

Urban Financial Advisory Corporation Privacy Policy

This notice describes Urban Financial Advisory Corporation's privacy policy and is being distributed in compliance with the Financial Services Modernization Act passed in 2000. This summary of our privacy policy will be provided to clients annually in compliance with recently enacted federal law.

Data Collected and Maintained

In the course of providing investment supervisory services, financial planning advice and income tax planning and compliance services, Urban Financial Advisory Corporation (UFAC) collects an array of personal and financial information from its clients. Such information includes, but is not limited to, personal data such as names, addresses, dates of birth and social security numbers and financial data such as account balances information, net worth data, cash flow information, tax information including returns, and estate planning information including testamentary documents. All such data is provided directly by the client to the corporation on account applications, tax, financial and estate planning questionnaires, income tax organizers and through meetings and conversations with clients. Also, clients may provide authorization to third parties such as employing companies, plan sponsors or custody agents to provide information directly to UFAC on their behalf.

This information is maintained in both electronic and written form at Urban Financial Advisory Corporation's offices. Employees access this information only when needed to provide tax and financial services, including investment policy implementation, tax return preparation and related financial services or for regulatory purposes. Such information may be provided to third parties only as a means of corroborating or updating existing information such as that an account has been established or a transaction is being executed for the correct client and/or account or for regulatory purposes. Information shared in this way is generally already in the possession of the third party. UFAC has established policies and procedures to safeguard all data collected and maintained. Employees, as a condition of employment, are required to comply with established policies and procedures as well as maintain strict confidentiality as it relates to this information.

Privacy Policy

UFAC does not share or sell client personal or financial information with any third parties other than as specifically described above. UFAC is an independent financial advisory firm and thus has no related entities with which to share client information.

Further Information

For additional information regarding our privacy policy, please contact us at (312) 379-0150.

Urban Financial Advisory Corporation
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