

**HOMEWORK #6 - DUE MAR 9 , AT NOON**

**Exercise 1** (Sequences of geodesics). Let  $M$  be a complete manifold. Let  $\{(p_n, v_n)\} \in T_p M$  be a sequence, converging to  $(p_0, v_0)$ . Let  $\gamma_n : \mathbb{R} \rightarrow M$  be the geodesic  $\gamma_n(t) = \exp_{p_n}(tv_n)$ . Prove that the sequence of geodesics  $\{\gamma_n\}_n$  converges pointwise to  $\gamma_0(t) := \exp_{p_0}(tv_0)$  (i.e.  $\gamma_n(t) \rightarrow \gamma_0(t)$  for all  $t$ ). Is this convergence uniform (in the sense that for  $n$  large enough,  $d(\gamma_n(t), \gamma_0(t)) < C_n$ , for  $C_n \rightarrow 0$ )?

*Solution 1.* For any  $t \in \mathbb{R}$ , the rescaling  $r_t : TM \rightarrow TM$ ,  $r_t(p, v) = (p, tv)$  is continuous. Since the exponential map  $\exp : TM \rightarrow M$  is continuous as well, we have

$$\gamma_n(t) = \exp(p_n, tv_n) = \exp r_t(p_n, v_n) \rightarrow \exp r_t(p_0, v_0) = \exp_{p_0} tv_0 = \gamma_0(t).$$

**Exercise 2** (Rays). A ray in a manifold  $M$ , is a geodesic  $\gamma : [0, \infty) \rightarrow M$  such that  $d(\gamma(s), \gamma(t)) = |s - t|$  for all  $s, t \geq 0$ . Prove that if  $M$  is complete and noncompact, there exists a ray from every point  $p \in M$ .

*Solution 2.* Take a sequence of points  $q_i \in M$  such that  $d_i := d(p, q_i) \rightarrow \infty$ . Let  $\gamma_i(t) = \exp_p tv_i$  be minimizing geodesics between  $p$  and  $q_i$ , where  $|v_i| = 1$ . Since the sequence  $\{v_i\}$  is contained in the unit sphere of  $T_p M$  which is compact, there exists a converging subsequence, which we still call  $\{v_i\}$ , converging to some  $v_0$ . By the previous exercise,  $\gamma_i(t) \rightarrow \gamma_0(t) := \exp_p tv_0$ . We claim that  $\gamma_0(t)$  is a ray. In fact, for every  $s > t \in \mathbb{R}$ , pick  $n$  large enough that  $d_i > s$  for all  $i > n$ . Then for all  $i > n$ ,  $\gamma_i|_{[0, s]}$  is minimizing and thus  $d(\gamma_i(s), \gamma_i(t)) = |s - t|$ . Taking the limit as  $i \rightarrow \infty$ , one gets  $d(\gamma_0(s), \gamma_0(t)) = |s - t|$ , as wanted.

**Exercise 3** (Divergent curves). A curve  $\alpha : [0, \infty) \rightarrow M$  is a *divergent curve* if it “escapes every compact set”: more precisely, for every compact set  $K$  in  $M$ , there is a  $T > 0$  such that, for all  $t > T$ ,  $\alpha(t) \notin K$ . Define the *length* of a divergent curve as  $\ell(\alpha) = \lim_{t \rightarrow \infty} \ell(\alpha|_{[0, t]})$ . Prove that  $M$  is complete if and only if every divergent curve has infinite length.

*Solution 3.* Suppose first that  $M$  is complete. Let  $p = \alpha(0)$  and let  $\{K_n = B_n(p)\}_n$  (metric balls of radius  $n$ ). Since  $\alpha$  is a divergent curve, for every  $n$  there is a  $t_n$  such that  $\alpha|_{[t_n, \infty)} \subset K_n^c$ . In particular,  $\ell(\alpha|_{[0, t_n]}) \geq d(\alpha(0), \alpha(t_n)) \geq n$ , and therefore

$$\ell(\alpha) = \lim_{t \rightarrow \infty} \ell(\alpha|_{[0, t]}) \geq \lim_{n \rightarrow \infty} n = \infty.$$

On the other hand, suppose that  $\alpha$  is a divergent curve of finite length  $L$ , and let  $p_n = \alpha(n)$ . Then  $\{p_n\}_n$  is a Cauchy sequence: in fact, for every  $\epsilon > 0$ , take  $N$  big enough that  $\ell(\alpha|_{[0, N]}) > L - \epsilon$ . Then for every  $i, j > N$ ,

$$d(p_i, p_j) = d(\alpha(i), \alpha(j)) \leq \ell(\alpha|_{[i, j]}) \leq \lim_{t \rightarrow \infty} \ell(\alpha|_{[N, t]}) = L - \ell(\alpha|_{[0, N]}), \epsilon.$$

If  $M$  was complete, then the sequence  $\{p_n\}$  would have a limit  $p_0$ . Taking the (compact) metric ball  $B = B_L(p_0)$ , we would have for all  $t > 0$

$$d(\alpha(t), p_0) = \lim_{n \rightarrow \infty} d(\alpha(t), p_n) = \lim_{n \rightarrow \infty} d(\alpha(t), \alpha(n)) \leq \lim_{n \rightarrow \infty} L = L.$$

Thus  $\alpha$  would never escape the compact set  $B$ , contradicting the fact that  $\alpha$  is divergent.

**Exercise 4** (Homogeneous manifolds). A manifold  $M$  is called *homogeneous* if for every  $p, q \in M$ , there exists an isometry of  $M$  that takes  $p$  to  $q$ . Prove that every homogeneous manifold is complete.

*Solution 4.* We will show that, fixing  $p \in M$ , the exponential map  $\exp_p$  is defined for all  $T_p M$ . Fix  $\epsilon$  such that  $\exp_p tv$  is defined for every unit  $v$ , and every  $|t| < 2\epsilon$ . Let  $s \in (0, \infty]$  be the maximum value such that  $\exp_p tv$  is defined for every unit  $v$ , and every  $|t| < s$ . We will show by contradiction that  $s = \infty$ . In fact, if  $s$  is finite, then take  $v$  such that the geodesic  $\gamma(t) = \exp_p tv$  does not exist for  $t \geq s$ , and let  $q = \gamma(s - \epsilon)$ , and let  $\phi$  be an isometry taking  $p$  to  $q$ . Then  $\hat{\gamma}(t) = (\phi^{-1} \circ \gamma)(t - s + \epsilon)$  is a normalized geodesic such that  $\hat{\gamma}(0) = p$ , and which does not exist for  $t \geq \epsilon$ . This, however, contradicts the fact that all normalized geodesics starting at  $p$ , exist for at least time  $2\epsilon$ .

**Exercise 5** (Expanding maps). Let  $M, N$  be Riemannian manifolds of the same dimension. A smooth map  $\phi : M \rightarrow N$  is called *expanding* if  $g_N(\phi_*v, \phi_*w)_{\phi(p)} > g_M(v, w)_p$  for all  $p \in M$  and  $v, w \in T_p M$ . Prove that if  $M$  is complete and  $\phi : M \rightarrow N$  is expanding, then:

- $\phi$  is a covering map, i.e. for every smooth curve  $\gamma : [0, 1] \rightarrow N$  of finite length, there is a curve  $\bar{\gamma} : [0, 1] \rightarrow M$  such that  $\bar{\gamma} \circ \phi = \gamma$ . (**Hint:** use an open-close argument to show that the set  $J \subset [0, 1]$  of points  $s$  such that  $\bar{\gamma}$  is defined up to  $s$ , is actually the whole  $[0, 1]$ . For openness, show that  $\phi$  is a local diffeomorphism at every point. For closedness, prove that if  $\bar{\gamma}(s_i)$  are defined and  $s_i \rightarrow s_0$ , then the closure of  $S = \{\bar{\gamma}(s_i)\}$  is open and closed.)
- $N$  is complete as well. (**Hint:** one option is to use ex. 3)

*Solution 5.* 1) Let  $\gamma : [0, 1] \rightarrow N$  be a curve in  $N$ , let  $p \in M$  be a point such that  $\phi(p) = \gamma(0)$ , and let  $J = \{s \in [0, 1] \mid \exists \bar{\gamma} : [0, s] \rightarrow M : \phi(\bar{\gamma}(t)) = \gamma(t)\}$ . Clearly  $0 \in J$ , and we want to prove that  $1 \in J$ . We prove that  $J$  is open and closed. For the openness, suppose  $s \in J$ , and let  $p = \bar{\gamma}(s)$ . By the expanding property of  $\phi$ , we have that  $d_p \phi$  is injective: in fact, if  $d_p \phi(v) = 0$  for some  $v$ , then  $\|v\|^2 \leq \|d_p \phi(v)\|^2 = 0$  which implies  $v = 0$ . Since  $M, N$  have the same dimension, it follows that  $d_p \phi$  is an isomorphism and, by the inverse function theorem, it is a local diffeomorphism. In particular, there are open sets  $U \subset M$  around  $p$ , and  $V \subset N$  around  $\phi(p)$ , such that  $\phi^{-1} : V \rightarrow U$  makes sense. Then one can extend  $\bar{\gamma}$  past  $p$ , by  $\bar{\gamma}(t) := \phi^{-1}(\gamma(t))$ .

To prove closedness, let  $\{s_i\}_i \subset J$  be a sequence converging to  $s_0 \in [0, 1]$ . We claim that the set  $S = \{\bar{\gamma}(s_i)\}$  is bounded. In fact, otherwise we would have

$d(\bar{\gamma}(0), \bar{\gamma}(s_i)) \rightarrow \infty$ , and then

$$\begin{aligned} \ell(\gamma|_{[0, s_0]}) &= \int_0^{s_0} \|\gamma'(s)\| ds = \lim_{i \rightarrow \infty} \int_0^{s_i} \|\gamma'(s)\| ds \\ &= \lim_{i \rightarrow \infty} \int_0^{s_i} \|d_{\bar{\gamma}(s)} \phi \bar{\gamma}'(s)\| ds \\ &\geq \lim_{i \rightarrow \infty} \int_0^{s_i} \|\bar{\gamma}'(s)\| ds \\ &\geq \lim_{i \rightarrow \infty} d(\bar{\gamma}(0), \bar{\gamma}(s_i)) = \infty \end{aligned}$$

which contradicts the fact that  $\gamma$  has finite length. Since  $S = \{\bar{\gamma}(s_i)\}$  is bounded, its closure is compact (because  $M$  is complete) and therefore  $\bar{\gamma}(s_i)$  has a subsequence converging to  $q$ . Defining  $\bar{\gamma}(s_0) = q$ , we have  $\phi(\bar{\gamma}(s_0)) = \gamma(s_0)$  and thus, using a local diffeomorphism around  $q$ , we get that  $\bar{\gamma}$  can be extended to (and past)  $s_0$ .

2) To prove that  $N$  is complete, let  $\gamma : [0, \infty) \rightarrow N$  be a divergent curve. Then the lift  $\bar{\gamma} : [0, \infty) \rightarrow M$  is a divergent curve as well: In fact, if  $\bar{\gamma}$  could not escape some compact set  $K$ , then  $\gamma(t)$  would not be able to escape the compact set  $\phi(K)$ . Since  $M$  is complete, from ex. 3 we have that for any sequence  $t_i \rightarrow \infty$  we have  $\ell(\bar{\gamma}|_{[0, t_i]}) \rightarrow \infty$  and, since  $\phi$  is expanding,

$$\ell(\gamma|_{[0, t_i]}) > \ell(\bar{\gamma}|_{[0, t_i]}) \rightarrow \infty$$

Again by ex. 3,  $N$  is then complete.