



INTELLIGENCE

UNDER SECRETARY OF DEFENSE  
5000 DEFENSE PENTAGON  
WASHINGTON, DC 20301-5000

MAY 17 2018

MEMORANDUM FOR: SEE DISTRIBUTION

SUBJECT: Controlled Unclassified Information Implementation and Oversight for the Defense Industrial Base

References:

- (a) DoD Directive 5143.01, "Under Secretary of Defense for Intelligence (USD(I)),  
November 23, 2005, as amended
- (b) Executive Order 13556, "Controlled Unclassified Information," November 4, 2010
- (c) 32 CFR 2002 Controlled Unclassified Information, November 14, 2016
- (d) DoD Memorandum, "Designation of Senior Agency Official for Controlled Unclassified Information," December 22, 2010
- (e) DoDM 5200.01-V4, "DoD Information Security Program: Controlled Unclassified Information (CUI)," February 24, 2012
- (f) DFARS 252.204-7000 "Disclosure of Information" Oct 21, 2016

The protection of Department of Defense (DoD) CUI provided to the Defense Industrial Base (DIB) is vitally important to national security. As the Senior Agency Official for CUI with the authorities listed in references (a)-(e), I designate the Defense Security Service (DSS) as the Department's lead for implementing procedures for oversight of CUI for the DIB. DSS will apply a risk-based approach and use its Security and Counterintelligence resources and expertise to identify CUI with the potential to impact national security and oversee its protection across the DIB. This mission, in combination with an oversight role of the cleared DIB through the National Industrial Security Program, will allow DSS and the Department to build a comprehensive assessment of threats and risks to the DIB, and the associated supply chain, enable coordinated actions therein across the components, and provide a central interface with the affected agencies.

I am tasking the Director, DSS to execute an operational plan for oversight of CUI protection through collaboration with industry partners across the DIB. An initial report is due six months from the date of this memorandum addressing the following: identification of resource constraints; additional policy required to support CUI oversight authority; and program improvement recommendations.

DSS will coordinate with my office and the Defense Procurement and Acquisition Policy Office to reduce redundancy and streamline efforts surrounding CUI safeguarding to include marking, dissemination and reporting requirements outlined in references (e) and (f). DSS will provide annual updates to the USD(I) on compliance with safeguarding CUI provided to the DIB. DSS will also provide the USD(I) with recommendations to resolve major issues with the protection of CUI or collaboration with the DIB and accountable DoD components.



DSS will ensure CUI requirements levied on the DIB are effectively communicated to all DoD Components and supported by the Center for Development of Security Excellence through CUI training and awareness for all DoD and contractor personnel with access to CUI. The DoD Components will ensure the USD(I) and the Director, DSS are coordinated with on all Component specific policies and procedures affecting the protection of CUI by the DIB, including any CUI requirements in Federal Acquisition Regulation and Defense Federal Acquisition Regulation Supplement clauses.

DoD Components will collaborate with DSS on their CUI related efforts with the objective to minimize redundancy and industry burden, harmonize and enhance content, and present industry a common integrated perspective. This includes the sharing and integration of information across the Department related to protection of the DIB. My point of contact for this action is Ms. Kate Fuster, (703) 697-5526, or [kathleen.a.fuster@mail.mil](mailto:kathleen.a.fuster@mail.mil); or Dr. Rosemary Helton, (703) 692-3750, or [rosemary.k.helton.ctr@mail.mil](mailto:rosemary.k.helton.ctr@mail.mil).



Joseph D. Kernan

**Attachment:**

**Implementation of DFARS Clause 252.204-7012, Safeguarding Covered Defense Information and Cyber Incident Reporting**



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