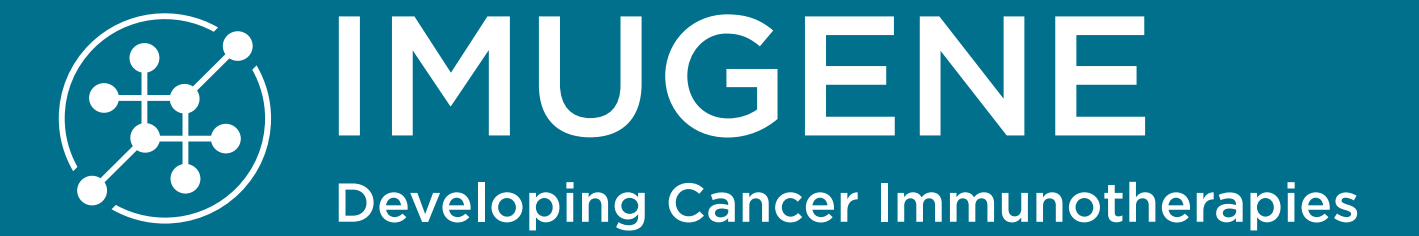


# nextHERIZON: A PHASE 2 STUDY OF HER-VAXX, A HER-2 TARGETING PEPTIDE VACCINE, IN COMBINATION WITH CHEMOTHERAPY OR PEMBROLIZUMAB IN PATIENTS WITH HER-2 METASTATIC OR ADVANCED GASTRIC/GASTROESOPHAGEAL ADENOCARCINOMA WHO PROGRESSED ON OR AFTER TRASTUZUMAB TREATMENT

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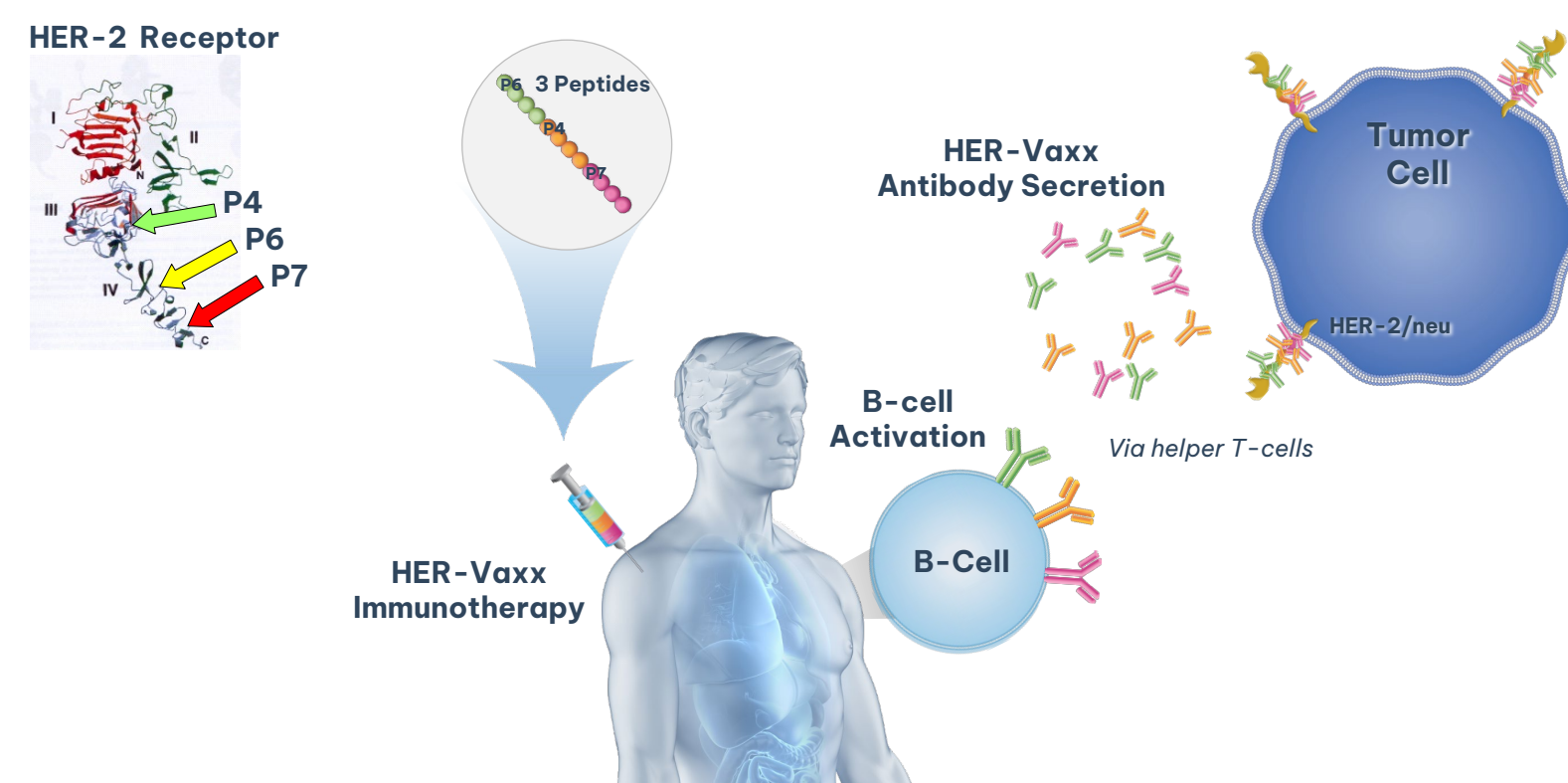
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## Introduction

- HER-Vaxx is a B Cell Immunotherapy designed to treat tumors that over-express the HER-2/neu receptor, including gastric and breast cancer.
- The immunotherapy is constructed from three B-cell epitopes derived from the extracellular domain of HER-2/neu.
- The Phase 1b study showed that active immunization with HER-Vaxx was well tolerated and induced HER-2-dose dependent immune response corresponding to tumor reduction in advanced gastric cancer (GC) or gastroesophageal junction (GEJ).
- The Phase 2 HERIZON study revealed a significant survival benefit in patients treated with HER-Vaxx plus chemotherapy compared to chemotherapy alone<sup>2</sup>.
- Pre-clinical data demonstrated a synergistic effect with combination of HER-2 and PD-1 vaccines and 90% tumor growth inhibition<sup>3</sup>.
- Ramucirumab plus paclitaxel is an approved second-line treatment for patients with GC/GEJ who have failed first-line treatment with chemotherapy or trastuzumab.
- The nextHERIZON study seeks to evaluate the clinical benefit of adding HER-Vaxx to ramucirumab + paclitaxel OR pembrolizumab following progression on or after trastuzumab therapy.

Figure 1

### HER-Vaxx Active Immunisation Mechanism of Action



## Key Eligibility Criteria

Inclusion Criteria	Exclusion Criteria
<ul style="list-style-type: none"> <li>✓ Confirmed diagnosis of advanced or metastatic HER-2/neu overexpressing GC or GEJ adenocarcinoma</li> <li>✓ Progressed on or after trastuzumab therapy</li> <li>✓ Measurable disease as per RECIST 1.1 criteria and assessed by the local investigator</li> <li>✓ HER-2/neu overexpression (3+ by IHC or if IHC 2+ confirmed by FISH, BDISH, or CISH utilizing post-progression fresh or archival tissue or pathology report)</li> <li>✓ Eastern Cooperative Oncology Group (ECOG) performance status 0-1</li> <li>✓ Adequate bone marrow, renal and hepatic function</li> </ul>	<ul style="list-style-type: none"> <li>X Systemic chemotherapy or major surgery within 28 days before starting study treatment</li> <li>X Arm 2 only: Has received prior therapy with an anti-PD-1, anti-PD-L1, or anti-PD-L2 agent</li> <li>X Arm 2 only: Has received prior therapy with an ICI or with an agent directed to another stimulatory or co-inhibitory T-cell receptor (e.g., CTLA-4, OX 40, CD137) and was discontinued from treatment due to a grade 3 or higher adverse event</li> <li>X Prior organ transplantation, including allogenic stem-cell transplantation</li> <li>X Chronic immunosuppressive therapy (systemic steroids &gt;10mg or others) within 7 days prior the first dose of study drug</li> <li>X Active, known, or suspected autoimmune disease</li> </ul>

## Study Design

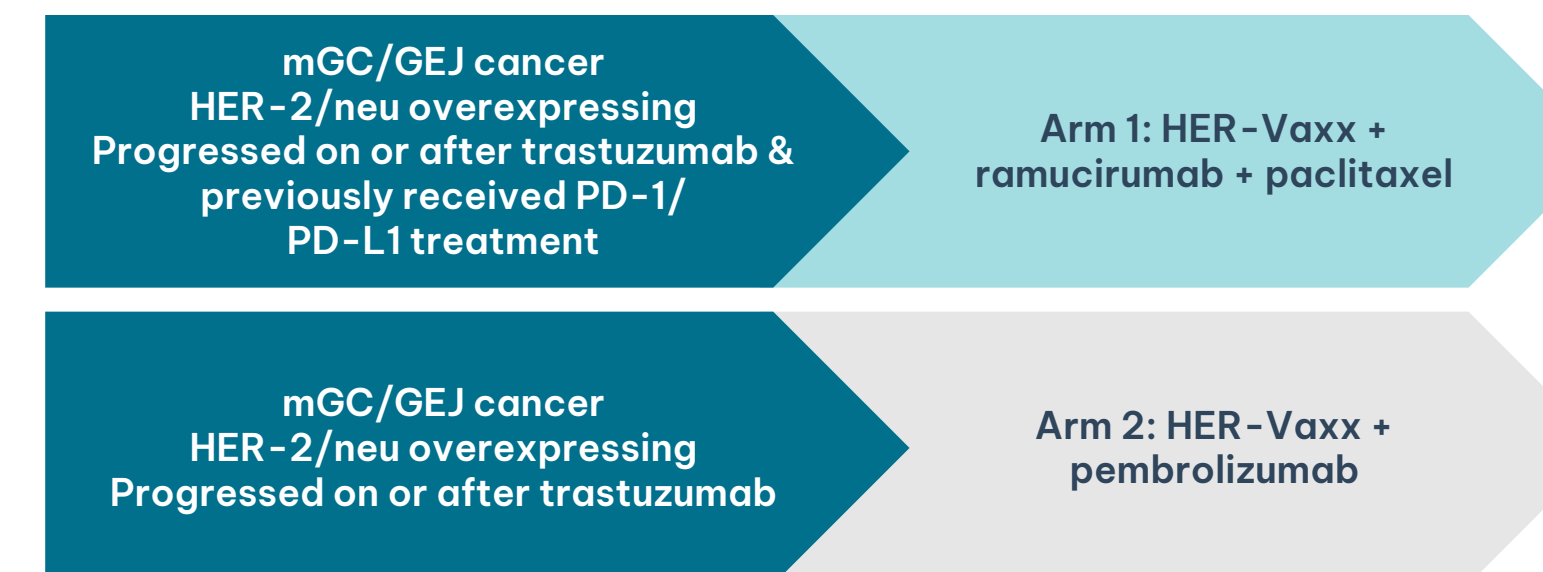
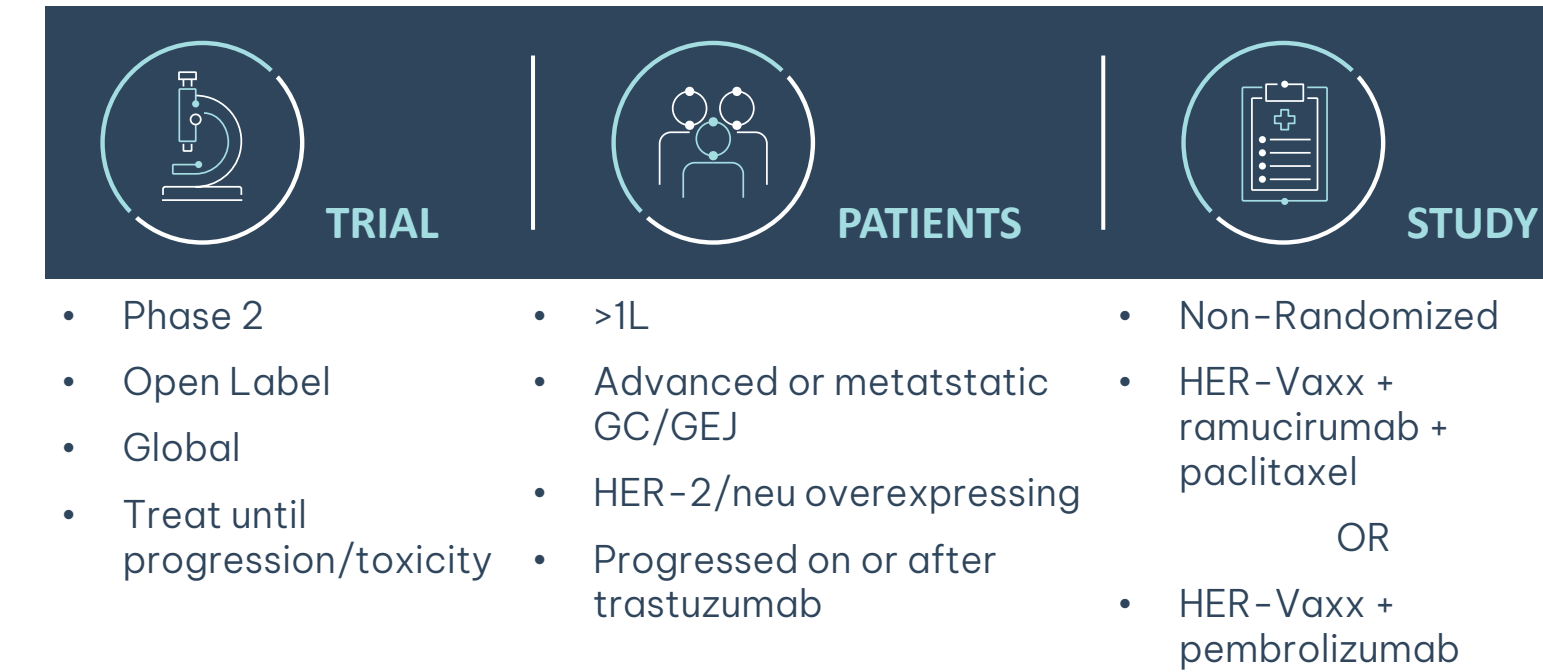


Figure 2

### Treatment Arms

#### ARM 1: HER-Vaxx + ramucirumab + paclitaxel

28-Day Cycles	Cycle 1				Cycle 2			
Days	1	8	15	22	1	8	15	22
HER-Vaxx administration	✓		✓		✓			
Ramucirumab + paclitaxel		✓	✓	✓		✓	✓	✓

HER-Vaxx administered Day 1 of every 2<sup>nd</sup> cycle from cycle 3 onwards. Treat to progression. Ramucirumab administered D8, 22 of each cycle. Paclitaxel administered D8, 15, 22 of each cycle. Dose-limiting toxicity is 29 days of treatment. Tumor assessment at Day 43 and every 6 weeks.

#### ARM 2: HER-Vaxx + Pembrolizumab

21-Day Cycles	Cycle 1				Cycle 2		
Days	1	8	15	22	1	8	15
HER-Vaxx administration	✓		✓		✓		
Pembrolizumab		✓			✓		

HER-Vaxx administered Day 1 of every 3<sup>rd</sup> cycle from cycle 4 onwards. Treat to progression. Dose-limiting toxicity is 29 days of treatment. Tumor assessment at Day 43 and every 6 weeks.

## Study Objectives

### Arms Assessed Independently

- ✓ **Primary Safety:** Safety and tolerability of HER-Vaxx in combination with chemotherapy (ramucirumab plus paclitaxel) or pembrolizumab
- ✓ **Primary Efficacy:** Objective Response Rate of HER-Vaxx in combination with chemotherapy or pembrolizumab
- ✓ **Secondary:** Overall Survival, Progression Free Survival, Duration of Response of HER-Vaxx in each Arm
- ✓ **Exploratory:**
  - Humoral and cellular immunogenicity data of HER-Vaxx plus chemotherapy (ramucirumab plus paclitaxel) or pembrolizumab
  - Arm-specific associations of immunogenicity and biochemical markers and efficacy parameters
  - Arm-specific associations between clinical outcome and HER-2 expression, PD-L1 expression and tumor mutational burden

## Study Information

- Protocol Number: NCT05311176
- Status: Enrolling
- Sites: US, Australia, Taiwan, other countries (TBD)



## References

1. Wiedermann, U., et al. Clinical and Immunologic Responses to a B-Cell Epitope Vaccine in Patients with HER2/neu-Overexpressing Advanced Gastric Cancer—Results from Phase 1b Trial IMU.ACS.001. *Clinical Cancer Research* 2021;27(13): 3649–3660.
2. Maglakelidze, M., et al. HERIZON: IMU-131 Peptide Vaccine Plus Standard of Care Chemotherapy in Patients with HER2 Overexpressing Metastatic or Advanced GEJ/GC. European Society of Medical Oncology Asia Congress, December 2–4, 2022; Singapore.
3. Kaumaya PTP, et al. Immunogenicity and antitumor efficacy of a novel human PD-1 B-cell vaccine (PD1-Vaxx) and combination immunotherapy with dual trastuzumab/pertuzumab-like HER-2 B-cell epitope vaccines (B-Vaxx) in a syngeneic mouse model. *Oncoimmunology*. 2020;9(1):1818437.