# **Selective Photoantisepsis**

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#### **ABSTRACT**

**Background and Objective:** Selective killing of pathogens by laser is possible due to the difference in absorption of photon energy by pathogens and host tissues. The optical properties of pathogenic microorganisms are used along with the known optical properties of soft tissues in calculations of the laser-induced thermal response of pathogen colonies embedded in a tissue model. The objective is to define the laser parameters that optimize pathogen destruction and depth of the bactericidal effect.

Materials and Methods. The virtual periodontium is a computational model of the optical and time-dependent thermal properties of infected periodontal tissues [1,2]. The model simulates the periodontal procedure: Laser Sulcular Debridement [fn1]. Virtual pathogen colonies are placed at different depths in the virtual periodontium to determine the depth for effective bactericidal effects given various laser parameters (wavelength, peak power, pulse duration, scan rate, fluence rate) and differences in pathogen sensitivities.

**Results.** Accumulated background heat from multiple passes increases the depth of the bactericidal effect. In visible and near-IR wavelengths the large difference in absorption between normal soft tissue and *Porphyromonas gingivalis* (*Pg*) and *Prevotella intermedia* (*Pi*) results in selective destruction. Diode laser (810nm) efficacy and depth of the bactericidal effect are variable and dependent on hemin availability. Both pulsed-Nd:YAG and the 810 nm diode lasers achieve a 2-3mm deep kill zone for pigmented *Pg* and *Pi* in soft tissue without surface damage (selective photoantisepsis). The model predicts no selectivity for the Er:YAG laser (2940 nm). Depth of the bactericidal effect is highly dependent on pathogen absorption coefficient. Highly sensitive pathogens may be destroyed as deep as 5-6mm in soft tissue. Short pulse durations enable confinement of the thermal event to the target. Temporal selectivity is achieved by adjusting pulse duration based on target size.

**Conclusion.** The scatter-limited phototherapy model of the infected periodontium is applied to develop a proper dosimetry for selective photoantisepsis. Dosimetry planning is essential to the development of a new treatment modality.

**Key words:** Dental laser, tissue model, periodontal pathogens, scatter-limited phototherapy, bacterial reduction, *Porphyromonas gingivalis*, *Prevotella intermedia*.

#### INTRODUCTION

Inflammatory periodontal disease is one of humankind's most widespread afflictions. This condition accounts for most loss of dentition in old age and chronic oral infections have been linked to several systemic conditions including pulmonary and cardiovascular disease and to pre-term, low birth weight babies [3-7].

At a cellular level the pathogens colonize the periodontal sulcus, the groove where the gingiva meets the tooth. At the base of the sulcus is the most coronal extent of the attachment apparatus that secures the tooth to the bone. This structure is composed of the alveolar bone, periodontal ligament (PL) and the outer layer of root dentin, the cementum (Fig. 1). In a healthy tooth the junctional epithelium at the bottom of the sulcus prevents oral flora from invading the body.

Most species of pathogens implicated in periodontal disease already exist in the oral cavity fluids and the natural biofilms that coat all surfaces of the oral cavity. The growth of dental plaque, gingiva irritants, trauma, smoking or other insults to the attachment apparatus will allow access for these opportunistic pathogens to colonize subgingival locations. Here they form mixed-species colonies [8,9] that assault and destroy the tissues that anchor the teeth to the bone [10]. These colonies steadily excavate a pocket (Fig. 1) that grows apically [11] until eventually the tooth is lost. Most of the infection exists within 300 microns of the surface [10-13].

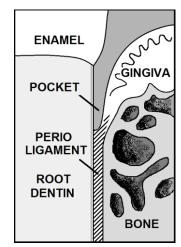


Fig. 1. The attachment apparatus is composed of the outer layer of root dentin (cementum), the periodontal ligament and alveolar bone. In this diagram the disease has caused the bone and attachment level to recede, forming a periodontal pocket.

However, pathogenic species such as *Porphyromonas gingivalis* (*Pg*) and *Prevetella intermedia* (*Pi*) are known to colonize areas of immunologic sanctuary such as in the cytoplasm of host cells [14-16]. These sites can be located 1 mm or more below the surface. [17,18]

Current treatment approaches include debridement of root surfaces with a surgical, mechanical and chemical armamentarium, and antisepsis of the periodontal pocket with a variety of systemic and local antibiotics. The use of intense light energy to selectively destroy the infection is another option having unique consequences. There is substantial evidence that laser treatments for infectious periodontal disease reduce the bacterial load in the periodontal pocket [19-24]. However, in clinical practice the antiseptic qualities of laser treatment are noted only as an uncontrolled consequence of the laser treatment. Arany (2016) [25] refers to this as the "bystander effect." Undoubtably, laser antisepsis can be more effective if understood in more detail and applied proactively. The technique has been successfully applied clinically for the selective removal of first degree enamel caries [26] and for the treatment of onychomycosis [27], (FDA 510(k) K943693 and K083616) [fn1 fn2]. If bacterial reduction is the primary clinical outcome of a periodontal treatment, then the optical properties of the pathogens and host tissues need to be defined. A purpose of this work is to provide a rational basis to develop a controlled clinical dosimetry.

Selective photoantisepsis is a potent laser-based modality for bacterial reduction that exploits the wavelength-specific difference in absorption characteristics between a pathogen colony and the host tissue. Photon energy is selectively absorbed by the pathogen creating a localized, rapid and lethal increase in temperature. To develop an accurate clinical dosimetry we need to know: (1) What is the sensitivity of the specific pathogens to laser light relative to the surrounding tissues, (2) How deep into

the tissue does the selective bactericidal effect actually reach during a laser procedure and (3) Can dosimetry be adjusted to optimize the depth of bactericidal effect without surface damage?"

To address these questions we apply scatter-limited phototherapy, [1] a computational tissue model that predicts the magnitude and distribution of the thermal response of tissue to laser irradiation. It is based on the Beer-Lambert Law and the known optical and thermal properties of different tissue components (mucosa, connective tissue, bone, dentin, etc.). The addition of the tissue heat capacity and thermal diffusion then predicts the temperature distribution in space and time during the course of a laser treatment procedure. [2] Temperature contours identify the spatial distribution of important tissue effects such as coagulation at 60°C and vaporization at 100°C.

We have studied the interaction of laser energy (1064nm and 810nm) with periodontal pathogens in culture [28-31] and have measured the absorption spectra of Pg and Pi from 400-1100nm [32]. These measurements of the optical properties of specific pathogens incorporated into the model allow us to define the appropriate dosimetry for selective photoantisepsis and to optimize the parameters to lethally treat those pathogens. Near-real time simulations presented as videos provide a medium to communicate these empirical findings into a clinically meaningful format.

#### **METHODS**

The virtual periodontium: A computational model of the infected periodontium.

The details of the scatter-limited phototherapy model have been described in detail in previous publications. [1,2] We chose to use this model because the speed of the calculations allows one to make a time series of evaluations. Time dependent temperature profiles of tissue during and after laser irradiation are extremely valuable in understanding laser therapy.

The scatter-limited phototherapy model uses measured tissue parameters for absorption, scatter, thermal conductivity and heat capacity to make these calculations. By recognizing that light is distributed with a Gaussian distribution in homogeneous tissue, we can avoid having to solve inhomogeneous partial differential equations. Thus, the profiles can be rapidly generated and profiles as a function of time can be sequenced to create videos. The videos demonstrate the changing thermal gradients inside the tissue during a laser procedure.

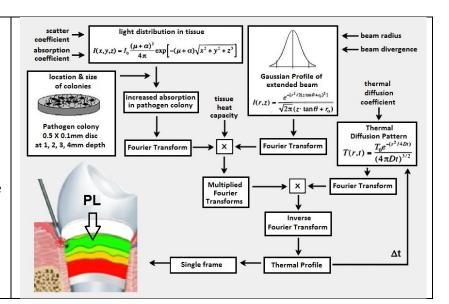
Since the model uses only measured parameters, it is not a surprise that predictions of thermal damage from the model agree with the actual measurements of thermal damage. We have shown this correlation between measured thermal damage and predictions in the infrared at 1064 nm and 10.6  $\mu$ m [1] and visible at 532 nm [2].

Figure 2 is a modification of the algorithm previously developed [2] with the substitution of pathogen colonies for the vasculature used in that study. The soft tissue in the current model is the PL. Fourier Transforms are used to convolve three different calculations. The delivery geometry of the laser beam and the pulse structure and energy per pulse are used along with the tissue scattering and absorption characteristics to determine the distribution of photons in the tissue. The photon distribution is changed to a temperature distribution by factoring in the heat capacity. Thermal diffusion then determines the space/time dissipation of the temperature distribution. If there are multiple pulses the process is repeated starting with the existing temperature distribution. These simulations incorporate the changing conditions during a laser surgical procedure caused by movement of the fiber. The

parameter "velocity" determines the location of subsequent pulses, that is, the speed of the laser beam as it is scanned over the tissue.

Fig. 2. Flow chart of the computational model used to determine the thermal distribution in tissue during laser irradiation.

The insert shows the cylindrical geometry of the periodontal ligament (PL). When showing the thermal gradients we open and flatten this cylinder to a flat rectangle.



In previous work on scatter-limited phototherapy it was determined that the spread of light in quasi-homogeneous tissue could be approximated with a Gaussian distribution [1,2]. The amount of scattering is normally written with a reduced scattering coefficient,  $\mu_s$ , such that

$$I(x, y, z) = I_0 \frac{\mu_s^3}{4\pi} \exp\left[-\mu_s \sqrt{x^2 + y^2 + z^2}\right]$$
 (1)

where I(x,y,z) is the 3-dimensional distribution of light in the tissue and z is the coordinate axis into the thickness of the tissue.

In addition to the scatter presented in Eq. (1), absorption will limit the penetration of the light in the tissue. Beer's Law is used to describe the absorption in isotropic three dimensions

$$I(x, y, z) = I_0 \frac{\mu_a^3}{4\pi} \exp\left[-\mu_a \sqrt{x^2 + y^2 + z^2}\right]$$
 (2)

Equations (1) and (2) can be combined to give the spread of light in any scattering and absorbing medium, such as skin, gingiva or ligament. These combine to

$$I(x, y, z) = I_0 \frac{(\mu_s + \mu_a)^3}{4\pi} \exp\left[-(\mu_s + \mu_a)\sqrt{x^2 + y^2 + z^2}\right]$$
(3)

Note that scattering and absorption behave much the same way; both scattering and absorption limit the penetration of light. Using these known functions to describe the spread of the light, one does not need to use a Monte Carlo simulation and the calculations take much less computational time than a Monte Carlo simulation.

#### Anatomy of the virtual periodontium.

The model created for this work is stylized to a standard tooth. The tooth root is cylindrical and just under 2.0 cm in circumference (about 6 mm in diameter). The root is completely surrounded by the attachment apparatus. The tissue is assumed homogeneous, except for the implanted bacterial cultures, and is 1.0 cm deep and the thickness is at least 1 mm. For these simulations the laser fiber is in the pocket (Fig. 1) and is perpendicular to and in contact with the upper surface of the PL. The output of the laser is through a 360 micron diameter optical fiber which is scanned 20 mm around the circumference of the root in the periodontal pocket (or scanned across the top of the flattened surface). In our simulations, we unroll the cylinder of PL and lay it flat. So the cylinder is a flat rectangle. Irradiation variables include: wavelength, spot size (determined by the fiber diameter and distance from the surface), angle of the fiber relative to the surface, average power, pulse duration, peak power, energy per pulse, repetition rate, scan velocity and number of passes.

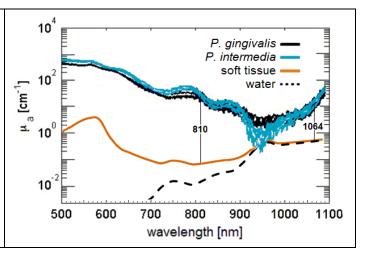
Simulations are made on 1.0 cm x 2.0 cm pieces of virtual tissue with 0.005 cm (50 $\mu$ m) resolution. Thus, the virtual tissue comprised 80,000 pixels. All simulations were checked by doing a test simulation at a single time point with 0.001 cm (10  $\mu$ m) resolution (2,000,000 pixels) to confirm reproducibility and to demonstrate that 0.005 cm was sufficient resolution. Spatial resolution was set at 0.0005 cm (5  $\mu$ m) to examine effects of pathogen size in SIM 4.

Virtual pathogen colonies are placed at various depths so the bactericidal effectiveness can be determined from the temperature increase. Colony size is examined in simulation #4. Otherwise, colonies are 0.5mm diameter, 0.1 mm thick discs with a volume of 0.2 mm<sup>3</sup> (Fig. 2). Since the PL is well vascularized we assume the bacteria, Pg and Pi, are pigmented  $in\ vivo$  and use absorption coefficients:  $\mu_{aPg} = 10\ \text{cm}^{-1}$  at 810nm, 7.7 cm<sup>-1</sup> at 1064nm [26] and 13,000 cm<sup>-1</sup> at 2940nm [33]. Data are also available at 1064nm for several fungal pathogens, including *Candida albicans* (Ca,  $\mu_{aCa} = 75 \text{cm}^{-1}$ ), and Colonized Colo

This highly accurate and predictive mathematical model is applied to simulate selective photoantisepsis of periodontal pathogens during laser sulcular debridement. The beam shape and fiber-optic mode of delivery are those typically used for the procedure. Zones of potential tissue damage ( $60^{\circ}$ C) and vaporization ( $100^{\circ}$ C) are identified with the model. The location and magnitude of these thermal contours are used to predict pathogen destruction.

The current model of the infected periodontium is composed of pathogen colonies embedded in the PL. The periodontal ligament is a dense fibrous connective tissue composed of collagen, ground substance, vascular and neural networks, other cells and water [35]. Most of these components add very little to absorption at the wavelengths of 810nm and 1064nm. The absorption of light by the PL is, thus, predominately a mixture of the absorption of light by water and by hemoglobin. Foong and Sims (36) report that the microvascular volume of the periodontal ligament in humans ranges from 1.63% to 3.5%. Estimates of the water volume of ligament is about 75% (37) although exact values for periodontal ligament are not currently available. The absorption of light by water is well understood and the absorption coefficients as a function of wavelength can be obtained from the publication by Hale and Querry [38]. The absorption of light by hemoglobin can be due to oxy hemoglobin (HbO<sub>2</sub>) or deoxy hemoglobin (Hb). The molar extinction coefficients as reported by Zijlstra et al. [39] are used for the absorption in healthy soft tissue due to Hb and HbO<sub>2</sub>. The PL is modeled as normal soft tissue at 75% water and blood volume 1.7% (35  $\mu$ M HbO<sub>2</sub> and 60  $\mu$ M Hb). This is plotted in Fig. 3 along with absorption spectra for the darkly pigmented periodontal pathogens Pg and Pi [32] and pure water.

**Figure 3.** Absorption spectra from 500-1100nm for the periodontal pathogens *Pg* and *Pi*, [28] soft tissue (PL) and water. There are orders of magnitude differences in relative absorption between the bacteria and the host tissues at both 810nm and 1064nm.



After we calculate how the light is distributed in the tissue, we then converted that light energy into a temperature increase using the heat capacity of soft tissue, 3.7 J/gK. The thermal energy is not fixed into the tissue, but it will dissipate or thermally diffuse. The thermal diffusion is modeled with:

$$T(x, y, z, t) = T_{\text{max}} \frac{1}{(4\rho Dt)^{3/2}} Exp \hat{e} \frac{(x^2 + y^2 + z^2)\hat{u}}{4Dt} \hat{u}$$
(4)

In Eq. (4), T is temperature, t is time and D is the thermal diffusion coefficient. We can write  $D=k/\rho c_p$ , where k is the thermal conductivity,  $\mathbb Z$  is the density and  $c_p$  is the specific heat capacity. This allows us to independently calculate D for a well-known substance, like water ( $D=0.0014~\mathrm{cm}^2/\mathrm{s}$ ). We use  $D=0.0023~\mathrm{cm}^2/\mathrm{s}$  for the tissue. This comes from measurements of thermal damage as a function of laser pulse length [1,40] and is close to the value of water.

In the simulations, we typically use the laser pulse length as the shortest time step. If each simulation were used as a video frame, the movies would appear as very slow-motion movies and all movies would run at different rates. So, we take every n<sup>th</sup> frame so that there are equal time steps of 0.025 s between the movie frames (or 40 frames is 1.0 s of time duration in the animation). For instance, if we have a 1.0 ms laser pulse, then 1.0 ms becomes the time resolution. However we only use one frame every 25 frames to make the movie. Depending on the computer and the video format, the movies run at about ½ of real time. The laser scanning over 20 mm should take 2 s, but the movies require roughly 4 s.

The damage zones are shown where the temperature exceeds 60°C (aqua color) and where the temperature exceeds 100°C (pink color). Even though the tissue or bacterium might reach 100°C, they do not necessarily ablate or vaporize. To change the water from liquid water to steam, the latent heat of vaporization must be added to the target in addition to enough heat to bring the temperature up to 100°C. Only with the Er:YAG laser does the tissue receive a sufficient amount of heat and actually ablate. With the Nd:YAG and diode lasers in these simulations, the tissue only reaches 100°C but seldom vaporizes.

Four sets of simulations were calculated for this study: SIM 1 looked at the three lasers commonly used for laser sulcular debridement: the 810 nm diode laser, the 1064 nm Nd:YAG laser and 2940 nm Er:YAG

laser. The 810 nm diode laser was delivered in 1 ms pulses, 6 mJ per pulse and repeated at 500 Hz repetition rate. The average power of the diode laser with these parameters would be 3 W. The Nd:YAG laser at 1064 nm was delivered in 150  $\mu$ s pulses, 200 mJ per pulse and repeated at 20 Hz repetition rate. The average power of the Nd:YAG laser with these parameters would be 4 W. The Er:YAG laser at 2940 nm was delivered in 150  $\mu$ s pulses, 100 mJ per pulse and repeated at 20 Hz. The average power of the Er:YAG laser with these parameters would be 2 W. In these simulations, the bacterial absorption coefficients were 10 cm<sup>-1</sup> at 810 nm, 7.7 cm<sup>-1</sup> at 1064 nm [32] and 13,000 cm<sup>-1</sup> at 2940 nm [33].

SIM 2 looked at the 810 nm diode laser being applied at an average of 2, 3, 4 and 5 W. At all power levels the pulses were 1 ms in duration and repeated at 500 Hz. The energy per pulse was set at 4 mJ, 6 mJ, 8 mJ and 10 mJ to achieve the different average power levels. In these simulations the bacterial absorption coefficients were 10 cm<sup>-1</sup>. The video shows only the simulations at 4, 6 and 8 mJ/pulse.

SIM 3 looked at the Nd:YAG laser with three different bacterial colonies, each having distinctly different absorption coefficients at 1064 nm. The three bacteria were, *Pg* with an absorption coefficient of 7.7 cm<sup>-1</sup> at 1064 nm, *Ca* with an absorption coefficient of 75 cm<sup>-1</sup> at 1064 nm and *Sc* with an absorption coefficient of 375 cm<sup>-1</sup> at 1064 nm.

SIM 4 explored the thermal confinement as a function of the pulse duration. Since the thermal confinement is closely related to the target size, two different size bacterial colonies were used. In this simulation, the pulse durations of the Nd:YAG laser at 1064 nm were stepped by factors of 10 from 15  $\mu$ s to 15 s. The bacterial colonies were 500  $\mu$ m and 50  $\mu$ m in diameter. The absorption coefficient of the bacterial colonies was 7.7 cm<sup>-1</sup>.

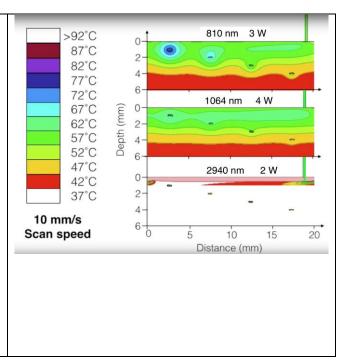
#### **RESULTS**

**Fig. 4**. Understanding the videos. The anatomical location of the simulations is the PL, (Fig. 2) which is unrolled and laid flat as shown in the three panels. Each panel represents the thermal response to a different dental laser:

Diode: 810nm; 1 ms, 6 mJ/pulse, 500 Hz.

Nd:YAG: 1064nm; 150 µs, 200 mJ /pulse, 20 Hz.

Er:YAG: 2940 nm; 150  $\mu$ s, 100 mJ/pulse, 20 Hz. The three panels are single frames (snap shots) from SIM1, the 3-wavelength video. During the video, the optical fiber (green vertical bar) delivering the laser makes four scans across the top of the tissue with a constant speed. The color contours illustrate the dynamic thermal response of the soft tissues and pathogen colonies. In all three panels the scan rate of the optical fiber is 1 cm/s. Pigmented Pg discs are located at 1, 2, 3 and 4 mm below the surface and are shown in the figure.



#### Data presentation (Fig. 4).

A single frame from the SIM 1 video is shown in Fig. 4. The videos illustrate the evolving temperature profiles in the PL. The fiber is positioned perpendicular to the PL surface and moves at a constant rate around the tooth four (4) times (or across the top of the flatten geometry shown in Fig. 4). Color contours represent  $5^{\circ}$ C increments. Ambient (white background) is  $37^{\circ}$ C, light blue is  $62^{\circ}$ C, and white is  $> 92^{\circ}$ C. Damage zones are shown at the end of each video and also in Fig. 5. These plots represent the areas that reached  $60^{\circ}$ C and  $100^{\circ}$ C at some time during the four passes.

[The simulations are the results of this study. View SIM 1 video here]

http://biomedicalconsultants.pub/wavelength/

## SIM 1. Three Dental Laser Wavelengths.

Three commonly used lasers in dentistry are tested on the virtual periodontium: a diode laser emitting at 810nm, a pulsed Nd:YAG laser at 1064 nm, and a similar pulsed Er:YAG laser at 2940 nm. The typical 6 W diode laser output can be pulsed on and off with an electronic shutter. The peak power of a gated pulse is constant for any pulse duration and, thus, shorter duration pulses contain less energy. For this example, a 1 ms pulse with a peak power of 6 W will only contain 6 mJ of energy. In order to achieve 3 W of average power the diode laser must deliver 500 6 mJ pulses per second (500Hz). In contrast, the pulsed Nd:YAG and Er:YAG lasers can achieve very high peak powers with very short duration pulses. In this case, Nd:YAG and Er:YAG pulses are set at 150 µs duration. The Nd:YAG pulse contains 200 mJ of energy delivered at 20 Hz (4 W average and 1333 W peak powers). The Er:YAG power is limited by fiber transmission to 100 mJ, 20 Hz (2 W average and 667 W peak power).

For SIM1 the laser parameters at 810 nm and 1064 nm have been adjusted to maximize Pg destruction to a depth of 2 mm without surface damage. One can observe the accumulation of background heat with each pass over the tissue. As a result deeper colonies are affected as the ambient temperature

increases with each pass. It is observed that the diode and Nd:YAG lasers completely destroy the 1mm colonies on first pass and the 2 mm colony by the fourth pass.

At the end of SIM1 and in Fig. 5A the blue zones represent areas that reached  $60^{\circ}$  C and pink areas reached  $100^{\circ}$  C by the end of the simulation. The short 150  $\mu$ s pulses of the Nd:YAG laser demonstrate greater confinement of thermal damage to the target than the 1 ms pulses from the diode laser. The concept of selective photoantisepsis is well visualized in the video as "hot spots" within a much colder surround that appear where the bacterial colonies are (were) located.

The bottom Er:YAG laser panel shows selective removal of the soft tissue surface with very little deep collateral damage. The 2940 nm wavelength of the Er:YAG laser is highly absorbed by water ( $\mu_{\alpha}$  = 13,000 cm<sup>-1</sup>) and, thus, has a much shallower penetration depth than the diode or the Nd:YAG. Consequently, photons are absorbed at the surface and insufficient energy reaches the buried colonies. High absorption by water means explosive vaporization of the surface [41,42]. Also, there is no differential absorption since the pathogens and the surrounding tissues are both mostly water. Our simulations indicate that the Er:YAG wavelength will provide efficient surface disinfection; [43-47] however, simulations at this wavelength predict no selective photoantisepsis.

#### [View SIM 2 video here]

http://biomedicalconsultants.pub/power-diode/

#### SIM 2. Power series with the810nm diode laser.

Fig. 5B shows the damage zones resulting from 4 passes with an 810 nm diode laser with 1 ms gated pulses delivered at a rate of 500Hz. The fiber velocity is 1 cm/s. At 810 nm the 3W condition is optimal for selective destruction of Pg colonies down to 2 mm with other parameters remaining constant. Increasing power to 4 W increases depth of bacterial damage to 3 mm, but now the simulation predicts potential surface damage. In this example the bactericidal zone extends 1-2 mm below an undamaged surface or 1-2 mm beyond the margin of coagulated tissue. The simulation assumes darkly pigmented bacteria. At this wavelength less pigment in the bacteria will result in lower absorption [32].

#### [View SIM 3 video here]

http://biomedicalconsultants.pub/pathogen-abs/

## SIM 3. Pathogen absorption coefficient - influence on depth of pathogen damage.

There is considerable variance in the absorption characteristics of the myriad of pathogens that invade our tissues. SIM 3 illustrates how pathogen absorption has a significant effect on the depth of damage (Fig. 5C). Pg and Pi demonstrate only moderate absorption at 1064 nm ( $\mu_{aPg} = 7.7 \text{ cm}^{-1}$ ) compared to other pathogens tested. Ca is more sensitive with an ablation threshold of 5 J/cm² and an absorption coefficient,  $\mu_{aCa} = 75 \text{ cm}^{-1}$ . SIM 4 shows that the Ca colony can be destroyed down to 3-4 mm without surface damage. For a very sensitive pathogen with an  $\mu_a = 375 \text{ cm}^{-1}$  (e.g., Aspergillus fumigatus and Scytalidium dimidiatum) a 1 mm deep colony will vaporize on the first pass (not shown) so in the bottom panel pathogen colonies are moved to 3, 4, 5 and 6 mm. With these laser parameters the Sc colony at 5mm is totally destroyed and even the 6 mm deep colony is damaged.

A 5-6 mm depth of damage is demonstrated for non-periodontal pathogens. These values may also apply to certain other periodontopathogens. [48]

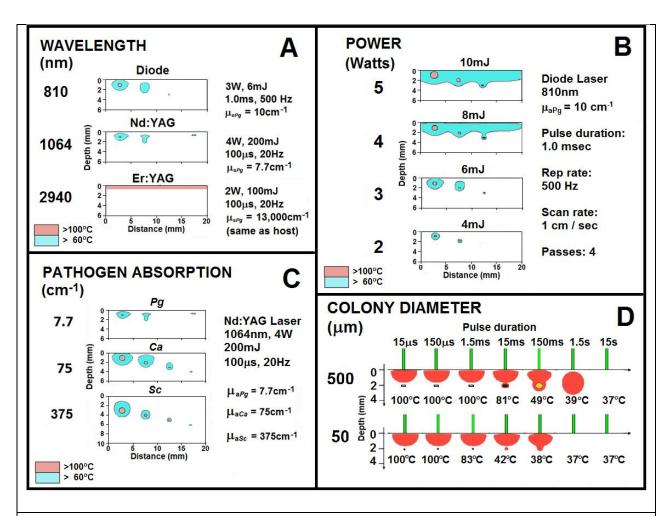


Fig. 5. Damage zones achieved during the simulations.

**A.** Different wavelengths damage zones from SIM1. The contours represent the temperatures achieved by the end of Pass 4. Blue = possible damage based on exposure duration; Pink = definite damage. The diode and Nd:YAG parameters have been optimized for selective destruction of pigmented *Pg* colonies. The Er:YAG demonstrates no selectivity.

- **B.** SIM 2 is an 810nm diode laser where average power level is varied from 2W to 4W. Shown here are the damage zones following 4 passes at a series of power levels that include an additional panel for the 5W response. The 3W panel is the optimized response shown in A. Increasing power level to 4W increases depth of pathogen damage from 2mm to 3mm but this also causes potential surface damage.
- C. The effect of pathogen absorption coefficient is examined in SIM 3. Identical laser parameters are applied in all three conditions. Shown are damage zones for three different pathogen species with different sensitivities to the 1064nm wavelength. Under these conditions *Pg* is destroyed down to 1-2mm, *Ca* to 3-4mm and *Sc* as deep as 5-6mm.
- D. Colony size determines thermal relaxation time. In this simulation a single pathogen colony ( $\mu_a$  = 75 cm<sup>-1</sup>) is positioned in the beam path 2mm below the surface. The fiber is stationary and a single 200mJ, 1064nm pulse of variable duration is delivered. Each row is a different colony diameter. The temperature achieved in the center of each colony is listed. The red contour is 42°C. Higher temperature contours were attained but are too small to show in the figure. Because the smaller target dissipates heat more rapidly it requires a shorter duration exposure to achieve thermal damage.

#### SIM 4. Pulse duration - Temporal selectivity based on target size (no video)

A short duration pulse from the Nd:YAG laser provides confinement of thermal damage to the pathogen colony. In SIM 5 (Fig. 5D) in a single pulse a constant amount of energy (200 mJ) is delivered over a variable duration so that peak power decreases as pulse duration increases. In a small target heat may be dissipated as rapidly as it accumulates and with long duration exposures it will reach an equilibrium temperature below damage threshold. A longer duration pulse allows more time for heat to spread to surrounding tissues and creates a larger zone of collateral damage. Exposures for very long durations with very low peak powers have virtually no effect.

This simulation provides an example of temporal selectivity. The pulse duration must be short enough to affect small targets [49]. Figure 5D shows for the parameter set tested that a typical colony size of  $50\mu m$  requires a pulse at least as short as  $150\,\mu s$  to achieve  $100^{\circ}C$ . A longer duration 1.5 ms pulse will only reach  $83^{\circ}C$ . Calculations indicate that an even smaller  $5\,\mu m$  pathogen cluster will require a  $15\,\mu s$  or shorter pulse. And, in order to be lethal to a lone 1- $\mu m$  spherical pathogen (e.g., [12,50]) the 200 mJ pulse needs to be delivered in less than  $1\,\mu s$ !

Thermal confinement and selective destruction from selective absorption and short pulses. One influence on selectivity is the differential absorption between bacteria and normal tissue. Both 810nm and 1064 nm wavelengths demonstrated this selectivity. Given the assumptions of the model we estimate a bactericidal zone that extends up to 6mm below an undamaged surface. If the laser procedure produces an ablated or coagulated surface then the bactericidal zone moves deeper into the tissue beyond the zone of coagulation.

There appears to be potential for high selectivity at 810 nm (Fig. 3). This wavelength will also target hemoglobin within the vasculature so the selectivity will be a result of the pathogen/surround concentration difference in hemin. We have modeled normal connective tissue, whereas, the pathogens often reside in inflamed tissue with a higher blood volume. Soft tissue background absorption at 810nm will be higher in the presence of inflammation. Although pathogens are modeled as if darkly pigmented, there must also be sufficient hemin availability *in vivo* to sensitize the pathogens. These factors will all influence estimates of selectivity at 810 nm. The efficacy of the 1064 nm wavelength appears to be independent of blood volume or pigmentation [32]. However, at any wavelength the efficacy of pathogen destruction is highly dependent on the absorption coefficient of the pathogen at that wavelength (Fig. 5C).

Another influence on selectivity is the thermal confinement achieved with high peak powers and short pulse durations [51]. Since it requires time for heat to dissipate into the surrounding tissues, a short duration pulse will limit this spread resulting in less collateral damage. The videos illustrated how the heat energy is initially concentrated at the surface, as well as in the buried pathogen colonies, and then dissipates into the surround. The volume of the tissue heated is important in calculations of heat dissipation. Different sized pathogen colonies will have different thermal relaxation times.

The heat may have relaxed from the colony in a short time, while the larger volume of normal tissue heated by the laser takes much longer to cool down. Even at low repetition rates (e.g, 2 Hz) calculations show that the surrounding tissue does not completely cool down between laser pulses [2]. Note that the definition of thermal relaxation time [49] is not the time to return to equilibrium but the time required to decrease by one-half from the maximum temperature. As a result, the tissues surrounding the pathogen colonies accumulate heat. Because of this continuous increase in ambient temperature during a laser procedure the deeper colonies can be destroyed with subsequent passes. For example in

SIM2/3W the 1mm colony is destroyed on the first pass but the 2mm colony is not destroyed until the fourth pass.

Irreversible thermal damage can be described for biomolecules like collagen, [52] but what constitutes destruction for an entire pathogen colony is less certain. Vaporization clearly means destruction. We assume pathogen death occurs within the  $100^{\circ}$ C contours in the simulations (although actual vaporization may not occur until higher temperatures). There is also a zone of cell death beyond this area where biomolecules that are essential to life have been irreversibly denatured (coagulated). For long duration exposures this zone may extend as far as the  $60^{\circ}$ C contours in the simulations. The extent of this damage zone is determined by several factors. The simulations illustrate how wavelength, scan speed, number of passes, power level, pulse duration, the absorption coefficient of the target pathogen and the differential absorption between pathogen and host tissue all can affect the amount of collateral damage and the "depth of kill."

#### Summary of the simulations.

It is evident how the tissue responses and efficacy can be quite different for the three commonly used dental lasers. The real-time videos clearly illustrate selective destruction of pathogen colonies several millimeters below an undamaged surface at both 810 nm and 1064 nm. Based on our measurements and calculations we predict that Pg and Pi can be damaged to a depth of 2-3 mm using appropriate laser parameters. This zone of antisepsis remains 1-2mm beyond the zone of laser-coagulated tissues. Some pathogens that are highly sensitive to 1064nm light can be destroyed up to 5-6 mm into soft tissue. Short duration pulses provide thermal confinement to the target and are required to be effective against very small targets.

#### **DISCUSSION**

## Simulations versus reality.

We have simulated the periodontal surgical procedure, laser sulcular debridement, to gain a more detailed understanding of dosimetry for selective photoantisepsis. The conditions have been simplified in order to explore the basic concepts. As a result, the simulations are idealized relative to real-life laser surgery.

In common practice the light energy from an 810 nm diode laser is converted into heat at the distal tip of the fiber by "initiating the tip," that is, collecting light absorbing debris on the tip [53]. During laser sulcular debridement with the pulsed Nd:YAG or Er:YAG, charred and coagulated tissues also accumulate on the fiber tip. These effects are not included in the calculations. The simulated motion is robotic with a constant velocity and does not accurately reflect the true motion of the surgeon's hand. The simulated shape of the periodontal pocket is symmetrical and conditions are constant, whereas the actual surgical environment is irregular and dynamic with rapidly changing conditions that require immediate clinical judgment.

Clinical utility of selective photoantisepsis. The presence of pathogenic organisms is considered to be necessary to the progression of periodontitis. *Pg* and *Pi* have been established as pathogenic bacteria involved in the periodontal disease process. [54-59]. *Pg* and *Pi* are pigmented, gram-negative, motile anaerobic bacteria known to cause tissue destruction by release of proteinases [60-62] and lipopolysaccharides [63-65]. They are known to adhere to and enter epithelial cells [4,15,54,66-69]. Intracellular bacteria can evade host immune effectors and antibiotics commonly used to treat infection. Subgingival pathogenic bacteria tend to colonize stagnant ecological niches, or privileged sites [54] such as surface irregularities that favor retention and growth [61]. They are also known to colonize calculus

and cementum, and penetrate into dentinal tubules and lacunae. [10,70,71] *Pg* is mostly concentrated attached to the biofilm surface, [12] although it has been identified migrating far as 2-mm into the dentinal tubules [10,].

These bacterial reservoirs are sources for recolonization of treated root surfaces. Since they are not eliminated by conventional mechanical treatment, it has become appropriate to combine mechanical periodontal therapy with the use of chemical antibiotics [12]. Antimicrobials may help to reduce the number of microorganisms within the periodontal pocket to levels lower than may be achieved with scaling alone but have limited access to privileged sites. Tetracycline and chlorhexadine have been shown to not penetrate much past the cemento-dentin junction [72,73] and intra-root canal antibiotic irrigates penetrate only a few 100 microns into the surrounding dentin [74]. Chemical antibiotics have additional disadvantages that include: potential allergic reactions [75], systemic side effects [76] and possible induction of drug resistance [77-83].

The laser mode of antisepsis is currently an uncontrolled consequence of many dental laser procedures. With proper dosimetry it can be developed for specific applications. Selective photoantisepsis may have several advantages over traditional antibiotics in pocket disinfection: (1) a therapeutic dose can be delivered to a greater depth immediately and leaves no residual concentration in the host or environment; (2) laser radiation affects equally extracellular and intracellular pathogens and can access other privileged sites such as calculus and dentinal tubules; (3) photoantisepsis has no known negative systemic side-effects, or interactions with other modes of therapy. The technique of selective photoantisepsis described for the periodontal pocket has potential applications in root canal antisepsis [84-86] and other medical fields [278,87,88].

#### **CONCLUSION**

Scatter-limited phototherapy is used to demonstrate that the 810 nm diode laser and the 1064 nm Nd:YAG can have a bacterical effect during laser sulcular debridement. In our simplified model, this effect could be 2 or more mm below the surface. The selective photoantisepsis of these lasers spares most of the PL and adjacent tissues while selectively destroying the pathogen colonies.

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