' Day (November 11)
8. Thanksgiving Day (fourth Thursday in November)
9. Day after Thanksgiving (fourth Friday in November)
10. Christmas Eve (December 24)
11. Christmas Day (December 25)

B. The Town Council, at its sole discretion, may approve additional holidays in any calendar year.

### Section 4-4-3 Amount of Paid Time Off for Town-Approved Holidays

- A. Eligible full-time employees shall receive paid time off on Town-approved holidays based on the number of hours they are regularly scheduled to work.
- B. Eligible part-time employees shall receive a prorated amount of paid time off on Town-approved holidays based on the number of hours per week that they are officially scheduled to work.

### Section 4-4-4 Miscellaneous Rules

- A. If a Town-approved holiday falls on a day when an eligible employee is on parental paid time off or on approved paid vacation, MTO, or sick leave, the employee shall receive paid time off for the Town-approved holiday and deductions will not be taken from the employee's parental paid time off, vacation, MTO, or sick leave balances for that day.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

- B. If a Town-approved holiday falls on an eligible employee's regularly scheduled day off, the employee shall receive paid time off for that holiday based on the number of hours they are regularly scheduled to work.

#### **Section 4-4-5 Exception**

An employee who is in an unpaid status on the day immediately preceding or immediately following a Town-approved holiday, excluding the employee's regularly scheduled day off, shall not receive paid time off for the holiday.

#### **POLICY 4-5 MILITARY LEAVE**

The Town of Marana shall grant a military leave of absence to any eligible employee to attend scheduled drills or training or if called to active duty with the United States armed services.

The provisions of this policy are not intended to conflict with or supersede state or federal law, nor should they be interpreted or construed to do so. If any provision of this policy conflicts with state or federal law, state or federal law shall control.

#### **Section 4-5-1 Eligibility**

Employee eligibility for military leave shall be determined in accordance with the provisions of applicable state and federal law.

#### **Section 4-5-2 Military Leave**

- A. An eligible employee ordered to military service shall be granted paid military leave of up to 240 hours in any two consecutive years. For the purposes of this policy, "year" means the fiscal year of the United States government. Leave which occurs on an employee's regularly scheduled days off shall not be charged against the 240-hour allotment.
- B. For required military service exceeding the 240-hour allotment, an employee shall be granted military leave that may be unpaid or paid via the employee's use of accrued vacation, MTO or compensatory leave balances. Any request to use paid leave balances will be considered in accordance with the leave policies set forth in this chapter.

#### **Section 4-5-3 Advance Notice Requirements**

- A. An employee ordered to military service shall provide his or her Department Head with advance notification of the leave, unless giving advance notice is prevented by military necessity or is otherwise impossible or unreasonable under the circumstances. The notice may either verbal or written. The United States Defense Department strongly



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

recommends that advance notice be provided at least 30 days prior to departure for uniformed service when it is feasible to do so.

- B. Additionally, the Town requests that the employee provide his or her Department Head with a copy of the employee's military orders as soon as practicable.

#### **Section 4-5-4 No Break in Service**

Time spent in active military service is not considered a break in service for purposes of determining seniority or leave accrual rates.

#### **Section 4-5-5 Return to Work**

If an employee fails to report to work within the timeframes established under the Uniformed Services Employment and Reemployment Rights Act of 1994 (USERRA) at the conclusion of the military leave, and has not submitted a written request for additional leave, the employee is considered to have resigned.

### **POLICY 4-6 BEREAVEMENT LEAVE**

The Town of Marana provides bereavement leave with pay to eligible employees.

#### **Section 4-6-1 Eligibility**

The provisions of this policy apply to all regular full- and part-time classified and unclassified employees, including those serving in an initial evaluation period, and term-limited temporary employees.

#### **Section 4-6-2 Amount of Bereavement Leave**

- A. Eligible employees shall receive up to three days bereavement leave for the death of an immediate family member.
- B. If travel outside the state is required, employees may be granted up to two additional days bereavement leave at the discretion of the Department Head.
- C. An employee may request to use any available paid leave balances for additional time off as necessary. Any request to use paid leave balances will be considered in accordance with the leave policies set forth in this chapter.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

#### **Section 4-6-3 Request for Bereavement Leave**

An employee shall submit a bereavement leave request to his or her supervisor as soon as the need for the leave arises or as soon as possible thereafter. Supervisors shall approve requests for bereavement leave in the absence of unusual Town operational requirements.

#### **Section 4-6-4 Definition of Immediate Family**

For purposes of this policy, “immediate family member” shall be defined as the employee’s spouse, parent, child, brother, sister, grandparent, grandchild, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, sister-in-law, or step-child and any other person whose association with the employee was similar to any of the above relationships.

#### **POLICY 4-7 FAMILY AND MEDICAL LEAVE**

In accordance with the Family and Medical Leave Act (FMLA) of 1993 and the National Defense Authorization Acts (NDAA) of 2008 and 2010, the Town of Marana provides job-protected family and medical leaves of absence without pay to eligible employees who are temporarily unable to work due to an FMLA-qualifying reason.

The provisions of this policy are not intended to conflict with or supersede federal law, nor should they be interpreted or construed to do so. If any provision of this policy conflicts with federal law, federal law shall control.

#### **Section 4-7-1 Qualifying Reasons for FMLA Leave**

Eligible employees may qualify for FMLA leave for one or more of the following reasons.

- A. A serious health condition that renders the employee unable to perform the functions of the employee’s job.
- B. The birth and care of a newborn child of the employee.
- C. The placement with the employee of a child for adoption or foster care.
- D. To care for the employee’s spouse, child or parent with a serious health condition.
- E. To care for a covered servicemember of the Armed Forces with a serious injury or illness.
- F. Any qualifying exigency related to the active duty or call to active duty of a covered military member.

#### **Section 4-7-2 Definitions**

- A. Covered military member. The employee’s spouse, son, daughter or parent on active duty status or call to active duty status as either a member of the regular component of



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

the Armed Forces or a member of the Reserve components of the Armed Forces or a retired member of the regular Armed Forces or Reserve.

- B. Covered servicemember. The employee's spouse, son, daughter, parent or next of kin, as defined by federal regulations, who is either of the following:
1. A current member of the Armed Forces, including a member of the National Guard or Reserves, who has a serious injury or illness or who is otherwise in outpatient status or is otherwise on the temporary disability retired list.
  2. A veteran who is undergoing medical treatment, recuperation or therapy for a serious injury or illness and who was a member of the Armed Forces, including the National Guard or Reserves, at any time during the period of five years preceding the date on which the veteran undergoes that medical treatment, recuperation or therapy.
- C. Group health plan. A plan (including a self-insured plan) of, or contributed to by, an employer or employee organization to provide health care (directly or otherwise) to the employees, former employees, the employer, others associated or formerly associated with the employer in a business relationship, or their families.
- D. Health care provider. Health care providers who may provide certification of a serious health condition include:
1. Doctors of medicine or osteopathy authorized to practice medicine or surgery (as appropriate) by the state in which the doctor practices
  2. Podiatrists, dentists, clinical psychologists, optometrists, and chiropractors (limited to treatment consisting of manual manipulation of the spine to correct a subluxation as demonstrated by X-ray to exist) authorized to practice in the state and performing within the scope of their practice under state law
  3. Nurse practitioners, nurse-midwives, and clinical social workers authorized to practice under state law and performing within the scope of their practice as defined under state law
  4. Christian Science practitioners listed with the First Church of Christ, Scientist in Boston, Massachusetts
  5. Any health care provider recognized by the Town or the Town's group health plan's benefits manager
  6. A health care provider listed above who practices in a country other than the United States and who is authorized to practice under the laws of that country
- E. Key employee. A salaried FMLA-eligible employee who is among the highest paid ten percent of all Town employees, as determined pursuant to the provisions of the FMLA and accompanying regulations.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

- F. Serious health condition. An illness, injury, impairment, or physical or mental condition that involves any of the following:
1. Any period of incapacity or treatment connected with inpatient care (i.e., an overnight stay) in a hospital, hospice, or residential medical care facility
  2. A period of incapacity requiring absence of more than three calendar days from work, school, or other regular daily activities that also involves continuing treatment by (or under the supervision of) a health care provider
  3. Any period of incapacity due to pregnancy, or for prenatal care
  4. Any period of incapacity (or treatment therefore) due to a chronic serious health condition (e.g., asthma, diabetes, epilepsy, etc.)
  5. A period of incapacity that is permanent or long-term due to a condition for which treatment may not be effective (e.g., Alzheimer's, stroke, terminal diseases, etc.)
  6. Any absences to receive multiple treatments (including any period of recovery therefrom) by, or on referral by, a health care provider for a condition that likely would result in incapacity of more than three consecutive days if left untreated (e.g., chemotherapy, physical therapy, dialysis, etc.)
- G. Serious injury or illness.
1. An injury or illness incurred by a member of the Armed Forces, including a member of the National Guard or Reserves, in the line of duty on active duty in the Armed Forces or an injury or illness that existed before the beginning of the member's active duty and was aggravated by service in the line of duty on active duty in the Armed Forces and that may render the member medically unfit to perform the duties of the member's office, grade, rank or rating.
  2. A qualifying injury or illness, as defined by the Secretary of Labor, incurred by a veteran in the line of duty on active duty in the Armed Forces or an injury or illness that existed before the beginning of the member's active duty and was aggravated by service in the line of duty on active duty in the Armed Forces and that manifested itself before or after the member became a veteran.
- H. Veteran. A person who served in the active military, naval, or air service, and who was discharged or released from the service under conditions other than dishonorable.

#### Section 4-7-3 Eligibility

- A. An "eligible employee" under the FMLA is a classified or unclassified employee who has:
1. Worked for the Town for at least 12 months; and



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

2. Worked at least 1,250 hours during the 12-month period immediately preceding the commencement of the FMLA leave.
- B. The 12 months required by paragraph (A)(1) above need not be consecutive months. If an employee is maintained on the payroll for any part of a week, including any periods of paid (MTO, sick, vacation, parental paid time off) or unpaid leave during which other benefits or compensation are provided by the Town (e.g., workers' compensation, group health plan benefits, etc.), the week counts as a week of employment. For purposes of determining whether intermittent/occasional/casual employment qualifies as "at least 12 months," 52 weeks is deemed to be equal to 12 months.
  - C. Whether an employee has worked the minimum 1,250 hours is determined according to the principles established under the Fair Labor Standards Act (FLSA) for determining compensable hours of work.

#### **Section 4-7-4 Leave Entitlement**

- A. Eligible employees, other than employees qualifying for leave to care for a covered servicemember with a serious injury or illness, shall be granted up to a total of 12 weeks of unpaid FMLA leave within any 12-month period.
- B. Eligible employees qualifying for leave to care for a covered servicemember with a serious injury or illness shall be granted up to a total of 26 weeks of unpaid FMLA leave during a single 12-month period.
- C. Married employee couples may be restricted to a combined total of 12 weeks unpaid FMLA leave within any 12-month period for childbirth, adoption or placement of a foster child, or to care for a parent with a serious health condition.
- D. Married employee couples may be restricted to a combined total of 26 weeks unpaid FMLA leave within a single 12-month period to care for a covered servicemember with a serious injury or illness.

#### **Section 4-7-5 Qualifying Exigency Leave under the NDAA**

An eligible employee who has a spouse, son, daughter or parent who is a covered military member may take up to 12 weeks unpaid FMLA leave within any 12-month period for one or more of the following qualifying exigencies as defined by federal regulations.

- A. Short-notice deployment
- B. Military events and related activities
- C. Childcare and school activities
- D. Financial and legal arrangements



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

- E. Counseling
- F. Rest and recuperation
- G. Post-deployment activities
- H. Additional activities or events arising out of the covered military member's active duty or call to active duty status provided that the Town and the eligible employee agree that the leave qualifies as an exigency and agree to both the timing and duration of the leave.

#### **Section 4-7-6 How FMLA Leave is Measured**

- A. Except for leave to care for a covered servicemember with a serious injury or illness, the Town uses a rolling 12-month period to calculate FMLA eligibility. Each time an employee uses FMLA leave, the remaining leave entitlement is the balance of the 12 weeks that has not been used during the immediately preceding 12 months.
- B. For leave to care for a covered servicemember with a serious injury or illness, the "single 12-month period" begins on the first day the eligible employee takes FMLA leave to care for the covered servicemember and ends 12 months after that date.

#### **Section 4-7-7 Use of Paid Leave**

- A. FMLA leave is unpaid leave, unless an employee uses paid leave balances during the FMLA leave period as described in this section. The Town requires employees to use all paid leave balances concurrently with approved FMLA leave before leave without pay is used, except that an employee may choose to retain a sick or MTO leave balance of up to 40 hours. The use of paid leave time is subject to the leave policies set forth in this chapter.
- B. If an employee is receiving disability benefits such as workers' compensation, short-term disability, or long-term disability, the employee is not required to use his or her paid leave balances concurrently with approved FMLA leave.

#### **Section 4-7-8 Request for Leave**

- A. Eligible employees shall submit a written request for leave to the Human Resources Department at least 30 days in advance of foreseeable FMLA-qualifying events.
- B. Eligible employees shall submit a written request for leave to the Human Resources Department as soon as practicable for unforeseeable events or within no more than two working days after learning of the unforeseen need for FMLA-qualifying leave. An employee requesting unforeseen FMLA leave has the obligation to comply with the Town's regular attendance and reporting requirements.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

#### **Section 4-7-9 Medical Certification**

Employees requesting FMLA leave for their own serious health condition or for the serious health condition of a child, spouse or parent or for the serious injury or illness of a covered servicemember are required to submit a medical certification form from a health care provider verifying the serious health condition, injury or illness and the need to provide care in the case of a serious health condition, injury or illness of an eligible family member. The medical certification shall include the expected beginning and ending dates of the leave. The Town shall allow the employee at least 15 calendar days to obtain the medical certification.

#### **Section 4-7-10 Certification for Qualifying Exigency Leave under the NDAA**

Employees requesting FMLA leave due to a qualifying exigency arising out of the active duty or call to active duty status of a covered military member shall provide certification describing appropriate facts regarding the qualifying exigency for which the leave is requested. The certification shall include information on the type of qualifying exigency for which leave is requested and any available written documentation that supports the request for leave. The certification shall also include the expected beginning and ending dates of the leave. The first time an employee requests leave for a qualifying exigency, the employee shall also be required to provide a copy of the covered military member's active duty order or other documentation issued by the military which indicates that the covered military member is on active duty or call to active duty status in support of a contingency operation, and the dates of the covered military member's active duty service.

#### **Section 4-7-11 Designation of Leave as FMLA Leave**

In all circumstances, it is the Town's responsibility to designate leave, paid or unpaid, as FMLA-qualifying, and to give notice of the designation to the employee. If the employee's leave request gives the Town sufficient reason to consider the leave as FMLA-qualifying, the Town shall designate the leave as FMLA and inform the employee of the designation within five business days after receiving the employee's request for leave.

#### **Section 4-7-12 Determination/Notice of Substantial and Grievous Economic Injury**

- A. When a key employee gives notice of the need for FMLA leave and the Town makes a good faith determination, based on the facts available, that substantial and grievous economic injury will occur to the Town's operations if the key employee is reinstated at the conclusion of the leave period, the Town shall provide written notice of the determination to the key employee as soon as practicable. The notice shall inform the employee that the Town cannot deny FMLA leave, but that the Town intends to deny restoration to employment upon completion of the key employee's FMLA leave. If FMLA leave has already commenced, the notice shall provide the key employee a



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

reasonable time frame in which to return to work, taking into account the circumstances, such as the length of the leave and the urgency of the need for the employee to return.

- B. If the key employee commences the leave despite the Town's notice, or if a key employee already on leave at the time of the notice does not return to work in response to the Town's notice, the key employee's rights under FMLA continue unless and until the employee either gives notice that he or she no longer wishes to return to work, or the Town actually denies reinstatement at the conclusion of the leave period.
- C. The Town shall make the determination of whether the reinstatement of the key employee will cause substantial and grievous economic injury in accordance with the applicable provisions of the FMLA and accompanying regulations.
- D. If the Town fails to provide timely notice of the determination to the key employee, the Town shall reinstate the employee even if substantial and grievous economic injury will result from reinstatement.

#### **Section 4-7-13 Intermittent Leave**

Under some circumstances, employees may take FMLA leave intermittently, by taking leave in blocks of time or by reducing their normal weekly or daily work schedule.

- A. Employees requesting intermittent FMLA leave for a serious health condition or to care for a family member with a serious health condition or to care for a covered servicemember with a serious injury or illness shall provide a medical certification from a health care provider to the Human Resources Department documenting the medical necessity for such leave.
- B. Employees requesting intermittent FMLA leave for a qualifying exigency arising out of the active duty or call to active duty status of a covered military member shall provide an estimate of the frequency and duration of the qualifying exigency and an explanation regarding the necessity for such leave.
- C. An employee is not guaranteed Intermittent FMLA leave for childbirth, adoption or placement of a foster child. Such leave may be taken only upon approval by the Town.
- D. The employee must attempt to schedule intermittent leave in a manner that does not unduly disrupt the Town's operations.
- E. The Town may temporarily transfer an employee on intermittent leave to a different position with equivalent pay and benefits if another position would better accommodate the employee's intermittent leave schedule.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

#### **Section 4-7-14 Maintenance of Group Health Plan Benefits**

- A. Subject to the terms, conditions and limitations of the applicable group health insurance plans, the Town of Marana shall maintain group health plan benefits, including family coverage, for an employee on FMLA leave on the same terms as if the employee continued to work.
- B. An employee who is on paid FMLA leave via the use of paid leave balances shall continue to pay his or her share of the group health plan insurance premium, if any, through payroll deductions.
- C. An employee who is on unpaid FMLA leave shall make arrangements with the Human Resources and Finance Departments to make payments for his or her share of the group health plan insurance premium, if any. If payment is more than 30 days overdue, the Town shall provide written notice to the employee that payment has not been received and that coverage will be dropped. The notice shall be mailed to the employee at least 15 days before coverage is to be dropped. If the Town pays any of the employee's share of group health plan premiums, the Town may require the employee to reimburse the Town for the employee's share. If coverage is dropped, it shall be dropped retroactively to the date the unpaid premium payment was due and the provisions of the federal COBRA law shall apply.
- D. The Town's obligation to maintain group health plan benefits under this section stops if and when the employee informs the Town of an intent not to return to work at the end of the leave period or if the employee fails to return to work when the FMLA leave entitlement is exhausted. In some circumstances, the Town may recover its share of premiums it paid to maintain group health plan insurance coverage for an employee who fails to return to work from FMLA leave.

#### **Section 4-7-15 Maintenance of Other Benefits**

- A. The Town has no obligation to maintain insurance and other benefits, such as life insurance or disability insurance, that are not considered to be a "group health plan", while an employee is on FMLA leave. The Town will meet its responsibilities to provide equivalent benefits to the employee upon return from FMLA leave.
- B. An employee who is on paid FMLA leave via the use of paid leave balances shall continue to pay his or her share of any non-group health plan insurance premiums through payroll deductions.
- C. An employee who is on unpaid FMLA leave must make payment arrangements with the Human Resources and Finance Departments for his or her share of any non-group health plan insurances premiums. If payment is more than 30 days overdue, the Town shall provide written notice to the employee that payment has not been received and that coverage will be dropped. The notice shall be mailed to the employee at least 15



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

days before coverage is to be dropped. If the Town pays any of the employee's share of non-group health plan premiums, the Town may require the employee to reimburse the Town for the employee's share. If coverage is dropped, it shall be dropped retroactively to the date the unpaid premium payment was due.

#### **Section 4-7-16 No Break in Service**

The use of FMLA leave is not considered a break in service for purposes of determining seniority or leave accrual rates.

#### **Section 4-7-17 Additional Leave**

- A. If the employee is unable to perform the full essential functions of his or her position, with or without a reasonable accommodation, at the conclusion of the FMLA leave period, or if the employee needs additional time to care for a child, spouse or parent with a serious health condition or a covered servicemember with a serious injury or illness, the employee may request administrative leave for medical purposes pursuant to Policy 4-8.
- B. The Town may require updated medical certifications to support the need for the additional leave.
- C. The Town is not obligated to grant administrative leave for medical purposes, but will review the request taking into consideration the Town's operational needs and staffing requirements.

#### **Section 4-7-18 Return to Work**

- A. Employees returning from FMLA leave generally have the right to return to their same position or an equivalent position, with equivalent pay, benefits and working conditions at the conclusion of the leave, unless the employee informs the Town of an intent not to return from leave or the employee fails to return from leave or continues on a leave after exhausting his or her FMLA leave entitlement in a 12-month period.
- B. An employee on FMLA leave shall provide the Human Resources Department with at least two days' advance notice of the date the employee intends to return to work.
- C. An employee on FMLA leave for the employee's own serious health condition shall provide a certification of fitness to return to work from a health care provider to the Human Resources Department prior to or immediately upon returning to work. If the employee does not provide the certification, the Town may delay restoring the employee to employment until the certification is provided. If any work restrictions are specified, the provisions of these Personnel Policies and Procedures related to alternative work assignments/light duty may apply.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

- D. A key employee who has been notified that restoration to employment will cause substantial and grievous economic injury to the Town's operations may still request reinstatement at the end of the leave period. The Town shall then make a final determination as to whether reinstatement will cause substantial and grievous economic injury, based on the facts available at the time the employee requests restoration. If the Town determines again that substantial and grievous economic injury will result, the Town shall notify the key employee in writing of the denial of restoration.

#### **Section 4-7-19 Termination of Employment**

- A. If an employee is unable to perform the full essential functions of his or her position, with or without a reasonable accommodation, at the conclusion of the FMLA leave and a request for administrative leave for medical purposes has been denied, or the employee has been unable to perform the full essential functions of his or her regular position, with or without a reasonable accommodation, for greater than 12 months in a 24-month period, the Town may initiate separation of employment. For purposes of tracking leave time, 12 months will be measured based on 2080 hours for full-time employees and prorated for part-time employees.
- B. If an employee voluntarily resigns his or her position before returning from FMLA, health insurance benefits are subject to COBRA law.
- C. If an employee fails to report to work at the conclusion of the FMLA leave period and has not submitted a written request to use other forms of leave, the employee is considered to have resigned.

#### **POLICY 4-8 ADMINISTRATIVE LEAVE**

The Town of Marana may provide administrative leave with or without pay to eligible employees as described in this policy.

##### **Section 4-8-1 Eligibility**

The provisions of this policy apply to all regular full- and part-time classified and unclassified employees, including those serving in an initial evaluation period, and term-limited temporary employees.

##### **Section 4-8-2 Administrative Leave for Medical Purposes**

- A. Eligible employees who are either not eligible for FMLA leave or who have exhausted the FMLA leave period may request administrative leave for medical purposes for any of the reasons that are listed in Section 4-7-1(A) through (E) of these Personnel Policies and Procedures. Employees may not use administrative leave for medical purposes for



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

exigencies related to the active duty or call to active duty of a covered military member, as defined in the FMLA and the NDAA.

- B. Eligible employees shall submit a written request for administrative leave for medical purposes to the Human Resources Department at least 30 days in advance of foreseeable events.
- C. Eligible employees shall submit a written request for administrative leave for medical purposes to the Human Resources Department as soon as practicable for unforeseeable events or within no more than two working days after learning of the unforeseen need for administrative leave for medical purposes. An employee requesting unforeseen administrative leave for medical purposes has the obligation to comply with the Town's regular attendance and reporting requirements.
- D. Requests for administrative leave for medical purposes must be accompanied by a medical certification from a health care provider documenting the medical necessity for and expected duration of the leave.
- E. The Human Resources Department shall review the request for administrative leave for medical purposes with the employee's Department Head and General Manager, where applicable. In considering the leave request, the Town shall consider the impact to the Town's operations and staffing requirements.
- F. The Human Resources Department, in consultation with the Department Head and General Manager, where applicable, may grant administrative leave for medical purposes in increments of up to 90 days at a time, provided that the total time the employee is unable to perform the essential functions of his or her regular position, with or without a reasonable accommodation, does not exceed the equivalent of 12 months in any 24-month period. For purposes of tracking leave time, 12 months will be measured based on 2080 hours for full-time employees and pro-rated for part-time employees.

#### **Section 4-8-3 Administrative Leave for Non-Medical Purposes**

- A. Eligible employees who have completed one year of continuous employment with the Town may request administrative leave for non-medical purposes, such as a sabbatical. Employees shall not use administrative leave for non-medical purposes to work for another employer or to pursue self-employment.
- B. Eligible employees shall submit a written request for administrative leave for non-medical purposes to their Department Head at least 30 days prior to the start of the requested leave.
- C. The Department Head shall review the request for administrative leave for non-medical purposes with the General Manager, where applicable, and the Human Resources Department. The Department Head, General Manager, where applicable, and the



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

Human Resources Department shall make a recommendation to the Town Manager regarding the request for administrative leave for non-medical purposes. In considering the leave request, the Town Manager, or designee, shall consider the impact to the Town's operations and staffing requirements, as well as any potential benefit to the Town resulting from the employee's leave.

- D. The Town Manager may grant administrative leave for non-medical purposes in any increment, for a maximum of up to 90 total days of leave.

#### **Section 4-8-4 Compensation**

- A. Administrative leave for medical or non-medical purposes may be paid or unpaid, as described in this section.
- B. The Town requires employees to use all paid leave balances concurrently with approved administrative leave before leave without pay is used, except that an employee may choose to retain a sick or MTO leave balance of up to 40 hours. The use of paid leave time is subject to the leave policies set forth in this chapter.
- C. If an employee does not have any paid leave balances or exhausts all paid leave, other than the 40 hours of sick or MTO leave the employee is permitted to retain, the employee shall be placed on unpaid administrative leave.

#### **Section 4-8-5 Town-Assigned Administrative Leave**

- A. A Department Head, General Manager or the Human Resources Department may, with the approval of the Human Resources Director, assign an employee to administrative leave with pay for a specific non disciplinary reason or purpose, such as pending the results of a fitness-for-duty examination.
- B. When an employee is assigned to administrative leave pursuant to this section, any documentation placed in the employee's official personnel file shall specifically note that the administrative leave is for non-disciplinary reasons.
- C. Town-assigned administrative leave shall ordinarily not exceed 21 calendar days. The Human Resources Department may authorize an extension in extenuating circumstances.

#### **Section 4-8-6 Maintenance of Benefits**

- A. An employee who is on paid administrative leave via the use of paid leave balances shall continue to pay his or her share of any group health plan and other insurance premiums through payroll deductions.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

- B. An employee who is on unpaid administrative leave must pay both the employee's and the Town's share of any group health plan and other insurance premiums in order to maintain coverage. The employee must make payment arrangements with the Human Resources and Finance Departments. If payment is more than 30 days overdue, the Town shall provide written notice to the employee that payment has not been received and that coverage will be dropped. The notice shall be mailed to the employee at least 15 days before coverage is to be dropped. If the Town pays any of the employee's or the Town's share of group health plan or other premiums in order to maintain coverage, the Town may require the employee to reimburse the Town. If coverage is dropped, it shall be dropped retroactively to the date the unpaid premium payment was due and the provisions of the federal COBRA law shall apply.

#### **Section 4-8-7 Return to Work**

- A. The Town is not obligated to hold a position vacant or to return an employee to a position following an unpaid administrative leave that is not part of an approved FMLA absence.
- B. An employee on administrative leave for medical purposes shall provide the Human Resources Department with at least two days' advance notice of the date the employee intends to return to work.
- C. An employee on administrative leave for medical purposes for the employee's own serious health condition shall provide a certification of fitness to return to work from a health care provider to the Human Resources Department prior to or immediately upon returning to work. If the employee does not provide the certification, the Town may delay restoring the employee to employment until the certification is provided. If any work restrictions are specified, the provisions of these Personnel Policies and Procedures related to alternative work assignments/light duty may apply

#### **Section 4-8-8 Termination of Employment**

- A. If an employee is unable to perform the full essential functions of his or her position, with or without a reasonable accommodation, at the conclusion of administrative leave for medical purposes and a request for further leave has been denied, or the employee has been unable to perform the full essential functions of his or her regular position, with or without a reasonable accommodation, for greater than 12 months in a 24-month period, the Town may initiate separation of employment. For purposes of tracking leave time, 12 months will be measured based on 2080 hours for full-time employees and pro-rated for part-time employees.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

- B. If an employee fails to report to work at the conclusion of administrative leave and has not submitted a written request to use other forms of leave, the employee is considered to have resigned.

#### **Section 4-8-9 No Right of Appeal**

The denial of a request for administrative leave, or the placement of an employee on Town assigned leave, is not subject to appeal under the personnel action review procedures set forth in Chapter 5 of these Personnel Policies and Procedures.

#### **POLICY 4-9 LEAVE DONATION**

Eligible employees may receive contributions of leave from other employees as outlined in this policy if the employee is unable to return to work due to his or her own serious health condition or the serious health condition of a family member as defined in this policy.

#### **Section 4-9-1 Definitions**

- A. For purposes of this policy, “serious health condition” shall be defined as set forth in Policy 4-7 (Family and Medical Leave) of these Personnel Policies and Procedures.
- B. For purposes of this policy, “family member” shall be defined as set forth in Policy 4-2 (Sick Leave) of these Personnel Policies and Procedures.

#### **Section 4-9-2 Eligibility**

- A. The provisions of this policy apply to all regular full- and part-time classified and unclassified employees, including those serving in an initial evaluation period, and term-limited temporary employees.
- B. To be eligible to receive and use donated leave, employees must meet all of the following criteria:
  - 1. Be on approved FMLA leave or administrative leave for medical purposes.
  - 2. Have exhausted all paid leave balances that the employee is eligible to use.
  - 3. Have applied for any other available compensation and benefits that the employee may be eligible to receive under disability insurance coverage provided by the Town, such as workers’ compensation and short- and long-term disability.
- C. Eligible employees shall not be permitted to use donated leave if they have been unable to perform the full essential functions of their position, with or without a reasonable accommodation, for a period of time equivalent to 12 months in a 24-month period. For purposes of tracking leave time, 12 months will be measured based on 2080 hours for full-time employees and pro-rated for part-time employees.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

#### Section 4-9-3 Request for Donated Leave

- A. Employees may request donated leave by submitting a completed request form, available in the Human Resources Department, to the Human Resources Department. If it has not already been provided, the employee shall include a medical certification from a health care provider documenting the medical necessity for and expected duration of the leave with the completed form.
- B. Employees must submit a new request form for each FMLA leave or administrative leave for medical purposes period during which the employee desires to receive and use donated leave.
- C. The Human Resources Department will review the request to ensure the employee's eligibility. If the employee is eligible, the Human Resources Department will then post the request for donated leave in a location to be determined by the Human Resources Department.

#### Section 4-9-4 Donation of Leave

- A. Employees may donate leave by submitting a completed leave donation form, available in the Human Resources Department, to the Human Resources Department. The identity of donating employees will be kept confidential.
- B. Employees must submit a new leave donation form for each FMLA leave or administrative leave for medical purposes period during which the employee desires to donate leave and for each person the employee desires to donate leave to.
- C. Employees may donate vacation, MTO, or sick leave, pursuant to the following conditions:
  - 1. Donating employees must maintain a total cumulative balance of 80 hours of sick, MTO, and vacation leave.
  - 2. Employees may only donate up to a combined total of 40 hours of sick and MTO leave per calendar year. Employees may donate as much vacation leave as they choose, provided that the mandated 80-hour balance is maintained.
- D. Employees must donate leave in full day increments, based on the number of hours in the receiving employee's work day. That is, if the receiving employee works eight hour days, the donating employee must donate leave in eight-hour increments; if the receiving employee works ten hour days, the donating employee must donate leave in ten-hour increments, and so on.
- E. Employees must pledge up to a certain maximum amount of leave hours they will donate for a particular employee during a particular leave period. The pledged leave hours will remain in the donating employees leave banks unless and until they are



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

needed by the receiving employee, at which time the Finance Department will draw from the pledged leave hours.

- F. The Human Resources Department will review the leave donation form to ensure that the donation complies with the provisions of this policy. As the Finance Department draws from the pledged leave hours, the Finance Department will monitor the donation to ensure continued compliance with the mandatory 80-hour leave balance provision of this policy.
- G. If more than one employee donates leave to a particular employee, leave will be deducted from the donors' leave balances on a rotating basis, in the order it was donated, in full day increments, based on the number of hours in the receiving employee's work day.
- H. There is no limit on the amount of donated leave eligible employees may receive, except that the total time the employee is unable to perform the essential functions of his or her regular position, with or without a reasonable accommodation, shall not exceed the equivalent of 12 months in any 24-month period. For purposes of tracking leave time, 12 months will be measured based on 2080 hours for full-time employees and pro-rated for part-time employees.
- I. Donated leave hours may only be drawn from and used by the receiving employee while the donating employee is an active Town employee.
- J. The receiving employee and the Town are under no obligation to repay any used donated leave to the donating employee.

#### **Section 4-9-5 Coordination with Other Payments**

The employee's pay shall not exceed the employee's normal weekly earnings through any combination of donated leave and other payments received by the employee, such as workers' compensation benefits or disability insurance payments. If the combination of payments results in the employee being paid more than his or her normal weekly earnings, the Town shall require the employee to reimburse the Town for the overpayment.

#### **Section 4-9-6 Maintenance of Benefits**

An employee who is on leave and using donated leave shall continue to pay his or her share of any group health plan and other insurance premiums through payroll deductions.

#### **Section 4-9-7 Leave Accrual**

- A. An employee who is on leave and using donated leave shall not accrue any sick or vacation leave during the leave period.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

- B. An employee who is on leave and using donated leave at the beginning of a calendar year shall still receive the full allotment of MTO leave.

#### **POLICY 4-10 GROUP BENEFITS**

The Town of Marana provides group benefits coverage as determined by the Town Council for eligible employees. The Human Resources Department is responsible for implementation and administration of all group benefits and insurance plans.

##### **Section 4-10-1 Group Insurance Coverage**

- A. For purposes of this policy “group insurance coverage” may include health, income protection and life insurance plans contributed to by the Town and employees.
- B. The Town contributes to the costs of group insurance coverage for full-time employees and those part-time employees whose normal work week is at least 20 hours per week. The Town may also contribute to coverage for eligible dependents of these employees.

##### **Section 4-10-2 Retirement Plans**

- A. The Town participates in the Arizona State Retirement System (ASRS), the Public Safety Personnel Retirement System (PSPRS) for sworn police personnel, and the Corrections Officer Retirement Plan (CORP) for dispatchers hired prior to November 24, 2009. These retirement systems are governed by state law and the provisions of this policy are not intended to conflict with or supersede state law, nor should they be interpreted or construed to do so. If any provision of this policy conflicts with state law, state law shall control.
- B. Employees whose normal work week is 20 hours or more and who have met the eligibility requirements of the retirement plan are required to participate in ASRS or, where applicable, PSPRS or CORP.
- C. Retirement benefits accrue from both employee and employer contributions. The amount of the contributions is determined by state law.
- D. Employees are vested in accordance with the provisions of the retirement plan in which they are enrolled.
- E. If an employee terminates service without retiring, accrued contributions are refundable in accordance with the applicable plan’s rules and state law.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

#### Section 4-10-3 Employee Assistance Program

- A. The Town provides an employee assistance program (EAP) to all regular full- and part-time classified and unclassified employees, including those serving in an initial evaluation period, and term-limited temporary employees.
- B. When an employee voluntarily seeks assistance from the EAP, confidentiality is maintained. The Town will not be informed that help has been sought unless the employee requests that the information be released.
- C. When stress or personal problems interfere with job performance, the Town will encourage and may require participation in the EAP in any of the following circumstances:
  - 1. When job-related and consistent with business necessity;
  - 2. As part of an employee work improvement plan or development plan; or
  - 3. To deal with job-related performance issues.
- D. When participation is required, the EAP provider will maintain confidentiality and will only verify that the employee has participated as required by the Town.
- E. Use of the employee assistance program may be a condition of continued employment if the Town's drug and alcohol abuse policy is violated.
- F. No employee will have his or her employment or promotional opportunities jeopardized solely by participation in the EAP, nor will participation in the EAP protect the employee from disciplinary action for substandard performance or misconduct.

#### Section 4-10-4 Voluntary Benefits

The Town may offer other voluntary benefits, such as benefits for dependents. Voluntary benefits are fully paid by the employee.

#### POLICY 4-11 WORKERS' COMPENSATION

Under Arizona law, it is mandatory for employers to secure workers' compensation insurance for their employees. Workers' compensation is a "no fault" system in which an injured or ill employee is entitled to receive benefits for a job-related injury or illness, no matter who caused the injury or illness. If an illness or injury is job-related, then the injured employee is eligible to receive medical benefits and may receive temporary compensation, if eligibility requirements are met. In some cases, a claimant may also receive permanent compensation benefits, job retraining, and supportive medical care.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

The provisions of this policy are not intended to conflict with or supersede state law, nor should they be interpreted or construed to do so. If any provision of this policy conflicts with state law, state law shall control.

#### **Section 4-11-1 Reporting Requirement**

- A. Employees shall immediately report any job-related accident, illness or injury, regardless of severity, to their immediate supervisor. If the employee's immediate supervisor is not available, or if the employee's immediate supervisor is the employee's Department Head, the employee shall report the accident, injury or illness to the employee's Department Head.
- B. The employee's supervisor or Department Head shall ensure that the accident, injury or illness is reported to the Human Resources Department no later than the next business day.
- C. In addition, employees shall follow the reporting procedures established by the Human Resources Department, to include completing and submitting any required forms.

#### **Section 4-11-2 Determination of Compensability**

The Town's workers' compensation carrier will determine compensability for job related injuries and illnesses.

#### **Section 4-11-3 Types of Claims**

- A. **Medical Only Claims.** Pursuant to state law, if an employee is off work for seven calendar days or less due to a job-related injury or illness determined to be compensable, the workers' compensation carrier will pay all of the employee's medical expenses associated with the job related injury or illness, but will not pay compensation benefits for lost wages. The day of the injury or onset of illness is not included in the calculation.
- B. **Time Lost Claims.** Pursuant to state law, if an employee is off work for more than seven calendar days due to a job-related injury or illness determined to be compensable, the workers' compensation carrier will pay all of the employee's medical expenses associated with the job related injury or illness and some compensation benefits for lost wages, depending upon the number of days the employee is off work. The day of the injury or onset of illness is not included in the calculation.
  - 1. If the employee is off work for more than seven calendar days but less than 14 calendar days, the workers' compensation carrier will provide compensation benefits for lost wages at the state-mandated percentage of the employee's average monthly wage for each day off work after the first seven calendar days.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

2. If the employee is off work for 14 calendar days or more, the workers' compensation carrier will provide compensation benefits for lost wages at the state-mandated percentage of the employee's average monthly wage for each day off work retroactive to the first day off work after the day of the injury or onset of illness.

#### Section 4-11-4 Use of Leave

- A. On the day of the accident, injury or illness, the employee will not be required to use sick, MTO, or other leave for an absence that is a direct result of the accident, injury or illness. Time spent during the work day addressing the accident, injury or illness, such as seeking medical attention, shall be treated as time worked. The employee will not be paid wages for any time spent addressing the accident, injury or illness outside the employee's scheduled work day.
- B. Beginning with the first work day following the day of the accident, injury or onset of illness, the employee may use any leave balances for absences related to the accident, injury or illness. Any request to use paid leave balances will be considered in accordance with the leave policies set forth in this chapter.
- C. If the employee used leave balances for time lost and the workers' compensation carrier then provides retroactive compensation benefits for lost wages, the Town shall reimburse the employee's leave balances in the appropriate amount and type of leave for the time lost that is retroactively paid through compensation benefits. The Town will correct the overpayment to the employee in the next regular pay cycle or cycles by reducing the employee's hours paid by the Town.
- D. The employee's pay shall not exceed the employee's normal weekly earnings through any combination of workers' compensation benefits, paid leave and other payments received by the employee. If the combination of payments results in the employee being paid more than his or her normal weekly earnings, the Town shall require the employee to reimburse the Town for the overpayment.
- E. For any absences during which the employee is receiving compensation benefits for lost wages from the workers' compensation carrier, the payroll liaison for the employee's department shall record the employee's time allocating that portion of the employee's time that is being paid by the workers' compensation carrier as "workers' compensation" and the remainder of the employee's time as paid or unpaid leave, as applicable.
- F. When an employee with a job-related injury or illness returns to work, either in his or her normal assignment or in an alternative work assignment (light/restricted duty), the employee must use sick or MTO leave or other approved leave for absences due to medical appointments, including physical therapy, for the job-related injury or illness.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

Any request to use paid leave balances will be considered in accordance with the leave policies set forth in this chapter.

- G. The Town shall not approve the use of sick, MTO, or vacation leave for an employee who has an injury, illness or disease incurred while employed by another employer.

#### **Section 4-11-5 Maintenance of Benefits**

- A. An employee who uses paid leave balances to supplement compensation benefits for lost wages due to a job-related injury or illness shall continue to pay his or her share of any group health plan and other insurance premiums through payroll deductions.
- B. An employee who does not use paid leave balances to supplement compensation benefits for lost wages due to a job-related injury or illness shall make arrangements with the Human Resources and Finance Departments to make payments for his or her share of any group health plan and other insurance premiums. If payment is more than 30 days overdue, the Town shall provide written notice to the employee that payment has not been received and that coverage will be dropped. The notice shall be mailed to the employee at least 15 days before coverage is to be dropped. If the Town pays any of the employee's share of group health plan or other premiums in order to maintain coverage, the Town may require the employee to reimburse the Town. If coverage is dropped, it shall be dropped retroactively to the date the unpaid premium payment was due and the provisions of the federal COBRA law shall apply.

#### **Section 4-11-6 Coordination with the Supplemental Benefits Plan for Public Safety Employees**

If any of the provisions of this policy conflict with the provisions of the supplemental benefits plan for public safety employees, as set forth in [Policy 4-12](#) of these Personnel Policies and Procedures, the provisions of the supplemental benefits plan shall govern for eligible public safety employees.

#### **Section 4-11-7 Miscellaneous**

- A. A job-related injury or illness may also be considered a "serious health condition" under the Family and Medical Leave Act (FMLA). In such cases, the Town will designate the employee's absence as FMLA-qualifying, will give notice of the designation to the employee and the FMLA provisions described in these Personnel Policies and Procedures will apply.
- B. An employee returning from leave following a job-related injury or illness shall provide a certification of fitness to return to work from a health care provider to the Human Resources Department prior to or immediately upon returning to work. If the employee does not provide the certification, the Town may delay restoring the employee to



## CHAPTER 4

# EMPLOYMENT BENEFITS AND LEAVES

employment until the certification is provided. If any work restrictions are specified, the provisions of these Personnel Policies and Procedures related to alternative work assignments/light duty may apply.

### **POLICY 4-12 SUPPLEMENTAL BENEFITS PLAN FOR PUBLIC SAFETY EMPLOYEES**

Pursuant to A.R.S. §38-961, the Town of Marana is required to provide a supplemental benefits plan for eligible public safety employees who are injured on the job and unable to perform the functions of their position.

The provisions of this policy are not intended to conflict with or supersede state law, nor should they be interpreted or construed to do so. If any provision of this policy conflicts with state law, state law shall control.

#### **Section 4-12-1 Definitions**

For purposes of this policy, “public safety employee” shall be defined as an individual who is a member of the Public Safety Personnel Retirement System (PSPRS) or the Corrections Officer Retirement Plan (CORP).

#### **Section 4-12-2 Eligibility**

- A. To be eligible for the supplemental benefits plan, the employee must meet all of the following criteria:
1. Be a public safety employee employed full-time by the Town at the time of injury.
  2. Be injured while on duty and eligible for workers’ compensation benefits pursuant to A.R.S. § 23-1021 and [Policy 4-11](#) of these Personnel Policies and Procedures, as determined by the Town’s workers’ compensation carrier.
  3. Be physically unable to return to work for the Town in any capacity, including alternative work assignments or light duty, as determined by the Town and as supported by the employee’s physician or an independent medical exam (IME) ordered by the Town directly or through its workers’ compensation insurance provider. The employee’s inability to work in a capacity assigned by the Town, including inability to work light duty assignments, must be supported by appropriate medical documentation in order for the employee to remain eligible for the supplemental benefits plan.
  4. Be receiving compensation benefits for lost wages pursuant to A.R.S. § 23-1041 and [Policy 4-11](#) of these Personnel Policies and Procedures.
- B. To maintain eligibility for the supplemental benefits plan, the employee must comply with all risk management requirements of the Town, including evaluation for light



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

duty/alternative work assignment options and rehabilitation programs, and coordination of benefits. Failure to comply with the Town's risk management requirements and decisions shall result in the termination of the employee's participation in the supplemental benefits plan.

#### **Section 4-12-3 Supplemental Compensation**

- A. Employees eligible for the supplemental benefits plan shall receive supplemental compensation from the Town in an amount that, when added to the benefits being paid by the workers' compensation fund to the employee, less any deductions, results in the employee receiving approximately the identical salary the employee was receiving prior to the injury.
- B. The Town shall pay the supplemental compensation for a period of up to six months from the date the employee receives first payment of workers' compensation benefits for lost wages pursuant to A.R.S. § 23-1041, provided that the employee continues to meet all eligibility criteria.

#### **Section 4-12-4 Application Procedures**

- A. To apply for the supplemental benefits plan, an employee must submit a written request to the Human Resources Department on a form provided by the Human Resources Department.
- B. All requests must be received by the Human Resources Department within 14 calendar days of the employee's receipt of his or her first lost wage replacement benefit paid under workers' compensation. An employee's failure to submit a request within the timeframe established in this paragraph shall be construed as a waiver of any rights under A.R.S. §38-961 and this policy.
- C. The Human Resources Department shall review the employee's request and shall provide the employee with a written determination of benefits eligibility within 14 calendar days of receipt of the employee's request.
- D. If an employee is denied participation in the plan for any reason other than a determination by the Town's workers' compensation carrier that the employee is not eligible for workers' compensation benefits, he or she has a right to request review of the denial by filing a written request for review with the Human Resources Department within ten working days from receipt of the denial letter. The employee's request for review must state the reason for the request and facts that the employee wishes to have considered. The Human Resources Department shall forward the request for review to the Town Manager.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

- E. The Town Manager shall render a written opinion affirming or denying the employee's eligibility within five working days of receipt of the employee's request for review. The Town Manager's decision is final and not appealable within any Town process.
- F. If an employee is denied participation in the plan due to a determination by the Town's workers' compensation carrier that the employee is not eligible for workers' compensation benefits, the employee may appeal that decision through the procedures established by the workers' compensation carrier and state law.

#### **Section 4-12-5 Maintenance of Benefits**

- A. The Town shall maintain group health plan benefits for employees participating in the supplemental benefits plan on the same terms as if the employee continued to work. Thus, the Town shall continue to pay its share of any group health plan insurance premium and the employee shall continue to pay his or her share, if any.
  - 1. The employee shall continue to pay his or her share of any group health plan benefits through payroll deductions if the employee is receiving sufficient compensation from the Town to cover his or her share of the premium.
  - 2. If the employee is not receiving sufficient compensation from the Town to cover his or her share of the premium, the employee shall make arrangements with the Human Resources and Finance Departments to make payments for his or her share of the premium. If payment is more than 30 days overdue, the Town shall provide written notice to the employee that payment has not been received and that coverage will be dropped. The notice shall be mailed to the employee at least 15 days before coverage is to be dropped. If the Town pays any of the employee's share of group health plan premiums, the Town may require the employee to reimburse the Town for the employee's share. If coverage is dropped, it shall be dropped retroactively to the date the unpaid premium payment was due and the provisions of the federal COBRA law shall apply.
- B. While the employee is participating in the supplemental benefits plan, the Town shall pay both the employer and employee contributions to PSPRS or CORP, as applicable, based on the employee's pre-injury salary, unless the employee is no longer actively contributing to PSPRS or CORP. In such a case, the Town shall continue to pay the employer contribution to the employee's retirement system and the employee shall continue to pay the employee contribution.
- C. An employee participating in the supplemental benefits plan is responsible for paying for any elective health care plan deductions, health related optional deductions, optional life insurance deductions or any other optional, employee-elected benefits.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

#### Section 4-12-6 Paid Leave Balances

- A. An employee shall not accrue or be allotted any additional sick, MTO, vacation, or compensatory leave while the employee is participating in the supplemental benefits plan.
- B. An employee's MTO, sick, vacation, and compensatory leave balances shall not be decreased while the employee is participating in the supplemental benefits plan.
- C. If the employee used leave balances to supplement workers' compensation benefits for lost wages prior to being approved for the plan, the Town shall reimburse the employee's leave balances in the appropriate amount and type of leave for the time lost that is retroactively paid through the supplemental benefits plan. The Town will correct the overpayment to the employee in the next regular pay cycle or cycles by reducing the employee's hours paid by the Town.

#### Section 4-12-7 Miscellaneous

To the extent the employee is eligible for and receives salary or benefit changes while participating in the supplemental benefits plan, the plan benefits will be adjusted accordingly.

#### POLICY 4-13 BENEFITS CONTINUATION/COBRA

The federal Consolidated Omnibus Budget Reconciliation Act (COBRA) of 1985, amended by the Health Insurance Portability and Accountability Act (HIPAA) of 1996, provides that covered employees and their qualified beneficiaries may continue health insurance coverage under the Town of Marana's health plan when a "qualifying event" would normally result in the loss of eligibility. The Town shall follow all applicable federal and state laws in determining what constitutes a qualifying event.

The provisions of this policy are not intended to conflict with or supersede federal or state law, nor should they be interpreted or construed to do so. If any provision of this policy conflicts with federal or state law, federal or state law shall control.

#### Section 4-13-1 Payments

- A. Except as provided in this policy, employees or beneficiaries participating in COBRA benefits shall pay the full cost of coverage at the Town's group rates plus an administration fee as prescribed by federal law.
- B. The Town Manager, in his or her sole discretion, may authorize Town payment of the costs of COBRA coverage for an employee or his or her beneficiaries for up to six months if the employee's qualifying event is a layoff as described in Chapter 8 of these Personnel Policies and Procedures.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

#### **Section 4-13-2 Written Notification of Eligibility**

The Human Resources Director shall ensure that each eligible employee and/or beneficiary receives written notification regarding COBRA rights and benefits in accordance with applicable federal and state laws.

#### **POLICY 4-14 ALTERNATIVE WORK ASSIGNMENTS/LIGHT DUTY**

The Town of Marana recognizes the value of allowing employees with work restrictions to temporarily work in an alternative work or light/restricted duty assignment. Alternative work assignments are intended for employees with medically documented temporary mental or physical illnesses or injuries sustained on or off the job who have work restrictions and who are expected to eventually return to unrestricted work. The Human Resources Department shall coordinate and administer all alternative work assignments for the Town.

The provisions of this policy are not intended to conflict with or supersede federal or state law, nor should they be interpreted or construed to do so. If any provision of this policy conflicts with federal or state law, federal or state law shall control.

#### **Section 4-14-1 Eligibility**

The provisions of this policy apply to all regular full- and part-time classified and unclassified employees, including those serving in an initial evaluation period, and term-limited temporary employees.

#### **Section 4-14-2 Definitions**

- A. For purposes of this policy, “alternative work assignment,” “light duty” and “restricted duty” are used interchangeably and shall be defined as temporary work that is physically or mentally less demanding than the employee’s regular job duties. An alternative work assignment or light/restricted duty may include a reduction in full time equivalency, limiting or altering duties in the employee’s existing position, or temporarily reassigning the employee to another position which he or she is qualified and capable to perform.
- B. For purposes of this policy, “work restriction” shall be defined as a restriction that prevents an employee from performing the full scope of his or her job duties as outlined in the job description for the employee’s position.

#### **Section 4-14-3 Procedures**

- A. When the Human Resources Department becomes aware that an employee has temporary work restrictions, the Human Resources Department will make every effort



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

consistent with the provisions of this policy to offer the employee an alternative work assignment.

- B. In order to be considered for an alternative work assignment, an employee must present a medical certification from a health care provider specifying work restrictions and the expected duration of the restrictions to the Human Resources Department.
- C. Upon receipt of the certification, the Human Resources Department may communicate with the employee's health care provider to clarify and attain specificity on physical restrictions and limitations relative to specific job duties and responsibilities.
- D. The Human Resources Department shall determine whether there are any alternative work assignments within the Town which the employee can perform given the work restrictions. Primary consideration will be given to job placement within the employee's department and regular job duties. However, an employee may also be placed in an alternative work assignment in another department and/or in another position. An alternative work assignment may also result in a change in the employee's work hours.
- E. An employee may be placed in an assignment that is in a lower classification than the employee's regular job assignment; however, the employee's salary shall remain the same as it was in the employee's regular job assignment. Overtime, on-call/stand-by and call-out pay is not authorized for employees on light duty status.
- F. Under no circumstances will the Town create a position solely for the purpose of providing work for an employee who is eligible to return to work under a temporary work restriction. Alternative work assignments shall involve productive work that is both useful to the Town and achievable within the restrictions placed on the employee. If there is no alternative work assignment available for a particular employee, the employee shall remain on, or be placed on, an appropriate form of leave, pursuant to the policies set forth in this chapter.
- G. Employees with on the job injuries or illnesses shall be given preference for alternative work assignments over employees with off the job injuries or illnesses, even if an employee with an off the job injury is already serving in an alternative work assignment. Thus, if an employee with an off the job injury is serving in an alternative work assignment, the Town may remove that employee from the assignment if removal is necessary to provide an alternative work assignment for an employee with an on the job injury.
- H. An employee who has previously been subject to work restrictions is required to inform the Human Resources Department immediately upon receiving a release to regular duty from a health care provider.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

#### **Section 4-14-4 Duration**

An initial alternative work assignment may have a duration of up to 90 days. The Human Resources Department may extend an alternative work assignment in increments of up to 90 days at a time, provided that there remains a reasonable expectation that the employee will return to his/her regular duties within a reasonable amount of time and that the total time the employee is unable to perform the essential functions of his or her regular position, with or without a reasonable accommodation, does not exceed the equivalent of 12 months in any 24-month period. For purposes of tracking leave time, 12 months will be measured based on 2080 hours for full-time employees and pro-rated for part-time employees.

#### **Section 4-14-5 Interaction with Other Laws and Policies**

- A. An employee on FMLA leave is not required to accept an available alternative work assignment. The employee may continue on FMLA leave either until the employee is able to return to his/her job or an equivalent job, or until the 12-week FMLA leave entitlement is exhausted.
- B. An employee receiving workers' compensation benefits may forfeit the right to those benefits by refusing to accept an alternative work assignment, as determined by the Town's workers' compensation carrier.

#### **Section 4-14-6 No Right to Alternative Work Assignment**

If granted, alternative work assignments are a privilege and shall not be considered a right or entitlement. An employee in an alternative work assignment has no rights to that assignment or any tasks associated with that assignment no matter the duration of the alternative work assignment.

#### **Section 4-14-7 Miscellaneous**

- A. An employee in an alternative work assignment is subject to all rules, regulations, standards, policies and procedures of the Town and of the department to which the employee is assigned.
- B. Employees serving in alternative work assignments shall receive a formal performance appraisal document in accordance with the Town's normal performance management process. The appraisal shall address the employee's job duties and performance for the relevant time period, including the employee's job duties and performance in the alternative work assignment.
- C. Employees serving in an alternative work assignment within the same classification as their regular position are eligible for merit increases based on job performance and availability of funding.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

- D. Employees serving in an alternative work assignment outside the classification of their regular position will be considered for merit increases on a case-by-case basis, depending upon the level of work being performed compared to the employee's regular assignment.

#### **Section 4-14-8 No Right of Appeal**

The decision of the Town to place or not to place an employee in an alternative work assignment is not subject to appeal or review under the personnel action review procedures set forth in Chapter 5 of these Personnel Policies or Procedures or under any other Town review/appeal process.

#### **POLICY 4-15 CIVIC DUTY**

The Town of Marana encourages employees to fulfill their civic responsibilities as citizens and provides civic duty leave to eligible employees as described in this policy.

#### **Section 4-15-1 Eligibility**

The provisions of this policy apply to all regular full- and part-time classified and unclassified employees, including those serving in an initial evaluation period, and term-limited temporary employees.

#### **Section 4-15-2 Jury Duty**

- A. Eligible employees shall be granted up to ten days of paid jury duty leave during any rolling 12-month period.
- B. Employees shall provide a copy of the jury duty summons to their supervisor as soon as possible so that the supervisor may make arrangements to accommodate the employee's absence.
- C. Employees on jury duty shall be paid their regular base rate of pay provided that they submit any juror fee payments received from the court, excluding mileage and per diem payments, to the Finance Department. Employees may keep mileage and per diem payments.
- D. If an employee is required to serve on jury duty beyond the period of paid jury duty leave, the employee may request to use vacation, MTO, or compensatory leave balances or may request administrative leave for non-medical purposes.
- E. Employees shall report for work while on jury duty whenever the court schedule permits.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

- F. The Department Head may ask the employee to request an excuse or postponement from jury duty if, in the Department Head's judgment, the employee's absence would create serious operational difficulties.

#### **Section 4-15-3 Witness Duty**

- A. Eligible employees shall be granted up to 40 hours of paid time off for each instance in which the employee is subpoenaed to appear in court as a witness in a case. This section does not apply to employees who are subpoenaed to appear in court as a part of their regular job responsibilities, such as police officers. Employees who are subpoenaed to appear in court as a part of their regular job responsibilities are considered to be on duty while responding to the subpoena.
- B. Employees shall provide a copy of the subpoena to their supervisor immediately after it is received so that the supervisor may make arrangements to accommodate the employee's absence.
- C. Employees under subpoena shall be paid their regular base rate of pay provided that they submit any witness fee payments, excluding mileage and per diem payments, to the Finance Department. Employees may keep mileage and per diem payments.
- D. If an employee is required to appear in court beyond the period of paid leave provided by this section, the employee may request to use vacation, MTO, or compensatory leave balances or may request administrative leave for non-medical purposes.
- E. Employees under subpoena shall report for work whenever the court schedule permits.
- F. Employees are not eligible for witness duty leave for time spent in court on personal matters without a subpoena. Employees shall request the use of leave for these court matters.

#### **Section 4-15-4 Voting**

- A. Any employee eligible and registered to vote in any public election held within this state may request time off for voting. The employee must submit a leave request for voting prior to the election day.
- B. The employee may be absent for up to three hours with pay on the day of the election for the purpose of voting. The amount of leave the employee is eligible for shall be determined in accordance with state law, which requires that an employee have three consecutive hours in which to vote. Employees are not entitled to voting leave if they have three consecutive non-working hours in which to vote.
- C. The Department Head may specify the hours during which the employee may be absent for the purpose of voting.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

- D. Employees are expected to vote at a time that minimizes impact on the department operations.
- E. An employee may be required to provide evidence of eligibility to vote prior to approval for time off.

#### **POLICY 4-16 PARENTAL PAID TIME OFF**

The Town of Marana provides parental paid time off to eligible employees. The Town of Marana is committed to providing a work environment that is supportive of eligible employees' work and personal life obligations. The purpose of parental paid time off is to enable the eligible employee to care for and bond with a newborn or a newly adopted child.

#### **Section 4-16-1 Eligibility**

- A. The provisions of this policy apply to a benefit-eligible classified or unclassified employee who has:
  - 1. Worked for the Town for at least 12 continuous months; and
  - 2. Worked at least 1,250 hours during the 12-month period immediately preceding the commencement of the parental paid time off.
- B. Parental paid time off eligibility commences upon the birth or adoption of a child under 18 years old, subject to the following.
  - 1. The birth or adoption must have occurred on or after July 1, 2019.
  - 2. For purposes of this policy, adoption does not include:
    - a. Adoption of a spouse's or partner's child unless the child is adopted by both parents at the same time.
    - b. Adoption of step-children or the equivalent.
    - c. Guardianship, foster placement or in loco parentis.
      - 1. In Loco Parentis is defined as persons with day-to-day responsibilities to care for and/or financially support a child. A biological or legal relationship is not necessary.
- C. Surrogates and sperm donors are not eligible for parental paid time off.

#### **Section 4-16-2 Amount of Parental Paid Time Off**

- A. Eligible full-time employees shall receive up to 80 hours of parental paid time off in a rolling 12-month period.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

- B. Eligible part-time employees shall receive a prorated amount of parental paid time off based on the number of hours per week they are officially scheduled to work.
- C. Additional parental paid time off is not available if an employee has two births and/or adoptions or combination of either within a single rolling 12-month period.
- D. If both parents are Town employees, each parent is eligible for parental paid time off.

#### **Section 4-16-3 Request for Parental Paid Time Off**

- A. Eligible employees shall submit a written request for leave with supporting documentation to the Human Resources Department at least 30 days in advance of foreseeable birth or adoption events.
- B. Eligible employees shall submit a written request for leave with supporting documentation to the Human Resources Department verifying the birth or adoption of the child as soon as possible for an unforeseeable birth or adoption event or within no more than two working days after the date of the birth or adoption.
- C. Absent good cause, any written request for parental paid time off submitted later than two working days after the date of the birth or adoption of a child, will not be accepted.

#### **Section 4-16-4 Use of Parental Paid Time Off**

- A. Parental paid time off shall be used during the employee's regularly scheduled work hours. Parental paid time off must be used within ten weeks of the first working day following the birth or adoption of a child.
- B. Parental paid time off shall be used in a single block of continuous time unless the employee is eligible for disability benefits in which case the parental paid time off shall be used to supplement the disability payments that the employee is eligible to receive.

#### **Section 4-16-5 Compensation**

- A. Employees receiving parental paid time off will be compensated at 100% of the employee's regular, straight-time hourly base rate of pay factoring in any applicable disability benefits in effect at the time the paid time off commences.
- B. Special assignment pay and stipends will not be included in parental paid time off compensation.
- C. Parental paid time off will be paid on a biweekly basis on regularly scheduled pay dates.



## CHAPTER 4

### EMPLOYMENT BENEFITS AND LEAVES

#### **Section 4-16-6 Additional Paid Time Off**

Employees may be eligible for and request additional paid time off, beyond the 80 hours of parental paid time off, in accordance with any applicable leave policies.

#### **Section 4-16-7 Employee Reimbursement of Parental Paid Time Off Pay**

- A. If an employee fails to return to work after an approved parental paid time off, or resigns within 30 days following an approved leave during which parental paid time off is used, the employee shall reimburse the Town the amount of parental paid time off pay the employee received at the employee's regular hourly rate.
- B. The employee will not be required to reimburse the Town for employer contributions for benefits made during the parental paid time off period.
- C. This section shall not apply if:
  1. The employee's failure to return to work or resignation is due to the onset, recurrence, or continuation of a "serious health condition" as defined in Section 4-7-2(F) or "serious injury or illness" as defined in Section 4-7-2(G) to the employee or child; or
  2. The employee's failure to return to work is due to circumstances beyond the employee's control.

#### **Section 4-16-8 Coordination with Other Benefits**

- A. Eligible employees shall continue to accrue vacation leave and will receive their full allotment of MTO leave while using parental paid time off.
- B. The Town will pay the employer portion of previously elected benefits during parental paid time off, and the employee is responsible for the employee portion.
- C. Parental paid time off is designed to run concurrently with FMLA leave or Administrative Medical Leave. It is not designed to extend the FMLA or Administrative leave period.

# TOWN OF MARANA

## REVISED PERSONNEL POLICIES AND PROCEDURES

### Table of Contents

#### CHAPTER 5. WORK RULES AND EMPLOYEE DISCIPLINE

- Policy 5-1 Hours of Work .....1
  - Section 5-1-1 Normal Work Week and Work Hours .....1
  - Section 5-1-2 Alternative or Flexible Work Schedules.....1
  - Section 5-1-3 Absences and Tardiness .....1
  - Section 5-1-4 Meal Breaks and Rest Breaks .....2
  - Section 5-1-5 Leave without Pay .....2
- Policy 5-2 Personnel Files .....3
  - Section 5-2-1 Official Personnel Files .....3
  - Section 5-2-2 Department Personnel Files .....3
  - Section 5-2-3 Access to Personnel Files .....4
  - Section 5-2-4 Updating Personnel Files .....5
  - Section 5-2-5 Records Release .....5
  - Section 5-2-6 Records Retention .....5
- Policy 5-3 Personal Appearance .....6
  - Section 5-3-1 Acceptable Attire.....6
  - Section 5-3-2 Unacceptable Attire .....6
  - Section 5-3-3 Uniforms.....6
- Policy 5-4 Use of Communications Systems and Equipment .....7
  - Section 5-4-1 No Expectation of Privacy .....7
  - Section 5-4-2 Permitted Use .....7
  - Section 5-4-3 Prohibited Use .....7
  - Section 5-4-4 Additional Employee Responsibilities .....8
  - Section 5-4-5 Software Copyright.....8
  - Section 5-4-6 Consequences of Prohibited Use.....9
- Policy 5-5 Discipline System .....9
  - Section 5-5-1 Progressive Discipline .....9
  - Section 5-5-2 Applicability .....9
  - Section 5-5-3 Types of Disciplinary Action .....10
  - Section 5-5-4 Imposed Leave.....12
  - Section 5-5-5 Grounds For Disciplinary Action.....12
  - Section 5-5-6 Notice to Employee.....14
  - Section 5-5-7 Pre-Action Meeting.....14
  - Section 5-5-8 Disciplinary Decision.....15
- Policy 5-6 Formal Personnel Action Review Procedures .....15
  - Section 5-6-1 Purpose .....15
  - Section 5-6-2 Applicability .....15
  - Section 5-6-3 Sole Remedy.....15

Section 5-6-4 Disciplinary Actions Subject to Formal Personnel Action Review.....	16
Section 5-6-5 Employment Actions Not Subject to Formal Personnel Action Review .....	16
Section 5-6-6 Formal Appeal.....	16
Section 5-6-7 Scheduling of Hearing.....	17
Section 5-6-8 Notice of Hearing.....	17
Section 5-6-9 Pre-Hearing Exchange of Information .....	17
Section 5-6-10 Town Employee Witnesses .....	18
Section 5-6-11 Subpoenas .....	18
Section 5-6-12 Hearings before the Hearing Officer .....	18
Section 5-6-13 Findings of the Hearing Officer .....	21
Section 5-6-14 Decision of the Town Manager .....	22
Section 5-6-15 Back Pay, Reasonable Costs and Attorney’s Fees .....	22
Section 5-6-16 Miscellaneous Rules of Appeals.....	23
Policy 5-7 [Reserved].....	24
Policy 5-8 Informal Personnel Action Review Procedures .....	24
Section 5-8-1 Purpose.....	24
Section 5-8-2 Applicability .....	24
Section 5-8-3 Sole Remedy.....	24
Section 5-8-4 Disciplinary Actions Subject to Informal Personnel Action Review .....	24
Section 5-8-5 Informal Personnel Action Review Steps .....	24
Section 5-8-6 General Rules.....	26



## CHAPTER 5

# WORK RULES AND EMPLOYEE DISCIPLINE

### **POLICY 5-1 HOURS OF WORK**

An employee is expected to work the days and hours necessary to perform all assigned responsibilities and tasks in order to provide continuity of services to citizens and to facilitate teamwork and supervision. Attendance is an essential function of every Town position and shall be a consideration in determining promotions, transfers, satisfactory completion of performance periods and continued employment with the Town.

#### **Section 5-1-1 Normal Work Week and Work Hours**

- A. The work week will generally consist of five days within a 40 hour week or as determined otherwise by the Town Manager. A work week generally begins every Saturday at 12:01 a.m. and ends the following Friday at 12:00 midnight. The Town Manager or Department Head may stagger, rearrange and adjust the work hours of employees to enable the Town to keep offices open at all times required.
- B. Most full-time employees will work during the core business hours of the Town, from 8:00 a.m. to 5:00 p.m. Monday through Friday, with one unpaid meal break each day. Normal work schedules may be adjusted as provided within these policies and/or with the approval of the Town Manager or designee.
- C. Modifications to the Town's normal core business hours may be made, subject to any federal or State statutory or constitutional limitations relating to hours of work.

#### **Section 5-1-2 Alternative or Flexible Work Schedules**

- A. The Town Manager may establish alternative or flexible work schedules, but at no time shall those schedules interfere with the normal operations of the Town government.
- B. Individuals with a medical need for an alternative or flexible work schedule should contact the Human Resources Department. Any arrangements shall be governed by these Personnel Policies and Procedures.
- C. Daily and weekly work schedules, including alternative or flexible work schedules, may be changed from time to time at the discretion of the Town to meet varying work conditions. Changes in work schedules will be announced as far in advance as practicable.

#### **Section 5-1-3 Absences and Tardiness**

- A. Advance notice of all absences is expected. Employees shall provide notice of scheduled absences as far in advance of the absence as possible. Employees shall provide notice of unscheduled absences before the scheduled start of their work day. If the employee is



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

physically unable to notify his or her supervisor within the time frame required by this policy, the employee shall provide notice as soon as possible.

- B. Advance notice of anticipated tardiness is expected. Employees shall provide notice of anticipated tardiness as far in advance of the tardiness as possible. Employees shall provide notice of unanticipated tardiness as soon as possible. The employee's supervisor shall determine if work time lost due to tardiness may be made up by the employee or if the employee will be required to use accrued leave balances or take leave without pay. If authorized by the employee's supervisor, the employee may make up work time lost due to tardiness only during the work week in which it occurs.
- C. Notification of an unscheduled absence or tardiness by another employee, friend or relative is not considered proper except in an emergency situation where the employee is physically unable to make the notification.
- D. Generally, unless provided otherwise by a written department procedure, employees are expected to speak with their direct supervisor regarding an unscheduled absence or tardiness within the time-frames provided by this section.
- E. Poor attendance and frequent tardiness are disruptive to the provision of Town services and may lead to disciplinary action, up to and including termination of employment.

#### **Section 5-1-4 Meal Breaks and Rest Breaks**

- A. Town employees who are considered non-exempt under the Fair Labor Standards Act (FLSA) and who work a regular 8-hour work day will normally receive a minimum of one 30-minute unpaid meal break. However, due to operational needs the Department Head, in his or her sole discretion, may require an employee to engage in work during the employee's meal break, in which case the employee will be paid for all compensable time. The duration of meal breaks shall be determined by the Department Head in order to avoid overtime whenever possible. Meal breaks shall not be taken at the beginning or end of a work shift and cannot be combined with paid rest breaks.
- B. Town employees who are considered non-exempt under the Fair Labor Standards Act (FLSA) and who work a regular 8-hour work day may be granted paid rest breaks of short duration, from five to 20 minutes. Work demands may preclude the granting of a rest break; therefore, rest breaks shall be granted at the sole discretion of the appropriate supervisor or Department Head. Rest breaks shall not be taken at the beginning or end of a work shift and cannot be combined with meal breaks.

#### **Section 5-1-5 Leave without Pay**

- A. Employees are expected to responsibly use and manage their vacation, sick, and managed time off (MTO) leave balances and maintain appropriate leave balances for their use.



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

- B. A leave without pay for time off in excess of the employee's vacation, sick and MTO leave balances is inconsistent with the general needs and requirements of the Town, unless the leave is part of an approved FMLA or administrative leave, or is due to a workplace injury qualifying for workers' compensation benefits.
- C. Employees may request leave without pay by submitting a leave request to the Department Head. Requests for leave without pay shall be submitted as far in advance of the requested leave as possible.
- D. Requests for leave without pay must be approved in writing by the Department Head, in consultation with the Human Resources Director or designee. Requests may be denied at the discretion of the Department Head.
- E. The decision to deny leave without pay is not subject to review under the personnel action review procedures set forth in Chapter 5 of these Personnel Policies and Procedures or within any other Town policy or procedure.

#### **POLICY 5-2 PERSONNEL FILES**

The Human Resources Department maintains an official personnel file and a separate medical file on each employee. The personnel file contains documentation regarding all aspects of the employee's tenure with the Town, such as employment history, performance appraisals, and disciplinary action notices. The medical file contains documentation such as employee benefits elections and medical leave information.

##### **Section 5-2-1 Official Personnel Files**

- A. The Human Resources Department is responsible for maintaining official personnel files and must approve materials for inclusion in personnel files.
- B. An employee may submit a written statement for inclusion in his/her official personnel file if he or she believes that any of the included materials requires correction and/or clarification.

##### **Section 5-2-2 Department Personnel Files**

- A. The employee's current department may also maintain a personnel file regarding the employee's tenure with the department. If maintained, the department personnel file may contain the following items:
  - 1. Name
  - 2. Emergency contact information
  - 3. Personnel Action Forms and supporting documentation



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

4. Performance assessment documents
  5. Documents of all formal disciplinary actions and grievance/appeal actions not alleging discrimination
  6. Outside employment documents
  7. Employment application(s)
  8. Employee time and leave records
  9. Education reimbursement application forms
- B. Employees may provide work-related documents, such as letters of commendation, school transcripts, and updated resumes for inclusion in the employee's official or department personnel file.
- C. Each department should also maintain copies of the non-medical portions of risk management files related to workers' compensation reports and supervisor's copies of accident/injury reports.
- D. Department personnel files shall be relocated to the receiving department upon employee transfer. The transferring department may retain only the following information:
1. Name
  2. Address
  3. Personnel Action Forms and supporting documentation
- E. As a general rule, departments shall maintain only the employee records listed in this section in department personnel files. Questions regarding the contents of department personnel files should be referred to Human Resources.

#### **Section 5-2-3 Access to Personnel Files**

- A. Official and department personnel files and employment records shall be kept confidential except as required by law. During the normal course of employment, only the following individuals shall be permitted access to personnel files and employment records:
1. Personnel within the Human Resources Department
  2. The Town Manager or designated administrator
  3. The Town Attorney or designated attorney
  4. The employee or the employee's designated representative who has written authorization from the employee



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

5. State and federal auditors or law enforcement authorities in the course of their duty, when required, and only after presentation of proper identification and notification of audit or investigation
  6. The employee's current or prospective Department Head or designee
- B. Access to official and department personnel files under this section shall only be permitted during normal working hours and for appropriate business purposes.

#### **Section 5-2-4 Updating Personnel Files**

- A. Department Heads are responsible for forwarding documents to the Human Resources Department for inclusion in the official personnel files of those employees assigned to their department.
- B. To ensure that personnel files are up-to-date at all times, employees should notify their supervisor or the Human Resources Department of any changes in name, telephone number, home address, marital status, number of dependents, beneficiary designation, scholastic achievements, emergency contacts and other similar information.
- C. Employees may inspect their official personnel file to ensure accuracy and completeness of the file. A Human Resources Department representative must be present when a file is reviewed.

#### **Section 5-2-5 Records Release**

- A. Personnel files and employment records of public employees are considered public records. Upon receipt of a proper public records request, records that are not made confidential by law may be released to the extent required by Arizona's public records law, A.R.S. § 39-121 *et seq.*
- B. Employment records may be released pursuant to a valid subpoena or court order.
- C. Employee names, dates of service, positions held, and compensation may be released without legal inquiry.
- D. In the absence of a public records request, subpoena, or court order, other information contained in an employee's personnel file will only be released with the express written permission of the employee.

#### **Section 5-2-6 Records Retention**

- A. An employee's personnel and department personnel files shall be retained in accordance with the Town's records retention and disposition schedule as approved by the Arizona State Library, Archives and Public Records department or in accordance with federal



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

law, whichever requires a longer period of retention. During this retention period, nothing will be removed from the personnel file.

- B. The employee's name, position held, dates of service, compensation, and reason for separation will be retained indefinitely.

#### **POLICY 5-3 PERSONAL APPEARANCE**

Dress, grooming and personal cleanliness standards contribute to the morale of all employees and affect the organizational image the Town of Marana presents to the general public. During business hours, employees are expected to present a clean and neat appearance and to dress according to the requirements of their positions. Department Heads are responsible for determining and enforcing the dress code for their respective areas of responsibility.

##### **Section 5-3-1 Acceptable Attire**

Because of the changing nature of fashion, regulations pertaining to acceptable employee attire and grooming are flexible. There are, however, certain expected norms of professional appearance, of personal neatness, cleanliness and good grooming that are applicable to all employees.

##### **Section 5-3-2 Unacceptable Attire**

The Town of Marana reserves the right to advise any employee at any time that his or her grooming, attire or appearance is unacceptable. After having been so advised, the employee shall make any changes required by his or her supervisor. Failure to do so will result in the employee's suspension without pay until corrective action by the employee is taken. Repeated lack of compliance may result in further disciplinary action, up to and including discharge.

##### **Section 5-3-3 Uniforms**

- A. Employees who are required to wear a uniform of any type in the performance of their duties will be provided a uniform by the Town.
- B. The Town may engage a uniform service company for laundering of uniforms. Laundering, cleaning and general upkeep of uniforms is the responsibility of each employee, whether the employee chooses to use the uniform service company or to self-wash uniforms.
- C. Employees should be aware that the furnishing of uniforms and maintenance or replacement allowance, if any, may, under certain circumstances, be considered a taxable benefit.



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

- D. Employees shall return all articles of uniform apparel still in their possession to their supervisor upon termination of their employment with the Town. Supervisors shall count the articles returned to ensure all are received. Employees will be required to replace any missing articles of uniform apparel at the employee's expense. Failure to do so may result in the value of any unreturned Town property being deducted from the employee's final paycheck.

#### **POLICY 5-4 USE OF COMMUNICATIONS SYSTEMS AND EQUIPMENT**

Electronic mail (e-mail), voice-mail, telephone, on-line subscriber services and the Internet are all information management and communications tools that are important parts of the way that the Town of Marana does business. Employees shall use these systems and associated equipment in an appropriate manner at all times.

##### **Section 5-4-1 No Expectation of Privacy**

- A. All electronic storage and communication systems and equipment (including without limitation facsimiles, copiers, computers, software and telephones) and all information transmitted by, received from or stored in these systems are the property of the Town.
- B. Employees should have no expectation of privacy regarding the use of these systems and equipment or the transmission, receipt or storage of information in these systems or equipment.
- C. The Town may monitor an employee's use of these systems and equipment at any time at its discretion. Such monitoring may include, but is not limited to, monitoring, tracking, and review of all employee communications including all information created, stored, and disseminated using the Town's computer, network and telephone systems and all information viewed, downloaded, copied, sent, or processed using the Town's computer, network and telephone systems.

##### **Section 5-4-2 Permitted Use**

The Town's communications systems and equipment shall be used primarily for Town business purposes associated with the performance of each employee's job. Any use of these systems for non-work related purposes beyond limited incidental use, is prohibited.

##### **Section 5-4-3 Prohibited Use**

Improper use of the Town's electronic storage and communications equipment is strictly prohibited. Improper use includes, but is not limited to, the following uses.

- A. Any communications which violate Town policy, including abusive, harassing, intimidating vulgar, obscene and offensive communications, communications that



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

defame or libel others, and communications that infringe upon the privacy rights of others.

- B. Disparaging communications or jokes which are based on race, national origin, marital status, sex, sexual orientation, disability, age, religion, or any other characteristic protected under federal, state or local law.
- C. Communications of any copyrighted materials, trade secrets, proprietary information, or any other highly sensitive confidential information, except with management permission in the course of an employee's job.
- D. Solicitation of others for commercial ventures or religious, social or political causes.
- E. Accessing, viewing, downloading, copying or sending information that is illegal, sexually explicit or obscene.
- F. Using on-line services or the Internet to gamble or wager.
- G. Acts that damage, interfere with, or congest the Town's computer or network systems or interfere with the work of other employees.

#### **Section 5-4-4 Additional Employee Responsibilities**

- A. Employees shall maintain the secrecy of all passwords, identification numbers, or other means of entry onto the Town's computer systems and networks. The Town is the holder of all passwords, identification numbers, and other means of entry and no employee will use a pass code or voice mail access code that is unknown to the Town or that is not expressly authorized by the Town. The Town Manager may establish administrative directives regarding the establishment and use of passwords.
- B. Employees shall cooperate with authorized Town officials in any investigation involving the Town's electronic communications systems.
- C. Employees may load outside files from an acceptable and known source onto the Town's computer system. Employees shall obtain approval from the Technology Services Department for all other outside files prior to loading such files in the Town's computer system.
- D. Employees are prohibited from using Town communications systems and equipment to access social media sites unless the employee uses social media to conduct Town business as a part of the employee's official job responsibilities or the employee is consuming, sharing, or commenting on Town news and postings.

#### **Section 5-4-5 Software Copyright**

The Town purchases and licenses various computer software for business purposes and does not own the copyright to this software or its related documentation. Employees may



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

not reproduce such software or use it on more than one computer unless authorized to do so by the software license agreement. Employees with questions or concerns regarding the use of software or its related documentation should contact the Technology Services Department.

#### **Section 5-4-6 Consequences of Prohibited Use**

Any violation of this policy may result in disciplinary action, up to and including termination of employment.

#### **POLICY 5-5 DISCIPLINE SYSTEM**

Each supervisor shall have the responsibility and authority, with the approval of the Department Head and/or General Manager, to administer appropriate discipline to subordinates using a positive progressive discipline process as a corrective measure.

#### **Section 5-5-1 Progressive Discipline**

- A. Progressive discipline is an employee disciplinary system that provides a graduated range of responses to employee performance or conduct problems. The Town's discipline system includes a series of increasingly severe disciplinary actions, ranging from a corrective action memorandum to termination. However, this does not mean that every step in the graduated range of disciplinary actions will occur in an ordered sequence in every case.
- B. Progressive discipline is a general guideline for supervisors. The totality of the circumstances will dictate the appropriate level of discipline for each incident. Review of the particular facts and circumstances, such as the severity of the offense and an employee's disciplinary history, whether for the same type of offense or not, may indicate that more severe disciplinary measures, up to and including termination, are appropriate.
- C. All employees are subject to termination when, in the sole opinion of management, an employee's job performance or conduct threatens the well-being of the Town, its employees or its citizens, regardless of whether progressive discipline steps have been administered.

#### **Section 5-5-2 Applicability**

- A. The provisions of this policy apply to all regular full- and part-time classified employees who have completed the initial evaluation period as defined in the Marana Town Code and these Personnel Policies and Procedures.



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

- B. The provisions of this policy do not apply to unclassified employees or other at-will employees, as defined in the Marana Town Code and these Personnel Policies and Procedures. Unclassified employees and other at-will employees shall be held to acceptable standards of employee performance and conduct and may be subject to discipline; however, unclassified employees and other at-will employees do not have the same due process rights as those afforded to regular full- and part-time classified employees by this policy, [Policy 5-6](#) and [Policy 5-8](#).

#### Section 5-5-3 Types of Disciplinary Action

- A. Corrective Action Memorandum: A written memorandum to the employee documenting the reason for disciplinary action.
1. The corrective action memorandum shall be given to the employee in a private meeting. The supervisor may have an appropriate witness present during this meeting.
  2. The supervisor shall inform the employee that the supervisor is issuing a corrective action memorandum, that the employee is being given an opportunity to correct the issue(s) which led to the action, and that if the issue(s) is/are not corrected, the employee will be subject to more severe disciplinary action.
  3. The original corrective action memorandum shall be signed by the employee and placed in the employee's official personnel file. If the employee refuses to sign acknowledging receipt of the corrective action memorandum, then the supervisor and one other witness shall note on the memorandum that the employee received a copy and refused to sign it. A copy of the correction action memorandum shall be given to the employee and included in the employee's department personnel file.
- B. Written Reprimand: A written notice to the employee documenting the reason for the disciplinary action.
1. The written reprimand shall be given to the employee and its contents shall be explained to the employee by the issuing supervisor in a private meeting. The supervisor may have an appropriate witness present during this meeting.
  2. The original written reprimand shall be signed by the employee and placed in the employee's official personnel file. If the employee refuses to sign acknowledging receipt of the written reprimand, then the supervisor and one other witness shall note on the reprimand that the employee received a copy and refused to sign it. A copy of the written reprimand shall be given to the employee and included in the employee's department personnel file.



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

- C. Suspension Without Pay: Involuntary time off with loss of pay. The number of days of suspension will depend on the severity of the infraction, but shall not exceed 30 working days.
- D. Demotion: A reassignment to a lower position classification. Demotion is not a substitute for dismissal when dismissal is warranted.
  - 1. Employees who fail to complete an initial evaluation period in a promotional position and who are restored to their former position under the provisions of these Personnel Policies and Procedures are not considered to have been disciplined with a demotion. Therefore, the provisions of this policy do not apply to such action and the action is not subject to review under the personnel action review procedures of this chapter.
- E. Reduction in Pay: A reassignment to lower pay within the same position classification. A reduction in pay is considered to be a final behavior correction opportunity.
  - 1. No change in classification occurs as a result of a reduction in pay.
  - 2. Employees who fail to complete an initial evaluation period in a promotional position and who are restored to their former position under the provisions of these Personnel Policies and Procedures are not considered to have been disciplined with a reduction in pay. Therefore, the provisions of this policy do not apply to such action and the action is not subject to review under the personnel action review procedures of this chapter.
  - 3. Assignments, transfers or reassignments, including those to or from lead positions or special positions, are not considered a reduction in pay for purposes of these Personnel Policies and Procedures.
- F. Termination: The involuntary, permanent removal of an employee from employment with the Town. The terms "termination" and "discharge" are sometimes used interchangeably in these Personnel Policies and Procedures. Termination does not include a layoff as defined in these Personnel Policies and Procedures.
  - 1. Employees serving in an initial evaluation period either as a new hire or in a promotional position are at-will employees as defined in these Personnel Policies and Procedures. As such, during the initial evaluation period, employment may be terminated at any time, with or without cause. The decision to terminate employment shall be made by the employee's Department Head and/or General Manager after consultation with and approval by the Human Resources Director. The employee shall be notified in writing that he or she has failed to successfully complete the initial evaluation period. The decision to terminate employment during the initial evaluation period for either a new hire or an employee in a promotional position is not subject to review under the personnel action review procedures set forth in this chapter.



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

#### Section 5-5-4 Imposed Leave

- A. A Department Head and/or General Manager may, after consultation with and approval by the Human Resources Director, place an employee on imposed leave with pay to remove an employee from the work site in order to allow the Town to investigate behavior that is suspected of being illegal, that is not in the best interests of the Town or that places other employees or citizens in jeopardy. Imposed leave shall ordinarily not exceed 21 calendar days. The Human Resources Director may authorize an extension or extensions when a comprehensive investigation will require more time to reach a conclusion.
- B. If the investigation reveals employee misconduct, disciplinary action commensurate with the nature of the offense shall be taken. Such disciplinary action may include recovery of salary and benefits paid during the imposed leave.
- C. If the investigation reveals no employee misconduct, the employee will be restored to duty and a letter of exoneration will be placed in the employee's official personnel file.

#### Section 5-5-5 Grounds For Disciplinary Action

Grounds for disciplinary action, up to and including termination, include, but are not limited to, the following:

- A. Dishonesty, including, but not limited to, intentionally giving false information, intentionally falsifying records or making false statements when applying for employment, lying to supervisors in connection with the employee's job, or an investigation, giving false information or lying about the reason for an absence from work, or falsifying time entries or leave requests.
- B. Discrimination or failure to abide by Equal Employment Opportunity regulations, including sexual or other harassment of a protected class.
- C. Reporting to work under the influence of alcohol or drugs or using such substances while on Town property.
- D. Theft or removal of Town money, merchandise or property, including property in the custody of the Town, without permission.
- E. Unauthorized or unlawful possession of firearms, other weapons or explosives in Town facilities or while on Town business.
- F. Conviction of a criminal offense.
- G. Acts of workplace violence, including violence or threats of violence in the workplace or against other employees or members of the public.
- H. Insubordination; failure to follow the orders of one's supervisor(s).



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

- I. Failure to maintain the minimum qualifications of the employee's position.
- J. Inability to perform the full essential functions of the employee's regular position, with or without a reasonable accommodation, for greater than 12 months in a 24-month period, as defined in these Personnel Policies and Procedures.
- K. Failure to uphold the Town's Cultural Values.
- L. Being absent from work without permission or failure to report to the supervisor, Department Head or General Manager when one is absent.
- M. Being habitually absent or tardy for any reason.
- N. Making recordings of conversations or other activities in the workplace without authorization or explicit consent to the recording.
- O. Inability or unwillingness to perform the assigned job; failure to perform assigned work in an efficient or effective manner.
- P. Participation in prohibited political activities.
- Q. Acceptance of fees, gifts or other valuable items in the performance of the employee's official duties for the Town.
- R. Any action, on or off the job, bringing discredit to the Town.
- S. Violation of any Town policies, administrative directives, or ordinances, or state or federal law.
- T. Violating safety rules and regulations; being wasteful of material, property or working time; failure to observe proper security or safety procedures.
- U. Inability to get along with fellow employees so that the work being done is hindered and not up to required levels; speaking critically or making derogatory or false accusations so as to discredit other employees or supervisors.
- V. The use of profanity or abusive language towards a fellow employee or member of the general public while performing official duties as a Town employee.
- W. Divulging or misusing confidential information, including removal from Town premises without proper authorization of any employee lists, records, designs, drawings or confidential information of any type.
- X. Improper use of the Town's electronic storage and communications equipment, as set forth in these Personnel Policies and Procedures and any administrative directives established by the Town Manager.
- Y. Such other act, error or omission detrimental to the mission of the Town.



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

#### Section 5-5-6 Notice to Employee

- A. An employee to whom this policy applies, as set forth in [Section 5-5-2](#), shall receive 24-hour written notice whenever the Town intends to take a disciplinary action resulting in termination, reduction in pay, demotion or suspension without pay.
- B. Notice under this section is not required for other types of actions including, but not limited to, corrective action memoranda, written reprimands, imposed leave, layoffs, assignments, transfers or reassignments, including those to or from lead positions or special positions. Notice under this section is also not required for those employees to whom this policy does not apply, as set forth in [Section 5-5-2](#).
- C. The notice required under this section shall provide the following information:
  - 1. Notice of the intended disciplinary action and the proposed date of implementation.
  - 2. The reasons for the action.
  - 3. The date and time, not less than 24 hours after the notice is given to the employee, of a pre-action meeting at which the employee may respond, verbally or in writing, to the written notice of intended disciplinary action.
- D. The original notice of intended disciplinary action shall be signed by the employee and placed in the employee's official personnel file. If the employee refuses to sign acknowledging receipt of the notice, then the supervisor and one other witness shall note on the notice that the employee received a copy and refused to sign it. A copy of the notice shall be given to the employee and included in the employee's department personnel file.
- E. Once an employee has been provided the notice required under this section, the employee may be placed on imposed leave under [Section 5-5-4](#) pending the pre-action meeting.

#### Section 5-5-7 Pre-Action Meeting

- A. The pre-action meeting is a meeting between the employee, the supervisor proposing the discipline and the Department Head. A Human Resources Department representative may also attend the meeting. The purpose of the meeting is to give the employee the opportunity to respond, verbally or in writing, to the written notice of intended disciplinary action.
- B. The employee may have a non-attorney co-worker of the employee's choosing present during the pre-action meeting. The co-worker may not speak on behalf of the employee and may only participate as an observer. The employee shall be permitted reasonable breaks of limited duration during the pre-action meeting to consult with the co-worker or others who are immediately available, telephonically or otherwise.



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

- C. Any relevant information presented by the employee during the pre-action meeting regarding the proposed disciplinary action shall be considered by the supervisor and the Department Head.
- D. Failure by the employee either to attend the pre-action meeting or to timely submit a written response to the notice of intended disciplinary action shall be deemed a waiver of the employee's right to do so and the proposed disciplinary action shall be implemented as written.

#### **Section 5-5-8 Disciplinary Decision**

After the pre-action meeting, the Department Head shall advise the employee in writing of the decision regarding the imposition of discipline. This decision will normally occur within ten business days of the pre-action meeting. The Human Resources Director may authorize an extension with good cause shown by the Department Head. A copy of the written disciplinary decision shall be included in the employee's official personnel file.

### **POLICY 5-6 FORMAL PERSONNEL ACTION REVIEW PROCEDURES**

#### **Section 5-6-1 Purpose**

The Town of Marana provides formal personnel action review procedures as a means to ensure that employees receive fair and equitable treatment and to provide an orderly procedure for resolving disciplinary actions that are subject to formal appeal.

#### **Section 5-6-2 Applicability**

- A. The provisions of this policy apply to all regular full- and part-time classified employees who have completed the initial evaluation period as defined in the Marana Town Code and these Personnel Policies and Procedures.
- B. The provisions of this policy do not apply to unclassified employees or other at-will employees, as defined in the Marana Town Code and these Personnel Policies and Procedures.

#### **Section 5-6-3 Sole Remedy**

This policy is the sole and exclusive internal remedy available to employees for resolving disciplinary actions that are subject to formal personnel action review.



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

#### **Section 5-6-4 Disciplinary Actions Subject to Formal Personnel Action Review**

Only the following disciplinary actions shall be subject to formal personnel action review under this policy:

- A. Termination
- B. Reduction in pay
- C. Demotion
- D. Suspension without pay for more than 40 hours in a rolling 12-month period.

#### **Section 5-6-5 Employment Actions Not Subject to Formal Personnel Action Review**

Only the disciplinary actions specifically listed in [Section 5-6-4](#) are subject to formal personnel action review under this policy. All other employment actions are not subject to formal personnel action review. The following is an illustrative, but not exhaustive, list of employment actions that are not subject to formal personnel action review under this policy.

- A. Corrective action memoranda, written reprimands and suspensions without pay for 40 hours or less in a rolling 12-month period.
- B. Termination during the initial evaluation period for either a new hire or an employee in a promotional position.
- C. Placement of an employee in, or the content or the structure of, the Town's classification plan.
- D. Placement of an employee in, or the content or structure of, the Town's salary plan.
- E. The content or structure of the Town's benefits programs.
- F. An employee's performance assessment.
- G. Extension of an evaluation period.
- H. Assignments, promotions, transfers or reassignments, including those to or from lead positions or special positions.
- I. Municipal finance or budgetary issues.
- J. Layoffs.

#### **Section 5-6-6 Formal Appeal**

- A. The Town shall employ one or more hearing officers to conduct formal personnel action review hearings and to make recommendations to the Town Manager regarding the disciplinary action under review, all pursuant to the provisions of this policy. The



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

Finance Department shall be responsible for procuring and contracting with hearing officers in accordance with the Town's procurement policies and procedures.

- B. To request a formal appeal hearing, the employee must file a written appeal with the Human Resources Director within ten business days after the employee's receipt of a written disciplinary decision that is subject to formal appeal.
- C. The appeal shall be on a form provided by the Human Resources Department.
- D. Upon receipt of the employee's written appeal of a disciplinary decision that is subject to formal appeal, the Human Resources Director shall provide a copy of the appeal to the Town Manager, the Legal Department, the employee's Department Head and the hearing officer.
- E. If the Human Resources Director determines that the employment action is not subject to formal appeal under this policy, the Director shall so inform the employee in writing within five business days of receiving the employee's request for appeal.

#### **Section 5-6-7 Scheduling of Hearing**

- A. Within 20 business days of the Human Resources Director's receipt of the employee's written appeal, the Human Resources Director shall set a date for a hearing.
- B. The hearing should take place within 60 business days of the Human Resources Director's receipt of the employee's written appeal, unless the hearing officer is unable to hear the appeal within that time, or for other good cause.
- C. In no event shall the hearing take place later than one year from the date the Human Resources Director receives the employee's written appeal.
- D. Hearings shall be conducted at a mutually agreed upon time and place that affords a fair and reasonable opportunity for all persons entitled to be present to attend.

#### **Section 5-6-8 Notice of Hearing**

- A. The Human Resources Director shall give written notice to the appealing employee and to the Town of the date, time and location of the hearing.
- B. The notice of hearing shall be provided to the parties at least 15 business days before the scheduled hearing.

#### **Section 5-6-9 Pre-Hearing Exchange of Information**

- A. Within ten business days before the hearing, the Town and the appealing employee shall disclose to each other a list of the witnesses each anticipates calling at the hearing and



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

any documents each anticipates presenting to the hearing officer that have not previously been disclosed.

- B. Within ten business days before the hearing, the Town and the appealing employee shall also disclose to each other the names, work addresses, and work telephone numbers of any individuals who will be acting as representatives for either party.
- C. Either party may request to interview the other party's witnesses prior to the hearing. Witnesses listed by either party may decide whether they wish to be interviewed prior to the hearing at their own discretion. Neither the Town nor the appealing employee shall interfere with any decision of a witness regarding whether to be interviewed prior to the hearing.
- D. The parties shall also comply with the provisions of A.R.S. title 38, chapter 8, article 1 where applicable.

#### **Section 5-6-10 Town Employee Witnesses**

- A. A Town employee who is listed as a witness by either party and who has been notified in writing of the date, time and location of the hearing and of his or her scheduled testimony, shall appear at the hearing as directed. An employee's intentional and willful failure to appear as directed will result in disciplinary action, up to and including termination.
- B. The supervisor and/or Department Head of a Town employee directed to appear at a hearing as a witness shall allow the employee to attend the hearing as directed. A supervisor or Department Head who intentionally and willfully fails to allow an employee to attend a hearing pursuant to this policy shall be subject to disciplinary action, up to and including termination.
- C. Neither party shall be required to issue a formal subpoena to a Town employee who is listed as a witness. Written notice to the employee is sufficient to require the employee to appear.

#### **Section 5-6-11 Subpoenas**

Pursuant to A.R.S. § 12-2212, the hearing officer may issue subpoenas to compel the attendance of witnesses and/or the production of documentary evidence. If any person fails to appear and/or produce a document in response to a duly issued subpoena, the hearing officer may, by affidavit setting forth the facts, apply to the Superior Court for relief.

#### **Section 5-6-12 Hearings before the Hearing Officer**

- A. General Rules



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

1. The appealing employee shall appear personally before the hearing officer at the time and place of the hearing, unless the employee is physically unable to do so.
2. The hearing shall be of sufficient duration to allow adequate time for the matter to be presented.
3. The appealing employee may be represented by any person or attorney (other than the hearing officer or a Town employee) who is willing to represent the employee and who is not a witness or otherwise involved in the matter.
4. The Town may also be represented by an attorney or other representative acting on the Town's behalf.
5. The parties are individually responsible for their own attorney's fees, except that a law enforcement officer, as defined in A.R.S. § 38-1001(4), may request reasonable costs and attorney's fees under the provisions of A.R.S. § 38-1004(C),
6. Each party may call witnesses who were disclosed to the other party pursuant to this policy and who are believed to be relevant. Each party is responsible for securing the attendance of his or her own witnesses. The Town will make Town employees available for the hearing, if the identity of the Town employee/witness is timely disclosed pursuant to this policy.
7. In the absence of good cause, no witnesses or documents shall be considered by the hearing officer that were not disclosed during the pre-hearing exchange of information unless the party offering the evidence can show that the evidence was newly discovered and could not have been timely discovered and disclosed in the exercise of reasonable diligence, that the evidence was promptly disclosed when discovered, and that the evidence is crucial.
8. The hearing officer may, at his or her discretion, exclude certain witnesses or documents even if timely disclosed if the hearing officer finds such evidence to be irrelevant, cumulative, redundant, or overly inflammatory.
9. The hearing shall be limited to the specific cause(s) of the disciplinary action giving rise to the appeal.
10. The Town bears the burden of demonstrating just cause for the disciplinary action by a preponderance of the evidence.
11. The hearing shall be recorded by audio or video recorder, or by other mechanical or electronic means and/or by a court reporter.
12. The cost of a copy or copies of any transcription of the hearing, including preparation of the transcript, shall be paid by the party or parties ordering the copy or copies.



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

13. The appealing employee may submit a written request to the Human Resources Director to withdraw the appeal at any time prior to the decision by the hearing officer.

#### B. General Conduct of the Hearing

1. The hearing officer shall call the hearing to order, introduce all parties, summarize the issues and relief requested, outline the hearing sequence, and swear in all witnesses.
2. The hearing shall be open to the public.
3. The hearing is informal and the technical rules of evidence shall not apply. The hearing officer shall preside over the hearing in such a manner as will best ensure the receipt of reliable evidence and fairness for the parties within the general guidelines of these procedures. The hearing officer shall maintain appropriate decorum throughout the conduct of the hearing. The decisions of the hearing officer on the conduct of the hearing shall be final.
4. The hearing officer may, and at the request of either party shall, exclude prospective witnesses from the hearing during opening statements and the testimony of other witnesses. If witnesses are excluded from the hearing under this paragraph, the hearing officer shall also direct the witnesses not to communicate with each other until the closing arguments of both parties have concluded.

#### C. Default Decision

If, after receiving proper notice, the appealing employee or responsible Department Head or designee fails to attend or participate in the hearing within fifteen minutes of the time set for the hearing, the hearing officer may enter a default against the party failing to appear. The hearing officer may reconsider a default decision upon a party's submission to the hearing officer of proof of exigent circumstances within ten calendar days of the default decision.

#### D. Statements and Questioning of Witnesses

1. The Town or its representative may make an opening statement.
2. The appealing employee or the employee's representative may then make an opening statement. The employee or representative may reserve his or her opening statement until the close of the Town's evidence.
3. The Town shall present its case first, calling witnesses and presenting its evidence to establish the reasons for the employment action that is the subject of the appeal.



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

4. The appealing employee or the employee's representative may ask questions of the Town's witnesses after the Town has completed the questioning of each witness.
5. The Town may then ask further questions of its witness, limited to those areas raised in the appealing employee or representative's questioning of the witness.
6. After the Town's witness testifies and the appealing employee or representative and the hearing officer have had an opportunity to ask questions, the witness will be dismissed.
7. When all witnesses of the Town have been heard, the appealing employee/representative will present his/her witnesses and evidence, if any, in the same format. The Town may ask questions of the employee's witnesses after the appealing employee/representative has completed questioning the witness. The appealing employee/representative may then ask further questions of the witness, limited to those areas raised in the Town's questioning of the witness.
8. Rebuttal witnesses may be permitted to testify as the hearing officer determines appropriate.
9. The hearing officer may ask questions of a witness at any time.
10. After all witnesses have been questioned, the Town may make a closing argument. The appealing employee or representative may then make a closing argument. Because the Town has the burden of supporting its decision, the Town may make a second closing argument in rebuttal to the employee's closing argument.
11. Because the Town has the burden of supporting its decision, the appealing employee and/or representative will not be permitted to make a second closing argument.

#### **Section 5-6-13 Findings of the Hearing Officer**

- A. At the conclusion of the hearing, the hearing officer may take the matter under advisement pending completion of the hearing officer's formal findings.
- B. The findings of the hearing officer shall be in the form of an advisory opinion to the Town Manager. The hearing officer may recommend that the disciplinary action be upheld, overturned or modified.
- C. Within ten business days of the conclusion of the hearing, the hearing officer shall provide a written advisory opinion to the Town Manager. The opinion shall include the recommendation of the hearing officer regarding the disciplinary action and the reasons for the recommendation. The advisory opinion shall also be distributed to the appealing employee, the Human Resources Director and the employee's Department Head.



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

#### **Section 5-6-14 Decision of the Town Manager**

- A. Within ten business days of receipt of the written advisory opinion of the hearing officer, the Town Manager shall render a written decision regarding the disciplinary action being appealed. The Town Manager may accept, modify or reverse the recommendation of the hearing officer. The Town Manager's written decision shall be distributed to the appealing employee, the employee's Department Head and the Human Resources Director.
- B. In reaching a decision, the Town Manager shall review the evidence and testimony presented to the hearing officer, and his or her decision shall be based upon the official record of the proceedings before the hearing officer. The Town Manager may seek legal advice during deliberation from an attorney or attorneys representing the Town; however, the Town Manager may not consult with any attorney who participated as an advocate for the Town during the proceedings before the hearing officer.
- C. If the Town Manager modifies or reverses the recommendation of the hearing officer, the Town Manager shall state in writing his or her reasons for modification or reversal.
- D. All decisions of the Town Manager are final and not appealable within any Town process.

#### **Section 5-6-15 Back Pay, Reasonable Costs and Attorney's Fees**

- A. If the Town Manager modifies or reverses the disciplinary action, the appealing employee shall receive back payment for wages and benefits lost, if any, as a result of the disciplinary action, subject to reduction for any wages actually earned by the employee during the time period in question, including any unemployment compensation that is not subject to repayment by the employee.
- B. If the Town Manager modifies or reverses the disciplinary action, a law enforcement officer to whom the provisions of A.R.S. § 38-1004(C) apply, may also make written request to the hearing officer for reasonable costs and attorney's fees pursuant to the provisions of that statute. If necessary, the hearing officer may set a hearing to make findings as to the amount of the costs and attorney's fees, if any, to award to the appealing employee.
- C. Any hearing regarding costs and attorney's fees shall take place within 20 business days of the Town Manager's written decision and shall be conducted in compliance with the rules set forth in this policy.
- D. Within five business days of any hearing regarding costs and attorney's fees, the hearing officer shall render a written advisory opinion to the Town Manager. The opinion shall include the recommendation of the hearing officer regarding costs and attorney's fees and the reasons for the recommendation. The advisory opinion shall also be distributed



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

to the appealing employee, the Human Resources Director and the employee's Department Head.

- E. Within five business days of receipt of the written advisory opinion of the hearing officer, the Town Manager shall render a written decision regarding costs and attorney's fees. The Town Manager may accept or reject the recommendation of the hearing officer. The Town Manager's written decision shall be distributed to the appealing employee, the employee's Department Head and the Human Resources Director.
- F. The decision of the Town Manager is final and not appealable within any Town process.
- G. Any award of costs and attorney's fees to a law enforcement officer shall be in compliance with the provisions of A.R.S. § 38-1004(C).

#### **Section 5-6-16 Miscellaneous Rules of Appeals**

- A. After an issue has been initially presented for review, neither party may, without good cause, add new allegations at a subsequent step.
- B. Time limits provided in these procedures may be extended to a date certain by mutual written agreement of the Town and the appealing employee.
- C. In the absence of good cause, the employee's failure to timely pursue any step in the appeal process shall result in the termination of the appeal process and the dismissal of any appeal, and the employment action shall stand.
- D. No discipline, retaliation, or threats of retaliation shall be taken against any employee, representative, witness or other participant, whether testifying or not, in these personnel action review procedures because of such participation. Such discipline, retaliation or threats of retaliation constitute grounds for disciplinary action, up to and including termination.
- E. All information obtained during the processing of a request for personnel action review will be maintained confidentially to the extent permitted by law. Information may be released pursuant to a public records request under the Arizona public records law, A.R.S. § 39-121 *et seq.*
- F. An employee seeking personnel action review under these procedures may be granted up to one hour of work time per day to spend preparing for his or her hearing.
- G. For pay and benefits purposes, time spent by employees in discussions with management or in testifying at a personnel hearing is considered time worked.
- H. Disciplinary actions subject to formal personnel action review may be resolved or settled at any step in the process. The request for formal personnel action review shall be processed until the employee is satisfied, the employee does not file a timely appeal, as defined in this policy, or a decision has been made in the final step.



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

- I. Upon reversal of the decision at any step in the formal personnel action review process, the successful employee may recover any wage and/or benefits losses incurred from the effective date of the disciplinary action pursuant to the provisions of this policy.

#### **POLICY 5-7 [RESERVED]**

#### **POLICY 5-8 INFORMAL PERSONNEL ACTION REVIEW PROCEDURES**

##### **Section 5-8-1 Purpose**

The Town of Marana provides informal personnel action review procedures as a means to ensure that all employees receive fair and equitable treatment and have recourse to seek review of disciplinary actions that are not subject to formal personnel action review.

##### **Section 5-8-2 Applicability**

- A. The provisions of this policy apply to all regular full- and part-time classified employees who have completed the initial evaluation period as defined in the Marana Town Code and these Personnel Policies and Procedures.
- B. The provisions of this policy do not apply to unclassified employees or other at-will employees, as defined in the Marana Town Code and these Personnel Policies and Procedures.

##### **Section 5-8-3 Sole Remedy**

This policy is the sole and exclusive internal remedy available to employees for resolving disciplinary actions that are not subject to formal personnel action review.

##### **Section 5-8-4 Disciplinary Actions Subject to Informal Personnel Action Review**

Only the following disciplinary actions shall be subject to informal personnel action review under this policy:

- A. Corrective action memoranda
- B. Written reprimands
- C. Suspensions without pay for 40 hours or less in a rolling 12-month period.

##### **Section 5-8-5 Informal Personnel Action Review Steps**

- A. Step One



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

1. To request review of a disciplinary action that is subject to informal review under this policy, the employee must file a written request for review with the supervisor who issued the discipline within ten business days of the date the employee receives notice of the disciplinary action.
2. The request for review shall be on a form provided by the Human Resources Department.
3. Within five business days of the supervisor's receipt of the employee's request for review, the supervisor shall provide a written response to the employee on the form provided.
4. If the Department Head is the supervisor who issued the disciplinary action, the employee shall file the written request for review with the General Manager, if any, or with an Assistant Town Manager or Deputy Town Manager designated by the Town Manager to receive such requests. The informal review process ends at this step in this situation, and the General Manager's, Assistant Town Manager's or Deputy Town Manager's decision is final and not appealable within any Town process.

#### B. Step Two

1. If the procedure in Step One does not resolve the issue to the employee's satisfaction and does not involve a final decision of the General Manager, Assistant Town Manager or Deputy Town Manager, the employee may request review of the disciplinary action by filing the written request for review, on the form provided, with the next level manager, if any. The employee must file the request for review within five business days of receipt of the supervisor's written response. The request for review must include a written response to the supervisor's comments, indicating the areas of disagreement with the supervisor's comments and a proposed solution.
2. Within five business days of the manager's receipt of the employee's request for review, the manager shall provide a written response to the employee on the form provided.
3. If there is no next level manager between the supervisor issuing the discipline and the Department Head, the employee may proceed directly to Step Three.

#### C. Step Three

1. If the procedure in Step Two does not resolve the issue to the employee's satisfaction, the employee may request review of the disciplinary action by filing the written request for review, on the form provided, with the Department Head. The employee must file the request for review within five business days of receipt of the manager's written response. The request for review must include a written response



## CHAPTER 5

### WORK RULES AND EMPLOYEE DISCIPLINE

- to the manager's comments, indicating the areas of disagreement with the manager's comments and a proposed solution.
2. Within five business days of the Department Head's receipt of the employee's request for review, the Department Head shall provide a written response to the employee on the form provided.
  3. The Department Head's decision is final and not appealable within any Town process.

#### **Section 5-8-6 General Rules**

- A. In the absence of good cause, the employee's failure to timely pursue any step in the review process shall result in the termination of the review process and the employment action shall stand.
- B. If a supervisor or manager does not respond to the employee within the time allotted by this policy, the employee may proceed to the next step in the process.
- C. No discipline, retaliation, or threats of retaliation shall be taken against any employee as a result of the employee's participation in this review process. Such discipline, retaliation or threats of retaliation constitute grounds for disciplinary action, up to and including termination.
- D. Disciplinary actions subject to informal personnel action review may be resolved or settled at any step in the process. The request for informal personnel action review shall be processed until either the employee is satisfied, the employee does not file a timely appeal, as defined in this policy, or a decision has been made in the final step.
- E. Upon reversal of the decision at any step in the informal personnel action review process, the successful employee may recover any wage and/or benefits losses incurred, from the effective date of the disciplinary action pursuant to the provisions of this policy.
- F. The employee may have a non-attorney co-worker of the employee's choosing as a representative at any step in the review process. The co-worker may not speak on behalf of the employee and may only participate as an observer. The employee shall be permitted reasonable breaks of limited duration during any step in the review process to consult with the co-worker or others who are immediately available, telephonically or otherwise.

# TOWN OF MARANA

## REVISED PERSONNEL POLICIES AND PROCEDURES

### Table of Contents

#### CHAPTER 6. PERFORMANCE MANAGEMENT AND EMPLOYEE DEVELOPMENT

- Policy 6-1 Performance Management .....1
  - Section 6-1-1 Initial Evaluation Period .....1
  - Section 6-1-2 Extension of the Initial Evaluation Period .....3
  - Section 6-1-3 Performance Management Process .....4
- Policy 6-2 Education Assistance .....4
  - Section 6-2-1 Purpose .....4
  - Section 6-2-2 Budget Appropriation and Capacity .....4
  - Section 6-2-3 Reimbursement .....4
  - Section 6-2-4 Eligibility for Participation .....5
  - Section 6-2-5 Criteria for Approval .....5
  - Section 6-2-6 Application and Reimbursement Procedures .....5
  - Section 6-2-7 Termination of Employment .....6
- Policy 6-3 Town-Sponsored and Required Training.....6
  - Section 6-3-1 Definition.....6
  - Section 6-3-2 Procedures.....6
- Policy 6-4 Special Licenses and Membership Fees .....7
  - Section 6-4-1 As a Condition of Employment.....7
  - Section 6-4-2 As a Condition of Professional Growth .....7



## CHAPTER 6

# PERFORMANCE MANAGEMENT AND EMPLOYEE DEVELOPMENT

### POLICY 6-1 PERFORMANCE MANAGEMENT

The Town Manager shall establish a performance management program that relies on a system of establishing goals, strategies and performance benchmarks for the organization and identifying how individual and team efforts contribute to the overall achievement of Town strategic objectives. At a minimum, the performance management program will link to Town-wide goals and strategies, set appropriate expectations, share ongoing and timely feedback, and provide opportunities for coaching. The Human Resources Director shall be responsible for ensuring implementation of an employee performance management system in accordance with this policy.

#### Section 6-1-1 Initial Evaluation Period

- A. The initial evaluation period is a period of time constituting the final step in the screening process for appointment to a regular full- or part-time classified position.
- B. Except as otherwise set forth in this section, the following employees shall serve in an initial evaluation period:
  - 1. All full- and part-time employees hired in a classified position.
  - 2. All full- and part-time employees laterally transferred to a classified position in a different classification title or in a different department than the employee was in prior to transfer.
  - 3. All full- and part-time employees demoted or promoted from one classified position to another.
- C. The duration of the initial evaluation period shall be as follows:
  - 1. For employees in all departments except the Police Department, six months from the date of hire, transfer, demotion or promotion.
  - 2. For all Police Department employees except those specified in subparagraph 3 below, 12 months from the date of hire, transfer, demotion or promotion.
  - 3. For newly hired Police Department employees who will begin their employment with the Town by attending a police academy, 16 months from the date of hire.
- D. Notwithstanding the provisions of this section, the following employees will not be required to complete an initial evaluation period:
  - 1. An employee who is demoted, promoted or transferred due to a reclassification of a position and who has been performing the duties of the reclassified position for six months or longer prior to the reclassification.



## CHAPTER 6

### PERFORMANCE MANAGEMENT AND EMPLOYEE DEVELOPMENT

2. An employee who is laterally transferred or demoted if the employee has previously completed an initial evaluation period in the same classification and in the same department.
  3. An employee who is laterally transferred or demoted if the lateral transfer or demotion is due to a Town-initiated reorganization or restructuring.
- E. Employees who are required to complete an initial evaluation period pursuant to this section will not be considered finally appointed to a full- or part-time classified position until successful completion of the initial evaluation period.
1. Successful completion of the initial evaluation period is evidenced by a performance assessment which must be completed and reviewed at least two weeks prior to the date that the employee's initial evaluation period is scheduled to conclude.
  2. Notwithstanding the two-week time frame discussed in this paragraph, managers and supervisors shall review and discuss performance deficiencies with employees as soon as possible to allow the employee the opportunity to correct the deficiencies prior to the completion of the initial evaluation period.
- F. Employees serving in an initial evaluation period are at-will employees as defined in these Personnel Policies and Procedures. As such, during the initial evaluation period, employment may be terminated at any time, with or without cause.
1. The decision to terminate employment shall be made by the employee's Department Head or the chain of authority above the level of Department Head, where applicable, after consultation with and approval by the Human Resources Director.
  2. The employee must be notified in writing that he or she has failed to successfully complete the initial evaluation period prior to the conclusion of the initial evaluation period. If the employee is not notified of unsuccessful completion prior to the conclusion of the initial evaluation period, the employee will be considered to have successfully completed the initial evaluation period.
  3. The decision to terminate employment during the initial evaluation period is not subject to appeal under the personnel action review procedures set forth in [Chapter 5](#) of these Personnel Policies and Procedures
- G. Notwithstanding that an employee serving an initial evaluation period as a lateral transfer or due to a promotion is an at-will employee, the employee who does not successfully complete the initial evaluation period in a transfer or promotion may be restored to his or her former position. Such restoration is not mandatory, but is optional at the discretion of the Town and within the limits of available authorized positions.
1. If an employee is restored to his or her former position, restoration shall include restoration of the employee's former pay and all other benefits to which he or she would have been entitled if the transfer or promotion had not occurred, except that



## CHAPTER 6

### PERFORMANCE MANAGEMENT AND EMPLOYEE DEVELOPMENT

- any compensatory time that was paid out to an employee who transferred or promoted into an exempt position will not be restored if the employee returns to a non-exempt position.
- H. The Human Resources Department is responsible for maintaining records of employee appointments and promotions and the duration of initial evaluation periods. Department heads or the chain of authority above the level of Department Head, where applicable, are responsible for ensuring that training, informal feedback about performance, and formal performance assessments are completed in a timely manner during the initial evaluation period.

#### **Section 6-1-2 Extension of the Initial Evaluation Period**

- A. The Town may extend an employee's initial evaluation period if it is determined that more time is necessary to evaluate the performance of the employee.
- B. All extensions of the initial evaluation period shall be approved by the Department Head or the chain of authority above the level of Department Head, where applicable, and the Human Resources Department.
- C. The employee shall receive a written notice of the extension no later than the last day of the initial evaluation period. If the employee is unavailable to receive such a notice in person, the Town shall send a letter to the last known address of the employee on file with the Human Resources Department. In either case, the date of the letter shall be deemed the effective date of the notice. A copy of the notice of extension will be forwarded to the Human Resources Department for inclusion in the employee's personnel file.
- D. Under no circumstances may the total time for the initial evaluation period exceed 24 months.
- E. The initial evaluation period may be extended under, but not limited to, the following circumstances:
1. The employee has had a leave of absence during the initial evaluation period that exceeds 15 calendar days.
  2. The employee's performance is not satisfactory, but the Department Head believes that with more time and supervision the employee may succeed in the position. In such cases, the Department Head shall develop a documented plan of action for improvement.
  3. Supervisor continuity is interrupted during the initial evaluation period.
  4. The work assigned to the employee's position is cyclical and the initial evaluation period did not provide an opportunity to adequately evaluate all aspects of an employee's performance.



## CHAPTER 6

# PERFORMANCE MANAGEMENT AND EMPLOYEE DEVELOPMENT

5. The employee has not obtained a required certification or license, or has failed to complete required training, but the Department Head believes that with more time the employee will be able to complete the requirements.

### **Section 6-1-3 Performance Management Process**

- A. Classified employees who have completed the initial evaluation period shall receive feedback regarding their performance on a regular basis as directed by the Town Manager and implemented by the Human Resources Director through the performance management system.
- B. All Town employees shall comply with the requirements of the performance management system implemented by the Human Resources Department, as those requirements may change from time to time.

## **POLICY 6-2 EDUCATION ASSISTANCE**

The Town of Marana may provide education assistance in the form of tuition reimbursement to eligible employees.

### **Section 6-2-1 Purpose**

The Town of Marana recognizes that the skills and knowledge of its employees are critical to the success of the organization. The education assistance program encourages personal development through formal education so that employees can maintain and improve job-related skills or enhance their ability to compete for reasonably attainable jobs within the Town.

### **Section 6-2-2 Budget Appropriation and Capacity**

- A. The education assistance program is subject to any limitations imposed by the Town's budget appropriations and capacity and the availability of funds. If the Town Council is unable to appropriate sufficient funds for the program, the Town shall be under no obligation to provide tuition reimbursement to employees.
- B. Available funds shall be disbursed to eligible employees on a first-come, first-served basis for any given fiscal year. Once available funds in a fiscal year are expended, no further reimbursement to employees will occur.

### **Section 6-2-3 Reimbursement**

- A. Employees approved for participation in the education assistance program shall receive reimbursement from the Town for 75 percent of approved tuition costs, as defined by this Policy. The employee shall be responsible for any remaining balances.



## CHAPTER 6

### PERFORMANCE MANAGEMENT AND EMPLOYEE DEVELOPMENT

- B. The maximum assistance available to any one employee is \$5,000 per fiscal year.
- C. The employee shall be responsible for all other expenses, including, but not limited to, books, supplies, parking, and application and registration fees.

#### **Section 6-2-4 Eligibility for Participation**

- A. All benefit-eligible classified or unclassified employees with satisfactory work and attendance records who have successfully completed the initial evaluation period are eligible to participate in the education assistance program.
- B. Employees who receive grants, scholarships, or veteran's benefits that cover tuition costs are eligible to participate, but the Town will only reimburse the employee for those tuition costs that are not covered by these external funds.
- C. Only courses that begin after a new employee's initial evaluation period ends will be eligible for reimbursement.
- D. Employees participating in the program must earn a grade of "C" or higher in graded courses or a grade of "pass" in pass/fail courses in order to remain eligible. Employees shall provide proof of satisfactory grades in the form of an original grade report or transcript to the Human Resources Department within 45 calendar days of the completion of each course.

#### **Section 6-2-5 Criteria for Approval**

- A. All courses shall be directly related either to the employee's present job or to a position within the Town to which the employee could logically progress in the future or a part of a planned program leading to an undergraduate or graduate degree in a field that has applicability to Town business (e.g., accounting, engineering, criminal justice).
- B. All courses shall be taken on the employee's own time, during off duty hours.
- C. All courses shall be taken at accredited colleges, universities or community colleges or at Town-approved vocational and trade schools.

#### **Section 6-2-6 Application and Reimbursement Procedures**

- A. Employees shall complete a tuition reimbursement application, available through the Human Resources Department, before registering for any courses.
- B. All applications must have the prior approval of the employee's Department Head, as indicated by the Department Head's signature on the application.
- C. Applications shall be reviewed and approved or disapproved by the Human Resources Director or designee and the Town Manager or designee. Employees shall not be



## CHAPTER 6

### PERFORMANCE MANAGEMENT AND EMPLOYEE DEVELOPMENT

reimbursed for any courses enrolled in prior to receiving written confirmation of approval from the Town Manager or designee.

- D. If the application is approved, employees must submit a completed request for reimbursement, along with proof of satisfactory grade(s), within 45 calendar days of the completion of each course. Failure to submit the completed request as required within the time limit shall result in disqualification for tuition assistance.
- E. Requests for reimbursement shall be reviewed by the Human Resources Director and then routed to the Town Manager or designee. Upon final approval of the request for reimbursement by the Town Manager or designee, reimbursement shall be distributed to the employee.
- F. The approval of an employee's participation in the education assistance program is not a guarantee that the employee will receive reimbursement. Reimbursement is based upon the employee's continued satisfactory work performance.

#### **Section 6-2-7 Termination of Employment**

- A. Employees participating in the education assistance program who separate from Town employment for any reason within 12 months of receiving reimbursement shall be required to repay the Town the full amount of the reimbursement.
- B. The amount of repayment to the Town shall be withheld from the employee's final paycheck.
- C. If the amount of the employee's final paycheck is not sufficient to cover the cost of repayment to the Town, the employee shall be required to reimburse the Town for the amount due at the time of termination.

#### **POLICY 6-3 TOWN-SPONSORED AND REQUIRED TRAINING**

It is the policy of the Town to encourage and coordinate training opportunities for employees and supervisors to enhance the efficiency and effectiveness of Town services.

##### **Section 6-3-1 Definition**

For purposes of this policy, "training" is defined as any work-related seminar, conference, convention or workshop attended by an employee when registration and expenses are funded in whole or in part by the Town

##### **Section 6-3-2 Procedures**

- A. The employee's Department Head must approve attendance at training programs within the state prior to registration by the employee.



**CHAPTER 6**  
**PERFORMANCE MANAGEMENT AND EMPLOYEE DEVELOPMENT**

- B. The Town Manager or designee must approve attendance at training programs out-of-state prior to registration by the employee.
- C. Town-sponsored and required training shall generally be arranged during regularly scheduled work hours. A Department Head may change the standard work hours of an employee to accommodate or require attendance at training activities. Required training shall be considered hours worked.
- D. Employees who acquire training on their own time and expense are encouraged to notify the Human Resources Department so the information can be noted in the employee's personnel file. A copy of any certificates awarded should be forwarded for inclusion in the employee's personnel file.
- E. The Human Resources Department shall maintain an employee training history and shall periodically audit training attendance and policy compliance.

**POLICY 6-4 SPECIAL LICENSES AND MEMBERSHIP FEES**

Membership in outside organizations shall be in the name of the Town, if possible.

**Section 6-4-1 As a Condition of Employment**

- A. The Town will pay the current annual dues or fees for each employee who is required to be a member of a professional organization or who must maintain current a particular certification or license as a condition of employment.
- B. The employee must present a dues statement or other verification of the amount due to his or her Department Head. Payment will be made upon approval by the Department Head.
- C. Whenever possible, the Town will pay the dues or fees directly to the professional organization or licensing agency on behalf of the employee. If the Town cannot pay the dues or fees directly to the professional organization, the Town will make a lump sum payment to the employee in the amount of the current annual dues or fees.

**Section 6-4-2 As a Condition of Professional Growth**

- A. Employees who belong to professional organizations that are not required as a condition of employment, but that promote individual professional growth, competence and effectiveness in functioning as Town employees are encouraged to attend local, state and national meetings subject to approval by the Department Head and budgetary limitations.
- B. Payment of dues and fees for these non-mandated organizations is the responsibility of the individual employee unless approved for Town payment by the Town Manager.

**TOWN OF MARANA**

**REVISED PERSONNEL POLICIES AND PROCEDURES**

**Table of Contents**

**CHAPTER 7. SAFETY AND HEALTH**

- Policy 7-1 Safety and Loss Management .....1
  - Section 7-1-1 Employee Support for Safe Work Practices .....1
  - Section 7-1-2 Safety Coordinator .....1
  - Section 7-1-3 Evaluation of Safety Performance .....1
  - Section 7-1-4 Use of Town Equipment and Vehicles .....2
- Policy 7-2 Fitness for Duty Examinations .....2
  - Section 7-2-1 Requirements .....2
  - Section 7-2-2 Process .....2
  - Section 7-2-3 Examination Results .....2
  - Section 7-2-4 Police Officers .....3
- Policy 7-3 No Smoking .....3
  - Section 7-3-1 Smoking Areas .....3
  - Section 7-3-2 Smoking Breaks .....3
  - Section 7-3-3 Smoking Cessation .....3
- Policy 7-4 Violence in the Workplace .....3
  - Section 7-4-1 Consequences of Prohibited Conduct .....3
  - Section 7-4-2 Infringement on Safe Workplace .....4
  - Section 7-4-3 Complaint Procedure .....4
- Policy 7-5 Drug- and Alcohol-Free Workplace .....4
  - Section 7-5-1 Consequences of Prohibited Conduct .....4
  - Section 7-5-2 Definitions of Prohibited Conduct .....4
  - Section 7-5-3 Use of Legal Drugs .....5
  - Section 7-5-4 Types of Tests .....5
  - Section 7-5-5 Authorization for Previous Test Records of CDL Holders .....7
  - Section 7-5-6 Drug Testing .....7
  - Section 7-5-7 Alcohol Testing .....9
  - Section 7-5-8 Substance Abuse Evaluation, Return-to-Duty, and Follow-Up Testing .....9
  - Section 7-5-9 Discipline and Appeals .....10
  - Section 7-5-10 Contractors and Visitors .....10
  - Section 7-5-11 Not a Contract .....10



## CHAPTER 7

### SAFETY AND HEALTH

#### **POLICY 7-1 SAFETY AND LOSS MANAGEMENT**

The Town is committed to providing a safe and healthy working environment. In this connection, the Town makes every effort to comply with relevant federal and state occupational health and safety laws and to develop the best feasible operations, procedures, technologies and programs conducive to such an environment. The Town's policy is aimed at minimizing the exposure of its employees and visitors to its facilities to health or safety risks.

#### **Section 7-1-1 Employee Support for Safe Work Practices**

- A. All employees are expected to work diligently to maintain safe and healthy working conditions and to adhere to proper operating practices and procedures designed to prevent injuries and illnesses.
- B. The responsibilities of all employees in this regard include:
  1. Exercising maximum care and good judgment at all times to prevent accidents and injuries;
  2. Reporting to supervisors and seeking first aid for all injuries, regardless of how minor;
  3. Reporting unsafe conditions, equipment or practices to supervisors;
  4. Using safety equipment provided by the Town at all times;
  5. Observing conscientiously all safety rules and regulations at all times;
  6. Notifying their supervisor, before the beginning of the work day, of any medication they are taking that may cause drowsiness or other side effects that could lead to injury to them and their co-workers; and
  7. Participating in appropriate safety training.

#### **Section 7-1-2 Safety Coordinator**

The Town designates a full-time employee as its Safety Coordinator. The Safety Coordinator, along with the Town Manager, Department heads, supervisors and Human Resources staff, will monitor and encourage compliance with safety and loss prevention programs, including education and training.

#### **Section 7-1-3 Evaluation of Safety Performance**

Employees are rated on appropriate safety performance as part of their performance evaluation.



## CHAPTER 7

# SAFETY AND HEALTH

### **Section 7-1-4 Use of Town Equipment and Vehicles**

The improper, careless, negligent, destructive or unsafe use or operation of equipment or vehicles, as well as excessive or avoidable traffic and parking violations, may result in disciplinary action up to and including termination of employment.

### **POLICY 7-2 FITNESS FOR DUTY EXAMINATIONS**

The Town may require an employee to submit to a fitness for duty examination to establish the employee's psychological or physical fitness for duty under the circumstances described in this policy.

#### **Section 7-2-1 Requirements**

The Town may require an employee to submit to a fitness for duty examination only when the examination is job-related and consistent with business necessity, including, but not limited to, when an employee is unable to perform his or her assigned duties, or the employee's physical condition or behavior may create a direct threat to the employee or others.

#### **Section 7-2-2 Process**

- A. Upon noticing or learning of a behavioral or physical problem that impacts an employee's work performance, a Department Head or an immediate supervisor of the employee shall notify the Human Resources Department.
- B. If the Human Resources Department determines that a fitness for duty examination is warranted, the Human Resources Department will determine the appropriate medical professional or other outside service provider to conduct the examination.
- C. The Human Resources Department will schedule all appointments and make any other necessary arrangements for the examination in coordination with the employee and the employee's department.
- D. The employee shall be advised that attendance and cooperation at all appointments is mandatory and that the employee will be compensated for the time spent at any appointments.

#### **Section 7-2-3 Examination Results**

- A. Upon receipt of fitness for duty examination results, the Human Resources Department will review and evaluate the findings and make a decision as to the continued status of the employee and any recommended course of action.



## CHAPTER 7

# SAFETY AND HEALTH

- B. If it is determined that the employee is not fit for duty, the Town may place the employee on leave or take other appropriate action.
- C. Fitness for duty examination results are confidential and shall be placed in the employee's confidential medical file. Only those in the employee's chain of command will be advised of any of the contents of the results, and the information that will be shared will be limited to whether the employee will remain employed and, if so, what if any limitations have been placed on the employee.

### **Section 7-2-4 Police Officers**

Whenever a police officer is required to submit to a fitness for duty examination, the Town shall comply with all applicable requirements of state law.

## **POLICY 7-3 NO SMOKING**

Smoking is prohibited throughout the Town's buildings and immediate workplace and in Town vehicles. This policy applies equally to all employees and visitors.

### **Section 7-3-1 Smoking Areas**

Employees who smoke may do so outside of the Town buildings in the properly designated areas.

### **Section 7-3-2 Smoking Breaks**

Smoking breaks shall normally be limited to the same number of breaks that other Town employees receive. The immediate supervisor may limit smoking breaks, particularly if they interfere with work.

### **Section 7-3-3 Smoking Cessation**

Employees who smoke but who want to quit should contact Human Resources staff for information on possible medical insurance carrier and community resources, literature or smoking cessation programs that may be available.

## **POLICY 7-4 VIOLENCE IN THE WORKPLACE**

### **Section 7-4-1 Consequences of Prohibited Conduct**

Any threats or acts of violence made by an employee against another person's life, health, well-being, family or property are entirely unacceptable and are cause for immediate dismissal, even for a first offense. This policy holds for any threats made on Town property,



## CHAPTER 7

### SAFETY AND HEALTH

at Town events or under other circumstances that may negatively impact the Town's ability to conduct its business.

#### **Section 7-4-2 Infringement on Safe Workplace**

- A. Acts or threats of violence, whether made directly or indirectly, explicitly or implied, by words, gestures or symbols, infringe upon the Town's right or obligation to provide a safe workplace for its employees and are prohibited.
- B. Possession of firearms, explosives or weapons not authorized by the Town are considered threats of violence and is prohibited. The consequences of such prohibited possession is disciplinary action, up to and including immediate discharge.

#### **Section 7-4-3 Complaint Procedure**

Any employee who believes that he or she has been, is or may be the target of threats or acts of violence or has witnessed or otherwise learned of violent conduct by another employee or by a third party, should contact a supervisor, Department Head, Human Resources Director or Police Department immediately.

### **POLICY 7-5 DRUG- AND ALCOHOL-FREE WORKPLACE**

It is the policy of the Town of Marana that its employees be free of substance and alcohol abuse. Consequently, the use of illegal drugs by employees is prohibited. Further, employees shall not use alcohol during work hours or engage in "prohibited conduct" as defined in this policy. The overall goals of this policy are to ensure a drug-free and alcohol-free work environment and to reduce accidents, injuries and fatalities.

#### **Section 7-5-1 Consequences of Prohibited Conduct**

Illegal drug use, alcohol abuse and failure to participate are grounds for discipline up to and including discharge, even for a first offense.

#### **Section 7-5-2 Definitions of Prohibited Conduct**

- A. Illegal Drug Use includes possessing, using, purchasing, distributing, or selling illegal drugs, or reporting to work impaired by illegal drugs. Under this policy, "illegal drugs" include any drug or drug-like substance that:
  - 1. Is not legally obtainable;
  - 2. May be legally obtainable but has not been legally obtained; or
  - 3. Is being used in a manner or for a purpose other than as prescribed.



## CHAPTER 7

### SAFETY AND HEALTH

- B. Alcohol Abuse includes possessing, using, purchasing, distributing, or selling alcoholic beverages at any time during the hours between the beginning and ending of the employee's work day, or reporting to work or working while impaired by alcohol in any way.
- C. Failure to Participate in the Town's drug and alcohol testing policy includes:
  - 1. Failure to submit to drug or alcohol testing;
  - 2. Failure to report immediately for drug or alcohol testing when requested to do so;
  - 3. Refusal to sign all appropriate consent forms; or
  - 4. Any other failure to cooperate to the Town's complete satisfaction.

#### **Section 7-5-3 Use of Legal Drugs**

- A. While this policy does not prohibit the use of legal drugs, employees are required to notify their supervisors if the use of any legal drug may endanger their safety or the safety of others. For example, an employee should tell their supervisor if they are using any legal drug that warns about drowsiness or cautions against operating heavy machinery after use.
- B. "Legal drugs" as used in this policy include prescribed and over-the-counter drugs or medications that have been legally obtained and are being used only for the purpose for which they were prescribed or manufactured.
- C. Anyone who fails to notify his or her supervisor about his use of legal drugs will be subject to disciplinary action up to and including discharge, even for a first offense.

#### **Section 7-5-4 Types of Tests**

The Town has implemented four circumstances for drug and alcohol testing.

##### **A. Pre-Employment Testing**

Candidates selected for employee positions must normally submit to a drug test. Any potential hire who tests positive for drug use will be ineligible for employment with the Town.

##### **B. Post-Accident Testing for Commercial Driver's License Holders**

- 1. Any employee who is required to hold a commercial driver's license (CDL) for the position held by the employee is subject to drug and alcohol testing any time he or she is involved in an accident where:
  - a. A fatality is involved;



## CHAPTER 7

### SAFETY AND HEALTH

- b. The driver receives a citation for a moving violation arising from an accident where anyone involved requires immediate treatment for an injury away from the accident scene; or
- c. Any vehicle involved incurs “disabling damage” (for example, must be towed away).

Following any accident, the CDL holder must notify the Town as soon as possible.

2. Any time a post-accident drug or alcohol test is required, it must be performed as soon as possible following the accident. If no alcohol test can be made within 8 hours, attempts to perform an alcohol test shall cease. If no urine collection can be obtained for purposes of post-accident drug testing within 32 hours, attempts to make such collection shall cease.
3. In the event that federal, state or local officials conduct tests for the use of alcohol or controlled substances following an accident, these tests may meet the requirements of this policy, provided the tests conform to applicable federal, state or local requirements. The Town may request or require testing documentation from such agencies and may ask or require the employee to sign a release allowing the Town to obtain such test results.
4. In the event a driver is so seriously injured that he or she cannot provide a sample of urine, blood, breath or saliva at the time of the accident, the driver must provide necessary authorization for the Town to obtain hospital records or other documents that would indicate the presence of controlled substances or alcohol in the driver’s system at the time of the accident.

#### C. Random Testing for Safety-Sensitive Employees

1. Any employee who holds a safety-sensitive position shall be subject to random drug and alcohol testing. The Town or its agents will submit all safety-sensitive employees’ names to a random selection system. The random selection system provides an equal chance for each employee to be selected each time random selection occurs. Random selections will be reasonably spread throughout the year.
  - a. To meet DOT requirements, the Town will test the average number of employee positions requiring a CDL in each calendar year at a rate established by the Department of Transportation (DOT) for the given year.
2. Random selection, by its very nature, may result in employees being selected in successive selection or more than once a calendar year. Alternatively, some employees may not be selected in a calendar year.
3. If an employee is selected at random for either drug or alcohol testing, a Town supervisor will notify the employee. Once notified, every action the employee takes must lead to a collection. If the employee engages in conduct that does not lead to a



## CHAPTER 7

# SAFETY AND HEALTH

collection as soon as possible after notification, such conduct may be considered a refusal to test.

### D. Reasonable Suspicion Testing

The Town will require an employee to submit to alcohol and/or drug testing when there is reasonable suspicion to believe that the employee is engaged in illegal drug use or alcohol abuse.

1. For purposes of this policy, “reasonable suspicion” will be based on specific observations concerning the appearance, behavior, speech or body odors of an employee, including, without limitation, slurred speech, red eyes, dilated pupils, incoherence, unsteadiness, unexplained carelessness or accidents, erratic behavior, inability to perform the job and other unexplained behavioral changes.
2. These observations will be made by a supervisor, a police officer or other Town official who has been trained to recognize signs of alcohol and/or drug use.

### Section 7-5-5 Authorization for Previous Test Records of CDL Holders

As a condition of employment, any employee who is required to hold a commercial driver’s license (CDL) for the position shall sign a release authorizing the Town to obtain drug and alcohol testing records from the employee’s previous employers for the previous two years. The Town will verify that no prior employer of the employee has records indicating a violation of any DOT rule pertaining to controlled substance or alcohol use within the previous two years.

### Section 7-5-6 Drug Testing

Drug testing will be performed through urinalysis or blood testing. Urinalysis or blood testing will test for the presence of drugs and/or metabolites of the following controlled substances: marijuana, cocaine, opiates, amphetamines, phenacyclidine (PCP) and all other controlled substances.

#### A. Laboratory Testing

The procedure starts with the collection of a urine or blood specimen.

1. Specimens will be submitted to a Substance Abuse Mental Health Services Administration (SAMHSA) -certified laboratory for testing.
2. As part of the collection process, the specimen provided will be split into two vials; a primary vial and a secondary vial.
3. The SAMHSA-certified laboratory will perform initial screening on all primary vials.



## CHAPTER 7

### SAFETY AND HEALTH

4. In the event that the primary specimen tests positive, a confirmation test of that the primary specimen will be performed prior to the laboratory reporting the results to the Town.

#### B. Laboratory Results

All laboratory results will be reported by the laboratory to the Human Resources Director for the Town. At the Human Resources Director's sole discretion, a determination will be made as to whether a result is positive or negative.

1. Negative test results will be reported to the Town Manager.
2. Before reporting a positive test result to the Town Manager, the Human Resources Director will attempt to contact the employee to discuss the test results. If the Human Resources Director is unable to contact the employee directly, the Human Resources Director will notify the Town Manager, who shall contact the employee and direct the employee to contact the Human Resources Director. Upon being so directed, the employee shall contact the Human Resources Director immediately. If the employee fails to contact the Human Resources Director within 5 days after notification, the Human Resources Director may verify the test as positive.
3. After any positive verification, the employee may petition the Human Resources Director to reopen the case for reconsideration.

#### C. Confidentiality

Individual test results for employees will be released to the Town and will be kept strictly confidential unless consent for the release of the test results has been obtained from the employee.

#### D. Request for Results and Re-Test

1. Any individual who has submitted to drug testing in compliance with this policy is entitled to receive the results of the drug testing upon timely written request.
2. An individual who tests positive may make a request of the Human Resources Director to have the secondary vial tested. The request for testing of a secondary specimen is timely if it is made to the Human Resources Director within 72 hours of the individual being notified by the Town of a positive test result.
3. The secondary vial must be tested by a different SAMHSA-certified laboratory than tested the primary specimen.
4. The individual making the request for a test of the second specimen must pre-pay all costs associated with the test.



## CHAPTER 7

### SAFETY AND HEALTH

#### **Section 7-5-7 Alcohol Testing**

- A. The Town will perform alcohol testing using a device that is on the National Highway Traffic Safety Administration's (NHTSA) Conforming Products List (CPL) and meets the DOT's testing requirements. This may be a breath testing device, a saliva-testing device or any other approved device and may be provided through a trained supervisor or the Marana Police Department.
  - 1. The device will be operated by a technician who is certified and trained on the specific device he or she will be operating.
  - 2. The employee shall report to the alcohol testing site as notified by the Town. The employee shall follow all instructions given by the alcohol technician.
- B. Any initial test indicating a Blood Alcohol Concentration (BAC) of .02 or greater will be confirmed on a breath testing device. The confirmation test will be performed no sooner than 15 minutes and no later than 30 minutes following the completion of the initial test.
  - 1. In the event the confirmation test indicates a BAC of .02 to .0399, the employee shall be removed from duty for 24 hours or until his/her next scheduled on-duty time, whichever is longer.
  - 2. Employees with tests indicating a BAC of .04 or greater are considered to have engaged in prohibited conduct, which may result in disciplinary action up to and including termination, even for a first offense.
- C. All alcohol tests shall be performed just prior to, during or just after duty.

#### **Section 7-5-8 Substance Abuse Evaluation, Return-to-Duty, and Follow-Up Testing**

- A. Any employee who engages in prohibited conduct shall be provided with the names, addresses and telephone numbers of qualified Substance Abuse Professionals (SAPs). If the employee desires to become requalified for employment with the Town, the employee must be evaluated by a SAP and submit to any treatment the SAP prescribes.
- B. Following evaluation and treatment, if any, in order to become requalified for employment with the Town, an employee must submit to and successfully complete a return-to-duty drug and/or alcohol test.
- C. The employee is also subject to follow-up testing. Follow-up testing is separate from and in addition to the Town's reasonable suspicion, post-accident and random testing procedures. The schedule for follow-up testing shall be unannounced and in accordance with the instructions of the SAP. Follow-up testing may continue for a period of up to 60 months following the employee's return to duty. No fewer than 6 tests shall be performed in the first 12 months of follow-up testing.



## CHAPTER 7

### SAFETY AND HEALTH

- D. The costs of any SAP evaluation of prescribed treatment shall be borne by the employee. The Town does not guarantee or promise a position to the employee should he/she regain qualified status.

#### **Section 7-5-9 Discipline and Appeals**

- A. The disciplinary procedures included in this policy are subject to the notice provisions of the Discipline System Policy and appeal provisions included in the Problem Resolution Policy.
- B. The disciplinary guidelines contained in this policy supersede the progressive discipline policies of the Discipline System Policy.

#### **Section 7-5-10 Contractors and Visitors**

Contractors and their employees or representatives and visitors will be notified that the Town of Marana prohibits the use, possession, sale or distribution of drugs or alcohol on its property or at its work sites. Any person who is reasonably suspected to have drugs in his or her system or to be impaired by alcohol while on Town of Marana property or work site is in violation of this policy. Contractors and their employees or representatives and visitors violating this policy will be refused entry onto Town property or Town work sites. In addition, appropriate legal entities may be contacted as required and appropriate.

#### **Section 7-5-11 Not a Contract**

This policy **is not** intended nor should it be construed as a contract between the Town and the employee. This policy may be changed at any time at the sole discretion of the Town Council.

# TOWN OF MARANA

## REVISED PERSONNEL POLICIES AND PROCEDURES

### Table of Contents

#### CHAPTER 8. TERMINATION OF EMPLOYMENT

Policy 8-1 Employment End.....	1
Section 8-1-1 Service Retirement .....	1
Section 8-1-2 Disability Retirement.....	1
Section 8-1-3 Resignation.....	1
Section 8-1-4 Termination During Initial Evaluation Period .....	1
Section 8-1-5 Termination.....	1
Section 8-1-6 Layoff and Recall.....	1
Section 8-1-7 Use of Leave.....	6
Policy 8-2 Exit Process.....	6
Section 8-2-1 Exit Clearance .....	6
Section 8-2-2 Exit Interview .....	6
Section 8-2-3 Final Pay Check .....	6
Section 8-2-4 Continuation of Benefits.....	7
Section 8-2-5 Final Work Day .....	7
Policy 8-3 Verification of Previous Town Employment .....	7
Policy 8-4 Re-Employment .....	7
Section 8-4-1 Eligibility .....	7
Section 8-4-2 Initial Evaluation Period .....	8
Section 8-4-3 Compensation and Benefits .....	8
Section 8-4-4 Personnel File.....	9
Section 8-4-5 Military Service.....	9
Section 8-4-6 PSPRS.....	9
Section 8-4-7 Re-Employment and Seniority .....	9



## CHAPTER 8

# TERMINATION OF EMPLOYMENT

### **POLICY 8-1 EMPLOYMENT END**

Employment with the Town of Marana may be ended voluntarily or involuntarily.

#### **Section 8-1-1 Service Retirement**

Service retirement is voluntary termination after the employee has satisfied the employment requirements of the applicable retirement system.

#### **Section 8-1-2 Disability Retirement**

Disability retirement is voluntary termination necessitated by an injury or illness that renders the employee incapable of performing the essential tasks of his or her usual job. The termination is preceded by a letter from the employee to his or her supervisor advising of the disability ruling, date of termination, supporting documentation, and a ruling by the appropriate agency verifying the disability and approving the retirement.

#### **Section 8-1-3 Resignation**

Resignation is voluntary termination for any reason other than formal retirement. It is customary and expected that an employee will provide a written resignation to his or her immediate supervisor at least 14 calendar days prior to the effective date of resignation. During this 14-day period, the employee will be expected to perform the normal functions of his or her job and to be available to assist in the training of a replacement or in the transition of job duties to another employee.

#### **Section 8-1-4 Termination During Initial Evaluation Period**

During the initial evaluation period, an employee may be terminated at any time, with or without cause. The Department Head shall make the decision to terminate with the approval of the General Manager, where applicable, and after consultation with and approval by the Human Resources Director.

#### **Section 8-1-5 Termination**

Termination is the involuntary, permanent removal of an employee from employment with the Town. The terms "termination" and "discharge" are sometimes used interchangeably in these Personnel Policies and Procedures.

#### **Section 8-1-6 Layoff and Recall**

A. Definitions



## CHAPTER 8

# TERMINATION OF EMPLOYMENT

1. A layoff is a reduction in the Town's work force due to a shortage of work or funds or a material change in duties or organization. Layoffs shall not be used in lieu of discipline.
2. For purposes of this section, seniority shall be defined as an employee's total length of continuous service with the Town as a probationary/regular employee. If there is a tie in seniority, seniority shall then be determined by the date of the employees' entry into the job classification occupied. If there is still a tie, seniority shall then be determined by comparing the first letters of the employees' last names or, if the employees' last names start with the same letter, by comparing the first letters of the employees' first names. In the first fiscal year in which this tiebreaker is applied, letters appearing earlier in the alphabet (i.e., A - Z) shall confer more seniority on an employee. In the next fiscal year in which this tiebreaker is applied, letters appearing later in the alphabet (i.e., Z - A) shall confer more seniority on an employee. Thereafter, the Town will continue applying this alternating pattern whenever this tiebreaker is applied.

### B. Applicability

1. The provisions of this section apply to all regular full- and part-time classified employees who have completed the initial evaluation period as defined in the Marana Town Code and these Personnel Policies and Procedures.
2. The provisions of this section do not apply to unclassified employees, probationary employees or other at-will employees, as defined in the Marana Town Code and these Personnel Policies and Procedures. However, unclassified employees, probationary employees and other at-will employees may be subject to job elimination or termination due to a shortage of work or funds or a material change in duties or organization.

### C. Bumping

1. The bumping provisions described in this section shall only apply to Arizona Peace Officer Standards and Training (AZPOST)-certified employees serving in the Police Department and Police Department employees attending a police academy.
2. Any regular AZPOST-certified Police Department employee who is identified for layoff in accordance with this section may be permitted to "bump", that is, assume a position in the next lower classification within the department, provided that:
  - a. The employee has more seniority than at least one employee in the lower classification; and
  - b. The employee has successfully completed an initial evaluation period in the lower classification in the department; and



## CHAPTER 8

### TERMINATION OF EMPLOYMENT

- c. The employee meets the minimum qualifications of the position and can perform the essential functions of the position.
3. If another lower classification exists within the department, the employee who is bumped from a position may be permitted to bump into or assume a position in the next lower classification within the department, provided that the employee meets the qualifications set forth in subparagraph 2 above,

#### D. Layoff Plans

1. Layoffs shall be accomplished on a department basis in accordance with a layoff plan prepared by the Department Head and approved by the General Manager, where applicable, the Town Manager's Office, the Human Resources Department and the Legal Department.
2. The layoff plan shall include the reason for the layoff, a list of each position subject to layoff by classification and a list of the employees holding the positions within the specified classification(s). The layoff plan shall also include a description of any bumping rights applicable to any of the employees included in the layoff plan.
3. Regular full- and part-time classified employees in grant-funded positions shall not be subject to layoff.
4. In any approved layoff plan, all vacant positions in the specified classification(s) within the department shall first be eliminated. Next, all temporary and/or probationary employees occupying the specified classification(s) within the department shall be terminated prior to the layoff of any regular employees in the specified classification(s) within the department. Regular employees within the specified classification(s) within the department shall then be laid off in inverse order of seniority.
5. Employees who have the option of exercising bumping rights shall be given notice of the layoff plan and of their right to bump into the next lower classification within the department. The notice shall inform the employee of the employee's opportunity to request a meeting with the Chief of Police in order to respond to the seniority calculation that is the basis for the bumping rights. Within 30 calendar days of receipt of this notice, the employee shall inform the Town in writing whether he or she will exercise those bumping rights. Upon receipt of the employee's written notification, the layoff plan will be finalized and implemented in accordance with this section.

#### E. Notice of Proposed Layoff

1. After finalization of the layoff plan, the Department Head shall issue a notice of proposed layoff to each employee who will be laid off. The notice shall inform the employee of the employee's opportunity to present a written response to the



## CHAPTER 8

### TERMINATION OF EMPLOYMENT

- proposed layoff and to attend a pre-layoff review meeting with the Department Head at a scheduled date, time and location.
2. The notice of proposed layoff shall be issued to each affected employee at least seven calendar days prior to the pre-layoff review meeting.
- F. Pre-Layoff Review Meeting
1. The pre-layoff review meeting shall take place at least 14 calendar days prior to the proposed effective date of the layoff.
  2. The pre-layoff review meeting shall not be an adversarial hearing. The purpose of the meeting is to give the employee the opportunity to respond, verbally or in writing, to the written notice of proposed layoff.
  3. The employee may have a non-attorney co-worker of the employee's choosing present during the pre-layoff meeting. The co-worker may not speak on behalf of the employee and may only participate as an observer.
  4. Any relevant information presented by the employee during the pre-layoff review meeting regarding the proposed layoff shall be considered by the Department Head.
  5. Failure by the employee either to attend the pre-layoff review meeting or to timely submit a written response to the notice of proposed layoff shall be deemed a waiver of the employee's right to do so and the proposed layoff shall be implemented as specified in the layoff plan.
- G. Notice of Layoff
1. After the pre-layoff review meeting, the Department Head shall advise the employee in writing of the decision regarding the proposed layoff. The decision shall be approved by the General Manager, where applicable, the Town Manager's Office, the Human Resources Department and the Legal Department. This notice of layoff shall be issued as soon as possible and at least 14 calendar days prior to the effective date of any layoff.
  2. The original notice of layoff shall be submitted to the Human Resources Department and a copy shall be provided to the employee.
- H. Hearing Officer Review
1. Employees who are laid off pursuant to the provisions of this section may request to have the layoff decision reviewed by a hearing officer employed by the Town to conduct formal personnel-related hearings.
  2. To request hearing officer review, eligible employees must submit a written request for review, on a form provided by the Human Resources Department, to the Human Resources Director within ten calendar days after the effective date of the layoff.



## CHAPTER 8

### TERMINATION OF EMPLOYMENT

3. Upon receipt of the employee's request for review, the Human Resources Director shall set a date for a review hearing. The hearing should take place within 30 calendar days of the Human Resources Director's receipt of the request for review, unless there is good cause to extend the time for the hearing.
  4. The review by the hearing officer shall be only to ascertain whether the Town has complied with the provisions of these Personnel Policies and Procedures relating to layoffs. The hearing officer has no authority to and shall not review whether the shortage of work or funds or the material change in duties or organization justified the layoff or whether alternative means may have been available to the Town. In all other respects, the hearing shall proceed in the manner set forth in [Policy 5-6](#) of these Personnel Policies and Procedures or as otherwise determined by the hearing officer.
  5. Upon conclusion of the review hearing, the hearing officer shall render a written decision which shall contain findings as to whether the provisions of these Personnel Policies and Procedures relating to layoffs have been complied with. The decision of the hearing officer shall be final and is not appealable within any Town process.
  6. Failure by the employee to file a written request for review within ten calendar days after the effective date of the layoff, unless explained to the satisfaction of the hearing officer, shall operate as a bar to further recourse by the employee.
- I. Pre-Layoff Transfer
1. Layoff decisions shall be coordinated among Town departments to provide possible transfer of employees to positions in other departments for which the employees qualify.
  2. An employee subject to a pre-layoff transfer retains all accrued or allotted sick, MTO, and vacation leave and compensatory time.
  3. The employee shall serve an initial evaluation period in the classification the employee transfers into unless the employee has previously completed an initial evaluation period in that classification and in that department.
- J. Recall
1. An employee who has been laid off pursuant to the provisions of this section shall be recalled within one year of the layoff if the employee's previously-held job is reopened or if a similar job for which the laid off employee is qualified becomes available. The Town is not required to follow the competitive hiring process to recall a laid off employee.
  2. An employee subject to a pre-layoff transfer is subject to recall in the same manner as an employee who is laid off.



## CHAPTER 8

# TERMINATION OF EMPLOYMENT

### Section 8-1-7 Use of Leave

- A. Employees may request to use vacation leave during the last 14 days of their employment; however, the employee's supervisor or Department Head may deny a vacation leave request on the grounds that the granting of leave will impede the operational needs of the department.
- B. Employees may request to use planned or unplanned MTO leave or sick leave during the last 14 days of their employment in accordance with the provisions of [Chapter 4](#) of these Personnel Policies and Procedures.

### POLICY 8-2 EXIT PROCESS

The Human Resources Department is responsible for coordinating the exit process with the Department Head, the chain of authority above the level of Department Head and the Finance Department.

#### Section 8-2-1 Exit Clearance

The employee's Department Head shall ensure that all Town-issued equipment, materials and supplies, including, but not limited to keys, identification cards, Town credit cards and uniforms have been returned as part of the employee's final exit clearance.

#### Section 8-2-2 Exit Interview

Regular full- and part-time employees will normally participate in an exit interview scheduled prior to the last day of employment. Documented comments gathered from the exit interview shall be maintained separately from the employee's personnel file. Temporary employees do not ordinarily participate in an exit interview unless they volunteer to complete the interview.

#### Section 8-2-3 Final Pay Check

- A. The Finance Department shall be notified of the employee's separation date through a Personnel Action Form. Employees shall receive pay for work performed through the last hour worked and for unused benefits as stipulated by Town policy and laws governing final payments.
  - 1. Terminated employees must be issued their final pay check within seven working days of the effective date of the termination or at the end of the next regular pay period, whichever is sooner.
  - 2. Employees who leave the employment of the Town by means other than termination will be paid at the close of the next regular pay period.



## CHAPTER 8

### TERMINATION OF EMPLOYMENT

3. Costs of unreturned Town property will be deducted from the final paycheck.
  4. The Town will distribute the final pay check to the employee via direct deposit.
- B. It is the responsibility of the Department Head to ensure that the employee has completed final clearance and that all items, including the Personnel Action Form, the exit clearance checklist and the final time entry, have been properly completed and forwarded to the Human Resources Department and the Finance Department within the required time frames for issuance of the final pay check.

#### **Section 8-2-4 Continuation of Benefits**

- A. The continuation of benefits is subject to the provisions of each benefit plan and coverage may vary.
- B. Employees eligible to continue health benefits through COBRA and HIPAA will receive notification within the time limits determined by law.

#### **Section 8-2-5 Final Work Day**

Employees are expected to be present and to work on their final work day to facilitate the exit clearance process. Requests for leave will be considered as described in [Section 8-1-3](#) of these Personnel Policies and Procedures.

### **POLICY 8-3 VERIFICATION OF PREVIOUS TOWN EMPLOYMENT**

All requests for verification of employment or wages of former employees, whether written or oral, must be forwarded to the Human Resources Department for processing. The Human Resources Department will provide information regarding a former employee's dates of employment, job classification(s), rate(s) of pay, department(s) worked in and eligibility for rehire in response to standard requests for employment verification. If the requestor submits a written authorization for release of information signed by the former employee, the Town may provide additional information in conformance with the written release.

### **POLICY 8-4 RE-EMPLOYMENT**

#### **Section 8-4-1 Eligibility**

Regular employees who resign from Town service may be considered for re-employment to a classification in which the employee had previously completed an initial evaluation period without going through a competitive recruitment process, if the employee returns within six months of resignation and if the re-employment is approved by the Department Head and the chain of authority above the level of Department Head. The former employee must notify the Human Resources Department of his or her desire to be re-employed. However,



## CHAPTER 8

# TERMINATION OF EMPLOYMENT

re-employment is not guaranteed. Former employees may also apply for posted openings through the regular competitive recruitment process.

### Section 8-4-2 Initial Evaluation Period

- A. Re-employed employees who return to Town employment within six months of their resignation are not required to complete an initial evaluation period if they had previously completed the initial evaluation period in the same classification and in the same department prior to resignation.
- B. Re-employed employees who return to Town employment more than six months after their resignation must serve the initial evaluation period required by the position, regardless of whether the employee previously completed the initial evaluation period in the same classification and the same department prior to resignation.
- C. All re-employed employees must serve an initial evaluation period for any subsequent demotions, transfers or promotions, regardless of whether the employee previously completed the initial evaluation period in the same classification and the same department prior to resignation.

### Section 8-4-3 Compensation and Benefits

- A. An employee re-employed in his or her former position or in another position will be subject to the compensation policies and practices for new hires regardless of the employee's previous compensation at the time of separation.
- B. Future performance pay increases for a re-employed employee will be in accordance with the performance management policies and procedures set forth in [Chapter 6](#) of these Personnel Policies and Procedures.
- C. An employee re-employed in his or her former position or another position within six months after the employee's resignation will accrue vacation leave at the same accrual rate as the employee accrued at the time of the employee's resignation. In addition, the employee's previous Town service time will be credited toward the employee's length of service for purposes of vacation leave accrual. However, the time between resignation and re-employment will not be credited toward the length of service for this purpose. The employee will not be required to serve the waiting period described in [Section 4-1-5](#) of these Personnel Policies and Procedures before using vacation.
- D. An employee re-employed in his or her former position or another position within nine months after the employee's resignation shall have his or her previous accumulated sick or MTO leave balance restored.



## CHAPTER 8

### TERMINATION OF EMPLOYMENT

- E. Depending upon the provider and the plan, separation and re-employment may be considered a break in service for purposes of insurance benefits and the employee may be required to serve the required waiting period before receiving insurance benefits.

#### **Section 8-4-4 Personnel File**

A former employee's personnel file will be re-activated upon re-employment with the Town, if the personnel file has not been disposed of in accordance with the Town's records retention schedule.

#### **Section 8-4-5 Military Service**

An employee who resigns from Town service to enter active duty in the armed forces, voluntarily or involuntarily, will be subject to the provisions of the Uniformed Services Employment and Reemployment Rights Act of 1994 (USERRA) and any applicable Arizona law.

#### **Section 8-4-6 PSPRS**

Police employees who retire under the Public Safety Personnel Retirement System (PSPRS) are subject to the re-employment provisions of PSPRS. If any of the provisions of this policy conflict with the re-employment provisions of PSPRS, the provisions of PSPRS shall govern for those employees covered by PSPRS.

#### **Section 8-4-7 Re-Employment and Seniority**

Separation and re-employment is not considered continuous service when determining seniority for layoff purposes.



## APPENDIX: TABLE OF REVISIONS

### APPENDIX: TABLE OF REVISIONS

This appendix was added for administrative tracking purposes on July 1, 2014

RESOLUTION	ADOPTED	EFFECTIVE <sup>1</sup>	DESCRIPTION
99-38/ Ord. 99.12	5-18-1999	6-17-1999	Adopted "Revised Marana Personnel Policies" in current format; rescinded and replaced all previous personnel policies
2000-103	9-19-2000	10-19-2000	Revised section 4-14-2 (approved holidays)
2003-149	11-18-2003	12-18-2003	Revised policy 4-12 (Leave Donation)
2004-65	7-20-2004	8-19-2004	Revised policy 5-6 by replacing existing "Problem Resolution" policy with new "Personnel Action Review Procedures" policy
2005-119	9-6-2005	10-6-2005	Revised policy 5-5 (Discipline System), policy 5-6 (Personnel Action Review Procedures) and section 8-1-6 (layoff and recall)
2005-155	12-20-2005	1-19-2006	Revised policy 1-2 (Code of Conduct) by adding section 1-2-10 (restriction on children and non-employees in the workplace)
2006-28	2-7-2006	3-9-2006	Revised policy 4-11 (Personal Leave)
N/A	6-19-2007	6-19-2007 <sup>2</sup>	Revised policy 3-6 (Overtime/Compensatory Time)
2008-168	12-16-2008	1-15-2009	Revised chapter 3 (Compensation), chapter 4 (Employment Benefits) and chapter 6 (Employee Development) by substantially rewriting, reorganizing, and renumbering the chapters in their entirety
2009-62	5-5-2009	5-5-2009	Revised section 8-1-6 (layoff and recall)
2009-64	5-5-2009	5-5-2009	Revised chapter 5 (Work Rules) by substantially rewriting the entire chapter
2009-133	8-18-2009	8-18-2009	Revised policy 5-7 (Personnel Action Review Board (PARB))
2010-02	1-5-2010	1-5-2010	Revised policy 4-7 (Family and Medical Leave), policy 4-9 (Administrative Leave), and policy 4-10 (Leave Donation)
2010-29	3-16-2010	4-15-2010	Revised section 8-1-6 (layoff and recall)
2010-114	12-7-2010	12-7-2010	Revised chapter 3 (Compensation) by making various changes throughout the chapter
2010-115	12-7-2010	12-7-2010	Revised chapter 8 (Termination of Employment) by making various changes throughout the chapter
2011-82	8-16-2011	8-16-2011	Revised policy 3-8 (Holiday Pay) and policy 4-4 (Holidays)
2012-06	1-24-2012	1-24-2012	Revised policy 5-5 (Discipline System)

<sup>1</sup> Prior to June 4, 2010, Section 2-5-5 of the Town Code provided that ordinances and resolutions became operative 30 days after their passage unless adopted with an emergency clause. Effective dates were determined on this basis, until after June 4, 2010, after which resolutions are effective immediately or as determined by Council.

<sup>2</sup> This revision was passed by motion rather than resolution or ordinance; therefore T.C. Section 2-5-5 did not apply and the revision was effective immediately.



## APPENDIX

### TABLE OF REVISIONS

RESOLUTION	ADOPTED	EFFECTIVE <sup>1</sup>	DESCRIPTION
2012-29	6-5-2012	6-5-2012	Revised section 1-1-3 (equal employment opportunity program)
2012-30	6-5-2012	6-5-2012	Revised chapter 2 (Employment Process) by substantially rewriting the entire chapter
2012-48	6-19-2012	6-19-2012	Revised policy 3-3 (Classification Plan) and policy 3-9 (Adjustments to Pay)
2012-057	7-17-2012	7-17-2012	Revised section 5-1-4 (meal breaks and rest breaks)
2012-076	9-18-2012	9-18-2012	Revised chapter 4 (Employment Benefits) by adding policy 4-13 (Supplemental Benefits Plan for Public Safety Employees)
2012-081	11-7-2012	11-7-2012	Revised chapter 4 by renaming it from "Employment Benefits" to "Employment Benefits and Leaves" and making various changes throughout the chapter; deleted policy 7-2 (Return to Work)
2012-090	11-20-2012	11-20-2012	Revised section 8-2-3 (final pay check)
2014-063	7-1-2014	7-1-2014	Revised chapter 3 by renaming it from "Compensation" to "Classification and Compensation" and making various changes throughout the chapter; revised chapter 6 by renaming it from "Employee Development" to "Performance Management and Employee Development" and adding policy 6-1 (Performance Management) (formerly policy 3-5); revised the Introduction to Personnel Policies and Procedures
2014-120	12-2-14	12-2-14	Revised policy 2-6 (Reference Check; renamed as Reference Check and Background Investigation) and policy 2-8 (Fingerprinting)
2015-030	4-7-15	4-7-15	Revised section 3-7-1 (promotion, demotion and lateral transfer)
2016-049	5-17-16	5-17-16	Revised section 3-2-4 (position classification review), section 3-7-1 (promotion, demotion and lateral transfer), section 4-3-3 (request for personal leave), policy 4-7 (Family and Medical Leave), specifically section 4-7-7 (use of accrued paid leave), policy 4-8 (Administrative Leave), specifically section 4-8-4 (compensation), and policy 6-1 (Performance Management)
2016-085	9-6-16	9-6-16	Revised chapter 5 by renaming it from "Work Rules" to "Work Rules and Employee Discipline" and making various changes throughout the chapter (policies 5-2 through 5-6, and 5-8)
2016-098	10-4-16	10-4-16	Revised section 3-4-2 (pay checks), policy 6-1 (Performance Management), and section 8-2-3 (final pay check)
2016-105	10-4-16	10-4-16	Revised chapter 3 (Classification and Compensation) by adding policy 3-11 (Severance Benefits)



## APPENDIX

### TABLE OF REVISIONS

RESOLUTION	ADOPTED	EFFECTIVE <sup>1</sup>	DESCRIPTION
Ord. 2017.009 <sup>3</sup>	4-18-17	4-18-17	Revised section 2-8-1 (affected positions) (regarding fingerprinting of prospective employees)
2017-046	5-16-17	6-24-17	Revised chapter 4 (Employment Benefits and Leaves), chapter 5 (Work Rules and Employee Discipline), and chapter 8 (Termination of Employment) to create the Managed Time Off (MTO) Leave policy, phase out the Personal Leave policy, and conform to sick leave provisions of The Fair Wages and Healthy Families Act (A.R.S. § 23-371 et seq.)
2017-062	6-20-17	6-24-17	Made various miscellaneous revisions to chapter 1 (General Employment Rights and Responsibilities), chapter 2 (Employment Process), chapter 3 (Classification and Compensation), chapter 4 (Employment Benefits and Leaves), chapter 5 (Work Rules and Employee Discipline), and chapter 7 (Safety and Health)
2017-076	8-1-17	8-1-17	Revised policy 5-6 (Formal Personnel Action Review Procedures) and section 8-1-6 (layoff and recall) to remove all references to the Personnel Action Review Board (PARB); deleted policy 5-7 (Personnel Action Review Board (PARB))
2018-073	8-7-18	8-7-18	Revised chapter 2 (Employment Process), chapter 3 (Classification and Compensation), chapter 4 (Employment Benefits and Leaves), chapter 5 (Work Rules and Employee Discipline) and chapter 8 (Termination of Employment) to allow for electronic time entry and leave requests. Made various miscellaneous changes to chapter 2, chapter 4, and chapter 6 (Performance Management and Employee Development)
2019-001	1-15-19	1-15-19	Revised section 3-4-2 (pay checks)
2019-007	2-5-19	2-5-19	Revised section 1-1-6 (plan for harassment prevention and elimination)
2019-041	5-7-19	5-7-19	Revised section 3-3-2 (pay adjustments based on market movement and performance)
2019-042	5-7-19	5-7-19	Revised chapter 4 (Employment Benefits and Leaves) by adding policy 4-16 (Parental Paid Time Off)

<sup>3</sup> These revisions adopted by ordinance to ensure compliance with A.R.S. § 41-1750.



**APPENDIX**  
**TABLE OF REVISIONS**

RESOLUTION	ADOPTED	EFFECTIVE <sup>1</sup>	DESCRIPTION
Ord. 2019-014	6-18-19	6-18-19	Revised policy 2-8 (Fingerprinting) to add categories of employees who can be fingerprinted, chapter 4 (Employment Benefits and Leaves) to incorporate changes required by Parental Paid Time Off policy and to allow use of MTO during Military Leave; section 6-2-4 (Eligibility for Participation in tuition reimbursement) defining categories of employees