Honoring Legacy » Aligning Our Values

4th Annual Confluence Practitioners Meeting

February 4–6, 2014 » Santa Fe, NM

FUNDER PACKET

www.confluencephilanthropy.org
Conference Logistics

Getting to the Hotel and Conference Site

The majority of conference events will take place at:
**Hotel Santa Fe Hacienda & Spa**
1501 Paseo de Peralta
Santa Fe, New Mexico 87501
+1 (505) 985-9383
www.hotelsantafe.com

Getting There

**Flights to New Mexico**
You can fly into Albuquerque or Santa Fe. Confluence will not arrange shuttles to the airport, however, for your convenience, transportation information is listed below.

**Directions to Hotel Santa Fe**

From Albuquerque Airport

Albuquerque International Sunport (Airport) to Hotel Santa Fe is a 60-minute trip.

**By Shuttle:**
Sandra Shuttle—The Sandra Shuttle Express departs from
Albuquerque International Airport at a quarter to the hour, every hour between 8:45am and 11:45pm. The check-in counter is located inside the airport on the Baggage Claim Level across from Southwest’s Baggage Carousel #3. Reservations are required to guarantee a seat. The current fare is $28.00 per person each way, or $48.00 round trip.

By Train:
New Mexico Railrunner Express—Offers train service to and from downtown Albuquerque for a fare of $7.00 each way. A complimentary shuttle from the Albuquerque International Airport will transport guests to the downtown train depot. Please check www.nmrrailrunner.com for current train times to Santa Fe. The Railrunner arrives directly across the street from Hotel Santa Fe.

**By Car:**
All major car rentals are available at the airport, but since downtown historic Santa Fe is a walking city a car may not be necessary.

From Santa Fe Airport

Santa Fe Airport to Hotel Santa Fe is a 15 minute trip. Note that airfares to/from Santa Fe, may be slightly higher than those to/from Albuquerque.

**By Shuttle:**
Hotel Santa Fe offers complimentary shuttle service to and from the Santa Fe Airport, via Road Runner Shuttle Service. Road Runner Shuttle meets every flight, so just let them know you are staying with Hotel Santa Fe.

Offsite Events

**Tuesday February 4th**

**FUNDER-ONLY EVENTS**

<table>
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<tr>
<th>Time</th>
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<tr>
<td>6:00pm – 7:00pm</td>
<td>Funder-Only Reception</td>
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<tr>
<td>7:00pm – 8:00pm</td>
<td>Funder-Only Dinner</td>
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A funder-only reception and dinner will be hosted by The Santa Fe Community Foundation at Vanessie of Santa Fe Restaurant and Lounge. Vanessie is a short 15 minute walk from the Hotel Santa Fe and is located at:

Vanessie of Santa Fe Restaurant and Lounge
434 W San Francisco Street
Santa Fe, NM 87501

If you would prefer not to walk, a shuttle service will be available from the Hotel Santa Fe to the restaurant. Please sign up in advance for this shuttle at the conference registration desk.

**Wednesday February 5th**

**ADVISORS WELCOME 3:45pm – 10:00pm**

Offsite Events at the Santa Fe Farmers Market Pavilion

3:45pm – 5:30pm | Optional Tour and Discussion of Santa Fe Railyard Project (Advisors Welcome)

Please sign up in advance for the tour at the conference registration desk. All participants should gather in the hotel lobby by 3:45pm. Be sure to bring warm clothing (coats, gloves, hats etc.) for the outdoor portion of the tour which will be approximately 45 minutes in length, to be followed by Q&A at The Farmers’ Market Building.

5:30pm – 7:00pm | Plenary: The Power of Investing in Women and the Power of Women as Investors

7:00pm – 9:00pm | Networking Reception & Strolling Dinner at the Santa Fe Farmers’ Market Pavilion

The Plenary and Networking Reception will both take place at the Santa Fe Farmers’ Market Pavilion. The Farmers’ Market Pavilion is a short 5 minute walk from the Hotel Santa Fe and is located at:

1607 Paseo de Peralta
Santa Fe, NM 87501

Contact Information

**Confluence Philanthropy**

General Office Number: 212-812-4387

Confluence Philanthropy Staff (Cell Numbers)

Camille Goulding, Event Logistics Manager: (347) 841-2325
Sarah DeNicola, Membership Program Manager: (415) 317-7905
Ian DeLeo, Operations Manager: (917) 635-4311
Dana Lanza, CEO: (718) 499-4935

Non-Solicitation Policy

Confluence Philanthropy is not a funding organization, nor do we channel philanthropic capital directly to discreet individuals, investment opportunities, or hands.

The Practitioners’ Meeting is intended to serve as a private space for foundation leaders and their advisors to meet and to strategize about the best use of assets in fulfilling their organizational mission. In order to retain the integrity of this convening we must maintain a strict policy of non-solicitation during and after the event. We ask that advisors and grantees who do not solicit Practitioners unless invited.

Those who violate this policy will not be invited to participate in future meetings.

Thank you for your support in protecting the intent of the Practitioners Meeting.
About Us

Confluence Philanthropy supports and catalyzes the work of private, public and community foundations, individual donors, and investment advisors who are committed to moving philanthropy towards mission-aligned investment.

Confluence Philanthropy was launched in the fall of 2008 when Environmental Grantmakers Association Executive Director, Dana Lanza, lead the creation of a new philanthropic initiative focused on guiding foundations towards mission-related investing.

Confluence is an international organization with the majority of our members based in the U.S., and with a handful in Europe, Canada, and Mexico. Our members represent a diversity of institutions, charitable asset types, personal and professional roles, and programmatic missions but they all share a commitment to a vision of mission-aligned philanthropy.

From its annual conference, to webinars and trainings, to working groups focused on specific areas of investment and change strategies, Confluence’s programs translate learning and connection into concrete action. We promote mission alignment through a variety of asset classes, investment vehicles and advocacy strategies, including cash, proxy voting, shareholder engagement, program-related investing, screening public equities, and private equity.

Our offices are based in New York City at The Rockefeller Brothers Fund and in downtown Oakland, California.

Confluence has four strategic goals:

1. To build a network of practice and philanthropic leadership in mission-related investing through education, outreach, and peer-to-peer learning.

2. To increase the capacity of foundations and donors to practice mission-related investing by providing technical assistance.

3. To accelerate the field of mission-related investing by fostering systemic thinking, effective cross-sectoral partnerships, and creating a space for philanthropic innovation to emerge.

4. To provide our members a strong organization, with the capacity to effectively advance Confluence Philanthropy’s mission and goals.

Practitioners Program

Join the Practitioners Program

Confluence provides value to a wide spectrum of members including those freshly committed to mission alignment, those working incrementally within institutions, and those who have achieved 100% mission alignment who seek to exercise sector-wide leadership. Practitioner Members are wide-ranging from small, focused, family foundations to some of the largest endowments with a vision to leverage capital for multiple funding themes.

Membership to the Practitioners’ Program is open to philanthropic organizations and individuals who seek to deepen their practice in mission-related investing (MRI) by working collaboratively and strategically with other grantmakers. Practitioners have general clarity about their MRI goals alongside organizational support, or a strong personal commitment, for their objectives.

Why Join the Practitioners Program?

In addition to the services offered through Basic Membership, Practitioners benefit from the enhanced services and engagement provided by increased membership. Practitioners look to join in a peer-to-peer community through which they can share knowledge, construct ideas, deepen best practices, and foster collaboration.

Practitioners Program Goals

1. To build a community of experienced practitioners in mission-related investing

2. To increase the capacity of Confluence practitioners through technical assistance, peer-to-peer learning, and collaboration

3. To accelerate the field of mission-related investing by fostering systemic thinking, effective partnerships, and innovation within philanthropy

Practitioners Program Benefits

Practitioners are eligible to all the benefits of Basic Membership, and:

• Complimentary registration in the Annual Practitioners’ Meeting for one foundation member and discounted participation for additional representatives


Join the Practitioners Program

Become a Member of the Practitioners’ Program!

Participation in the Practitioners’ Program is based on a sliding scale by organizational asset size. Foundations and individual donors are welcome to apply for membership by contacting CEO, Dana Lanza.
Join the Leadership of Confluence Philanthropy!

Are you excited by the idea of building the field or mission-related investing? Would you like to expand your sphere to include more colleagues with like-minded ideas about philanthropy? Then why not join a Confluence Membership Committee?

Confluence members represent a diversity of institutions, personal and professional roles, and programmatic missions but they all share a commitment to a vision of mission-aligned philanthropy. From our annual conference, to webinars and trainings, to working groups focused on specific areas of investment and change strategies, Confluence’s programs translate learning and connection into concrete action.

The Confluence Board of Directors is currently recruiting members’ participation in the following organizational committees:

- **Board of Directors**
- **Conference Committee**
- **Nominees for the 2015 Next Gen Fellowship Program (Cohort 4)**

Would you like to recommend yourself or a colleague to serve and help us to lead Confluence into the future? If so, please let us know!

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**Board of Directors**

By providing vision, leadership, and accountability the Board of Directors plays a vital role in ensuring that Confluence continues to produce programs and services that meet the needs of its members and builds the field of mission-related investing. The Board works closely with the Confluence CEO and staff to ensure the operational impact and financial integrity of the organization. Board Members may serve two, three-year terms. Confluence Board Service generates significant rewards. Board members have the opportunity to:

- Broaden their range of awareness and knowledge of the field of mission-related investing
- Provide valuable service to help strengthen and broaden the impact of Confluence Philanthropy’s programs
- Assist in building the field of mission-related investing by developing strategic initiatives and taking on leadership roles to further communication and collaboration
- Develop beneficial and enjoyable relationships with philanthropic peers outside of their own programmatic and geographic scope

To learn more about joining the Board of Directors please feel free to reach out to any of the current Board Members, or Dana Lanza, our CEO.

**Conference Committee**

The 2015 Practitioners Meeting will be held at Cavallo Point, in Sausalito, California. Conference Committee members will assist in designing the plenaries, assisting in the selection of speakers, and conducting outreach. The time commitment includes two group teleconferences and communication by email and phone as needed. Each Committee Member will be asked to identify a component of the conference that they would like to help with, and to assist in stewardship. This is a great way to become more involved with Confluence while meeting peers in the mission-related investing community.

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**Next Gen Fellowship Program, A Partnership with Resource Generation**

The Next Gen Fellowship Program is a 6-month intensive peer-to-peer learning program for trustees and individual donors, under 35 years of age, seeking to align their values with the management of their assets. Next Gen Fellows work together to answer the following question:

How can the next generation of donors leverage the full weight of their philanthropic assets and personal resources for social change?

Previous Fellows have moved millions of investable dollars towards the issues that they care about, such as sustainable development in Native American communities; affordable housing in low-income city neighborhoods; public education; and clean water in the poorest areas of Mumbai. No matter the passion, the Next Gen Fellowship helps strengthen the capacity for social change with the alignment of personal or philanthropic assets with tangible goals. Nominations for Cohort Four will be accepted on a rolling basis. The 2015 Program will begin at the 2015 Practitioners Meeting.

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“Our prime purpose in this life is to help others. And if you can’t help them, at least don’t hurt them.”

DALAI LAMA XIV
TUESDAY, FEBRUARY 4, 2014 | Pre-Conference

7:00am - 8:00am | Pre-Conference Registration | Registration Table

8:00am - 9:00am | Breakfast | Dining Room

9:00am - 12:00pm | Practitioners–Level Institute: The Implications of Divestment | Hacienda
(For Confluence Members only. Lunch served in room.)

Philanthropy is abuzz about the growing divestment movement that has spread from university campuses to endowments of all types. To date, billions of dollars have been aligned with a commitment to divest from some of the worst carbon polluting companies. What is required for all this divestment and reinvestment to add up to real change? Does the oil and gas industry care about this movement? Join us for a critical discussion with some of the top experts in mission-related investing as they share their reflections about the divestment movement; their reaction to client demand for reinvestment opportunities; and their firms’ investment concepts about the future of the economy and opportunities for market-rate investment. Where are the pressure points on the carbon complex—and are we pushing them? This is a three-hour institute that will be moderated in two rounds of fishbowl-style discussion with a short break between rounds. Audience members will deliver questions in writing to the moderator. The event is followed by an unstructured lunch for funders and participants.

Speakers:
- Deborah Gordon, Senior Associate Carnegie Endowment for International Peace (Moderator)
- Richard Woo, CEO, The Russell Family Foundation (Moderator)
- John Goldstein, Managing Director, Imprint Capital
- Brad Harrison, MRI Analyst, Threshold Group
- Patricia Farrar-Rivas, CEO, Veris Wealth Partners
- Mark Peters, Senior Consultant, Federal Street Advisors
- Raul Pomares, Co-Founder, Sonen Capital
- Tim Smith, Director of ESG Engagement, Walden Asset Management
- Tom Van Dyck, Senior Vice President, RBC Wealth Management
- Mariela M. Vargova, Senior Sustainability Analyst, Socially Responsive Investments, Rock & Co.

10:00am - 12:00pm | Mission-Related Investing 101: MRI vs PRI and The Duty of Fiduciaries | Canyon

Mission-related investing has quickly gained a solid footing in philanthropic circles. This shift has already helped to move billions of dollars; however, it has also raised new questions regarding fiduciary duties. For many would-be practitioners, the ways in which IRS regulations are interpreted can cause tension and even disagreement among boards and investment and legal staff about what is allowable and within the spirit and intent of the mission. What is the difference between mission related and program related investing? How can newcomers use intermediaries to reduce the risk and tension around managing investors? Join us for a deep discussion—and lots of coffee—as we engage these topics with seasoned practitioners from the field. The National Committee for Responsive Philanthropy will also share findings from their recent study of mission-related investing.

Speakers:
- Diane Schmidt, Chief Financial Officer, Blue Moon Fund
- Jen Astone, Managing Director, The Swift Foundation
- Jon Jensen, Executive Director, Park Foundation
- Debra Stetson, Trustee, The John Merck Fund (Moderator)
- Deborah Gordon, Senior Associate Carnegie Endowment for International Peace (Moderator)

12:00pm - 1:30pm | Luncheon: Newcomer Meet and Greet with Confluence CEO, Dana Lanza | Kiva A

“The less there is to justify a traditional custom, the harder it is to get rid of it.”
MARK TWAIN, THE ADVENTURES OF TOM SAWYER

1:30pm - 3:00pm | Activating Grantees for Shareholder Activism | Library

Did you know that it’s possible to provide grants for shareholder activism? For just $2,000, a grantee organization can become a shareholder in a company and then file and co-file resolutions. Join us for a workshop where you will learn from experienced funders how to bring this component to your grantmaking while avoiding any pitfalls. Please bring your ideas for shareholder campaigns to discuss, too!

Speakers:
- Jay Haloff, Legal Counsel (Moderator)
- Meredith Benton, Vice President, Boston Common
- Ariane Van Buren, Donor, Threshold Foundation
- Maurice Weeks, Campaign Coordinator, The Alliance of Californians for Community Empowerment

3:00pm - 4:30pm | Who’s Who in the World of Working Groups? A Funder-Only Sharing Session | Kiva A

Join Confluence members and friends for a discussion-based session that will provide a basic overview of funder working groups in mission-related investing. What are the thematic opportunities? Who are the leaders? What are the various groups learning about? Where are commonalities and overlaps? How can funder working groups share information and resources to accelerate the field? This session is hosted in collaboration with Mission Investors Exchange.

Confluence Working Groups Include:
- Bio-Cultural Diversity Working Group
- Mission Fish Working Group
- Proxy Stewardship Working Group
- Water Investors Working Group

Speakers:
- Anne Stetson, Trustee, The John Merck Fund (Moderator)
- Melanie Aulette, Education and Training Manager, Mission Investors Exchange

4:30pm - 5:30pm | Private Working Group Meetings | See Registration Desk for Meeting Room Locations

Learn more, enjoy refreshments, and engage in discussion with the working groups below:
- Bio-Cultural Diversity Working Group (newlyforming!) Facilitated by Sonja Swift, Trustee, Swift Foundation
- Mission Fish Working Group Facilitated by Mark Spalding, President, Ocean Foundation
- Proxy Stewardship Working Group
- Water Investors Working Group Facilitated by Jon Jensen, Executive Director, Park Foundation

If you would like to reserve a conference room, please contact Sarah DeNicoia: sarah@confluencephilanthropy.org
Room reservation requests will be taken until January 15, 2014.

5:30pm - 6:00pm | Walk to Dinner | Check in at conference registration desk for shuttle assistance. Shuttle seating is limited.

6:00pm - 7:00pm | Funder-Only Reception | Vanessie of Santa Fe, 434 W San Francisco St.

7:00pm - 9:00pm | Funder-Only Dinner | Vanessie of Santa Fe, 434 W San Francisco St.

A funder-only reception and dinner will be hosted by The Santa Fe Community Foundation at Vanessie of Santa Fe Restaurant and Lounge. Vanessie is a short walk from the Hotel Santa Fe. Please join us for live music, networking among grantmakers, and a delicious meal!
WEDNESDAY, FEBRUARY 5, 2014 | Funder-Only Day

7:30am - 9:00am | Funder-Only Day Registration | Registration Table
7:00am - 8:15am | Breakfast at the Hotel Santa Fe | Dining Room
8:30am - 9:00am | Welcome | Kiva A, B, C

Speakers:
Dana Lanza, CEO, Confluence Philanthropy
Alvin Warren, Program Officer for New Mexico Programs, W.K. Kellogg Foundation

9:00am - 10:30am | Plenary: Voices of Our Elders | Kiva A, B, C

The 2014 Practitioners Meeting is themed “Honoring Legacy, Aligning Our Values.” We chose New Mexico as the venue for our conference because it embodies the current state of mission-related investing: a deep respect for tradition that is being stretched to respond creatively to the new challenges presented by our changing times. The opening plenary showcases voices of some of the Confluence community’s most respected pioneers in mission-related investing: Steve Viederman, former President of the Jessie Smith Noyes Foundation; Josh Mailman, co-founder of SVN, Investors Circle, and multiple successful businesses; and Amy Domini, Founder and CEO of Domini Investments, one of the leading women in socially responsible investing over the past several decades. Each elder will be interviewed for 15 minutes by a field builder who has benefited from their leadership. Suzanne Biegel, a global impact investing pioneer in her own right, leads the facilitated discussion to follow.

Speakers:
Suzanne Biegel, Donor, Women’s Donor Network, Vice Chair, Confluence Board of Directors, Catalyst at Large (Moderator)
Amy Domini, Founder and CEO, Domini Social Investments
Richard Graves, Founder, Fired Up Fund
Jon Jensen, Executive Director, Park Foundation
Josh Mailman, Founder, Threshold Foundation
Laurie J. Spengler, President and CEO, Enclude
Steve Viederman, Philanthropic Consultant

10:30am - 10:45am | Break
10:45am - 11:45am | Funder Keynote: Antony Bugg-Levine, CEO, Non Profit Finance Fund | Kiva A, B, C

Il:45am - 12:15pm | Community Reflections and Discussion with Suzanne Biegel, Catalyst at Large and Vice Chair, Confluence Philanthropy | Kiva A, B, C

12:15pm - 2:00pm | Lunch | Dining Room
\* Ad Hoc Discussion: Integrating MRI into Your Staffing Structure
\* Ad Hoc Discussion: Movement Building and Reinvestment, with Bonwood Social Ventures

2:00pm - 3:30pm | Small Sessions: Choose Among Five

Session 1 | 100% Impact | Hacienda
This session will bring the leading practitioners from around the world together, who have committed 100% of their assets to positive social and/or environmental impact. They have all made a conscious decision to align their values with all of their assets. We will explore different approaches, lessons learned, as well as challenges; and share our vision for the future.

Speakers:
Ellen Friedman, Executive Director, The Compton Foundation (Moderator)
Lauren Embry, Philanthropic Vision, Embry Family Foundation
Kristin Hull, President, Nia Fund
Kathleen Starr, Vice President, Capital Deployment, FB Heron Foundation

Session 2 | Can Impact Investing Truly Reach Marginalized Communities? | Kiva C
What do small-scale fishermen in New England and Alaska have in common with native communities in the pueblos of New Mexico? They all lack access to conventional forms of financing, and although the needs and opportunities are great, they are still being underserved by the growing field of impact investing. What are the barriers to mission investing in financially marginalized communities, and how can funders more effectively direct resources to where they are most needed? This discussion-based forum will draw from the experiences of Confluence Philanthropy’s working groups on MRI in Sustainable Fisheries & Food Systems, and the Southwest Native Green Loan Fund. The session will focus on MRIs and CDFIs specific to these two case studies, and will challenge participants to engage in collective problem-solving.

Speakers:
Brian Byrnes, President and CEO, Santa Fe Community Foundation (Moderator)
Dave Castillo, CEO, Native Home Capital
Patricia Farrar-Rivas, CEO, Yeris Wealth Partners
Paul Parker, Cape Code Fisheries Trust

Session 3 | Changing the Food System: How to Promote Affordable Local Food | Kiva A
Concerns with our food culture are driving researchers, entrepreneurs, and—notably—philanthropists and investors to explore better ways to produce food. The goal is a sustainable approach that uses fewer resources and is more versatile, and therefore able to grow healthier communities by reducing obesity rates; addressing food deserts; increasing employment; protecting the environment; and more. Recirculating farming is a popular movement in the US and beyond, using nutrient-rich water to grow food without soil in a constantly recycling, closed-loop system. These farms recycle waste, can rely on rainwater and alternative energy, and come in a wide range of shapes and sizes. Their versatility allows them to be located virtually anywhere—and, importantly, right where food is needed, thereby cutting down on fuel used for shipping and refrigeration. Recirculating farms can raise plants (hydroponics), fish (aquaculture), or fish and plants together (aquaponics). This panel will discuss opportunities to promote affordable local food and healthy lifestyle initiatives by investing in innovative, sustainable farming education, training, and development.

Speakers:
Bill Sommers, President, Permaculture Credit Union (Moderator)
Marianne Culture, Executive Director, Recirculating Farms Coalition
Christy Oliver Reeves, Executive Director, Blue Cross and Blue Shield of Louisiana Foundation
Rebecca Nelson, Co-founder, Nelson and Pade, Inc.

Session 4 | Entrepreneurship Can Drive Economic Development in Rural Communities | Library
We have come to realize that rural factory-based economies are not capable of ensuring the long-term health and sustainability of communities or ecosystems. A new paradigm is emerging that generates jobs and creates sustainable rural economies for the future. And guess what? Mission-related investing has a role to play! In many parts of the country, there are innovative, asset-based rural development and entrepreneurial activities that are allowing people to stay in the towns where they grew up, while simultaneously revitalizing business opportunities. With examples from New Mexico, but with a focus on the US as a whole, this session will explore some of these success stories and demonstrate a different model—one that uses entrepreneurialism, community coaches at a grassroots level, and an asset-based approach to job creation and community revitalization.

Speakers:
Alan M. Webber, Candidate for Governor of New Mexico, Harvard Business Review (Moderator)
Beverly J. McClure, CEO, New Mexico Association of Commerce and Industry
Al Silva, General Manager & COO, Labatt Food Service

“The bird that would soar above the level plain of tradition and prejudice must have strong wings.” KATE CHOPIN
Session 5 | Using Collaboration to Get More Bang for the Buck | Canyon

What is the role and benefit of building community and networks to support grant and investing objectives? This interactive session will explore the challenges and benefits of collaborative undertakings. This includes blending different capital for greater impact and ability to support changemakers in a more holistic manner. Bring your questions, examples and puzzles to participate in this lively discussion.

Speakers:
- Drew Tulchin, Managing Partner, Social Enterprise Associates (Moderator)
- Andy Lower, Founder, ADAP Capital LLC
- Joshie Rand, Director of Strategic Initiatives, Santa Fe Community Foundation
- Jason Scott, CEO, Eko Asset Management

3:30pm - 5:30pm | Free Time

3:45pm - 5:30pm | Optional Tour and Discussion of Santa Fe Railyard Project | Santa Fe Railyard

(Off site. Advisors Welcome)

Join Steve Robinson, Principal and Architect, and Jenny Parks, Executive Director of the New Mexico Community Foundation, to learn about the history and development of the Santa Fe Railyard. Serving as an example of urban redevelopment, the Santa Fe Railyard has been transformed into a sustainable urban hub helping to connect the local community through art, food, music, and nature. Please to be sure to bring warm clothing (coats, gloves, hats, etc.) for the outdoor portion of the tour. All participants should gather in the hotel lobby by 3:45pm.

Speakers:
- Jenny Parks, Executive Director, New Mexico Community Foundation
- Steve Robinson, President, Santa Fe Community Railyard Corporation

5:30pm - 7:00pm | The Power of Investing in Women; The Power of Women as Investors | Santa Fe Farmers’ Market Pavilion

Women are changing the face of the investment world in two ways, from both ends of the economic spectrum. Women run more than 10 million businesses in the US alone, with combined annual sales of $3 trillion, and make 60 percent of consumer purchases nationwide. At nearly every income level, women donate almost twice as much to charity as men. Women will inherit 70 percent of the $41 trillion in intergenerational wealth transfer over the next 40 years. And, by 2025, 60 percent of billionaires are expected to be women. Yet women are not participating actively as investors. Assets are held by and large managed by men and funds are directed by men: women represent less than 15% of angel investors and less than 10% of fund managers, and are dramatically underrepresented on investment committees of foundations. On the other end of the economic spectrum, and on a worldwide basis, women own less than 10% of land, and are left out of inheritance, business ownership, and asset aggregation. Meanwhile, it has been proven that investing in women and women’s economic development leads to a firefled return on investment. Women almost always reinvest in their families, their children’s education, and their community, at a rate more than double their male counterparts. Even microgrants and loans can make huge differences in economically distressed communities—both in the US and globally. Women entrepreneurs and workers are at the heart of global issues of poverty, food security, climate change, water issues, environmental health, economic development, and so much more. This panel will discuss both women’s investment power and the power of investing in women—and, more specifically, the role of foundations in changing the equation.

Speakers:
- Jenny Parks, Executive Director, New Mexico Community Foundation (Moderator)
- Katherine Collins, Founder and CEO, Honeybee Capital
- Lauren Embrey, Philanthropic Vision, Embrey Family Foundation
- Connie Evans, CEO, Association for Enterprise Opportunity

7:00pm - 10:00pm | Networking Reception and Strolling Dinner at the Farmers’ Market | Santa Fe Farmers’ Market Building

Please join us for an evening event full of good music, food, and drink! This strolling dinner will offer a great opportunity to relax and mingle with other conference attendees while enjoying fresh, locally sourced fare. Casually walk through the Farmers’ Market Building while you network, and get a taste of New Mexico’s best local products straight from the farmers themselves. The dinner will take place in the Santa Fe Farmers’ Market Building—the first new construction in downtown Santa Fe that is LEED Gold certified.

Hot Honey, Santa Fe’s best new local band, will provide musical entertainment throughout the evening as well! Lori Ottino, Lucy Barna, and Paige Barton make up this folksy all-female band which has quickly become a must-see act in Santa Fe.

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THURSDAY, FEBRUARY 6, 2014 | Advisors Day

7:00am - 8:15am | Breakfast at the Hotel Santa Fe with Optional Ad Hoc Discussions | Dining Room
- Ad Hoc Discussion: Philanthropic Facilitation Act (PFA), led by The Sorenson Foundation
- Ad Hoc Discussion: Getting By the Barriers to Proxy Voting, led by Singing Field Foundation

8:15am - 9:00am | Welcome with Secretary Arthur Allison, Cabinet Secretary, New Mexico Indian Affairs Department | Kiva A, B, C

8:30am - 9:30am | Advisors Keynote: David Chen, Co-founder & Principal, Equilibrium Capital Partner | Kiva A, B, C

9:30am - 9:45am | Break

9:45am - 11:30am | Plenary: Divest? Reinvest? | Kiva A, B, C

The divestment movement which began in universities has spread to cities, states, hospitals, faith communities, and pension funds and is now expanding to individuals and the philanthropic community. Exposing the fossil fuel industry’s efforts to block progress towards a clean, renewable energy future while drawing on a core innovation of Anti-Apartheid activism, today’s divestment movement puts forward the financial risks of investing in fossil fuels as it emphasizes the moral imperative to divest. Perhaps most importantly, proponents of the Divest / Reinvest Movement advocate for a new vision of investment that will advance climate solutions by shifting away from the prevailing carbon dependent economic paradigm. In this plenary a panel of content experts will share the important philanthropic story behind the divestment movement, why divestment from fossil fuels is the investor’s imperative of our time, and what reinvestment looks like today and in an emerging low carbon future. The session is led by Lisa Wol, CEO of US SIF, the leading trade association in responsible investing.

Special Remarks:
- Jules Kerenhurst, CEO, Rocky Mountain Institute

Speakers:
- Lisa Wol, CEO, US SIF (Moderator)
- Mark Campanale, Founder and Deputy Chairman, Carbon Tracker
- Ellen Dorsey, Executive Director, Wallace Global Fund
- Tom Van Dyck, Senior Vice President, RBC Wealth Management

11:30am - 11:45am | Break

11:45am - 1:00pm | Small Sessions: Choose Among Five

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Session | First Loss Capital - Is It the Dumbest or the Smartest Money in the Room? | Kiva B

Alongside the established role that foundations hold in investing in frontier markets, they must also play a leadership role in providing first-loss capital. In her presentation at the G-8 Social Impact Investment Forum, Judith Rodin said, “Philanthropy must do what it does best: peel back the first layer of risk, and experiment where other sectors cannot, making development investment dollars more productive and less risky.” First-loss, which can take the form of grants, equity, debt, and/or guarantees, serves a catalytic role in reducing barriers to entry and demonstrating commercial viability, thereby enabling the entrance of other investors. Leveraging research currently undertaken by the Global Impact Investing Network (GIIN), this session will explore the importance of first-loss capital and the potential for an increased involvement of foundations. The panel will look at examples of opportunities where first-loss capital enabled deals to successfully close and ones where its absence prevented impactful deals from happening.

Speakers:
- Abhi Modaliar, Manager, Global Impact Investing Network (Moderator)
- Sally Boulter, Senior Officer for Strategic Development, ImpactAssets
- Matthew W. Parks, CEO, Trillium Asset Management
- Debra Shapira, Manager of Institutional Relations, Root Capital
Session 2 | Stories to Inspire and Motivate! | Canyon
Where we invest makes a difference. This panel will present stories of intentional investments and the positive changes they created. Experts will share their experiences with various types of impact investments, highlighting why they were attracted to the investments, how they made the decision to invest, risks and opportunities they considered, how they defined success (or failure), and what they are inspired to do today. We will present a cross-section of investments including venture capital/private equity, public equity, and community based loan and equity programs.

Speakers:
John Goldstein, Managing Director, Imprint Capital (Moderator)  
Meredith Benton, Vice President, Boston Common  
Raul Pomares, Co-Founder, Sonen Capital  
Monica Pressley, CFO, The San Francisco Foundation

Session 3 | Tales from the Fire: Lessons Learned from PRIs | Hacienda
Program-related investments promise strong social and/or environmental impact in exchange for high risk and lower return. Due diligence is required to guarantee even this minimum return, and things don’t always go as planned. Join domestic and international funders as they reflect upon three PRIs as case studies in which the risk exceeded the return. What can be learned from these Tales from the Fire?

Speakers:
Susan Phinney Silver, Program Director, The David & Lucile Packard Foundation (Moderator)  
Astrid Scholz, President, Ecotrust  
Debra Schwartz, Director of Program Related Investments, MacArthur Foundation  
Richard Woo, CEO, Russell Family Foundation

Session 4 | Addressing Water Scarcity through Alternative Financing and Public-Private Partnerships | Kiva C
Water scarcity is a growing problem across the globe and, increasingly, in the Southwest region of the US. Just this year New Mexico suffered a historic drought, the likes of which has not been seen since the 1950’s. While prolonged dry conditions are a natural part of life in the Southwest, climate change and extreme weather events have increased the risks related to water scarcity in this area. It is clear that investment is needed to adapt inefficient water systems and address depleting water resources, but the array of actors and financial structures involved often complicates meaningful progress. Using the Southwest as an example, this session will explore the possibilities of leveraging public-private partnerships, grants, and private investment to manage water scarcity issues. Confluence Philanthropy’s working group, Water Investors, will share lessons learned and opportunities in the field for funder collaboration.

Speakers:
Margaret Bowman, Environment Program Director, Walton Family Foundation (Moderator)  
Tom Carnac, President, CDP North America  
Marquita Russell, Chief of Programs, New Mexico Finance Authority  
Jon Scott, President and Director, Singing Field Foundation  
Rob Steiner, Director of Special Projects, Summit Global

Session 5 | Bread ESG Integration | Kiva A
This panel will explore how investors can generate positive social and environmental impacts from their traditional equity and fixed income holdings while earning a competitive and even market-leading rate of return. The panelists will explore different approaches, ranging from strategies integrating ESG factors into the investment and active ownership process, to global sustainability themed equity and fixed income investments. The panel is especially relevant for investors who are interested in investing a higher portion of their total assets (beyond MRI and PRIs) in impact-generating strategies.

Speakers:
Tim Smith, Director of ESG Engagement, Walden Asset Management (Moderator)  
Craig Metrick, Principal and US Head of Responsible Investment, Mercer  
Mark Peters, Senior Consultant, Federal Street Advisors  
David Sand, Chief Investment Strategist, Community Capital Management

“Do not believe in anything simply because you have heard it. Do not believe in anything simply because it is spoken and rumored by many. Do not believe in anything merely on the authority of your teachers and elders. Do not believe in traditions because they have been handed down for many generations. But after observation and analysis, when you find that anything agrees with reason and is conducive to the good and benefit of one and all, then accept it and live up to it.”

Gautama Buddha
Jen Astone joined Swift Foundation in 2011 as its first Managing Director. Swift provides grants and investment capital to promote biological and cultural diversity with a focus on policy. During her tenure, Swift Foundation has established strategic partnerships with the International Fund for Agro-Ecological Solutions and the Global Alliance for the Future of Food. Prior to Swift, she served as the first Executive Director of the African Parks Program Officer for the Global Food for Women. Jen has consulted on forests, development, and associations on international programs, women’s rights, and direct grantees to NGOs. Equipped with a Ph.D. in anthropology, she authored the book worldwide of impact investing. The process of moving from aprogram and oversight role to one in which she is able to focus on integrating Swift work across their portfolio has been a challenging journey of numbers and meaning.

Melanie Audette is Education and Training Manager at Mission Investors Exchange, a national association of over 250 foundations contemplating or already involved in mission investing. She began her career as a member of the US Federal Service, with postings at the State Department and embassy in London and Paris. Upon her return to the United States, Melanie managed the family offices and foundations for the Behnke family of Seattle. She also became vice president of Indiana Grainmakers Alliance and president of Audette Communication LLC, a communication strategy and copywriting firm for Indiana University Lilly Family School of Philanthropy. She has a degree in communication studies from Indiana University and is co-founder of the Youth Philanthropy Initiative of Indiana. Connect with Melanie and Mission Investors Exchange on Twitter @melaudette or @missioninvest.

Doug Bauer is the Chair of Confluence Philanthropy’s board and Vice President and Executive Director of The Clark Foundation, which focuses on helping individuals lead independent and productive lives and supports nonprofits and programs in New York City and Pennsylvania. Doug Bauer manages not only the Clark Foundation but also Executive Director of the Survivor and Forfeited Foundations. Prior to Clark, Doug was a Senior Vice President with Rockefeller Philanthropy Advisors (RPA) from 2002 to 2009 and led the organization’s Strategic Initiatives Team. Prior to joining RPA, he was a Vice President at Goldman Sachs and President of the Goldman Sachs Philanthropy Fund, the firm’s charitable gift fund. From 1997 to 2000, Doug was Director of Community Partnership at SmithKline Beecham (now GlaxoSmithKline) and Executive Director of the SmithKline Beecham Foundation, where he focused on community-based health care around the world. Doug was also a Program Officer with the Pew Charitable Trusts and managed the Smith Paper Company Foundation. He is also an adjoint faculty member at the University of Pennsylvania and the Business School of Columbia University where he teaches courses on philanthropy and the nonprofits sector. Doug is a graduate from Indiana University and holds a M.S. from Penn and a J.D. from Temple University.

Meredith Benton is a Vice President at Boston Common Asset Management, an investment manager, and a leader in global sustainability initiatives. She works from Boston Common’s West Coast office, assisting high net worth and institutional investors with investment management services. In addition, Meredith engages with companies in client portfolios to improve their financial, social, environmental, and governance profiles. She was formerly the Associate Director of Social Research at Walden Asset Management where she coordinated the social research process and led shareholder engagement programs. Meredith was also a Senior Director at Amtrak, where she managed strategic contracts and focused on train service and costs in the Western States. She earned her MBA at the international business school, INSEAD, and her bacherlor’s degree from Oberlin College. In 2009, Meredith was invited to join the Board of Governors for Business and Ethics. She is a board member of US SIF—The Forum for Sustainable and Responsible Investment.

Suzanne Biegel is the Vice Chair of the Confluence Philanthropy Board, and runs Clearly Social Angels in the UK. She has been a long time angel investor member of Investors’ Circle and served as its CEO in 2010-2011. For the past twelve years she has had her own consulting practice, Catalyst of Angels. She has brought her visionary leadership as an entrepreneur, a coach and mentor, a board member, a philanthropist, and as an active investor-related to investors in a variety of projects. She is frequent a public speaker and moderator in the realm of impact investing, responsible business, and philanthropy. Suzanne was one of the co-founders of the Patient Capital Collaborative, a collaborative angel fund of Investors’ Circle. Suzanne is a Co-founder at The Phoenix Institute and an active member among other aligned networks including Social Capital Network and Women Donors’ Network. She is a member of the global Wharton Impact Investing Advisory Council. And a founding supporter of the Women Effect Investments initiative from Finnovera. A native New Yorker, Suzanne is now based in London. England. Suzanne comes from the Wharton Business School and the Amherst School of Communication.

Sally Boulter is the Senior Officer for Strategic Development at impactMarkets where she is responsible for client services and raising philanthropic capital for strategic initiatives. Prior to joining impactMarkets, Sally was responsible for individual constituent relationship building and capital raising at the Milton Centre, Caithness Foundation, and the Banner Group. Sally also served as the Development Director for the Child Guidance Center of Southern Connecticut, the FMCDA of Greenwich, and The Discovery Museum in Bridgeport, CT. She is a graduate of Hamilton College.

Margaret Bowman is the Acting Environment Program Director at the Walton Family Foundation, which furthers lasting conservation solutions for areasทอง MRW mining projects in the development of those who live and work nearby. Prior to joining the Foundation in 2004, Margaret directed the Littoral Ocean Program at the Pew Charitable Trusts, where she supported polict policy-related scientific research related to sustainable fisheries. For over a decade Margaret worked at American Rivers, a group dedicated to protecting and restoring healthy, natural rivers. Margaret held various titles while at American Rivers, including Vice President for Conservation, Director of the Dan River Program, and a variety of roles in program management. Margaret has also directed the Environmental Program for Central and Eastern Europe for the Environmental Law Institute, served as an environmental consultant to the Cleanwater Coalition, and worked as an attorney at the Cornell Law School. She received a B.A. from Wesleyan University and a J.D. from Harvard Law School.

Anthony Bourdain is the CEO of Mission Investments Fund, a national nonprofit investment manager that utilizes the potential of mission-driven organizations through tailored investment, strategic advice, and accessible insights. In this role, he oversees more than $320 million of investment capital and a national consulting practice, and works with a range of philanthropic, private sector and government partners to develop and implement innovative approaches to financing social change. He is the co-author of Impact Investing: Transforming How We Make Money While Making a Difference (Wiley, 2011). From 2007-2001, he designed and led the Rockefeller Foundation’s impact investing initiative and co-chaired the meeting that coined the phrase “impact investing.” Anthony has also served on the founding board chair of the Global Impact Investing Network. Previously, Mr. Bourdain was the country director for Kenya and Uganda for TechnoServe and a consultant with the United Nations. He is an associate professor adjunct at the Columbia Business School.

Brian Byrnes is President and CEO of the Santa Fe Community Foundation. He currently serves as chair of the board of UCAF, and as a member of the Century City Community Foundation Leadership Team on the Council on Foundations. He was the chair of the 2012 Fall Conference for Community Foundations. Brian has been an advocate for more aggressive community leadership among community foundations, new thinking about community leadership-based business models, and impact investing for smaller- and mid-size community foundations. Brian worked in AIDS service organizations for five years before entering the philanthropic sector. He has also served as the President and CEO of the Vermont Community Foundation, Managing Director of Tides Foundation, and Interim Executive Director of Chez Panisse Foundation.

Mark Campanale is the Deputy Chair of the Carbon Tracker Initiative and co-convener of the ‘carbon bubble’ report published in 2011. Mark is responsible for strategy, brand matters and developing our capital markets framework analysis. Prior to forming Carbon Tracker, Mark had twenty years experience in financial services. Mark is a co-founder of some of the first investment research funds that focus on climate change. He has worked in the UKSIF Forum, 1990-2006, is a member of the Advisory board of the Carbon Tracker Initiative and has worked in a number of investment, impact investment funds. Mark was a member of the UK SIF Responsible Investment Forum (UKSIF), 1990-2006, is a member of the Advisory board of the Carbon Tracker Initiative and has worked in a number of investment, impact investment funds. Mark was a member of the UK SIF Responsible Investment Forum (UKSIF), 1990-2006, is a member of the Advisory board of the Carbon Tracker Initiative and has worked in a number of investment, impact investment funds.
Conservation (1999-2003); and runs Environment Matters, a consulting firm that provides legal, policy, and communications support to nonprofit organizations (2000-present). Marianne taught law and graduate college courses and gave many lectures at assorted academic institutions. She maintains positions on management advisory committees for Wildlands Connection (Florida), the State of Florida, and the Indiana Department of Natural Resources, and she is a member of various climate-related boards, communities, and she founded CitizenAir, a consulting boutique focused on solutions for social change in the U.S. and internationally. She has served on numerous and diverse boards including the Federal Reserve Bank of Chicago, Social Accountability International, Environmental Fund for Women, and the CFDA Advisory Board at the U.S. Treasury Department.

Patricia Farrar-Rivas is a founding principal, as well as the CEO and Chief Compliance Officer, of Venti Health Partners. She has been providing investment advisory and wealth management services since 1992. Patricia is a principal consultant to the Environment Sustainability Platform and a member of the Environmental Advisory Board. Prior to Venti, she worked for National Oceanic and Atmospheric Administration, serving as a senior foreign policy consultant specializing in transportation, energy, and the environment for foundations, nonprofit, academic, public, and private sector clients. From 1990 to 2006, Gordon co-directed the Transportation and Environment Program and taught at the Yale School of Forestry and Environmental Studies. From 1986 to 1990, she founded and directed the Transportation Program Policy at the Union of Concerned Scientists. Additionally, Gordon has served at the U.S. Department of Energy’s Lawrence Berkeley Laboratory (1980-1986), developing law, carbon footprint policies under a grant from the U.S. Environmental Protection Agency. She began her career as a chemical engineer with Chevron in 1982.

Grande has been providing investment advisory and wealth management services since 1992. She is a principal consultant to the Environment Sustainability Platform and a member of the Environmental Advisory Board, where she served as a senior foreign policy consultant specializing in transportation, energy, and the environment for foundations, nonprofit, academic, public, and private sector clients. From 1990 to 2006, Grande co-directed the Transportation and Environment Program and taught at the Yale School of Forestry and Environmental Studies. From 1986 to 1990, she founded and directed the Transportation Program Policy at the Union of Concerned Scientists. Gordon has served at the U.S. Department of Energy’s Lawrence Berkeley Laboratory (1980-1986), developing law, carbon footprint policies under a grant from the U.S. Environmental Protection Agency. She began her career as a chemical engineer with Chevron in 1982.

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Dana Lanza launched Confluence Philanthropy in August of 2009 as a special project of Rockefeller Philanthropy Advisors, after serving as the executive director of the Evanston Community Foundation (ECF). While at ECF, Dana provided networking services to over 250 grantmaking organizations across the United States and Europe in its strategic funding areas. As director, Dana worked with the ECF’s reconnect staff, and she closed a 10-year period with the ECF in 2019 into an independent 501c3 organization. From 2009-2011 Dana served as the Program Director and Board Chair at The Swift Foundation while launching Confluence. Swift makes grants internationally in its environment, indigenous and rural communities, and cultural heritage. Swift also deeply committed to mission-related investing. Prior to The Swift Foundation, Dana founded Literacy for Environmental Justice (LEJ), which brought free urban environmental education programs to more than 10,000 public school students, while employing hundreds of at-risk youth as community and lead organizers. She led the closure of San Francisco’s infamous Hunters Point Power Plant, envisioning and raising funds to help with the region’s first off-the-grid educational center. The Eco Center won the 2011 National Environmental Justice Award after its grand opening in 2006. She has been a fellow at the Denison-Meadows Leadership Fellowship Program in Systems Theory and the California Women’s Foundation Policy Institute. In 2008, she co-founded the Sierra Club Books, an affiliated Ecological Literary Publishing, Educating Our Children for a Sustainable World. Upon college graduation, she went to live within a Samburu (Maasai) community, with whom she has continued to build formative relationships over the past 24 years. More recently, she lived and worked among the Cheyenne River Lakota in South Dakota, and Koinonia Home refugees in Europe. In a new spirit, Dana sometimes lives in California, and at other times in Brooklyn, NY.

Andy Lovered founded EqCap LLC in September 2013. AIP (A Different Approach to Poverty) focuses on finding effective ways to use market-based solutions to eradicate extreme poverty with dignity for all stakeholders. Prior to founding AIP, Andy worked as Executive Director of The Elk Foundation and CEO of Elk Investment Management. While at Elk, he was instrumental in the transformation of traditional grantmaking foundations to emerging philanthropists, re-allocating 100% of their assets to generate financial returns while having a social impact, catalyzing millions of dollars to invest through Elk’s special-purpose vehicles; and nurturing a growing community of co-investors to invest in social entrepreneurs focused on eradicating extreme poverty. Previously, he served as North American Advisory Manager for the international philanthropic organization, and he has served as a member of the New Mexico Women’s Forum and the New Mexico Amigos. She is also a member of the board of the New Mexico Community Capital Board. She oversees the New Mexico Early Childhood Development Partnership Advisory Council, the Rio Grande Water Fund Advisory Board, and the Unidas Council. She is a member of the New Mexico Women’s Forum and the New Mexico Amigas.

Craig Metrick is a Principal and US Head of Responsible Investment for Mercer. Craig consults to public, private, and foundation clients on implementing responsible investment principles within defined benefit and defined contribution structures. Previously, Craig served as New Mexico’s first cabinet secretary of higher education, a position he held for almost two years. In 2012, Craig moved to New Mexico as the president of Clinton Community College. She leads the Arabella Advisors impact investing practice. She believes aquaponic food production (raising fish and plants in a re-circulating, self-sufficient system) can help provide better nutrition and achieve food security around the world. Aquaponics is used to sustainably raise fish and vegetables for a factory, to feed a village or to generate profit in a commercial farming venture. As the co-founder of Nelson and Parks, Inc., the global leader in strategic publications, systems training, an educator, and a publisher, he spent nearly a decade as faculty advisor to the University of Washington’s Lewis and Clark College in Portland, OR. Paul Parker is a founding partner of The Trust for Public Land (TPL). Ms. Parks joined TPL in 1999, serving as the Trust’s Vice-President of Development. At TPL, she has led the largest foundation makers in the country. Her extensive background in technology. She has also co-led evaluations of several impact investing portfolios of some of the largest foundation makers in the country. In this capacity, she is a member of the Investment Committee. Prior to joining Federal Street, Mark was Vice President and Director of Product Development at Acadian Asset Management. Previously, he served as the head of the investment education and outreach efforts at Acadian. He is a member of the Boston Security Analysts Society and is a Chartered Financial Analyst charterholder. He holds a Bachelor of Science in Economics from Rensselaer Polytechnic Institute.

Mark Potts works with Federal Street’s high net worth individual clients, as well as the investment committees of its nonprofit and charitable foundation clients. Paul began his career with Federal Street in 1994. As a member of the Investment Committee, he is responsible for implementing investment policy guidelines and overseeing the performance of various investment strategies. Paul has held numerous jobs on Capitol Hill, including commissioner with the College Republicans, executive director of the New Mexico Women’s Forum, and a member of the Investment Committee. Prior to joining Federal Street, Paul was a member of the Boston Security Analysts Society. An author and an Adjunct Instructor of the New Mexico Amigos, Paul is a member of the New Mexico Women’s Forum and the New Mexico Amigas.

Dr. Beverloe J. McClure is the president and CEO of the New Mexico Community Foundation (NMCF). She has contributed to the Sierra Club Books anthology titled "Ecological Literary Publishing: Educating Our Children for a Sustainable World." She is a member of the New Mexico Women’s Forum and the New Mexico Amigas.

Dana Lanza

Andy Lovered

Craig Metrick

Dr. Beverloe J. McClure

Mark Potts

Paul Parker

Dr. Beverloe J. McClure

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Paul Parker

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Jenny Parks

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Susan Phinney Silver

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climate change, oceans/fisheries, US health care access, international reproductive health and rights, children’s food and nutrition, healthy food access, and neighborhood fitness in Louisiana. Reeves has both master’s degrees in business administration and library and information science, and, she is the recipient of numerous community honors, including The Baton Rouge Business Report’s Forty Under 40 in 2003 and Influential Women in Business in 2012. Her considerable involvement in community and professional organizations includes: Capital Area United Way (Chairwoman of the Board), Family Services of Greater Baton Rouge, Monday Theatre, Louisiana State Olympic Games, Players of Baton Rouge, Baton Rouge Children’s Coalition, LSU School of Human Ecology, and the Capital Area YWCA. Reeves has endorsed a true “more than philanthropy” approach, implementing a combination of financial support, community engagement, and critical analysis of on-going programs, with the ultimate goal of helping make the world around her a happier, healthier place.

Steven Robinson is an architect and planning consultant with a wide range of experience working with private clients, public agencies, and academic institutions. He is a LEED Accredited Professional and is skilled in the arts of regional architecture and community planning. As a LEED leader, Mr. Robinson is the president of the Santa Fe Rail Yard Community Corporation, the 122 year’s corporate development for the City of Santa Fe, and current chair of the Clean Water Fund. He also serves on the national Board and Executive Committee of Earth Share. His professional 

Debra D. Schwartz
Debra Schwartz is a Senior Managing Director of Sonen Capital LLC, where he leads the firm’s overall investment and business activities, and maintains portfolio management responsibilities for select strategies. Prior to co-founding Sonen, he served as a Managing Director at Springpoint Advisers, where he headed the firm’s portfolio strategy. Previously, he was a Portfolio Manager at Guardian Wealth Management, where he developed integrated multi-manager portfolios for institutional and high net worth clients. In particular, Raúl applied his expertise across a broad range of impact investment themes to create an integrated manager research and portfolio construction methodology for investors. Earlier in his career, Raúl co-founded a boutique wealth management firm where he directed client services and portfolio management. He has also served as an investment advisor, international private banker and consultant on behalf of global financial institutions and private investors. Raúl is an Investment Advisor Representative, has passed the Series 7 and 63, and holds the Series 24 designation. He received his B.S. in International Business Management from the University of San Francisco. He is fluent in Spanish.

Raúl Pomares
Raúl Pomares is a Senior Managing Director of Sonen Capital LLC, where he leads the firm’s overall investment and business activities, and maintains portfolio management responsibilities for select strategies. Prior to co-founding Sonen, he served as a Managing Director at Springpoint Advisers, where he headed the firm’s portfolio strategy. Previously, he was a Portfolio Manager at Guardian Wealth Management, where he developed integrated multi-manager portfolios for institutional and high net worth clients. In particular, Raúl applied his expertise across a broad range of impact investment themes to create an integrated manager research and portfolio construction methodology for investors. Earlier in his career, Raúl co-founded a boutique wealth management firm where he directed client services and portfolio management. He has also served as an investment advisor, international private banker and consultant on behalf of global financial institutions and private investors. Raúl is an Investment Advisor Representative, has passed the Series 7 and 63, and holds the Series 24 designation. He received his B.S. in International Business Management from the University of San Francisco. He is fluent in Spanish.

Monica Pressley
Monica Pressley is the President of the Clean Water Fund. He also serves on the national Board and Executive Committee of Earth Share. His professional 

Christy Oliver Reever
Christy Reever has a passion for redefining her company’s role and reputation as a leader in the field of environmental protection. She serves as the Clean Water Fund’s Senior Director of Business Development, where she is primarily responsible for business development and creating new products to meet the needs of existing and future clients. Christy has been with the Clean Water Fund for over 13 years, helping to grow the organization from a small, local nonprofit to a national leader in the field of environmental protection. Prior to joining the Clean Water Fund, she worked as a consultant and project manager for a number of nonprofit organizations, including the Environmental Defense Fund and the National Wildlife Federation. In her current role, Christy is responsible for identifying new business opportunities and developing innovative strategies to build relationships with potential clients. She has a passion for sustainability and is committed to making a positive impact in the world through her work with the Clean Water Fund.
background, following an undergraduate degree in geology and environmental studies from Williams College (1982) and a master’s as a volunteer with the Nature Conservancy around the Rocky Mt. Institute’s founding (in NW Snowmass, Colorado), it is grassroots organizing and campaigning. The Singing Field Foundation is a small family foundation established by a family member's bequest. The foundation received the bulk of its assets in 2007. The foundation is staffed on a volunteer basis by family members. Most grants are general support in the areas of environment, health, or animal welfare, with some additional smaller discretionary grants made in support of other family interests.

The foundation does not use a financial advisor, although it does engage in a limited amount of consulting to develop and review its grant-making policy and impact analysis. The foundation’s executive director is Jack W. Smith (NCCJ) and Junior Achievement. He is Chair Elect of the Youth Orchestras of San Antonio Board of Directors, and serves on the Board of Directors of the San Antonio Symphony and the San Antonio Symphony Association. He was born and raised in the Midwest. He worked in banking and insurance in Chicago and after joining a company in the Washington, DC area traveled the country introducing banks and credit unions to a variety of innovative financing and operational models. After moving to New Mexico, he entered the nonprofit world and worked delivering financial products and services in underserved areas as well as promoting personal asset-building programs to low-income individuals. Through his work at the Permaculture Credit Union he has to serve the credit union membership and the larger permaculture/sustainable community while delivering a financial products model that enables a mission-driven organization to fully leverage the capacity and capabilities of a financial institution.

Laurie J. Spengler is President & CEO of Exelore (formerly ShoreBank International Ltd. and Trotwood Trust), a specialist global advisory firm dedicated to building an inclusive global financial system. The firm delivers integrated capacity and capital solutions to financial institutions, operating enterprises, lenders and investors focusing on reducing the needs of real economy contributors – entrepreneurs, small & growing enterprises, underserved households and more. Mr. Spengler has 20+ years’ experience in strategy and transaction services, especially capital raising, M&A, and private equity with a particular focus on access to appropriately sized capital for double- and triple-bottom line organizations.

Kate Starr is the Vice President, Capital Deployment, at the F.B. Heron Foundation. She leads the foundation’s $270 million in grants and investments. Kate is currently a member of the Foundation’s Financial Officers Group, the CFA Institute’s Global Programme, the New York Society of Security Analysts, and the Mission Investors Exchange. Previous roles at the foundation include Investment Officer and Senior Program Officer. Prior to joining Heron in 2006, Kate worked as a consultant at A.T. Kearney’s Global Business Policy Council, a research associate at microfinance institution PRODETA Tanzania, and as an economics and equity analyst at First Ascent Management. Kate earned a BA in English and Italian from Indiana University, an MA in International Relations from Johns Hopkins’ School for Advanced International Studies, and a Chartered Financial Analyst.

Rob Steinlein is a Director of Special Projects and also heads up the Business Development activities at Summit Global, a San Diego-based investment management firm with an unrivaled, 30-year specialization in global hydrocommerce and other industries directly impacted by water. Rob is specifically focused on linking Summit’s specific water strategies to the wider universe of the global water markets, specifically focused on water versus energy development, finance and acquisition opportunities. Rob is currently leading Summit’s project sourcing and management efforts in decentralized water and wastewater treatment and reuse, wastewater-to-energy and produced water treatment and disposal. Prior to his work in the private sector, Rob was an officer in the US Public Health Service and served within the Department of Health and Human Services, specifically as a Special Assistant to Secretary Tommy G. Thompson, a Special Assistant and Advisor to Surgeon General Richard H. Carmona and as an International Health Officer within the Office of Global Health Affairs. Rob holds a Masters degree in Public Health from George Washington University with a concentration in Global Health Policy and a BS from the University of Natural Resources and Environment at the University of Michigan.

Anne Steets is a Trustee of The John Merck Fund and the Looknak Foundation. She has served as the Managing Director and General Counsel of Dawn Capital LLP, where she was an important member of the investment team and oversaw legal matters for the firm. She drives the firm’s research activities in health, microfinance and community investing with particular emphasis on Africa and Latin America. Additionally, Anne is a Fellow and Visiting Scientist at the FSB Center for Health and Human Rights at the Harvard School of Public Health, where she teaches social entrepreneurship in global health. Prior to Simon, Anne served as a Senior Advisor to Syngenta Agriconsult, and headed Lighthouse Consulting, her advisory firm to foundations and non-profits working globally in the fields of economic development, global health, impact investing and human rights. For the first decade of her career, Anne practiced international corporate and emerging markets investment law on Wall Street, and in Boston with Fidelity Investments and Granite, Mays, van Otterlo. Anne serves as a director of ACDION International, a trustee of the John Merck Fund for which she also chairs the investment Committee, and as a director of the Looknak Foundation. She is a member of the Council on Foreign Relations. She is the co-author of Risk, Return, and Social Impact: Defining the Law of Mission Investing by FSB Publications published by FSB-Social Impact Advisors in 2008, speaks nationally on issues related to mission investing, and has published articles and books in the areas of emerging markets investing and human rights. Anne is a Basic Member in her capacity as a Trustee of The John Merck Fund. She has served as a speaker at the past two Prosummers Meetings.

Drew Tulchin is Managing Partner of Social Enterprise Associates, www.socenterprise.net, advancing the Quadruple Bottom Line—financial, social, environmental, and cultural/value creation and returns. The firm, a registered ‘B’ corporation, after consulting to measure impact, open new markets, develop business engines, and raise impact capital. It was a 2012 Sustainable Business of the Year in New York. Engagements for MDNs, foundations, government, and business have included TNC’s One World, the World Bank’s MDN, the Bill and Melinda Gates Foundation and the USAID’s Open Society Institute, Budapest, Hungary. He holds a M.A. in Political Science from Sofia University, Bulgaria, and an M.A. and a Ph.D. in Political Science from the New School for Social Research, New York.

Steve Viederman’s vacation is grandfathering, doing what he can to leave spiritual spaces open for his grandchildren and all children. He is involved in advocacy, writing, speaking, and consulting on a wide range of issues. These include sustainable investing and fiduciary duty; philanthropy and democracy; higher education and public policy; the limits of corporate responsibility; economic and environmental justice; and community governance. His primary focus now is developing strategies to increase institutional investment in investing because the future matters. He is an active shareholder, presently leading discussions with ExxonMobil and Chemical on the risks of climate change, and with P&G and Accenture on transparency of political contributions. He retired in 2003 from the presidency at the Jessie Smith Nefses Foundation where, in the early 90’s, he developed and guided the effort to harmonize asset management with grant making, including some of the first ‘impact investments’ in ‘responsible growth companies’.

Van Thomas Dyck has been a leader in the field of socially responsible investing since starting at Dean Witter in 1987. In 1997, he founded a firm dedicated to socially responsible investing where he created a shareholder advocacy program. In 1997, he joined Piper Jaffray and developed an investment management consulting group called the SRI Wealth Management Group. SRI Wealth Management moved to RBC Wealth Management in 2006. Van Dyck has authored numerous articles and studies on the economics of socially responsible investing. He has been actively involved in socially focused investing and was instrumental in developing Piper Jaffray’s Clarestar Ventures private equity fund of funds strategy. Throughout his career, he has worked to encourage private pension funds like CalPERS and CALSTRS to consider issues such as investment from South Africa and environmental investment strategies. In April 2003, Thomas was named one of the Financial Times, Top 40 Financial Advisers. He was also a featured speaker in the Tocq Wallstreet program in 2012. Thomas is the founder of Avo Bow, a shareholder advocacy foundation, and is active with a number of environmental groups. He is most proud, however, of his role of active parent to his two children. Van Dyck graduated from Duke University in 1980 with a B.A. in Political Science. He is a Certified Investment Management Analyst (CIMA®) and a Series 7 and Series 66 licensed.
Alvin Warren is an enrolled member and resident of Santa Clara Pueblo. He is a program officer for New Mexico Programs for the W.K. Kellogg Foundation and, as Santa Clara’s recently-elected treasurer, is serving his tenth term serving in the pueblo’s government. He has previously served as the cabinet secretary of Indian Affairs for the State of New Mexico, lieutenant governor of Santa Clara Pueblo, executive vice president of Blue Stone Strategy Group and chairman-director of the Indigenous Communities Mapping Initiative. During his career Alvin has helped tribes regain and protect significant traditional lands; ensure tens of millions of state dollars flow to tribes to build essential infrastructure and enact strong legislation to ensure effective and collaborative state-tribal governmental relations. He recently served as a Masters in Public Administration from Harvard University’s John F. Kennedy School of Government. Alvin currently serves as the San Juan-Millennial Scholars Advisory Council and the Native American Visiting Committee for Dartmouth College. He has also been: chair of the Santa Clara Pueblo Governor’s Task Force on Youth and Families; chair of the New Mexico Tribal Infrastructure Board and chair of the New Mexico Indian Affairs Commission.

Geraldine F. Watson is Treasurer of Confluence Philanthropy’s board andvice president for finance and operations at the Rockefeller Brothers Fund. She was promoted to this position on October 1, 2006. This senior management position includes oversight of the finance, accounting, information technology, human resources, and operations functions for the RBF, as well as the Rockefeller Family Fund and the David Rockefeller Fund. Ms. Watson joined the RBF in 1984 as comptroller, directing the financial reporting responsibilities for the RBF, the DFF, along with the Asia Council (Cultural) and the Rockefeller Philanthropy Advisors. From 1989 through 1994, Ms. Watson was engaged as an independent consultant, providing financial and tax services to individuals, small businesses, and nonprofit organizations. Prior to 1989, Ms. Watson served as an audit manager with Price Waterhouse. She currently chairs the audit committee and is a member of the board of trustees and audit committee of New York University. Ms. Watson received a B.S. from Fairfield University and attained her C.P.A. classification shortly thereafter.

Alan M. Webber co-founded Fast Company magazine in 1983 and served as the co-editor there until 2003. He is currently a candidate for the Democratic nomination for Governor of New Mexico. Prior to starting Fast Company Alan was the editorial director of the Harvard Business Review. While he was at Fast Company, Alan created the magazine’s “social enterprise awards,” since leaving Fast Company, Alan has served as the moderator for the Yanco Social Business Global Summit, participated in a variety of events as a speaker, and is currently on the board of the Global Center for Cultural Entrepreneurship.

Maurice Weeks is the Campaign Coordinator for ACCE - The Alliance of Californians for Community Empowerment. For the past two years Maurice has organized community led campaigns in the areas of Education, Predatory Debt, and Housing Justice that expose the unaccountability of wealthy Wall Street businesses and individuals and demand that they pay their fair share to Re-Fund California. In 2012 Maurice worked with student activists as they occupied their campuses, took over the capitol, and inspired their fellow classmates to rally behind the Millionaires Tax (Proposition 30). More recently Maurice has helped shape a campaign to stimulate local economies by demanding big banks write free foreclosures and mortgages and that they renegotiate predatory debts with California municipalities. In 2009 Maurice earned a Bachelor’s degree in Sociology and Peace and Conflict Studies from Swarthmore College. Originally from Newark, N.J. he currently lives in Oakland, California.

Lisa Woll has been the CEO of US SIF since 2006. Prior to US SIF, Lisa was executive director of the International Women’s Media Foundation, focusing on press freedom and expansion of women’s role in the media. Lisa spent a decade working on children’s human rights and directed the first international study on the impact of the Convention on the Rights of the Child. Lisa’s early career focused on domestic social policy, beginning in the NYC Human Resources Administration as an Urban Fellow and the US Congress as a legislative assistant. Lisa founded Sandal for Change, a Washington, DC-based organization serving low-income women seeking employment and was a founding board member of The Women’s Alliance, a national organization focused on increasing the employability of low-income women. She was Board President of Women’s Voices for the Earth, a national environmental health organization, is a board member of the Children’s Environmental Health Network and a member of the Advisory Council of the Children’s Rights Division of Human Rights Watch. Lisa is co-founder, with her teenage son, of Adavenza Ethiopia: Kids’ Tennis and Education Initiative. She has a bachelor’s degree in political science from the University of Illinois and a master’s degree in public policy and women’s studies from George Washington University. She spent a year in Melbourne, Australia as a Fulbright Fellow.

Richard Wuo is the chief executive officer of The Russell Family Foundation in Gig Harbor, Washington. The Foundation supports grassroots leadership, environmental sustainability, and global peace. Richard joined the Foundation during its startup in 2000. He is a past board member of Philanthropy Northwest and the Council on Foundations. Before joining The Russell Family Foundation, Richard worked nearly 12 years at Lott Strauss & Co., including three years as executive director of the Lott Strauss Foundation. There he gained experience in corporate social responsibility, communications, and global philanthropy. Early in his career, Richard spent a decade years in Northern California working on community health and youth development. Richard earned an English degree from the University of California, Davis, where he first learned to spell the word “philanthropy.”

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Working Groups, Learning Cohorts, and Special Initiatives

In just a few fast years Confluence Members have built out a number of working groups and special initiatives in order to learn collaboratively, while building the field of mission-related investing. Funders are welcome to join one of our working groups which offer learning, discussion, and resources. Participation in a working group is a complimentary benefit for Confluence Philanthropy Members.

Mission Fish Working Group
By engaging grantmakers and individual donors through a series of webinars, in-person round table discussions, and special projects Confluence is helping to bring new streams of finance to sustainable fisheries. The group focuses globally and locally in regions throughout the U.S.

Water Investors
Through information sharing, informal communication, an educational webinar series and in-person meetings, the newly formed Water Investors Working Group is working to develop a learning community around investing in sustainable water. The ‘Water Investors’ held their first in-person Working Group Retreat in Fall 2013. Interested funders are highly encouraged to join these emerging conversations.

The Proxy Stewardship Project
With guidance from an ad hoc working group of endowment leaders, ‘The Proxy Stewards’ have crafted a set of universal proxy-voting principles for adoption by the wider community of foundations and university endowments. These will be used to encourage managers of co-mingled funds to vote investment proxies along environmental, social, and governance guidelines. As part of this initiative, the working group is developing a set of resources and tools to support endowments to practice conscientious proxy voting. The Proxy Stewardship Project is a special initiative of Confluence’s broader Proxy Power Program.

Southwest Native Green Fund
Collaboratively structured by a working group of donors and publicly-funded grantmakers, The Native Green Fund is a program-related investment fund offering below market rate loans to green tribal development projects across Arizona and New Mexico. Developed by Confluence Members, the Fund is managed by Native Home Capital, a community development finance institution in Arizona.

Next Gen Fellowship Program
The Next Gen Fellowship Program is a 6-month intensive peer-to-peer learning program for trustees and individual donors under 35 seeking to align their values with the management of their assets. In partnership with Resource Generation, participants receive formal training and structured peer-to-peer support in mission-related investing. Participation is by application and is on a fee-basis. Cohort 3 begins this Spring.

The Confluence Practitioners Meeting is created through a unique democratic process. Members of the Practitioners Program, along with their Investment Advisors, propose concepts that are then voted on by the Practitioners Program Members. Sessions receiving the highest number of votes are uplifted to plenary status, while other sessions receiving a majority of the votes become small sessions. Those individuals with selected sessions are invited to then help to plan that session.

We would like to both congratulate and thank those Members and Investment Advisors who have helped to shape the Fourth Annual Confluence Practitioners Meeting, as well as others, whom we have leaned and relied upon for the vision and expertise. We look forward to our best event yet!