

# The Dedicated Grant Mechanism for Indigenous Peoples and Local Communities

Workshop Report for Africa Regional Training

July 19-23, 2016

Ouagadougou, Burkina Faso

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Prepared by Conservation International, as the Global Executing Agency of the DGM Global Project.

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## 1. DGM Exchange Overview

The Dedicated Grant Mechanism (DGM) is a financing window under the Climate Investment Fund's (CIF) Forest Investment Program (FIP), dedicated to enabling the full and effective participation of Indigenous Peoples and Local Communities in the global effort to reduce deforestation and forest degradation. To do this, the DGM includes 14 FIP country projects and an overarching global project. The DGM Global Project serves an umbrella function. It focuses on *promoting knowledge exchange, networking, facilitating global communications, and measuring results* of the entire DGM Program. An overarching goal of the Global Project is to *increase IPLC skills in technical and policy topics* related to REDD+, enhancing their participation in FIP and REDD+ at the *regional and global* scales; one of the main means of reaching this goal is by facilitating knowledge exchange and trainings around these topics. From July 19-23<sup>rd</sup>, Conservation International conducted a regional workshop on technical and policy topics related to climate science and REDD+. The geographic scope of this activity included IPLCs from both DGM countries and non-DGM countries. Thirty-four participants representing 12 African countries and three African IPLC regional networks attended the workshop.

### Profile of Participants

Prior to the workshop, participants were asked to fill out a questionnaire related to their experience and capacity engaging with IPLC issues, climate change, and climate change negotiation. All participants reported previous engagement with IPLC issues; while the length of their engagement varied, 21 participants reported at least 10 years of engagement, with 6 reporting more than 20 years. Project design and implementation was the most common avenue of engagement with 15 responses, followed by membership in committees or working groups, training, advocacy, and coordinating networks on IPLC issues.

All participants reported engagement in climate change, though for most it began more recently than their engagement with IPLC issues; 16 reported more than 10 years of engagement, and only 4 reported more than 20 years. Project design and implementation was the most common avenue of engagement with 14 responses, followed by advocacy, training, membership in



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committees and working groups, leadership in organizations addressing climate change, and coordinating networks on climate change issues.

Climate change negotiation was a new topic for many of the participants, with 23 of the 34 reporting prior work in negotiations across various levels. Of those 23, 17 had been engaging in negotiations for less than 10 years. Eight participants reported previously attending a UNFCCC COP, though only 1 reported being a member of their national delegation. Among the other fora with which participants engaged were the IIPFCC, the IP caucus, women's caucus, FIP, GCF, and national level platforms.

Participants were also asked how they receive information about IPLC issues, climate change, and negotiations. The most common sector providing information were NGOs, closely followed by other CSOs, government agencies, IGOs, and then academia. IPLCs, networks, and working groups were also mentioned as importance sources of information. In-person trainings were the most preferred channel for disseminating information, followed closely by social media, printed publications, and list serves. Webinars and radio spots were the least favored channels.

### **Overview of Workshop**

The workshop began with presentations from the DGM countries and IPLC networks in attendance. After, the GEA facilitated a mapping exercise with the workshop participants, which was used as part of a social network analysis to allow for better understanding of how information and resources move through networks of individuals and institutions in the region. Participants also learned more about climate change, REDD+, and the UNFCCC, and visited with provincial government officials and local communities in Sapouy (~ 100 km south of Ouagadougou) to learn more about sustainable forest management techniques being utilized there. Stanley Kimaren Riamit, Alternate GCF CSO Active Observer and Executive Director of Indigenous Livelihoods Enhancement Partners (ILEPA) facilitated a reflection session that highlighted the role of indigenous peoples in COP21, and provided an IP perspective on the outcomes and potential next steps for further engagement. On the final day of the training, participants received training on negotiation skills from Samuel Dotse, a civil society advisor for the Ghana delegation in UNFCCC negotiations.



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## 2. Workshop Components

All workshop materials are available for public use on the [DGM website](#).

- **Overview of DGM**

- This presentation focused on providing information on what the DGM is and how it fits in with FIP/CIF. Funding and governance and roles and responsibilities at the national and global level were further explained. Johnson Cerda (GEA) also provided insight into specific activities that the GEA is responsible for and DGM countries present at the workshop shared updates on what type of activities are being prioritized at the national level.

- **Participatory Social Network Analysis**

- Patricia Dunne, PhD (GEA) facilitated a network mapping activity with objectives to better understand the existing networks and alliances of IPLCs in Africa, to identify the opportunities and barriers affecting IPLC participation in decision making, and to develop an action plan for addressing those opportunities and challenges. Challenges and opportunities identified amongst groups during the stakeholder mapping exercise facilitated by Patricia Dunne were discussed in detail.



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- **Climate Science**

- Patricia Dunne (GEA) presented an overview of observed changes in climate, drivers of climate change, and impacts of climate change specifically for Africa. A facilitated discussion was had after.

- **REDD+ Fundamentals**

- Luis Barquin (GEA) presented an overview of the history of REDD+, key elements, phases, scale, and challenges + opportunities with REDD+ implementation.

- **UNFCCC**

- Luis Barquin (GEA) presented an overview of the history of the UNCED, Rio Convention, and a deep dive into the UNFCCC. He also reviewed the core principles of the UNFCCC, key objectives, bodies of the UNFCCC, past COP's and key decisions, and REDD+ and the Paris agreement.

- **The Paris Agreement**

- Johnson Cerda (GEA) presented on different aspects of the Paris Agreement, provided an overview of levels of commitment, status of ratification, mitigation vs. adaptation, loss and damage, and finance. He then facilitated a discussion based on the following question of how IPLCs can contribute and participate in the preparation of the NDCs reports.

- **IPLC Participation in REDD+ around the world**



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- Johnson Cerda (GEA) provided an overview of IPLCs participation in climate change negotiations, key achievements, changing positions on REDD+, and IPLC experience in policy making and implementing REDD+ initiative. He reviewed the definitions of IP's by the ILO 169, and how the definition of local communities varies depending on location. After, he presented timelines and history of COPs that presented major successes for IPLCs. He facilitated a discussion based on the following question: "Which DGM country project activities are linked to avoiding deforestation and sustainable forest management?"
- **Negotiator from Burkina Faso**
  - Manado Honadla, a negotiator for Burkina Faso, explained the structure of the climate policy realm in Burkina Faso. He reviewed the significance of the Paris agreement at the national and regional level and the opportunities that it presents as a universal agreement that involves all countries—a chance to reduce vulnerability and increase resilience, benefits granted to least developed countries + small island states, regional cooperation, mobilization and access to Green Climate Fund.
- **Reflections on IP's engagement in COP21**
  - Stanley Kimaren, Executive Director of the Indigenous Peoples' Climate Change Portal, presented an overview on reflections on IP's engagement in COP21, outcomes, implications of the Paris Agreement and potential next steps. The key take away was that for IPs to be reflected in the text at the national level, there needs to be strong engagement with government delegations from the throughout the process.
- **Field Trip**
  - The group visited community forestry projects in Sapouy, a village outside of Ouagadougou, to observe sustainable forest management techniques. The objective was to observe how community forest management projects in this region have aimed to bring economic benefits to the community members. Questions were asked about the management of the forests, the selling price for



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food, and gender dynamics in community participation in the project. Sapouy has submitted multiple proposals to DGM Burkina Faso.



- **Negotiation Skills**

- Samuel Dotse, a negotiator from civil society facilitated an interactive session focusing on strengthening negotiation skills. The goal of this session was to enhance the negotiating capacity of the IPLCs members, including the technical and political level at home, and the general capacity of other non –DGM country stakeholders to understand and support the climate change negotiations. The training also strived to strengthen the effective participation of IPLCs in the relevant fora. He discussed the origin of the climate change negotiations, and reviewed proper negotiation tactics, etiquette, and strategies and how best to understand and identify the roles of different negotiating groups. Towards the end of the session, Samuel divided participants into groups of negotiators representing a fictitious country. Each group was tasked to provide a statement on their plans to reduce air pollution levels to international standards, while ensuring that the burden of reductions was distributed among all countries in the negotiation.



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### 3. Identification of opportunities and challenges for IPLC engagement in Africa

A participatory stakeholder network mapping exercise was conducted on the first day of the workshop to better understand how information about climate change and IPLC issues moves through the networks of IPLC organizations in Africa. As part of the exercise, participants identified challenges and opportunities for broader engagement in decision-making processes at the national and global levels. These challenges and opportunities were thematically coded and their frequency noted (Table 1).

Table 1 Themes of challenges and opportunities for IPLC engagement identified and their frequencies

Challenges		Opportunities	
<i>theme</i>	<i>f</i>	<i>theme</i>	<i>f</i>
Access to information	9	Existing Networks	7
Funding	4	Participation	6
Capacity	4	Availability of Funding	3
Language Barrier	3	Information Exchange	2
Coordination	3	Existing Knowledge	2
Government	2	Policy	1
Knowledge	2	Coordination	1
Focus	1		
Bandwidth	1		
Land tenure	1		
Policy	1		

The most frequently mentioned challenges were access to information, particularly in relation to local communities. Other challenges were related to funding, capacity, language barriers, coordination, government, knowledge, focus, bandwidth, land tenure, and policy. These were:

#### *Access to information*

- Local communities
  - Lack of dissemination at local level
  - Lack of infrastructure & technology to facilitate information flow
  - Distance of communities from cities – barrier for mobilization
- Lack of access to technical support
- Inadequate information

#### *Capacity*

- Lack of capacity in understanding science of climate change
- Low capacity makes self-determination difficult
- Lack of capacity & leadership at national level

#### *Coordination*

- Coordination with various partners, especially government, very challenging



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- Lack of organization at local level
- Research institutions not engaging with government

#### *Knowledge*

- Technical nature of language difficult
- Expectation that knowledge must be “validated” with sources, citations, etc. Traditional knowledge often does not have clear sources

#### *Focus*

- Advocacy activities of CSOs can deviate from main purpose

#### *Policy*

- Policies may exist, but are not enforced

**The two most mentioned opportunities for increasing effective IPLC engagement in decision-making processes were existing networks, and participation. Other opportunities identified were related to availability of funding, information exchange, existing knowledge, policy, and coordination. These were:**

#### *Existing Networks*

- Existing alliances among different actors – government, communities, private sector
- Global platforms to facilitate information exchange & coordination
- Networks:
  - IPACC
  - RAPALEF
  - REDD+ Platform (Burkina Faso)
  - Climate Action Network (Ghana & Nigeria)

#### *Participation*

- Local communities are eager to engage
- Partners seek to comply with government policy while involving all stakeholders
- Elected officials carry concerns to global arena

#### *Funding*

- Climate change concerns can be used to mobilize resources
- Funding sources to explore:
  - Multilaterals
  - Embassies
  - Foundations
  - NGOs

#### *Information Exchange*

- Many actors with varied skills and resources
- Media – strategize to ensure best info shared and disseminated to broad audiences

#### *Knowledge*

- Lots of information from IP’s; many organizations recognize significance of this information
- Many universities with knowledge resources

#### *Coordination*

- Many organizations exist with similar objectives

#### *Policy*

- National governments are interested in climate change issues



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## 4. Knowledge assessment

Quizzes were given to participants at the start and end of the workshop to assess the change in their knowledge regarding climate change, the role of forests, the UNFCCC, REDD+, and indigenous engagement in UNFCCC resulting from the workshop. The quizzes included 24 multiple choice and true/false questions relating to each of the presentations; quizzes were administered in English, French, and Portuguese. Prior to the workshop, participants scored an average of 48% on the quizzes. Following the workshop, participants scored an average of 56%, marking an increase in average scores of 8 points.



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## 5. Annex



### A. DGM Africa Regional Workshop Agenda

The Dedicated Grant Mechanism (DGM) is a financing window under the Climate Investment Fund's (CIF) Forest Investment Program (FIP), dedicated to enabling the full and effective participation of Indigenous Peoples and Local Communities in the global effort to reduce deforestation and forest degradation. To do this, the DGM includes 14 FIP country projects and an overarching global project.

The DGM Global Project serves an umbrella function. It focuses on *promoting knowledge exchange, networking, facilitating global communications, and measuring results* of the entire DGM Program. The Global Project also provides Secretariat services to the DGM Global Steering Committee. *Conservation International* was selected through a competitive bidding process to implement the DGM Global Project as the Global Executing Agency (GEA).

An overarching goal of the Global Project is to *increase IPLC skills in technical and policy topics* related to REDD+, enhancing their participation in FIP and REDD+ at the *regional and global* scales. The GEA plans to conduct regional workshops and global workshops on technical and policy topics related to REDD+. The geographic scope of the Global Project's knowledge sharing activities includes IPLCs from DGM countries in addition to IPLCs from non-DGM countries.

#### 5.1.1.1. Workshop objectives:

- Strengthen the capacity of Indigenous Peoples and Local Communities (IPLCs) to participate in the Forest Investment Program and other REDD+ programs at local, national and global levels.
- Strengthen the networks and alliances of IPLC organizations within and across Africa region with a view to enhancing their representation and voice in regional and global policy fora.



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*Dates:* July 19-23 2016

*Country:* Burkina Faso

*Number of participants:* 34

*County participants:*

1. Burkina Faso
2. Ghana
3. Ivory Coast
4. DRC
5. Mozambique
6. Republic of Congo
7. Kenya
8. Uganda
9. Nigeria
10. International Alliance
11. MPIDO
12. IPACC
13. Burundi
14. Cameroon



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July 19, 2016 DGM Overview and Exchange			
Time	Session	Objective	Facilitator
8:00-8:30	Participant Registration		
Morning			
8:30–9:30	Opening	<ul style="list-style-type: none"><li>Welcome Remarks</li><li>Introduction of participants</li></ul>	Johnson GSC Co-chair Burkina Faso NEA
9:30-9:45	Introduction to the workshop	<ul style="list-style-type: none"><li>Agenda</li><li>Objectives</li><li>Expected Results</li></ul>	Luis
9:45-10:15	Opening Survey	<ul style="list-style-type: none"><li>Assess knowledge before the training</li></ul>	Patti
Coffee Break 10:15-10:30			
10:30-11:00	DGM Global Learning & Knowledge Exchange Project	<ul style="list-style-type: none"><li>Overview of DGM GEA</li><li>DGM wide Theory of Change</li></ul>	Johnson Luis
11:00-12:00	DGM Country Presentations	<ul style="list-style-type: none"><li>Burkina Faso NSC overview</li><li>DRC NSC overview</li></ul>	Idrissa Kapupu
Lunch (12:00-13:00)			
Afternoon			
13:00-14:00	DGM Africa NSC Exchange Knowledge Café	<ul style="list-style-type: none"><li>Process</li><li>Lessons Learned</li><li>Steering Committees setup in Africa</li></ul>	Idrissa
14:00-14:45	Africa IP Regional Alliances	<ul style="list-style-type: none"><li>Work, countries, themes</li></ul>	IPACC MPIDO International Alliance
Coffee Break (14:30-15:00)			
15:00-17:00	DGM Africa Net Map	<ul style="list-style-type: none"><li>Social network analysis</li></ul>	Patti Luis
17:00-17:15	Day 1 closing remarks	<ul style="list-style-type: none"><li>Review of Themes covered</li><li>Q+A</li></ul>	Johnson
Dinner			



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July 20, 2016			
Vision and Contribution of Indigenous Peoples and Local Communities to Climate Change Action			
Time	Session	Objective	Facilitator
Morning			
8:30–9:00	Opening Day 2	<ul style="list-style-type: none"> <li>Comments and Questions Day 1</li> <li>Learning Objectives Day 2</li> </ul>	Luis
9:00-9:45	Understanding the Science of climate change	<ul style="list-style-type: none"> <li>Science of Climate Change</li> <li>The IPCC</li> <li>Discussion: linkage to DGM</li> </ul>	Patti
9:45-10:45	Indigenous Peoples Cosmovision on Climate Change	<ul style="list-style-type: none"> <li>Invited speaker</li> <li>Discussion: linkage to DGM</li> </ul>	Kimaren
Coffee Break 10:45-11:00			
11:00-11:30	Understanding forests contribution to climate change mitigation	<ul style="list-style-type: none"> <li>Forest carbon cycle</li> <li>Discussion: linkage to DGM</li> </ul>	Patti
11:30-12:30	REDD+ Essentials	<ul style="list-style-type: none"> <li>REDD+ under the UNFCCC</li> <li>Discussion: linkage to DGM</li> </ul>	Luis
Lunch (12:30-13:30)			
Afternoon			
13:30-14:00	REDD+ Q+A	<ul style="list-style-type: none"> <li>Q+A Game</li> <li>Discussion</li> </ul>	Luis
14:00-15:00	IPLC participation in REDD+ around the world	<ul style="list-style-type: none"> <li>Examples</li> <li>Discussion: linkage to DGM</li> </ul>	Johnson
Coffee Break (15:00-15:15)			
15:15-17:00	DGM Africa Net Map	<ul style="list-style-type: none"> <li>Interpretation &amp; Discussion</li> </ul>	Patti Luis
17:00-17:15	Day 2 closing remarks	<ul style="list-style-type: none"> <li>Review of Themes covered</li> <li>Q+A</li> </ul>	Luis
Dinner			



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July 21, 2016 Field Trip	
<i>Breakfast</i>	
Location: Sapouy FIP Program area Organizer: Burkina Faso NEA	



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<b>July 22, 2016</b> <b>Indigenous Peoples in Climate International Policy</b>			
<b>Time</b>	<b>Session</b>	<b>Objective</b>	<b>Facilitator</b>
Morning			
8:30–9:00	Opening Day 4	<ul style="list-style-type: none"> <li>Comments and Questions Day 3</li> <li>Learning Objectives Day 4</li> </ul>	Patti
9:00-10:00	Understanding the UNFCCC	<ul style="list-style-type: none"> <li>UNFCCC Timeline</li> <li>Parties / Principles</li> <li>Discussion: linkage to DGM</li> </ul>	Luis
Coffee Break 10:00-10:30			
10:30-11:30	Climate Change Policies and Action-Working to Address the Problem of Climate Change	<ul style="list-style-type: none"> <li>The Paris Agreement</li> <li>Finance Commitments</li> <li>Discussion: linkage to DGM</li> </ul>	Johnson
11:30–12:00	Overview of Burkina Faso role in UNFCCC negotiations	Invited speaker <ul style="list-style-type: none"> <li>Burkina Faso UNFCCC Negotiator</li> </ul>	TBC
Lunch (12:00-13:00)			
Afternoon			
13:00-14:00	IPLC Proposals and Outcomes from the Paris Agreement	<ul style="list-style-type: none"> <li>Presentation</li> <li>Fishbowl Discussion</li> </ul>	Kimaren Johnson
14:00-14:15	DGM Africa region training needs assessment	<ul style="list-style-type: none"> <li>Survey</li> <li>Discussion</li> </ul>	Patti Luis
Coffee Break (14:15-14:30)			
14:30-15:30	Negotiations skills training: Basics	<ul style="list-style-type: none"> <li>Negotiation Process</li> </ul>	Samuel Dotse
15:30-16:30	Negotiations skills training: Tactics	<ul style="list-style-type: none"> <li>Tactics for successful negotiation</li> </ul>	Samuel Dotse
16:30-17:00	Negotiations skills training: Context	<ul style="list-style-type: none"> <li>Understanding when and where to negotiate</li> </ul>	Samuel Dotse
17:00-17:15	Day 4 closing remarks	<ul style="list-style-type: none"> <li>Review of Themes covered</li> <li>Q+A</li> </ul>	Patti
Dinner			



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July 23, 2016 Negotiation Skills			
Time	Session	Objective	Facilitator
Morning			
8:30– 9:00	Opening Day 5	<ul style="list-style-type: none"> <li>Comments and Questions Day 4</li> <li>Learning Objectives Day 5</li> </ul>	Johnson
9:00-10:00	IPLCs contribution to National Delegations at UNFCCC	<ul style="list-style-type: none"> <li>Panel Discussion: experience as country negotiator</li> </ul>	Samuel Dotse Kapupu Digua Idrissa Zeba
Coffee Break 10:00-10:30			
10:30-12:00	Action Planning at Local, National and International levels	<ul style="list-style-type: none"> <li>Develop action plan to improve negotiation skills and strengthen IPLC networks</li> </ul>	Samuel Dotse
Lunch (12:00-13:00)			
Afternoon			
13:00-14:00	Action Planning at Local, National and International levels Join actions at UNFCCC	<ul style="list-style-type: none"> <li>Exchange</li> <li>Discussion</li> </ul>	Samuel Dotse Patti Lucy Mulenkey
14:00-14:30	Closing Survey	<ul style="list-style-type: none"> <li>Assess knowledge after the training</li> </ul>	Patti
14:30-15:00	Closing remarks	<ul style="list-style-type: none"> <li>Wrap up</li> <li>Certificates</li> <li>Next steps</li> </ul>	Johnson
Coffee Break (15:00-15:30)			
Departure			



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## B. Meeting Participants

Name	Country Affiliation
Ms. Pauline Yao Affoue	Côte d'Ivoire
Mr. Koffi Jules Loukou	Côte d'Ivoire
Mr. Hayford Duodu	Ghana
Mr. Collins Osei	Ghana
Mr. Samuel Confidence Dotse	Ghana
Mr. Moussele Diseke-Guy	Republic of Congo
Mr. Lambert Laki-Laki	Republic of Congo
Ms. Ali Aii Shatu	Cameroon
Mr. Vital Bamanze	Burundi
Mr. Nathan Makuregye	Uganda
Mr. Keddy Bosulu Mola	Democratic Republic of Congo
Mr. Daniel Sapit	Kenya
Ms. Lucy Mullenkey	Kenya
Mr. Stanley Kimaren	Kenya
Ms. Edna Kaptoyo	Kenya
Mr. Lourenco Duvane	Mozambique
Mr. Renato Uane	Mozambique
Mr. Saro Legborsi	Nigeria
Mr. Mohamed Ewangaye	Niger
Mr. Idrissa Zeba	Burkina Faso
Mr. Alain Traore	Burkina Faso



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Name	Country Affiliation
M. Amadou Wangrawa	Burkina Faso
Mr. Moubassiré Sigué	Burkina Faso
Mr. Poda Celestin	Burkina Faso
Mr. Sylvain Zabre	Burkina Faso
Mr. Ayoub Benao	Burkina Faso
Mr. Emmanuel Ilboudo	Burkina Faso
Mr. Ikoro Some	Burkina Faso
Mr. Noel Dolly	Burkina Faso
Mr. Gustave T. Some	Burkina Faso
Mr. Gouaylè Banaon	Burkina Faso
Mr. Assita Lamien/ Dao	Burkina Faso
Mr. Tidiane Ouedrago	Burkina Faso
Mr. Lankoandia Thiombiano	Burkina Faso
Ms. Saoudata Aboubacrine	Burkina Faso
Mr. Johnson Cerda	Conservation International
Mr. Luis Barquin	Conservation International
Ms. Patricia Dunne, PhD	Conservation International
Ms. Melanie Allen	Conservation International



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