

Exhibit 5-6 Social and Occupational Functioning Assessment Scale (SOFAS)

Consider social and occupational functioning on a continuum from excellent functioning to grossly impaired functioning. Include impairments in functioning due to physical limitations, as well as those due to mental impairments. To be counted, impairment must be a direct consequence of mental and physical health problems; the effects of lack of opportunity and other environmental limitations are not to be considered.

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| 91-100 | SUPERIOR FUNCTIONING in a wide range of activities. |
| 81-90 | GOOD FUNCTIONING in all areas, occupationally and socially effective. |
| 71-80 | SLIGHT IMPAIRMENT in social, occupational, or school functioning (e.g. infrequent interpersonal conflict, temporarily falling behind in schoolwork). |
| 61-70 | SOME DIFFICULTY in social, occupational, or school functioning, but generally functioning well, has some meaningful interpersonal relationships. |
| 51-60 | MODERATE DIFFICULTY in social, occupational, or school functioning (e.g., few friends, conflicts with peers or co-workers). |
| 41-50 | SERIOUS IMPAIRMENT in social, occupational, or school functioning (e.g., no friends, unable to keep a job) <i>in some areas</i> . |
| 31-40 | MAJOR IMPAIRMENT IN SEVERAL AREAS, such as work or school, family relations (e.g., depressed man avoids friends, neglects family, and is unable to work; child frequently beats up younger children, is defiant at home, and failing at school). |
| 21-30 | INABILITY TO FUNCTION <i>socially or occupationally</i> in almost all areas (e.g., stays in bed all day; no job, home, or friends). |
| 11-20 | OCCASIONAL HYGIENE PROBLEMS, fails to maintain minimal personal hygiene; unable to function independently. |
| 1-10 | PERSISTENT HYGIENE PROBLEMS, inability to maintain minimal personal hygiene. Unable to function without harming self or others or without considerable external support (e.g. nursing care and supervision). |
| 0 | Inadequate information. |

Source: *DSM-IV* Axis V (APA, 1994, pp. 760-761) with expansions in italics; see also Goldman, H.H., Skodol, A.E., & Lave, T.R. (1992). Revising Axis X for *DSM-IV*: A review of measures of social functioning. American Journal of Psychiatry, 149, 1148-1156. (NOTE: Italics added to make it more specific and delineate ratings more.)