Welcome to the Fall 2019
Stock Market Experience™
Mentored Investment Challenge
September 9, 2019 – November 22, 2019

Economic Literacy Colorado Mission
We prepare students with the economic and personal finance skills to effectively participate as responsible and productive members of our free enterprise system.

Stock Market Experience
The Stock Market Experience is a robust online, real-time investment simulation provided by the Economic Literacy Colorado to students and teachers throughout Colorado.

The Mentored Investment Challenge
The Mentored Investment Challenge connects financial professionals (mentors) with students and teachers who are participating in the Stock Market Experience™. The Mentored Investment Challenge was developed to help students gain a deeper understanding of various types of investments and portfolio management, deepen personal financial literacy, and promote collaboration between financial professionals and Colorado students and teachers.

Objectives
• **Students** participating in the Mentored Investment Challenge will:
  o Utilize the Stock Market Experience platform.
  o Analyze market trends.
  o Collaborate with team members and mentor to choose a variety of investments.
  o Create a diversified portfolio.
  o Evaluate investment performance.

• **Mentors** participating in the Mentored Investment Challenge will:
  o Meet (in person or virtually) with student teams to discuss various types of investments a minimum of one time per week.
  o Provide support and guidance for investments and portfolio management.
  o Assist teams in analyzing market trends and investment performance.
• **Teachers** participating in the Mentored Investment Challenge will:
  o Communicate with the mentor regarding topics to be covered.
  o Be an active participant in developing the mentor-mentee relationship.
  o Collect the appropriate data and report back to the SME staff.

**Expectations**

• **Students:**
  o Will be respectful of the mentor by listening to their advice and asking appropriate questions.
  o Work collaboratively with teammates to develop a top-performing portfolio.
  o Are encouraged to write a thank you note to their mentors for their time and effort.

• **Mentors:**
  o Will be assigned to one Investment Team of 3 to 5 students.
  o Agree to volunteer their time and financial expertise and will not ask for compensation for time spent on this program.
  o Will act in an advisement role and will not require the purchase or sale of any investment.
  o Will work collaboratively with the classroom teacher to determine what additional support and financial information will be presented to the team/class.
  o Will provide appropriate feedback as listed on the Monitoring and Evaluation schedule.
  o Will report any issues to the SME Coordinator.

• **Teachers:**
  o Will communicate with the mentor each week and will discuss how the meeting shall be structured, what topics will be covered, and who will present the information with the mentor on a weekly basis the method of meeting (in person or virtual), topics to be covered. Weekly lesson plans will be available for use through the SME website.
  o Are expected to remain with the student teams when the coach is working with the students.
  o Will work collaboratively with the classroom teacher to determine what additional support and financial information will be presented to the team/class.
o Will act in an advisement role and will not mandate the purchase or sale of any investment.

o Will provide appropriate feedback as listed on the Monitoring and Evaluation schedule.

o Will report any issues to the SME Coordinator.

### Monitoring and Evaluation

<table>
<thead>
<tr>
<th>Date/frequency</th>
<th>Person(s)</th>
<th>Task</th>
<th>Data collection method</th>
</tr>
</thead>
<tbody>
<tr>
<td>By the end of the first week (September 13)</td>
<td>Classroom Teacher</td>
<td>Administer grade appropriate pre-test</td>
<td>Item analysis for pre and post test data form.</td>
</tr>
<tr>
<td>Sept. 9 – November 22</td>
<td>Mentor and Investment Team</td>
<td>Multiple periodical meetings with mentors and teams. Dates and means of communication will be agreed upon by the teacher and the mentors.</td>
<td>Notes/agenda regarding meetings</td>
</tr>
<tr>
<td>*Week of October 14</td>
<td>Mentors, Teachers, and Teams</td>
<td>Complete a brief survey regarding progress of program</td>
<td>Survey provided by SME</td>
</tr>
<tr>
<td>*Week of November 18</td>
<td>Teachers</td>
<td>Administer grade appropriate post-test</td>
<td>Item analysis for pre and post test data form.</td>
</tr>
<tr>
<td>Week of November 18</td>
<td>Mentors, Teachers, and Teams</td>
<td>Complete brief survey reflecting on the Mentored Investment Challenge and recommendations</td>
<td>Survey provided by SME</td>
</tr>
</tbody>
</table>

*Dates are flexible based on individual school schedules.

### Specifics

- Teams must be enrolled in the Stock Market Experience™ Mentored Investment Challenge.
- Teams of a **minimum of 3 but no more than 5 students per team** will be selected to participate in the Mentored Investment Challenge.
- Availability and location of mentors will determine the placement of mentors in the classroom.
• The number of teams each school is allowed depends on the number of mentors that are available. Teachers may sign up 1 or more teams.
• Classes will be divided into teams of 3 – 5. Teams in a single class will be paired with 1 mentor or a team of 2 mentors.
• Mentors and teams will work together to manage investment portfolios.
• Mentors and teams will meet throughout the ten week session (virtually or in person) to discuss investment strategies.
• There are two grade level distinctions:
  o Students in grades 3 – 8 will compete in Middle and Elementary School Division
  o Students in grades 9 -12 will compete in High School Division
• The three teams with the highest return-on-investment percentage at the close of business on the last day of the competition will be awarded cash prizes.

Trading Parameters

• Teachers of the teams with the highest return-on-investment percentage at the close of business on the last day of the competition will be awarded cash prizes (not to exceed $100.00)
• Teams and mentors will abide by the trading parameters set up by the Stock Market Experience™. These can be found under the “My Portfolio” tab and then under “Account Balances”

<table>
<thead>
<tr>
<th>Overall Trading Parameters</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Account Currency</strong></td>
</tr>
<tr>
<td><strong>Initial Cash</strong></td>
</tr>
</tbody>
</table>
## Prizes

The top three portfolios with the highest return-on-investment percentage at the close of business on the last day of the competition will be awarded cash prizes as follows:

<table>
<thead>
<tr>
<th>Division</th>
<th>1st Place</th>
<th>2nd Place</th>
<th>3rd Place</th>
</tr>
</thead>
<tbody>
<tr>
<td>High School Grades 9 – 12</td>
<td>$100</td>
<td>$50</td>
<td>$25</td>
</tr>
<tr>
<td>Middle and Elementary School Grades 3 – 8</td>
<td>$100</td>
<td>$50</td>
<td>$25</td>
</tr>
<tr>
<td>Teachers of winning teams Not to exceed $100 total</td>
<td>$100</td>
<td>$50</td>
<td>$25</td>
</tr>
</tbody>
</table>

### Mentored Investment Challenge Awards for Students and Teachers

<table>
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<tr>
<th>Division</th>
<th>1st Place</th>
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<td>$50</td>
<td>$25</td>
</tr>
</tbody>
</table>

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## Equity Trading Rules

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<thead>
<tr>
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</thead>
<tbody>
<tr>
<td>Stock Position Limit</td>
<td>25%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Stock Commission</td>
<td>$10.00 Per Trade</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Minimum Stock Short Price</td>
<td>$5.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Commission</td>
<td>$10.00 per Trade</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Equity Margin</td>
<td>100%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Minimum Marginable Stock Price</td>
<td>$5.00</td>
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<td></td>
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</tbody>
</table>

## Cash Rates

<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
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<tbody>
<tr>
<td>Interest Earned rate</td>
<td>3%</td>
<td></td>
</tr>
<tr>
<td>Interest Charged rate</td>
<td>8%</td>
<td></td>
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</tbody>
</table>

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## Trading Dates

<table>
<thead>
<tr>
<th>Event</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Trading Start date</td>
<td>09/24/2018</td>
</tr>
<tr>
<td>Trading End date</td>
<td>11/16/18</td>
</tr>
</tbody>
</table>

## Trading Parameters

- Maximum Trades allowed: 300
- Day trading allowed: No
- Short Selling Allowed: Yes
- Stock Position Limit: 25%
- Stock Commission: $10.00 Per Trade
- Minimum Stock Price: $5.00
- Allow Trading on Margin: Yes
- Options Commission: $10.00
- Mutual Fund Commission: $10.00
- Bonds Commission: $10.00
- Futures Commission: $10.00
- Future Options Commissions: $10.00
- Options Position Limit: 10%
- Mutual Funds Position Limit: 25%
- Bonds Position Limit: 25%
- Futures Position Limit: 10%
- Future Options Position Limit: 10%
Thank you for participating in the Mentored Investment Challenge! Please let me know if you have any questions.

Brian Le Blanc  
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SME Program Coordinator

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