Equivalence principle, de-Sitter space, and cosmological twistors

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March 23, 2023

Abstract

I discuss the impact of the positive cosmological constant on the interplay between the equivalence principle in general relativity, and the rules of quantum mechanics. At the non-relativistic level there is an ambiguity in the definition of a phase of a wave function measured by inertial and accelerating observers. This is the cosmological analogue of the Penrose effect, which can also be seen as a non-relativistic limit of the Unruh effect. The symmetries of the associated Schrödinger equation are generated by the Newton–Hooke algebra, which arises from a non-relativistic limit of a cosmological twistor space.


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1 Introduction

According to the historical account [3], along the inverse square law of gravitation Newton also considered gravitational forces between two bodies which vary linearly with the distance. A superposition of these two forces results from a radial potential

\begin{equation}
V = A/r + Br^2.
\end{equation}

This, with $B = 0$ and $A = -GM$ where $G$ is the gravitational constant, is the gravitational potential outside a sphere of mass $M$. If $A = 0$ and $B$ is positive then $V$ gives the Hooke law of elasticity. We will be referring to $V$ of the form (1.1) as the Newton–Hooke potential even is $B$ is allowed to be negative.

The current observational evidence is that the Universe is in a stage of accelerated cosmic expansion in agreement with the presence of a very small positive cosmological constant $\Lambda$. The Newtonian potential resulting from a combination of this cosmological term with the inverse square law is of the form (1.1) with $B = -\frac{1}{2}\omega^2$. This arises by taking a non–relativistic limit of the Schwarzschild–de Sitter metric, where the speed of light $c \to \infty$ and the cosmological constant $\Lambda \to 0$, but $\omega^2 \equiv \frac{1}{2}c^2\Lambda$ remains finite.

The aim of this essay is to discuss the impact of the cosmological term (however small it may be) on the interplay between the equivalence principle in general relativity, and the rules of quantum mechanics. If $\Lambda = 0$, and the gravitational field is uniform, then the Schrödinger equation can either be considered with a linear potential, or in the free falling frame with zero potential. The two frames are related by a coordinate transformation quadratic in time, and the corresponding wave functions differ by a phase factor with a cubic dependence on time. This non–linear time dependence in the phase is also present for non–uniform fields [7], and poses a problem for the standard QFT framework, as it leads to ambiguities in a definition of positive and negative frequency if a quantum superposition of two massive objects is considered [19]. In §3.1 we will demonstrate that this ambiguity arises as a non–relativistic limit of the Unruh effect [22]. With $\Lambda > 0$, the analog of the uniform gravitational field leads to the Schrödinger equation with a
non–isotropic reversed harmonic oscillator potential. The phase ambiguity has an effect of shifting the cosmological horizon between an inertial and an accelerating observer.

Our computational tool in §3 is the Eisenhart approach [11, 8] which allows to deduce the nonrelativistic mechanics in 3 space and 1 time dimensions with a potential (1.1) from the properties of a curved plane wave metric in (4, 1) dimensions. In this framework the classical trajectories are the projections of null geodesics, and the quantum wave functions correspond to complex solutions of the wave equation which scale with a constant factor under a null translation. The case $B = 0$ with large $r$ leads to a flat $pp$–wave and explains the cubic–in–time phase ambiguity [9, 5, 7]. If instead $A = 0$ the potential corresponds to the non–relativistic limit of the de–Sitter space. The $pp$–wave metric is then conformally flat, and a transformation to the Beltrami coordinates leads a non–unitary transformation between a Schrödinger wave function of a free particle, and that of a a particle moving in a reversed isotropic oscillator potential. The general case corresponds, for large $r$, to the $pp$–wave of constant curvature, and a non–isotropic reversed oscillator.

Finally in §4 we discuss the cosmological twistor space of the de Sitter space, and show how the Newton–Hooke algebra arises from a non–relativistic limit in terms of holomorphic vector fields in the twistor space.

2 Schwarzchild–de–Sitter and its non–relativistic limit

The (3 + 1) dimensional de–Sitter space is the maximally symmetric Lorentzian manifold with positive scalar curvature which arises as a one–sheeted hyperboloid in the (4 + 1)–dimensional Minkowski space. There are several coordinate forms of de–Sitter metric, and the one relevant for our considerations is

$$ds^2 = c^2 f dt^2 - f^{-1} dr^2 - r^2 h_{S^2}, \quad \text{where} \quad f = 1 - \frac{\Lambda r^2}{3} \quad (2.2)$$

and $h_{S^2}$ is the round metric on a unit two–sphere. The static coordinates $(r, t)$ only cover a part of de–Sitter space bounded by the cosmological horizon $r = \sqrt{3/\Lambda}$. The regions outside this horizon can not be probed by a single observer. This cosmological horizon
is observer-dependent, which will play a role below, when we argue that this leads to ambiguities in the quantum phase once the principle of equivalence is taken into account.

To formulate a non–relativistic quantum mechanics in the de-Sitter space one needs to take its non–relativistic limit. We will do it for the more general case of the Schwarzchild-de-Sitter metric, which is still of the form \( f = 1 - \frac{2M}{c^2 r} - \frac{\Lambda r^2}{3}, \) where \( M \) a non–negative constant. The Schwarzchild metric has \( \Lambda = 0, \) and the de-Sitter metric has \( M = 0. \) The limit we are going to consider corresponds to simultaneously taking \( c \to \infty \) and \( \Lambda \to 0, \) but such that the combination

\[ \omega^2 \equiv \frac{\Lambda c^2}{3} \]

is fixed, and remains finite. The current observational value of the cosmological constant gives \( \omega^2 \sim 10^{-35} \text{s}^{-2}. \) The metric \( (2.2) \) blows up in the limit, but the Christoffel symbols stay finite, with the only non–zero components given by \( \Gamma^t_{tt} = \delta^{ij} \partial_k V, \) where

\[ V = -\frac{GM}{r} - \frac{1}{2} \omega^2 r^2 \quad (2.3) \]

is the Newton–Hooke potential \( (1.1), \) where \( (A, B) \) have now been fixed.

### 3 Quantum phase

The de-Sitter space does not admit a globally defined time–like Killing vector. Any generator of the de Sitter group \( SO(1, 4) \) has to be space–like in some regions, for example close to the space–like conformal infinities. This leads to problems with conventional formulations of quantum mechanics, as stationary states can not be globally defined. Additional problems result from the existence of cosmological horizon which is observer dependent. This, as argued by Gibbons and Hawking \[13], rules out the existence of the \( S \)-matrix. We shall consider another set of difficulties which result from applying the
equivalence principle to a quantum particle moving in a uniform gravitational field.

A convenient tool in making coordinate transformations between different frames of reference in the Schrödinger equation is the Eisenhart metric in \((4 + 1)\) dimensions

\[
G = 2dudt + 2\frac{V(x,t)}{m}dt^2 - d\mathbf{x} \cdot d\mathbf{x}
\]

where \(m\) is a constant with the dimension of mass. The Schrödinger equation then arises from the complex solution \(\phi\) of the wave equation on \(G\):

\[
\text{If } \phi(u, t, \mathbf{x}) = e^{-\frac{i m u}{\hbar}} \psi(t, \mathbf{x}), \text{ then } \Box_G \phi = 0 \iff i \hbar \frac{\partial \psi}{\partial t} = -\frac{\hbar^2}{2m} \Delta \psi + V \psi. \tag{3.5}
\]

The uniform gravitational field

If \(V = -mg \cdot \mathbf{x}\) then the Eisenhart metric is flat, and in the flat coordinates

\[
T = t, \quad U = u - tg \cdot \mathbf{x} + \frac{1}{6} |g|^2 t^3, \quad X = x - \frac{1}{2}gt^2 \tag{3.6}
\]

it takes the form \(G = 2dUdT - dX^2\). Expressing a solution of the wave equations in both coordinate systems \(\phi = e^{-\frac{i m U}{\hbar}} \Psi(X, T) = e^{-\frac{i m U}{\hbar}} \psi(x, t)\) yields

\[
\psi(x, t) = \Theta(x, t)\Psi\left(x - \frac{1}{2}gt^2, t\right), \quad \text{where } \Theta = e^{-\frac{im}{\hbar} \left(e^{\frac{3t^2}{6}} - t^2\right)}. \tag{3.7}
\]

The function \(\Psi(X, T)\) satisfies the free Schrödinger equation, and is related to \(\phi\) by a unitary transformation, but the phase \(\Theta\) depends on \(t\) in the non–linear way \([9,5,7]\).

Pure cosmological term

If \(V = -\frac{1}{2}m\omega^2r^2\), then the Eisenhart metric is conformally flat, and takes the form \([14]\)

\[
G = \Omega^2(2dUdT - dR^2 - R^2 h_{S^2}), \quad \text{where } \Omega^2 = \frac{1}{1 - \omega^2 T^2}, \quad \text{and}
\]

\[
T = \frac{1}{\omega} \tanh(\omega t), \quad R = \frac{1}{\cosh(\omega t)} r, \quad U = u - \frac{1}{2} \omega r^2 \tanh(\omega t). \tag{3.8}
\]
Let $\psi$ be a solution of the Schrödinger equation with the reversed isotropic harmonic oscillator potential $V$ in the $(r, t)$ coordinates. Therefore (3.5) is in the kernel of the wave operator of $G$. The conformal invariance of the wave operator in dimension 5 implies that $\Phi = \Omega^{3/2}\phi$ satisfies the wave equation on the flat space time with the flat metric $\hat{G} = \Omega^{-2}G$. Writing $\Phi = e^{-imU/\hbar}\Psi(X, T)$, and using (3.8) we find a non-unitary transformation (a hyperbolic version of the Niederer transformation [17]) between a wave function in a reversed harmonic oscillator potential, and that of a free particle

$$\psi(r, t) = (\cosh \omega t)^{-3/2}\Theta(r, t)\Psi(R, T), \quad \text{where} \quad \Theta = e^{im(1/2)\omega r^2 \tanh \omega t}.$$ 

**Uniform gravitational field on cosmological background**

Consider the Newton–Hooke potential (2.3) and expand it, up to quadratic terms, around the point $x_0 = (0, 0, R)$ in a coordinate $z = k \cdot x$, where $k = (0, 0, 1)$ defines the direction of the uniform field. Truncating the expansion at the quadratic order, completing the square and shifting $z$ to a new coordinate $Z$, yields $V = V_0 - \frac{1}{2}K^2Z^2$, where $V_0$ is a constant, and $K^2$ is modified from $\omega^2$ by the presence of the mass $M$. At the level of the Eisenhart metric (3.4) the constant term in the potential can be eliminated by a time-dependent translation of $u$, which yields a non–isotropic oscillator metric

$$G = 2dUdT - K^2Z^2dT^2 - dZ^2 - dX^2 - dY^2.$$ 

This metric is not conformally flat, but it has a constant curvature. At the level of the Schwarzschild–dS space the cosmological horizon has been shifted. This, as discussed in [13] (see also [4]), leads to an ambiguity in a definition of a Hilbert space which is observer dependent. In our work this manifests itself in the ambiguity of quantum phase.

### 3.1 The Penrose effect from the Unruh effect

Let us go back to the uniform gravitational field with $\Lambda = 0$. We will show that the coefficient of $t^3$ in the phase ambiguity (3.7) is related to the surface gravity in the Rindler
horizon of an accelerating observer, and argue that the phase-shift is a non–relativistic counterpart of non–uniqueness of vacuum in the Unruh effect [22].

The Kottler-Möller transformation

\begin{align}
T &= -\frac{1}{c} \left( z - \frac{c^2}{\gamma} \right) \sinh \left( \frac{\gamma t}{c} \right), \\
X &= x, \quad Y = y, \quad Z = \left( z - \frac{c^2}{\gamma} \right) \cosh \left( \frac{\gamma t}{c} \right) + \frac{c^2}{\gamma}, \quad \text{where} \quad \gamma = \text{constant}
\end{align}

applied to the Minkowski metric $c^2 dT^2 - dX^2 - dY^2 - dZ^2$ pulls it back to

\begin{equation}
g = \frac{(c^2 - \gamma z)^2}{c^2} dt^2 - dx^2 - dy^2 - dz^2
\end{equation}

with the Killing horizon at $z = c^2/\gamma$, and the surface gravity $\kappa = \gamma/c$. The quantisation of the scalar field $F$ satisfying the massive Klein–Gordon equation

\begin{equation}
\Box F = \frac{m^2 c^2}{\hbar^2} F
\end{equation}

on the $g$–background involves using the Killing vector $\partial_t$ of the accelerating observer to define the positive and negative frequency modes. These modes will differ from those obtained on the Minkowski background w.r.t the Killing vector $\partial_T$ of the inertial observer. Computing the Bogoliubov transformation between the two set of modes shows that the particle number is observer dependent. A vacuum state of the inertial observer corresponds to particle creation w.r.t the accelerating observer moving along the world-lines generated by $\partial_t$. This is the Unruh effect [22].

Now consider the non–relativistic limit of (3.10), and apply the limiting procedure to solutions of the Klein–Gordon equation (3.11). The metric blows up in the limit $c \to \infty$ but its Christoffel symbols stay finite

\begin{align}
\Gamma_{tz}^t &= \Gamma_{zt}^t = \frac{\gamma}{c^2 - \gamma z}, \\
\Gamma_{tt}^z &= -\frac{(c^2 - \gamma z)\gamma}{c^2}
\end{align}

The Newtonian connection $\Gamma_{tt}^z = -\gamma$ resulting from the $c \to \infty$ limit is the gradient
of the potential corresponding to the uniform gravitational field. Making the ansatz
\[ F = e^{-imc^2t/\hbar}\psi(z, t) \] gives, in \( c \to \infty \) limit, the Schrödinger equation
\[ i\hbar \frac{\partial \psi}{\partial t} = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial z^2} - m\gamma z\psi. \]

The wave function \( \psi \) is unitary equivalent to that of the free Schrödinger equation, but
with a non-linear phase shift (3.7). This, as we have already discussed, also leads to the
ambiguities in the negative/positive frequency decomposition. Moreover the \( c \to \infty \) limit
of the Kottler-Möller transformation (3.9) is (3.6). Thus the Penrose effect described in
[19] is a non-relativistic limit of the Unruh effect [22].

4 Twistor realisation of the Newton–Hooke group.

The de–Sitter space is conformally flat, and its twistor space coincides with that of the
Minkowski space [18, 21]. The difference lies in fixing the conformal scale, and at the
twistor level can be seen by considering the Klein correspondence: A line in the projective
twistor space \( \mathbb{CP}^3 \) with homogeneous coordinates \([Z^\alpha], \alpha = 1, \ldots, 4\) is represented by two
points (twistors) \( X \) and \( Y \) on this line, or by a bi–vector defined up to an overall scale
\( P^{\alpha\beta} = X^\alpha Y^\beta - X^\beta Y^\alpha \) which is simple, i. e.

\[ \epsilon_{\alpha\beta\gamma\delta} P^{\alpha\beta} P^{\gamma\delta} = 0. \] (4.12)

The space of lines is the Klein quadric \( Q \), given by (4.12), in the projective space \( \mathbb{CP}^5 \).
This gives an identification between \( Q \) and the complexified, compactified Minkowski
space: Lines in \( \mathbb{CP}^3 \) correspond to points in \( Q \), and points in \( \mathbb{CP}^3 \) correspond to holomorphic 2-planes in \( Q \) (called the \( \alpha \)--planes) given by the locus of points \( P^{\alpha\beta} \) such that
\[ \epsilon_{\alpha\beta\gamma\delta} Z^\alpha P^{\beta\delta} = 0. \]
The conformal class on \( Q \) is defined by declaring two simple bi–vectors \( P \) and \( Q \) to be null separated, if the corresponding lines intersect at a point in the twistor
space. For any fixed \( P \) this condition defines a tangent plane to \( Q \) which intersects \( Q \) in
a cone. This is the quadratic condition for the light–cone of \( P \) in the complexified and
compactified Minkowski space.

To select a metric of constant curvature in this conformal class pick a bi–vector $I$, from now on called the infinity twistor, which does not belong to $Q$. Then, for any two points $P, Q$ in $Q$, there exists the unique plane in $\mathbb{CP}^5$ containing $I$, and these two points. This plane intersects the polar hyper–plane of $I$ in projective line, which in turn meets the Klein quadric $Q$ in two points, $A$ and $B$. The distance between $P$ and $Q$ is then defined by the cross ratio of four points [16].

$$d(P, Q) = \frac{1}{2} \ln|P, Q, A, B|.$$ 

We represent the infinity twistor $I$ as a contact one–form

$$\theta = \frac{1}{2} \epsilon_{\alpha\beta\gamma\delta} I^{\gamma\delta} Z^\alpha dZ^\beta = \pi_A' d\pi^A - \Lambda \omega_A d\omega^A$$ (4.13)

where, in Penrose’s two-component spinor notation [18], $[Z] = [\omega^0, \omega^1, \pi_0', \pi_1']$. The action of the conformal group $SL(4, \mathbb{C})$ on the complexified and compactified Minkowski space $M_\mathbb{C} = Q$ maps $\alpha$–planes to $\alpha$–planes. Thus it extends to a holomorphic projective action on the twistor space $\mathbb{CP}^3$ generated by the linear vector fields take the form

$$K = \phi_B^A \omega^B \frac{\partial}{\partial \omega^A} + \phi^A_\omega \pi_A' \frac{\partial}{\partial \pi_A'} + P^{AA'} \pi_A' \frac{\partial}{\partial \omega^A} + B_{BA'} \omega^B \frac{\partial}{\partial \pi_A'} + C \left( \pi_A' \frac{\partial}{\partial \pi_A'} - \omega^A \frac{\partial}{\partial \omega^A} \right).$$ (4.14)

Here $P^{AA'}$ generates translations, the symmetric spinors $\phi_{AB} = \phi_{(AB)}$ and $\phi_{A'B'} = \phi_{(A'B')}^\prime$ are ASD and SD parts of the rotation $M_{ab}$, the scalar $C$ is the dilatation, and $B^{AA'}$ generate special conformal transformations. The subgroup of $SL(4, \mathbb{C})$ preserving the non–simple de–Sitter infinity twistor $I = d\theta$ is the complexified de-Sitter group $SO(5, \mathbb{C})$.

The condition $\mathcal{L}_K \theta = 0$ gives $B_{AA'} = \Lambda P_{AA'}$, and $C = 0$ which reduces the 15 parameters down to 10. In what follows, we shall perform the Inönü–Wigner contraction of the twistor de–Sitter Lie algebra to the the Newton–Hooke algebra [2] where $c \to \infty, \Lambda \to 0$, and $\omega^2 = \frac{1}{2} c^2 \Lambda$ is fixed.

We will first recall the construction of a non-relativistic twistor space for the flat
Newtonian space time \([6, 1]\), and then introduce the non–relativistic infinity twistor. The starting point is to consider family of relativistic projective twistor spaces \(PT_c = \mathbb{CP}^3 \setminus \mathbb{CP}^1\) parametrised by the speed of light \(c\).

Let \(T^{AA'} = \frac{1}{\sqrt{2}} (\sigma^A \sigma^{A'} + \iota^A \iota^{A'})\) be a unit vector in \(M_C\) defining a 3 + 1 split, and let \(U\) and \(\tilde{U}\) be open sets on \(PT_c\) corresponding to \(\pi_1' \neq 0\) and \(\pi_0' \neq 0\) respectively. We use \(T^{AA'}\) to define inhomogeneous coordinates \((Q, T)\) and \((\tilde{Q}, \tilde{T})\) on \(U\) and \(\tilde{U}\) in \(PT_c\) by

\[
\begin{align*}
Q &= 2 T^{AA'} \omega^A \pi_A' \pi_1', \quad T = \frac{\sqrt{2}}{c} \omega^1 \pi_1', \quad \lambda = \frac{\pi_0'}{\pi_1'}, \\
\tilde{Q} &= 2 T_{\lambda}^{AA'} \omega^A \pi_A', \quad \tilde{T} = \frac{\sqrt{2}}{c} \omega^0 \pi_0', \quad \tilde{\lambda} = \frac{\pi_1'}{\pi_0'}
\end{align*}
\]

with the patching relation on \(U \cap \tilde{U}\)

\[
\tilde{\lambda} = \frac{1}{\lambda}, \quad \begin{pmatrix} \tilde{T} \\ \tilde{Q} \end{pmatrix} = F_c \begin{pmatrix} T \\ Q \end{pmatrix} \quad \text{where} \quad F_c = \begin{pmatrix} 1 & -(c\lambda)^{-1} \\ 0 & \lambda^{-2} \end{pmatrix},
\]

and the twistor/space–time incidence relation

\[
Q = -(x + iy) - 2\lambda z + \lambda^2 (x - iy), \quad T = t - \frac{1}{c} (z - \lambda(x - iy)).
\]

For finite, and non–zero \(c\) there exist \(H(\lambda), \tilde{H}(\tilde{\lambda}) \in GL(2, \mathbb{C})\) such that \(F_c = \tilde{H} \ diag(\lambda^{-1}, \lambda^{-1}) \ H^{-1}\).

For \(c = \infty\) we have \(F_\infty = \ diag(1, \lambda^{-2})\). Therefore, in the limit, the holomorphic type of the twistor lines jumps, and

\[
PT_c = \mathcal{O}(1) \oplus \mathcal{O}(1) \quad \text{for} \quad c < \infty \quad \text{and} \quad PT_\infty = \mathcal{O} \oplus \mathcal{O}(2).
\]

Expressing the infinity twistor \([4.13]\) in the twistor coordinates \((Q, T, \pi_A')\) gives

\[
\theta = \left(1 - \frac{1}{2} \Lambda c^2 T^2\right) \pi_A' d\pi_A' - \frac{\Lambda c}{2} (\pi_1')^2 (T dQ - Q dT)
\]

with the Newton–Hooke limit

\[
\theta_0 = \left(1 - \frac{3\omega^2}{2} T^2\right) \pi_A' d\pi_A'.
\]
We now push forward the vector fields (4.14) with \( B = \Lambda P, C = 0 \) by the transformation (4.15). Before implementing the limiting procedure the Hamiltonian \( H \), which is the \( T_{AA'} \) component of \( K \) should be multiplied by \( c \), and the \( T_{AA'} \) component of \( M_{ab} \) should be divided by \( c \). The Inönü–Wigner contraction then yields a 10–dimensional algebra of global vector fields. We represent these in the coordinate patch with \( \lambda = \pi_0' / \pi_1' \neq \infty \) as

\[
H = \partial_T - \frac{3\omega^2}{2} T(\partial_T + 2Q\partial_Q),
\]

\[
P_1 = -i(1 + \frac{3\omega^2}{2} T^2)\lambda \partial_Q, \quad P_2 = -\frac{1}{2}(1 + \frac{3\omega^2}{2} T^2)(1 + \lambda^2)\partial_Q, \quad P_3 = -\frac{i}{2}(1 + \frac{3\omega^2}{2} T^2)(1 - \lambda^2)\partial_Q
\]

\[
K_1 = -iT\lambda \partial_Q, \quad K_2 = -\frac{1}{2}T(1 + \lambda^2)\partial_Q, \quad K_3 = -\frac{i}{2}T(1 - \lambda^2)\partial_Q
\]

\[
J_1 = -i(\lambda \partial_{\lambda} + Q \partial_Q), \quad J_2 = -\frac{1}{2}(1 + \lambda^2)\partial_{\lambda} - \lambda Q \partial_Q, \quad J_3 = -\frac{i}{2}(1 - \lambda^2)\partial_{\lambda} + i\lambda Q \partial_Q.
\]

These vector fields form a subalgebra of the infinite–dimensional algebra \( \mathfrak{h} \) of global holomorphic vector fields on \( P T_{\infty} \) considered in [15] (see [12] for different subalgebra)

\[
[H, P_i] = 6\omega^2 K_i, \quad [H, K_i] = P_i, \quad [J_i, J_j] = \epsilon_{ijk} J_k, \quad [J_i, P_j] = \epsilon_{ijk} P_k, \quad [J_i, K_j] = \epsilon_{ijk} K_k
\]

This is the Newton–Hooke algebra [2]. Its ten generators are the rotations \( J_i \), the translations \( P_i \), the boosts \( K_i \) and the Hamiltonian \( H \). Its central extension is the algebra of isometries of the Eisenhart metric (3.8) of the non–relativistic de-Sitter space.

**Acknowledgements**

I am grateful to Gary Gibbons, Peter Horvathy and Roger Penrose for their insightful comments.
References


