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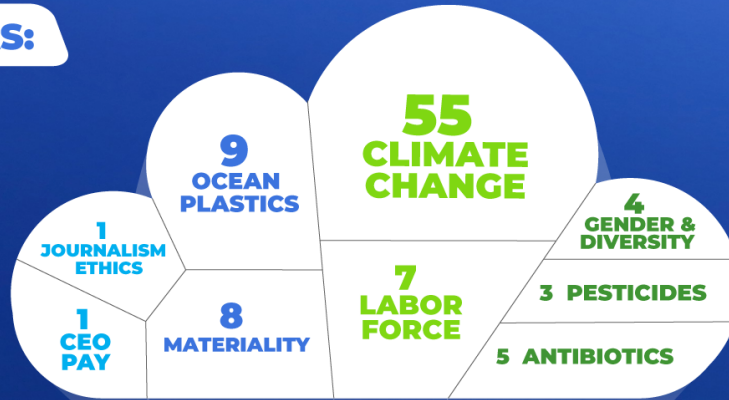
As You Sow[®] engaged with companies on 93 resolutions in the 2019 proxy season. It is with immense gratitude to YOU — our share authorizers, donors, supporters, colleagues, and community — that we offer this summary of those engagements. Thank you for participating in creating this impact. The credit for these successes is yours.



AS YOU SOW

2019 SHAREHOLDER ADVOCACY

ISSUE AREAS:



93

RESOLUTIONS

OUTCOMES:

- 30 RESOLVED IN DIALOGUE
- 31 WITHDRAWN
- 2 CANCELED
- 9 OMITTED AT SEC
- 21 VOTED AT ANNUAL MEETINGS

\$1.1

TRILLION
IN SHARE
VALUE

67

SUCCESSFULLY
NEGOTIATED

21

WENT TO
A VOTE

27%

AVERAGE
SUPPORT

THE NUMBERS. We successfully negotiated over half the 93 resolutions, securing substantive actions or commitments from the companies. Twenty-one went to a vote and earned on average 27% in support. The votes-in-favor totaled \$1.1 trillion in shareholder equity supporting our 2019 engagements.

The 93 resolutions addressed the following issue areas: 55 climate change; 3 pesticides; 5 antibiotics misuse and overuse in factory farming; 9 ocean plastics, single use plastics, and packaging recyclability; 7 forced labor in corporate supply chains; 4 gender and board diversity; 8 governance and materiality; 1 CEO Pay; 1 journalism ethics

VOTED RESOLUTIONS

Company	Resolution Title	Vote For	Assets in Support (\$BN)
Amphenol	Reporting on Forced Labor Risks	43.9%	\$10.5
Atmos Energy	Report on Methane Leaks & Management Actions	34.8%	\$3.1
AutoZone	Sustainability Reporting	Pending	Pending
BP	Publish Paris Aligned Targets	8.4%	\$46.2
BP	Develop Strategy for Meeting Paris Agreement Goals	99.1%	\$571.7
Chevron	Climate Change Board Committee	7.6%	\$11.3
Chevron	Paris Aligned Business Plan	33.2%	\$46.6
DowDuPont	Disclosure of Plastic Pellet Spills and Cleanup Actions	6.7%	\$8.0
DowDuPont	Report on Petrochemical Resiliency Risks	7.0%	\$8.3
Duke Energy	Report on Mitigating Health & Climate Impacts of Coal Use	41.7%	\$16.7
ExxonMobil	Report on Petrochemical Resiliency Risks	25.0%	\$46.8
Facebook	Content Governance	5.7%	\$58.6
Facebook	Independent Board Chairperson	20.0%	\$208.5
Fastenal	Report on Diversity of Company Workforce	41.4%	\$6.5
PepsiCo	Disclosure of Pesticide Use Reduction in Supply Chain	10.8%	\$13.2
Restaurant Brands International	Report on Sustainable Packaging Policies	22.2%	\$5.5
Skechers USA	Reporting on Board Diversity	26.6%	\$2.5
Starbucks	Report on Consumer Packaging	44.5%	\$27.4
Kraft Heinz	Disclosure of Pesticide Use Reduction in Supply Chains	12.2%	\$3.2
The Kroger	Report on Recyclable Packaging	38.8%	\$5.3
Tyson Foods	Report on Human Rights Due Diligence	5.5%	\$3.1
Yum! Brands	Report on Recyclable Packaging	33.6%	\$7.2

VOTED RESOLUTIONS: SELECTED HIGHLIGHTS

ATMOS ENERGY

The resolution took Atmos Energy to task on fugitive methane and earned an excellent [35% vote, representing over \\$3 billion in assets](#). This strong result sends a clear message to management. We will continue to follow the company's progress in addressing fugitive methane.

BP

At BP 99% [of shareholders voted in support](#) of a resolution drafted by Climate Action 100+, a group of 300 investors with more than \$33 trillion in assets under management, calling for the oil giant to align its business strategy with the 2015 Paris Agreement. The global accord aims to keep global warming "well below" 2 degrees Celsius.

CHEVRON

The Chevron resolution requesting a report on the company's [Paris Aligned Business Planning garnered a strong 33.18% support, representing \\$46.6 billion in assets](#). This was up dramatically from last year. We were supported by many of the Climate Action 100+ members. We intend to continue advancing the issue with additional institutional investors, as well as with Chevron and other oil and gas companies. Our work entails defining and building consensus on what is meant by "Paris Alignment" (net zero by 2050) and to demand that companies demonstrate plans for how they will achieve this.

DOWDUPONT

Despite DowDuPont's recent restructuring, which spun off its operations into distinct companies, we pushed forward with our proposals. We asked the company to consider climate change risks to petrochemical operations and their impacts to public health and disclosures of the company's plastic pellet spills leading to ocean pollution. Dow was partially responsive, verbally agreeing to initiate some kind of pellet reporting process in 2020, but since it couldn't provide a specific written

commitment, we proceeded with the vote. [Both first-year resolutions received a respectable vote, calling attention to critical, emerging issues.](#)

DUKE ENERGY

[This year's resolution received the support of 41% of Duke shareholders.](#) This vote highlights growing shareholder concern about the risks created by the toxic coal ash residuals produced when Duke burns coal to generate electricity. The resolution specifically requests information as to how Duke Energy will mitigate the public health risks associated with Duke's coal operations in light of increasing vulnerability of coal ash pits to climate change impacts such as flooding and severe storms. In September 2019, the company took a positive step forward in committing to net-zero carbon emissions by 2050, but investors continue to press the company to address the risks of its substantial coal fleet in the near term.

EXXONMOBIL

At Exxon, 25% [of investors voted in support](#) of a first-time shareholder resolution asking the company to issue a report assessing the public health risks of expanding petrochemical operations in areas increasingly prone to climate change-induced storms, flooding, and sea level rise, [bringing urgent attention to a critical, emerging issue.](#)

FACEBOOK

The Facebook resolution requesting reporting on content governance was geared toward raising awareness around this critical issue; more than two thirds of the company's votes are controlled by Mark Zuckerberg and a number of other insiders. We received 5.70% vote, which allows us to refile in the coming year. Significantly, the total assets under management supporting this proposal was \$58.6 billion.

VOTED RESOLUTIONS: SELECTED HIGHLIGHTS

RESTAURANT BRANDS INTERNATIONAL

The proposal at Restaurant Brands International, parent company of Burger King and Tim Hortons, received thumbs up from 22% of shares voted, worth \$7.4 billion. RBI is 51% owned by global investor 3G Capital. The results suggest that [a majority of independent shareholders supported the proposal](#).

SKECHERS USA

At Skechers, 26% [of shareholders voted in support](#) of a first-time resolution requesting a report to enhance board diversity. Spurred by shareholder pressure and CA state law, Skechers appointed its first female board member in its nearly 30-year history.

STARBUCKS

[Nearly half of Starbucks' shareholders supported our resolution](#) to develop aggressive plans to meet packaging reuse and recycling goals. The 44.5% vote in favor represents \$21 billion in investor support, far exceeding a similar vote last year that received 29% support. It is the largest shareholder vote result to date on packaging and recycling issues. We will use this strong result to redouble our efforts to get the company to restore strong reusables goals.

KROGER

At Kroger, [Nearly 40% of shareholders supported our proposal asking the largest U.S. supermarket chain to make all packaging recyclable](#). The proposal asked the company to assess the reputational, financial, and operational risks associated with continuing to use non-recyclable packaging for its private brand goods and to phase them out. Private label items are a quarter of sales — nearly \$20 billion annually. The support of 39% of shares voted, valued at \$5.4 billion, is a sharp increase from a 29% vote of support for the same proposal in 2018.

TYSON FOODS

The resolution advanced the conversation on worker's rights and for a first-year resolution, earned a respectable 5.5% vote at the annual meeting, which represents over \$3 billion in company shares. This is sufficient to reintroduce the resolution next year and continue our conversation with the company.

YUM! BRANDS

[Our proposal at YUM! Brands, parent company of KFC, Taco Bell, and Pizza Hut, attracted support from 33% of shares voted, worth \\$7.1 billion](#). We are asking the company to stop using polystyrene foam packaging and plastic straws, and to recycle consumer packaging on site as peers like McDonald's have agreed to do.

RESOLUTIONS WITHDRAWN IN VICTORY

Company	Resolution Title	Status
Amazon	Adopt Policy for Managing GHG Emissions	Withdrawn
AIG	Climate Change Scenario Analysis	Withdrawn
Caesars Entertainment	Reporting on Board Diversity	Withdrawn
Carmax	Sustainability Reporting	Withdrawn
Chevron	Disclosure of Plastic Pellet Spills and Cleanup Actions	Withdrawn
Corning	Report on Forced Labor Risk	Withdrawn
Costco	Adopt Antibiotic Phase Out Policy	Withdrawn
Eastman Kodak	Reporting on Gender Diversity	Withdrawn
Emerson Electric	Report on Greenhouse Gas Emissions Reduction Targets	Withdrawn
Essex Property Trust	Report on Sustainable Water Management Practices	Withdrawn
Exxon Mobil	Disclosure of Plastic Pellet Spills and Cleanup Actions	Withdrawn
General Electric	Report on Climate Risk Management in Emerging Markets	Withdrawn
General Mills	Disclosure of Pesticide Use in Supply Chain	Withdrawn
Hanesbrands	Report on Human Rights Risk in Supply Chain	Withdrawn
JPMorgan Chase	Limit High Carbon Financing for Low Carbon Transition	Withdrawn
Monster Beverage	Report on Slavery and Human Trafficking in Supply Chain	Withdrawn
New Media Investments Group	Reporting on Board Diversity	Withdrawn
PACCAR	Report on Sustainability	Withdrawn
Patterson-UTI Energy	Equity Retention Requirements	Withdrawn
PepsiCo Inc.	Report on Container Recycling Goals	Withdrawn
Pinnacle West Capital	Link GHG Emissions to Executive Compensation	Withdrawn
Ralph Lauren	Reporting on Forced Labor Risks	Withdrawn
Royal Dutch Shell	Publish Paris Aligned Targets	Withdrawn
Sanderson Farms	Adopt Antibiotic Phase Out Policy	Withdrawn
Texas Instruments	Report on Forced Labor Risks	Withdrawn
The Cooper Companies	Report on Reducing GHG Emissions in Line with Paris Goals	Withdrawn
The Kroger Company	Report on Renewable Energy Goals	Withdrawn
The Phillips 66 Company	Disclosure of Plastic Pellet Spills and Cleanup Actions	Withdrawn
UGI	Report on Methane Leaks & Management Actions	Withdrawn
Verizon Communications	Feasibility Report on Adoption of Renewable Energy	Withdrawn
Western Digital	Report on Diversity and Inclusion	Withdrawn

RESOLUTIONS WITHDRAWN IN VICTORY: SELECTED HIGHLIGHTS

AMAZON

[We withdrew our 2019 resolution in recognition of announced greenhouse gas targets](#) set for Amazon's shipments (to reach net-zero carbon for half of its deliveries by 2030), a commitment to disclose the company's GHG emissions and plans to address GHG emissions by the end of 2019, and an intent to continue to engage with us on these topics. In September 2019, the company took an additional step forward by launching a new climate pledge to achieve net-zero emissions by 2040.

AIG

The company committed to undertake a [review of a climate change scenario analysis](#) and climate-related financial disclosures. The company agreed to continue discussions with shareholders concerning these issues, including a discussion with the company's Chief Executive Officer.

CAESARS ENTERTAINMENT

Following our engagement requesting a report on board diversity, the company's general counsel successfully had the majority of the requested changes adopted by the Nominating Committee of the Board.

CHEVRON

For ocean plastic pollution, [the company has committed to report on plastic pellet production and spillage](#), recycling rates in production, best management practices in production and shipment, and how the company will engage its supply chain to further reduce/eliminate plastic pellet spills. As a result of these efforts, we withdrew our resolution and will continue to dialogue with the company and monitor its progress in meeting its commitments.

CORNING

We entered into a withdrawal agreement after the company agreed to disclosure improvements on human rights risks in its operations and supply chain. Moving forward, the company's human rights disclosures will include: a description of size and structure of the company's supply chain; how supply chain oversight fits into the company's corporate governance structure; the human rights principles used to frame its assessment; the frequency of its assessment; the methodology used to track and measure performance on forced labor risks among other provisions.

COSTCO

The company has updated its animal welfare standards to include monitoring and enforcement of existing FDA guidelines for responsible use of antibiotics. We will continue to work with the company directly to seek further progress. While we do not consider this a win, we are on the path to bringing COSTCO into alignment with other peer companies through continued engagement.

EMERSON ELECTRIC

The company committed to hiring a consulting firm to support them in the process of developing GHG goals and targets. The goals will likely be effective in Emerson's FY 2020 (which starts October 2019).

ESSEX PROPERTY TRUST

The company has agreed to evaluate materiality reporting standards and will work with us to implement such metrics into their ESG disclosures, providing more concrete reporting to investors. Dialogues have continued over specific SASB reporting metrics on issues relating to water. We expect to see a new sustainability report utilizing these metrics before Thanksgiving 2019.

RESOLUTIONS WITHDRAWN IN VICTORY: SELECTED HIGHLIGHTS

EXXONMOBIL

[The company has agreed to start reporting on spills of pre-production plastic pellets](#), or nurdles, manufactured in polymer production plants, which are believed to be a significant source of ocean plastic pollution. We will continue to dialogue with the company on this issue. Furthermore, the company continues to demonstrate a commitment to methane management following a 39% vote on our 2017 resolution.

GENERAL ELECTRIC

The company has agreed to work with us to address the company's assessment and disclosures concerning fossil-fuel related projects in developing countries.

GENERAL MILLS

The company has agreed to continue its dialogue with shareholders to determine ways to measure and disclose metrics demonstrating progress on pesticide reduction. Furthermore, the company has agreed to set goals to expand its regenerative agriculture program in terms of acreage and crops, and the company will report baseline data to investors. We will continue to work with the company to reduce the pesticide use within the company's supply chain.

HANESBRANDS

Following our engagement on risk of forced labor in the company's supply chain, the company agreed to: 1) sign the AAFA/FLA Commitment to Responsible Sourcing; 2) to reference the AAFA/FLA Commitment language in its Human Rights Policy going forward; 3) to further amend its Human Rights Policy and Global Code of Conduct to explicitly reference the expectation that workers in the Company's supply chain will not be forced to pay for their job, or bear the cost of recruitment, transportation, or other fees associated with their hiring.

JPMORGAN CHASE

The company will consider carbon-reduction goals and policies for its financing and metrics to measure its performance. The company invited *As You Sow* to provide feedback and continue discussions with the company regarding these and other related topics.

MONSTER BEVERAGE

[The company has agreed to report on slavery and human trafficking in the supply chain and to adopt supplier codes of conduct](#), which the company will implement in renewed contracts while providing third party compliance training. *As You Sow* will continue to dialogue with the company in further improving its reporting, contracting, compliance, and supplier training.

NEW MEDIA INVESTMENTS GROUP

To address the lack of diversity on its board, the company has stated its intent to appoint two new board members, a woman and a person of a minority group. The company also agreed to take additional actions including: the incorporation of a formal commitment into the charter of the Nominating and Governance Committee to diversify the Board; formalize a policy requiring director searches to similarly increase diversity; and, in the company's proxy statement, report on the company's commitment to Board diversity and steps taken to achieve its goals. The amendment of the company charter and other language is important to ensure that diversity is not a one and done, but is part of every Board candidate search.

PACCAR

The company has implemented many of the requested SASB materiality provisions and we will continue working with them on full implementation by 2020.

RESOLUTIONS WITHDRAWN IN VICTORY: SELECTED HIGHLIGHTS

PATTERSON-UTI ENERGY

The company has agreed to adopt share ownership practices similar to peers in their field, to better encourage long-term planning by executives. The company also agreed to improve its ESG practices and disclosures.

PEPSICO

We withdrew our shareholder proposal to PepsiCo following the release of a requested report detailing the company's efforts to increase the U.S. recycling rate for beverage containers, which originated from our engagement with PepsiCo in 2010. The company failed to meet a goal to increase recycling to 50% by 2018. We recognize the report to be partially responsive, but concerns remain about information omitted from the report; it does not make clear that the recycling rate actually receded over the period of the commitment and contains no mention of setting revised goals. We hope additional data and commitments for action can be added to the report as we continue to engage with the company.

PINNACLE WEST CAPITAL

The company complied with our request for a report assessing the feasibility of linking executive compensation metrics to the accomplishment of greenhouse gas reduction objectives. The company further committed to continue working with *As You Sow* to address climate change risk, including the evaluation of setting absolute GHG reduction targets in consideration of the Paris Agreement.

RALPH LAUREN

Ralph Lauren received a poor rating from KnowTheChain. It was found deficient in disclosing its first-tier suppliers, assessing and disclosing forced labor risks in its supply chain. It also received a D+ score in the 2018 Ethical Fashion Report, with particularly low sub-scores in worker empowerment (F) and auditing and supplier relationships (D-). It received a C in traceability and

transparency, and a B for the quality of its policies. The company was very eager to have the resolution withdrawn and has agreed to a number of withdrawal terms that will move it into a leadership position on this issue.

SANDERSON FARMS

The company agreed to eliminate use of medically important antibiotics, becoming the last of the top four chicken producers in the U.S. to do so. We will continue monitoring the company's progress on this commitment.

TEXAS INSTRUMENTS

In the KnowTheChain 2018 benchmarking report, Texas Instruments scored only 38 out of 100 possible points, ten less than its score on the 2016 benchmarking report. The company can improve its performance and disclosure in traceability and risk assessment, recruitment, and worker voice. In exchange for the withdrawal of the shareholder resolution, TI committed to make available through its website a consolidated summary that outlines its processes and practices designed to prevent forced labor in the supply chain.

THE COOPER COMPANIES

The company has followed through on our engagement request to hire a Director of Corporate Responsibility who will address the company's greenhouse gas emissions. *As You Sow* will continue to engage with Cooper Companies to provide feedback and knowledge sharing on best practices, as the company completes its first ever assessment of its greenhouse gas inventory, and discloses actions that are feasible and practical to reduce the company's carbon footprint.

RESOLUTIONS WITHDRAWN IN VICTORY: SELECTED HIGHLIGHTS

KROGER

The company committed to set a new carbon reduction target by the end of 2019 and is considering adopting more renewable energy. The company committed to bring on a third-party consultant and will continue to work with *As You Sow* to accomplish these commitments and publish findings on its website.

PHILLIPS 66 COMPANY

The company has [committed to report on plastic pellet production and spillage](#), recycling rates in production, best management practices in production and shipment, and how the company will engage its supply chain to further reduce/eliminate plastic pellet spills. As a result of these efforts, we have withdrawn our resolution and will continue to dialogue with the company and monitor its progress in meeting its commitments.

UGI

The company committed to working with us and has begun to improve its disclosures on methane management practices.

VERIZON COMMUNICATIONS

The company set a new renewable energy adoption target and committed to working with *As You Sow* and evaluating Paris-aligned GHG reduction targets.

WESTERN DIGITAL

The company has agreed to release SASB compliant standardized metrics on diversity and inclusion within the company's workforce in a timely manner. This will allow shareholders to better assess the company's long-term sustainability in a way that is comparable with other companies in the same sector. We will evaluate further action pending the release of these disclosures.

ONGOING DIALOGUES

Company	Resolution Title	Status
Denny's	Adopt Antibiotic Phase Out Policy	Dialogue
McDonald's	Extend Antibiotics Policy to Pork and Beef	Dialogue
Weis Markets	End Use of Medically Important Antibiotics for Healthy Animals	Dialogue
Ameren	Report on Coal Ash Management	Dialogue
Carmax	Net-Zero Greenhouse Gas Emissions	Dialogue
Chevron	Report on Methane Leaks & Management Actions	Dialogue
Consolidated Edison	Report on Methane Leaks & Management Actions	Dialogue
Dominion Resources	Report on Methane Leaks	Dialogue
DTE Energy	Report on Methane Leaks & Management Actions	Dialogue
Entergy	Paris Aligned Business Plan	Dialogue
Eversource Energy	Report on Methane Leaks & Management Actions	Dialogue
Exelon	Report on Methane Leaks & Management Actions	Dialogue
L Brands	Reporting on Forced Labor Risks	Dialogue
FirstEnergy	Paris Compliant Business Plan	Dialogue
GameStop	Net-Zero Greenhouse Gas Emissions	Dialogue
General Motors	Report on GHG emissions and CAFE standards	Dialogue
Morgan Stanley	Report on Carbon Asset Transition	Dialogue
NiSource	Report on Methane Leaks	Dialogue
Noble Energy	Paris Compliant Business Plan	Dialogue
NRG Energy	Report on Carbon Asset Transition	Dialogue
ONE Gas	Report on Methane Leaks	Dialogue
PayPal	Net-Zero Greenhouse Gas Emissions	Dialogue
Public Service Enterprise Group	Report on Methane Leaks & Management Actions	Dialogue
Sempra Energy	Report on Methane Leaks	Dialogue
Southern	Link Executive Compensation to GHG Reduction Metrics	Dialogue

ONGOING DIALOGUES

Company	Resolution Title	Status
Southern	Report on Methane Leaks	Dialogue
Tesla Motors	Report on Climate-Related Water Risk	Dialogue
The Ford Motor	Report on GHG emissions and CAFE standards	Dialogue
WEC Energy Group Inc. (Integrus Energy Group)	Report on Methane Leaks	Dialogue
Xcel Energy	Report on Carbon Asset Risk	Dialogue

ONGOING DIALOGUES: SELECTED HIGHLIGHTS

These noteworthy dialogues may be resolved going forward, or may develop into future resolutions.

AMEREN

We did not file a 2019 resolution in recognition of the continued progress with the company, which had produced a coal ash management report in response to the majority vote on our 2018 resolution.

CARMAX

The company agreed to disclose and publish data and analyses on energy management, data security, labor practices, and diversity and inclusion in the workforce. The company will continue to work with *As You Sow* to incorporate more SASB materiality reporting standards in the company's public ESG disclosures. Furthermore, the company has made progress on its previous commitment to evaluate and disclose GHG emissions information.

CHEVRON

On methane, the company has made some progress on our request, by joining voluntary initiatives, setting a methane target, and implementing advanced methane management practices. We will continue to push outside of the resolution process on methane.

DENNY'S

[Following engagement with us, Denny's has updated its Animal Welfare statement to include a policy disallowing the use of medically important antibiotics in its chicken supply chain](#). Many of the country's top fast-food chains have established policies eliminating use of human class antibiotics in the chicken they purchase; Denny's is one of the first restaurant chains to take this action. We will continue monitoring the company's implementation of its antibiotic policy.

DOMINION RESOURCES

The company agreed to work with us in developing its next methane report and subsequently [committed to reduce its methane emission intensity by 50% by 2030 from a 2010 baseline](#). We will continue to work with the company on integrating these reductions into a broader plan to comprehensively address climate risk.

DTE ENERGY

The company has complied with 2018 withdrawal agreement terms to enhance its methane management disclosures and practices, including setting a methane reduction target, and we continue to dialogue with them.

ENERGY CORPORATION

We are continuing our work with the company outside of the resolution process, since the company has published a climate report following a strong 30% vote on our 2018 resolution.

EXELON CORPORATION

The company has complied with 2018 withdrawal agreement terms to enhance its methane management disclosures and practices, and we continue to dialogue with them.

FIRSTENERGY

The company complied with withdrawal terms from 2018 and worked with us to produce its first climate assessment report published in April 2019.

ONGOING DIALOGUES: SELECTED HIGHLIGHTS

GAMESTOP

The company demonstrated progress on disclosing emissions and evaluating long-term GHG goals. We continued our engagement with the company outside the shareholder resolution process.

MCDONALD'S

[The company has adopted a policy to eliminate overuse of antibiotics in beef.](#) As the country's largest purchaser of beef, this will significantly impact the agricultural system. We will continue monitoring the company's implementation of its antibiotic policies and advocate for extending the policy to the company's pork supply chain.

MORGAN STANLEY

We have continued to engage with the company outside of the resolution process, providing feedback on its 2019 update to its environmental and social policy statement.

NOBLE ENERGY

Noble has committed to publish a report on climate risk in 2019 and recruit the help of a 3rd party in producing the report.

NRG ENERGY

Company is making progress outside resolution process. Most recently, the company updated its previous GHG target to a "net-zero" target during Climate Week 2019.

PAYPAL

We entered into a successful engagement with PayPal and withheld our filing because the company demonstrated it was making progress, having recently conducted a greenhouse gas inventory. It further committed to us that it would evaluate setting new greenhouse gas targets and continue to work with *As You Sow* on improving the company's climate-related disclosures. In subsequent dialogues, the company committed to setting a Science Based Target, a huge win.

SOUTHERN COMPANY

On executive compensation metrics, the company made progress on our request and set executive compensation policies tied to clean energy metrics. We continued working with them outside the shareholder resolution process this year. On methane, the company continued to show willingness to work with us in ongoing dialogues.

XCEL ENERGY

Xcel Energy has shown significant progress on moving towards renewables and became the first electric utility to set a net-zero carbon emissions reduction target by 2050.

OMITTED RESOLUTIONS AND CANCELED ANNUAL MEETINGS

Company	Resolution Title	Status
Advance Auto Parts	Sustainability Reporting	Omitted
Anadarko Petroleum	Paris Compliant Business Plan	AGM Canceled
AutoZone	Sustainability Reporting	Pending
Chevron	Disclose Paris Compliant Emissions Targets	Omitted
Devon Energy	Disclose Paris Compliant Emissions Targets	Omitted
Energen	Report on Water Management Risks	AGM Canceled
ExxonMobil	Disclose Paris Compliant Emissions Targets	Omitted
ExxonMobil	Paris Compliant Business Plan	Omitted
Hess Corporation	Paris Compliant Business Plan	Omitted
Goldman-Sachs	Limit High Carbon Financing	Omitted
Wells Fargo	Reducing Climate Impact	Omitted

OMITTED RESOLUTIONS: SELECTED HIGHLIGHTS

We filed several novel resolutions this year asking companies to align their business model with Paris compliant carbon emissions targets. Companies that challenged these resolutions found a sympathetic ear at the SEC, but we gained crucial insight into crafting future resolutions that have a good chance of surviving next time. We will continue to engage on these issues in the coming year.

ADVANCE AUTO PARTS

The resolution was blocked by the company at the SEC on the grounds that such reporting had been substantially implemented. We disagree strongly with this decision by the SEC and think the company, and its industry peers, need to provide substantive disclosures to shareholders, showing how well the company is living up to its stated values.

CHEVRON CORPORATION

A resolution requesting Paris-aligned GHG reduction targets was blocked by the company at the SEC on grounds of duplicative nature. We disagree with the SEC's decision that asking for targets is the same as asking for business model transition details.

DEVON ENERGY

The resolution was blocked by company at SEC on the grounds of ordinary business/micromanaging. We disagree with the SEC's decision that asking for targets is micromanagement given that while shareholders are making the request, the company is then in control of evaluating and setting the targets necessary to achieve the Paris goals of avoiding catastrophic climate change. We are continuing our negotiations with the company. The company has made commitments to meet methane reduction targets, which follows our 2018 engagement with the company asking for climate risk reporting.

EXXONMOBIL

Exxon filed an SEC challenge to our resolution that requested a report on how the company plans to align its business model with the Paris Agreement. The SEC ruled in favor of Exxon on grounds of "substantial implementation" mistaking Exxon's minimal actions to reduce methane emissions with a Paris compliant business plan. We disagree strongly with the SEC's decision, which demonstrates that it does not understand what it means to transition in alignment with the Paris goals of avoiding catastrophic climate change. Our resolution on Paris compliant emissions targets was also blocked by the company at the SEC on grounds of ordinary business/micromanaging. We disagree with the SEC's decision that asking for targets is micromanaging given that while shareholders are making the request, the company is then in control of evaluating and setting the targets necessary to achieve the Paris goals of avoiding catastrophic climate change. We will continue to evaluate how to most effectively build support on these issues among investors to drive the company to change.

OMITTED RESOLUTIONS: SELECTED HIGHLIGHTS

HESS CORPORATION

Our resolution with Hess asked for a report on alignment of the company's business model with the Paris Agreement. Hess challenged our proposal at the SEC, and it was blocked on the grounds that the proposal was substantially implemented based on minor efficiency improvements and the company's statement that it was Paris-compliant. The SEC finding that this exploration and production company is Paris-compliant demonstrates the need to make clear what this term means. We will continue to work to define Paris alignment as a term of art within the financial market and refine our proposal in a way that will withstand SEC scrutiny next year.

GOLDMAN SACHS & WELLS FARGO

Our resolution with Goldman Sachs and Wells Fargo this year asked the company to align its financing activities with the Paris goal of maintaining global warming well below 2 degrees Celsius. With this resolution we sought to hold banks responsible for the climate impact of their investment and loan portfolios. Unfortunately, our resolutions did not go to a vote this year because the SEC granted requests from Goldman Sachs and Wells Fargo to exclude it on grounds of micromanagement. [We disagree strongly with the SEC's decision, and working with a broad range of coalition partners, will continue to press Goldman Sachs, Wells Fargo, and other banks](#) to take responsibility for their full carbon footprints while simultaneously socializing the concept with shareholders.

APPENDIX: SUMMARY BY OUTCOME

Program	Initiative	Company	Resolution Title	Outcome	Vote For (%)	Assets For (\$BN)
Governance	Truth in Media	21st Century Fox	Truth in Media	Engagement postponed	---	---
Governance	Materiality	Advance Auto Parts	Sustainability Reporting	Resolution blocked by company at SEC	---	---
Energy	Climate Change	Amazon	Adopt Policy for Managing GHG Emissions	Agreement reached; resolution withdrawn	---	---
Energy	Climate Change	Ameren Corporation	Report on Coal Ash Management	Agreement reached; resolution not filed	---	---
Energy	Climate Change	American International Group (AIG)	Climate Change Scenario Analysis	Agreement reached; resolution withdrawn	---	---
Social	Forced Labor	Amphenol Corporation	Reporting on Forced Labor Risks	Resolution voted	43.9%	\$10.5
Energy	Climate Change	Anadarko Petroleum Corporation	Paris Compliant Business Plan	Company was acquired; AGM canceled	---	---
Energy	Climate Change	Atmos Energy Corporation	Report on Methane Leaks & Management Actions	Resolution voted	34.8%	\$3.1
Governance	Materiality	AutoZone, Inc.	Sustainability Reporting	Resolution filed; engagement is on-going	---	---
Energy	Climate Change	Berkshire Hathaway	Paris Compliant Business Plan	Engagement postponed	---	---
Energy	Climate Change	BP, plc	Publish Paris Aligned Targets	Resolution voted	8.4%	\$46.2

APPENDIX: SUMMARY BY OUTCOME

Program	Initiative	Company	Resolution Title	Outcome	Vote For (%)	Assets For (\$BN)
Energy	Climate Change	BP, plc	Develop Strategy for Meeting Paris Agreement Goals	Resolution voted	99.1%	\$571.7
Social	Board Diversity	Caesars Entertainment Corporation	Reporting on Board Diversity	Agreement reached; resolution withdrawn	---	---
E-Health	GMOs & Pesticides	Campbell Soup Company	Pesticide Reduction Information	Engagement postponed	---	---
Governance	Materiality	Carmax, Inc	Sustainability Reporting	Agreement reached; resolution withdrawn	---	---
Energy	Climate Change	Carmax, Inc.	Net-Zero Greenhouse Gas Emissions	Agreement reached; resolution not filed	---	---
Waste	Ocean Plastics	Chevron Corporation	Disclosure of Plastic Pellet Spills and Cleanup Actions	Agreement reached; resolution withdrawn	---	---
Energy	Climate Change	Chevron Corporation	Report on Arctic Drilling	Engagement postponed	---	---
Energy	Climate Change	Chevron Corporation	Climate Change Board Committee	Resolution voted	7.6%	\$11.3
Energy	Climate Change	Chevron Corporation	Paris Aligned Business Plan	Resolution voted	33.2%	\$46.6
Energy	Climate Change	Chevron Corporation	Disclose Paris Compliant Emissions Targets	Resolution blocked by company at SEC	---	---
Energy	Climate Change	Chevron Corporation	Report on Methane Leaks & Management Actions	Agreement reached; resolution not filed	---	---

APPENDIX: SUMMARY BY OUTCOME

Program	Initiative	Company	Resolution Title	Outcome	Vote For (%)	Assets For (\$BN)
Energy	Climate Change	Chubb Limited	Paris Compliant Business Plan	Engagement postponed	---	---
Energy	Climate Change	Consolidated Edison	Report on Methane Leaks & Management Actions	Agreement reached; resolution not filed	---	---
Social	Forced Labor	Corning, Inc.	Report on Forced Labor Risk	Agreement reached; resolution withdrawn	---	---
E-Health	Antibiotics & Factory Farms	Costco Wholesale Corporation	Adopt Antibiotic Phase Out Policy	Agreement reached; resolution withdrawn	---	---
E-Health	Antibiotics & Factory Farms	Denny's Corp.	Adopt Antibiotic Phase Out Policy	Agreement reached; resolution not filed	---	---
Energy	Climate Change	Devon Energy	Disclose Paris Compliant Emissions Targets	Resolution blocked by company at SEC	---	---
Energy	Climate Change	Dominion Resources	Report on Methane Leaks	Agreement reached; resolution not filed	---	---
Energy	Climate Change	DowDuPont, Inc.	Report on Petrochemical Resiliency Risks	Resolution voted	7.0%	\$8.3
Waste	Ocean Plastics	DowDuPont, Inc.	Disclosure of Plastic Pellet Spills and Cleanup Actions	Resolution voted	6.7%	\$8.0
Energy	Climate Change	DTE Energy	Report on Methane Leaks & Management Actions	Agreement reached; resolution not filed	---	---

APPENDIX: SUMMARY BY OUTCOME

Program	Initiative	Company	Resolution Title	Outcome	Vote For (%)	Assets For (\$BN)
Energy	Climate Change	Duke Energy	Report on Mitigating Health & Climate Impacts of Coal Use	Resolution voted	41.7%	\$16.7
Social	Board Diversity	Eastman Kodak Company	Reporting on Gender Diversity	Agreement reached; resolution withdrawn	---	---
Energy	Climate Change	Emerson Electric	Report on Greenhouse Gas Emissions Reduction Targets	Agreement reached; resolution withdrawn	---	---
Energy	Climate Change	Energen Corporation	Report on Water Management Risks	Company was acquired; AGM canceled	---	---
Energy	Climate Change	Entergy Corporation	Paris Aligned Business Plan	Agreement reached; resolution not filed	---	---
Governance	Materiality	Essex Property Trust, Inc.	Report on Sustainable Water Management Practices	Agreement reached; resolution withdrawn	---	---
Energy	Climate Change	Eversource Energy	Report on Methane Leaks & Management Actions	Agreement reached; resolution not filed	---	---
Energy	Climate Change	Exelon Corporation	Report on Methane Leaks & Management Actions	Agreement reached; resolution not filed	---	---
Waste	Ocean Plastics	ExxonMobil Corporation	Disclosure of Plastic Pellet Spills and Cleanup Actions	Agreement reached; resolution withdrawn	---	---

APPENDIX: SUMMARY BY OUTCOME

Program	Initiative	Company	Resolution Title	Outcome	Vote For (%)	Assets For (\$BN)
Energy	Climate Change	ExxonMobil Corporation	Report on Petrochemical Resiliency Risks	Resolution voted	25.0%	\$46.8
Energy	Climate Change	ExxonMobil Corporation	Disclose Paris Compliant Emissions Targets	Resolution blocked by company at SEC	---	---
Energy	Climate Change	ExxonMobil Corporation	Paris Aligned Business Plan	Resolution blocked by company at SEC	---	---
Governance	Truth in Media	Facebook	Content Governance	Resolution voted	5.7%	\$58.6
Governance	Governance	Facebook	Independent Board Chairperson	Resolution voted	20.0%	\$208.5
Governance	Materiality	Fastenal Co.	Report on Diversity of Company Workforce	Resolution voted	41.4%	\$6.5
Energy	Climate Change	FirstEnergy Corp.	Paris Compliant Business Plan	Agreement reached; resolution not filed	---	---
Energy	Climate Change	GameStop	Net-Zero Greenhouse Gas Emissions	Agreement reached; resolution not filed	---	---
Energy	Climate Change	General Electric	Report on Climate Risk Management in Emerging Markets	Agreement reached; resolution withdrawn	---	---
E-Health	GMOs & Pesticides	General Mills	Disclosure of Pesticide Use in Supply Chain	Agreement reached; resolution withdrawn	---	---

APPENDIX: SUMMARY BY OUTCOME

Program	Initiative	Company	Resolution Title	Outcome	Vote For (%)	Assets For (\$BN)
Social	Board Diversity	New Media Investments Group, Inc.	Reporting on Board Diversity	Agreement reached; resolution withdrawn	---	---
Energy	Climate Change	NiSource	Report on Methane Leaks	Agreement reached; resolution not filed	---	---
Energy	Climate Change	Noble Energy	Paris Compliant Business Plan	Agreement reached; resolution not filed	---	---
Energy	Climate Change	NRG Energy	Report on Carbon Asset Transition	Agreement reached; resolution not filed	---	---
Governance	Materiality	O'Reilly Automotive, Inc.	Report on Diversity and Inclusion	Engagement postponed	---	---
Energy	Climate Change	Occidental Petroleum Corp.	Report on Climate Change Assessment	Engagement postponed	---	---
Energy	Climate Change	Occidental Petroleum Corp.	Petrochemical and Health	Engagement postponed	---	---
Energy	Climate Change	ONE Gas	Report on Methane Leaks	Agreement reached; resolution not filed	---	---
Governance	Materiality	PACCAR, Inc	Report on Sustainability	Agreement reached; resolution withdrawn	---	---
CEO Pay	Executive Compensation	Patterson-UTI Energy, Inc.	Equity Retention Requirements	Agreement reached; resolution withdrawn	---	---

APPENDIX: SUMMARY BY OUTCOME

Program	Initiative	Company	Resolution Title	Outcome	Vote For (%)	Assets For (\$BN)
Energy	Climate Change	General Motors	Report on GHG emissions and CAFE standards	Agreement reached; resolution not filed	---	---
Social	Forced Labor	Hanesbrands, Inc.	Report on Human Rights Risk in Supply Chain	Agreement reached; resolution withdrawn	---	---
Energy	Climate Change	Hess Corporation	Paris Compliant Business Plan	Resolution blocked by company at SEC	---	---
Waste	Consumer Packaging	Johnson & Johnson	Report on Sustainable Packaging	Engagement postponed	---	---
Energy	Climate Change	JPMorgan Chase & Co.	Limit High Carbon Financing for Low Carbon Transition	Agreement reached; resolution withdrawn	---	---
Social	Forced Labor	L Brands, Inc.	Reporting on Forced Labor Risks	Agreement reached; resolution not filed	---	---
E-Health	Antibiotics & Factory Farms	McDonald's Corporation	Extend Antibiotics Policy to Pork and Beef	Agreement reached; resolution not filed	---	---
Social	Forced Labor	Monster Beverage Corporation	Report on Slavery and Human Trafficking in Supply Chain	Agreement reached; resolution withdrawn	---	---
Energy	Climate Change	Morgan Stanley	Report on Carbon Asset Transition	Agreement reached; resolution not filed	---	---
Energy	Climate Change	Netflix	Net-Zero Greenhouse Gas Emissions	Engagement postponed	---	---

APPENDIX: SUMMARY BY OUTCOME

Program	Initiative	Company	Resolution Title	Outcome	Vote For (%)	Assets For (\$BN)
Social	Board Diversity	Skechers USA, Inc.	Reporting on Board Diversity	Resolution voted	26.6%	\$2.5
Energy	Climate Change	Southern Company	Link Executive Compensation to GHG Reduction Metrics	Agreement reached; resolution not filed	---	---
Energy	Climate Change	Southern Company	Report on Methane Leaks	Agreement reached; resolution not filed	---	---
Energy	Climate Change	Southern Company	Report on Carbon Asset Risk	Engagement postponed	---	---
Waste	Consumer Packaging	Starbucks Corporation	Report on Consumer Packaging	Resolution voted	44.5%	\$27.4
Energy	Climate Change	Tesla Motors	Report on Climate-Related Water Risk	Agreement reached; resolution not filed	---	---
Social	Forced Labor	Texas Instruments	Report on Forced Labor Risk	Agreement reached; resolution withdrawn	---	---
Energy	Climate Change	The Cooper Companies	Report on Reducing GHG Emissions in Line with Paris Goals	Agreement reached; resolution withdrawn	---	---
Energy	Climate Change	The Ford Motor Company	Report on GHG emissions and CAFE standards	Agreement reached; resolution not filed	---	---
Energy	Climate Change	Goldman-Sachs	Limit High Carbon Financing	Resolution blocked by company at SEC	---	---

APPENDIX: SUMMARY BY OUTCOME

Program	Initiative	Company	Resolution Title	Outcome	Vote For (%)	Assets For (\$BN)
Energy	Climate Change	PayPal	Net-Zero Greenhouse Gas Emissions	Agreement reached; resolution not filed	---	---
E-Health	GMOs & Pesticides	PepsiCo Inc.	Disclosure of Pesticide Use Reduction in Supply Chain	Resolution voted	10.8%	\$13.2
Waste	Consumer Packaging	PepsiCo Inc.	Report on Container Recycling Goals	Agreement reached; resolution withdrawn	---	---
Energy	Climate Change	Pinnacle West Capital	Link GHG Emissions to Executive Compensation	Agreement reached; resolution withdrawn	---	---
Energy	Climate Change	Public Service Enterprise Group	Report on Methane Leaks & Management Actions	Agreement reached; resolution not filed	---	---
Social	Forced Labor	Ralph Lauren Corporation	Reporting on Forced Labor Risks	Agreement reached; resolution withdrawn	---	---
Waste	Consumer Packaging	Restaurant Brands International	Report on Sustainable Packaging Policies	Resolution voted	22.2%	\$5.5
Energy	Climate Change	Royal Dutch Shell, plc	Publish Paris Aligned Targets	Agreement reached; resolution withdrawn	---	---
E-Health	Antibiotics & Factory Farms	Sanderson Farms, Inc.	Adopt Antibiotic Phase Out Policy	Agreement reached; resolution withdrawn	---	---
Energy	Climate Change	Sempra Energy	Report on Methane Leaks	Agreement reached; resolution not filed	---	---

APPENDIX: SUMMARY BY OUTCOME

Program	Initiative	Company	Resolution Title	Outcome	Vote For (%)	Assets For (\$BN)
Energy	Climate Change	PayPal	Net-Zero Greenhouse Gas Emissions	Agreement reached; resolution not filed	---	---
E-Health	GMOs & Pesticides	PepsiCo Inc.	Disclosure of Pesticide Use Reduction in Supply Chain	Resolution voted	10.8%	\$13.2
Waste	Consumer Packaging	PepsiCo Inc.	Report on Container Recycling Goals	Agreement reached; resolution withdrawn	---	---
Energy	Climate Change	Pinnacle West Capital	Link GHG Emissions to Executive Compensation	Agreement reached; resolution withdrawn	---	---
Energy	Climate Change	Public Service Enterprise Group	Report on Methane Leaks & Management Actions	Agreement reached; resolution not filed	---	---
Social	Forced Labor	Ralph Lauren Corporation	Reporting on Forced Labor Risks	Agreement reached; resolution withdrawn	---	---
Waste	Consumer Packaging	Restaurant Brands International	Report on Sustainable Packaging Policies	Resolution voted	22.2%	\$5.5
Energy	Climate Change	Royal Dutch Shell, plc	Publish Paris Aligned Targets	Agreement reached; resolution withdrawn	---	---
E-Health	Antibiotics & Factory Farms	Sanderson Farms, Inc.	Adopt Antibiotic Phase Out Policy	Agreement reached; resolution withdrawn	---	---
Energy	Climate Change	Sempra Energy	Report on Methane Leaks	Agreement reached; resolution not filed	---	---

APPENDIX: SUMMARY BY OUTCOME

Program	Initiative	Company	Resolution Title	Outcome	Vote For (%)	Assets For (\$BN)
E-Health	GMOs & Pesticides	Kraft Heinz Company	Disclosure of Pesticide Use Reduction in Supply Chains	Resolution voted	12.2%	\$3.2
Energy	Climate Change	The Kroger Company	Report on Renewable Energy Goals	Agreement reached; resolution withdrawn	---	---
Waste	Consumer Packaging	The Kroger Company	Report on Recyclable Packaging	Resolution voted	38.8%	\$5.3
Waste	Ocean Plastics	The Phillips 66 Company	Disclosure of Plastic Pellet Spills and Cleanup Actions	Agreement reached; resolution withdrawn	---	---
E-Health	Antibiotics & Factory Farms	The Wendy's Company	End Use of Medically Important Antibiotics for Healthy Animals	Engagement postponed	---	---
E-Health	Climate Change	Tyson Foods, Inc	Report on Human Rights Due Diligence	Resolution voted	5.5%	\$3.1
Energy	Climate Change	UGI Corporation	Report on Methane Leaks & Management Actions	Agreement reached; resolution withdrawn	---	---
Energy	Climate Change	Verizon Communications Inc.	Feasibility Report on Adoption of Renewable Energy	Agreement reached; resolution withdrawn	---	---
Energy	Climate Change	WEC Energy Group Inc. (Integrus Energy Group)	Report on Methane Leaks	Agreement reached; resolution not filed	---	---

APPENDIX: SUMMARY BY OUTCOME

Program	Initiative	Company	Resolution Title	Outcome	Vote For (%)	Assets For (\$BN)
E-Health	Antibiotics & Factory Farms	Weis Markets	End Use of Medically Important Antibiotics for Healthy Animals	Agreement reached; resolution not filed	---	---
Energy	Climate Change	Wells Fargo and Company	Reducing Climate Impact	Resolution blocked by company at SEC	---	---
Governance	Materiality	Western Digital Corporation.	Report on Diversity and Inclusion	Agreement reached; resolution withdrawn	---	---
Energy	Climate Change	Westlake Chemical	Petrochemical and Health	Engagement postponed	---	---
Energy	Climate Change	Xcel Energy	Report on Carbon Asset Risk	Agreement reached; resolution not filed	---	---
Waste	Consumer Packaging	Yum! Brands	Report on Recyclable Packaging	Resolution voted	33.6%	\$7.2
Waste	Consumer Packaging	Yum! Brands	Report on Recyclable Packaging	Resolution voted	33.60%	\$7.20

APPENDIX: SUMMARY BY OUTCOME

Program	Initiative	Company	Resolution Title	Outcome	Vote For (%)	Assets For (\$BN)
Social	Board Diversity	Skechers USA, Inc.	Reporting on Board Diversity	Resolution voted	26.6%	\$2.5
Energy	Climate Change	Southern Company	Link Executive Compensation to GHG Reduction Metrics	Agreement reached; resolution not filed	---	---
Energy	Climate Change	Southern Company	Report on Methane Leaks	Agreement reached; resolution not filed	---	---
Energy	Climate Change	Southern Company	Report on Carbon Asset Risk	Engagement postponed	---	---
Waste	Consumer Packaging	Starbucks Corporation	Report on Consumer Packaging	Resolution voted	44.5%	\$27.4
Energy	Climate Change	Tesla Motors	Report on Climate-Related Water Risk	Agreement reached; resolution not filed	---	---
Social	Forced Labor	Texas Instruments	Report on Forced Labor Risk	Agreement reached; resolution withdrawn	---	---
Energy	Climate Change	The Cooper Companies	Report on Reducing GHG Emissions in Line with Paris Goals	Agreement reached; resolution withdrawn	---	---
Energy	Climate Change	The Ford Motor Company	Report on GHG emissions and CAFE standards	Agreement reached; resolution not filed	---	---
Energy	Climate Change	Goldman-Sachs	Limit High Carbon Financing	Resolution blocked by company at SEC	---	---

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