

BLUESTONE CAPITAL PARTNERS, LLC

BUSINESS CONTINUITY PLAN SUMMARY

Bluestone Capital Partners has a comprehensive Business Continuity Plan (“BCP”) that addresses possible significant or material internal or external business disruptions (“SBD”) so that staff can effectively mobilize their efforts and respond in the event of an emergency. The Chief Compliance Officer will periodically assess the changes in the Firm's exposure to operational risk and financial and credit risk and make the appropriate changes to this BCP as each assessment dictates. Customers may obtain updated summaries by requesting a written copy by mail. The following is a summary of Bluestone’s Plan:

I. Primary Office Location and Contact

1650 Tysons Blvd.
Suite 1530
McLean, VA 22012
(703) 462-5600
info@bluestonecapitalpartners.com

II. Firm Goals

The Firm's goal is, first and foremost, to safeguard the lives of its personnel. The secondary goals are to (a) safeguard the Firm's property, including regulatory books and records, (b) assure that customers can consummate M&A deals or private placement transactions, and (c) restore normal business operations.

III. Data Backup and Recovery

All business-related electronic records will be backed up and stored in a remote location that can be accessed at any time by the Firm should an SBD cause the primary hard copy and electronic records to be inaccessible or destroyed.

IV. Business Constituents

We have contacted our critical business constituents (businesses with which we have an ongoing commercial relationship in support of our operating activities, such as vendors providing us critical services), and determined the extent to which we can continue our business relationship with them in light of an internal or external SBD. We will establish alternative arrangements if a business constituent can no longer provide the necessary goods or services on a timely basis during an SBD affecting them or us.

V. Alternate Business Location

If an SBD causes unsuitable conditions at the main office, the Firm has designated alternate business locations. Alternate business locations are determined by the severity of the SBD and categorized by geographic proximity to the main office; immediate vicinity, approximately one hour from Bluestone’s main office, and outside of the region.