

AGREEMENT FOR THE PROVISION OF INVESTMENT AND ANCILLARY SERVICES

THIS AGREEMENT IS MADE TODAY _ / _ / 20 _ _

Client's Code: _____

Between Sharelink Securities and Financial Services Ltd, Company Registration No. 77879, having its registered office at 6, Theotoki street, Ellinas House, 1st floor, Nicosia (hereinafter called "Sharelink"), carrying on business in accordance with the Law on the one part and Mr/Ms/Messrs _____

with Identification Card / Passport / Registration number (legal entities) _____

Address/ Registered Office Address (legal entities) _____

Contact telephone number _____ Fax number _____

Email address _____

(Hereinafter called the client), Represented for the signature of this Agreement (in case of a legal entity) by

(a) _____

with Identification Card / Passport number _____

Address _____

and (in case of a legal entity represented by two persons)

b) with Identification Card / Passport number _____

Address _____

which shall be collectively referred to as the "Parties" and individually as the "Party" to the Agreement)

WHEREAS

- A. Sharelink is a Cypriot Investment Firm (CIF) and pursuant to its CIF license no. 14/2003 granted to it by the Cyprus Securities and Exchange Commission, it has the right to provide Investment and Ancillary Services in Financial Instruments,
- B. The Client wishes to make use of the services provided by Sharelink and, specifically, of the Investment Services and other services noted in **Section F, Appendix 1** with regards to Financial Instruments, having completed for this purpose all relevant sections in **Appendix 1**, which is attached as an integral part of the Agreement,
- C. Sharelink has proceeded with the evaluation of the suitability of the Financial Instruments in relation to the Client's knowledge and investing experience.

NOW BY THIS AGREEMENT THE PARTIES AGREE AS FOLLOWS:

- i. Sharelink agrees to provide to the Client and the Client wishes to be provided with the Investment Services noted in **Section F, Appendix 1**, and any other additional Appendices. **Section F, Appendix 1**, as well as any other of the Appendices of the Agreement, may be amended at any time during the life of the present Agreement and any such amendment shall not affect any other terms of this Agreement.
- ii. For purposes of provision of the Investment Services and other services, the Client agrees and undertakes to provide Sharelink with any securities or assurances that may be agreed between the Client and Sharelink. Should the provision of securities or assurances by the Client be agreed between the Parties, on a separate agreement, Sharelink is entitled to consider the provision of such securities or assurances as a condition precedent to the provision of the Investment Services and other services.
- iii. The Client agrees that the Services will be provided in accordance to the **TERMS AND CONDITIONS** of the Agreement which shall be an integral part of it and its **Appendices**. Furthermore, the **Appendices** and the **Politically Exposed Persons Document**, provided that they are signed, shall become integral parts of the Agreement from the date of their full signature. Condition Precedent for the coming into effect of the Agreement, constitutes the completion in full and signature of the Parties of the **Appendices 1 and 2**, and of **Appendix 1.1**, and the **Politically Exposed Persons Document**, if applicable.
- iv. With the signature of the present Agreement, the Parties agree that Sharelink shall provide to the Client, as a minimum, the following Investment Services:
- (1) Service of Reception and Transmission of Orders, for the performance of transactions in relation to one or more Financial Instruments.
 - (2) Service of Execution of orders on behalf of clients, for the performance of transactions in relation to one or more Financial Instruments.
- v. For the provision of additional Investment Services and other services, provided that this is accepted by Sharelink, the Parties must select the additional Investment Services and other services in **Section F, Appendix 1**, and sign the relevant Appendices set out below:
- (1) For the provision of the Service of Investment Advice, **Appendix 3**, shall be signed.
 - (2) For the provision of the services of Facilities for the Electronic Transmission of Orders, **Appendix 4** shall be signed.
 - (3) For the provision of Services for the Performance of Transactions in relation to one or more Financial Instruments within the context of an Investment Scheme, **Appendix 5** shall be signed
 - (4) For the provision of the Service of Discretionary Portfolio Management, **Appendix 6** shall be signed.
- vi. The provision of the additional Investment Services and other services, shall be governed by the Agreement and by the terms of the relevant Appendix. The Appendices shall be attached to the Agreement and shall constitute an integral part of it from the date of their signature by both Parties. Where there is a conflict between the terms of the Appendices and the terms of the rest of the Agreement or other Appendices with regards to the Investment or other services relating to each Appendix, the terms of the Appendix relating to the specific Investment Service or other service, shall prevail.

AGREEMENT FOR THE PROVISION OF INVESTMENT AND ANCILLARY SERVICES

vii. The Client solemnly declares that:

- (1) he has perused and fully understood the whole content of the **TERMS AND CONDITIONS** and the relevant Appendices of the Agreement, with which he absolutely and unreservedly agrees and he accepts that he shall be fully bound by them,
- (2) he has received a copy of the **TERMS AND CONDITIONS** of the Agreement and the relevant Appendices prior to the date of the signature of the Agreement and has had the opportunity to receive advice from a lawyer of his choice.

This Agreement has been made in one original which is retained by Sharelink and the Client is being given a certified copy of it. The Parties have signed this Agreement on the date specified below.

The Parties

SIGNATORIES	Signature and Corporate Seal ¹	Date
1. Full name: _____ Identification Card/ Passport number or Company's Reg. no. ¹ : _____ Capacity ¹ : _____ For and on behalf of ² : _____
2. Full name: _____ Identification Card/ Passport no.: _____ _____

For and on behalf of SHARELINK

Signature

Position:

Name:

DATE: __ / __ / ____

WITNESSES	Signature	Date
<u>For the Client</u> 1. Full name: _____ Identification Card/ Passport no.: _____ Address: _____
<u>For Sharelink</u> 2. Full name: _____ Identification Card/ Passport no.: _____ Address: _____

¹ Where the Client is a Legal Entity or a Legal Entity is acting on behalf of the Client.

² Where applicable.

APPENDIX 1

Client Code: _____

CLIENT QUESTIONNAIRE (NATURAL PERSON)

For the purposes of offering you the best possible service, protection and promotion of your interests, and in order to comply with the Law and the Directives of the Cyprus Securities and Exchange Commission and the Prevention and Suppression of Money Laundering Activities Law 188(I)/2007, it is highly important that you provide us with the necessary information regarding yourself. You are kindly requested to take all necessary steps for the fullest and most accurate completion of this Questionnaire. We draw your attention to the importance of informing Sharelink of every change in the information provided hereunder.

It is noted that Sharelink shall deem that the information you have provided is correct and complete and shall rely on this information for evaluating your profile and the services to be provided.

All words denoting the singular number shall include the plural number and vice versa, all words denoting the masculine gender shall include the female gender and vice versa and all words denoting natural persons shall include legal entities and vice versa. Unless where the text otherwise provides, the terms and interpretations of the Agreement for the Provision of Investment Services shall apply to Appendix 1.

A. CLIENT DETAILS

Name: _____ Surname: _____
 Previous Names / Surnames: _____ Father's Name: _____
 Date of Birth: _____ Identity Card/ Passport No.: _____
 Country of Issue: _____ Nationality: _____ Place of Birth: _____
 Occupation: _____ Employer*: _____
 Work Address: _____ Work Tel.: _____
 Home Tel. (Landline): _____ Tel. (Mobile): _____ Fax: _____
 Home Address : _____ City: _____
 Post Code: _____ Country: _____ Email Address: _____
 General Correspondence Address (if different): _____ P.O. Box: _____
 City: _____ Post Code: _____ Country: _____

If the Services shall be provided to a second person jointly with the first, the following must be completed:

Name: _____ Surname: _____
 Previous Names / Surnames: _____ Father's Name: _____
 Date of Birth: _____ Identity Card/ Passport No.: _____
 Country of Issue: _____ Nationality: _____ Place of Birth: _____
 Occupation: _____ Employer*: _____
 Work Address: _____ Work Tel.: _____
 Home Tel. (Landline): _____ Tel. (Mobile): _____ Fax: _____
 Home Address : _____ City: _____
 Post Code: _____ Country: _____ Email Address: _____
 General Correspondence Address (if different): _____ P.O. Box: _____
 City: _____ Post Code: _____ Country: _____

* Where the person is **Government employed or employed in a large organisation**, please state the Ministry, Department or Office in which the person is employed.

A.1. PREFERRED MEANS AND MODES OF COMMUNICATION IN A DURABLE MEDIUM FOR CONTRACT NOTES, ACCOUNT STATEMENTS AND COMPANY POLICY:

Fax: Postage: Email:

Specifically in relation to the dispatch of contract notes, by accessing a specific webpage through the use of an account password

I do not accept to be informed regarding the executed transactions via SMS

I do not accept to receive informative material on products and services

A.2. ADDITIONAL CLIENT DETAILS WHERE THE CLIENT'S ORDINARY RESIDENCE IS NOT IN CYPRUS

If your residential address is not in Cyprus, and you hold or held, over the last 12 months a significant public function on anational level of a foreign jurisdiction (i.e. minister, member of the Parliament, Member of the Board of a Regulator Authority) or you are a close relative or close associate of such person, mark this field.

→ If you have marked the above field, it is necessary to complete the **Document F-M-CB- 01/8EN for Politically Exposed Persons.**

A.3. BENEFICIAL OWNER OTHER THAN THE CLIENT

Please note whether the Beneficial Owner is other than the Client that completes Appendix 1. A Beneficial Owner is a nat or persons that ultimately owns or controls the Client and/or the natural person on whose behalf the services shall be ural person offered and/or the transactions shall be effected:

→ If you have marked the above field, the Beneficial Owner should complete **Appendix 1.1.**

B. DETAILS OF ADMINISTRATOR / GUARDIAN/ CLIENT'S ATTORNEY/TRUSTEE, ACTING ON BEHALF OF THE CLIENT

Any persons that fall in the above categories should complete Appendix 1.1.

I. Natural Person

Capacity: _____
 Name: _____ Surname: _____
 Date of Birth: _____ Identity Card/ Passport No.: _____

II. Legal Entity

Capacity: _____
 Name: _____ Registration Number: _____

III. ATTENTION: Please apply accordingly:

1. Where there is a Client's Attorney, a Power of Attorney Document should be attached in original form or certified copy from a competent authority.
2. Where there is a Trustee, a relevant Trust Deed should be attached in original form or certified copy from a competent authority.

Relationship of Guardian with Client: _____

Relationship of Attorney with the Client: _____

[THIS SPACE WAS INTENTIONALLY LEFT BLANK]

C. CLIENT'S INVESTMENT PROFILE

ATTENTION: Questions of Part C.1 are mandatory. Where questions of Part C.2 are not answered, Sharelink shall not provide the Services of Investment Advice or Discretionary Portfolio Management.

C.1. CLIENT'S FINANCIAL CONDITION

1. Please indicate your personal gross annual income or your household income (where applicable).

- | | | | |
|-------------------------|--------------------------|--------------------------|--------------------------|
| 1.1. Up to €25.000 | <input type="checkbox"/> | 1.4. €100.000 - €200.000 | <input type="checkbox"/> |
| 1.2. €25.000 - €50.000 | <input type="checkbox"/> | 1.5. > €200.000 | <input type="checkbox"/> |
| 1.3. €50.000 - €100.000 | <input type="checkbox"/> | | |

Please indicate the principal sources of your annual income (e.g. salary, rents receivable, investments):

2. What percentage of your monthly income (or your household's income, where applicable) corresponds to the expenses required to cover your personal/family financial obligations?

- | | | | |
|----------------|--------------------------|-----------------|--------------------------|
| 2.1. Up to 25% | <input type="checkbox"/> | 2.4. 75% - 100% | <input type="checkbox"/> |
| 2.2. 25% - 50% | <input type="checkbox"/> | 2.5. Over 100% | <input type="checkbox"/> |
| 2.3. 50% - 75% | <input type="checkbox"/> | | |

Please inform regarding your monthly, regular financial obligations (e.g. rent, loan repayment or installments, insurance premium, personal/family expenses).

3. How many persons besides yourself are financially dependent on your income (including spouse)?

- | | | | |
|------------------|--------------------------|-----------|--------------------------|
| 3.1. More than 4 | <input type="checkbox"/> | 3.3. 1-2 | <input type="checkbox"/> |
| 3.2. 3-4 | <input type="checkbox"/> | 3.4. None | <input type="checkbox"/> |

4. What is, approximately, the net value of your assets? (Assets value less liabilities)?

- | | | | |
|--------------------------|--------------------------|---------------------------|--------------------------|
| 4.1. Up to €50.000 | <input type="checkbox"/> | 4.4. €200.000 - € 500.000 | <input type="checkbox"/> |
| 4.2. €50.000 - €100.000 | <input type="checkbox"/> | 4.5. <€500.000 | <input type="checkbox"/> |
| 4.3. €100.000 - €200.000 | <input type="checkbox"/> | | |

5. What percentage of your assets are readily liquid (i.e. securities, fixed term deposits, bonds)?

- | | | | |
|-------------|--------------------------|-------------|--------------------------|
| 5.1. 0 – 25 | <input type="checkbox"/> | 5.3. 51-75 | <input type="checkbox"/> |
| 5.2. 26-50 | <input type="checkbox"/> | 5.4. 76-100 | <input type="checkbox"/> |

Investment Time Horizon

6. What is your time horizon for the achievement of your investment objectives?

- | | | | |
|------------------------|--------------------------|-----------------------|--------------------------|
| 6.1. More than 7 Years | <input type="checkbox"/> | 6.4. 1-3 Years | <input type="checkbox"/> |
| 6.2. 5-7 Years | <input type="checkbox"/> | 6.5. Less than 1 Year | <input type="checkbox"/> |
| 6.3. 3-5 Years | <input type="checkbox"/> | | |

Anticipated account turnover

The funds that you consider investing in the first six months following the activation of your trading account are:

- | | | | |
|---------------------|--------------------------|----------------------|--------------------------|
| • Up to €5.000 | <input type="checkbox"/> | • €50.000 - €150.000 | <input type="checkbox"/> |
| • €5.000 - €15.000 | <input type="checkbox"/> | • Over €150.000 | <input type="checkbox"/> |
| • €15.000 - €50.000 | <input type="checkbox"/> | | |

OTHER INFORMATION

Has a bankruptcy or any other order ever been issued or is still pending against you regarding your financial obligations? (If yes, please provide details on a separate sheet). YES NO

Have you ever been engaged in a dispute with an Investment Firm or with a Company whose securities are listed on a market or have you ever been sanctioned by a regulatory authority in Cyprus or abroad (i.e. Securities and Exchange Commission, Central Bank)? (If yes, please provide details on a separate sheet). YES NO

Is there any additional significant information relating to your financial status or personal circumstances you would like to state? (If yes, please use space below). YES NO

C.2. INVESTMENT PROFILE / COMPREHENSION OF PERFORMANCE AND RISK

7. According to your expectations, what is the maximum annual fluctuation of your portfolio's performance you would be willing to accept, assuming that the initial investment is €100.000?

- | | | | |
|-------------------------------|--------------------------|--------------------------------|--------------------------|
| 7.1 €94.000 - €106.000 (± 6%) | <input type="checkbox"/> | 7.4 €65.000 - €135.000 (± 35%) | <input type="checkbox"/> |
| 7.2 €88.000 - €112.000 (±12%) | <input type="checkbox"/> | 7.5 €50.000 - €150.000 (± 50%) | <input type="checkbox"/> |
| 7.3 €75.000 - €125.000 (±25%) | <input type="checkbox"/> | | |

8. Which of the following statements would best represent your reaction if the value of your portfolio should be reduced by 15%?

- | | |
|---|--------------------------|
| 8.1 Very concerned, as I cannot accept any decrease in the value of my portfolio. | <input type="checkbox"/> |
| 8.2 Worrying, although the investment was done for the purpose of obtaining an income. | <input type="checkbox"/> |
| 8.3 Not at all concerned, provided that the income remains unaffected and the long term prospects of the portfolio remain positive. | <input type="checkbox"/> |
| 8.4 I accept temporary changes due to market fluctuations. | <input type="checkbox"/> |
| 8.5 Indifference, because I invest in view of long term return on capital. | <input type="checkbox"/> |

Investment Objectives

9. What are your expectations regarding your portfolio's average annual performance?

- | | | | |
|-----------|--------------------------|-----------|--------------------------|
| 9.1 + 6% | <input type="checkbox"/> | 9.4 + 25% | <input type="checkbox"/> |
| 9.2 + 10% | <input type="checkbox"/> | 9.5 + 35% | <input type="checkbox"/> |
| 9.3 + 15% | | | |

10. Which of the following statements do you consider that best reflects the investment method you would like to be utilized in order to reach your objectives?

- 10.1** The primary objective should be to secure the value of my portfolio with the largest part of my capital to remain in low- risk investments.
- 10.2** The investment must be low-risk but should be able to offer income (i.e. dividends, interest).
- 10.3** The primary objective is for the investment to be capable of producing income. Return on capital is a secondary target.
- 10.4** The investment must aim at return on capital but should also offer some income.
- 10.5** The investment must aim at increased return on capital. If this can be achieved then there is no need for income.

D. EDUCATIONAL LEVEL, INVESTMENT EXPERTISE / KNOWLEDGE AND UNDERSTANDING OF RISK

The accurate and full completion of this Section is necessary for us in order to assess the appropriateness of the financial instruments and offered Services with your investment objectives, conditions, experience and knowledge. If a person is authorised to act on your behalf (as stated in Section B of the Questionnaire), he must complete **APPENDIX 1.1.**

1. Educational Level / Professional Qualifications (Please note the higher acquired level)
(1.4 higher-1.1 lower)

- 1.1.** Primary – Secondary **1.3** Postgraduate Degree or professional qualification
 In **non-finance related** subjects
- 1.2** University Degree **1.4** Postgraduate degree or professional qualification
 in **finance** related subjects

2. Knowledge, experience and prior investments in financial instruments and markets

<u>Financial Instruments (F.I.)</u>	I have the necessary experience and knowledge to understand the risks involved in	I have invested for my own account and/or on behalf of third persons on
NON-COMPLEX FINANCIAL INSTRUMENTS such as shares, bonds, debentures, UCITs.	2.1 <input type="checkbox"/>	2.2 <input type="checkbox"/>
(Mark where applicable)		
COMPLEX FINANCIAL INSTRUMENTS such as Rights, Warrants, Options, Futures, Swaps, Forward-rate agreements and other Derivative contracts, Contracts for differences.	2.3 <input type="checkbox"/>	2.4 <input type="checkbox"/>

! If you have marked any of the above fields field (2.1. – 2.4.) please continue with answering the rest of the questions included in this Part.

3. Overall, how would you assess your investment knowledge and experience?

- Extremely limited – Little or no knowledge **3.1**
- Limited – I understand the basic investment principles **3.2**
- Average – I understand the main investment principles **3.3**
- Good – I understand most investment principles **3.4**
- Very good – I am an investment professional / frequent trader **3.5**

! In case you have never invested before, neither for own account, nor on behalf of others, please do not answer the rest of the questions included in this part.

4. In which markets have you already invested for own account and/or on behalf of third persons? (Please mark only one answer)

Note: Please mark field 4.2 if you have invested in the Home Market/Greece and/or Developed Markets AND Emerging Markets, foreign exchange markets and markets of complex financial instruments.

Home Market/Greece and/or Developed Markets (e.g. US, Western Europe) 4.1

Emerging markets (e.g. China, Russia), Foreign Exchange markets and complex financial instruments' Markets 4.2

5. By which method have you already invested for own account and/or on behalf of third persons? (mark all applicable options)

Discretionary Portfolio Management by a Professional Administrator 5.1

Based on investment advice I receive 5.2

Execution only, I decide on my own where to invest 5.3

6. What is the value of your current investment portfolio and/or of the one you manage on behalf of third persons;

Up €50.000 6.1

€50.001 – €200.000 6.2

€200.001 – €500.000 6.3

Over €500.000 6.4

7. Sources of Investment for the creation of the Portfolio (Select all applicable)

Own sources or funds of third persons which you invest on their behalf 7.1

Borrowed funds 7.2

8. What is the average value per transaction in relation to the transactions that you have carried out for own account and/or on behalf of third persons over the last 2 years?

0 (I have not carried out any transactions over the last 2 years) 8.1

Up to €10.000 8.2

€10.001 – €50.000 8.3

€50.001 – €100.000 8.4

Over €100.000 8.5

9. How many transactions on Financial instruments have you carried out on average, on an annual basis for own account and/or on behalf of third persons over the last 2 years?

0 (I have not carried out any transactions over the last 2 years) 9.1

Less than 10 9.2

10 – 50 9.3

50 – 100 9.4

More than 100 9.5

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RATING OF CLIENT'S INVESTMENT PROFILE (Part C)

Questions

Answers	1	2	3	4	5	6	7	8	9	10
1	1	9	1	2	4	0	1	0	2	0
2	2	7	2	4	6	2	4	4	6	2
3	3	5	4	6	8	3	7	8	8	5
4	4	3	6	8	10	5	9	10	10	9
5	6	1		10		9	13	12	12	13
Total										

TOTAL RATING

C1. Client's Financial Condition (Ability)
(Questions: 1-6)

C2. Comprehension of performance and risk
(Willingness) (Questions: 7-10)

Client's Investment Profile as deducted
from Questions 7-10 (Please circle)

Conservative
(3-20)

Balanced
(21-40)

Aggressive
(41-50)

Financial Instruments appropriate for the Client's investment knowledge and experience.

If the Client has marked any of the above fields (2.1 – 2.4), please proceed with the rating of the Client's answers to questions 3-9.

RATING OF CLIENT'S KNOWLEDGE AND EXPERIENCE (Part D)

Questions

Answers	1	2	3	4	5	6	7	8	9
1	5	7	0	2	2	1	2	0	0
2	10	5	2	4	4	2	4	1	1
3	15	10	5		8	3		2	2
4	20	13	7			4		3	3
5			15					4	4
Total									

TOTAL RATING

Financial Instruments appropriate in
relation to Client's knowledge and
experience (Please circle)

Non-complex Financial Instruments
(5-55)

**Complex and Non-complex
Financial Instruments**
(56 - 100)

E. CLIENT CATEGORISATION

Your categorisation, and, consequently, your level of your protection, -such as whether you shall be entitled to the payment of compensation from the Investor Compensation Fund-, will be determined pursuant to the answers and information you shall provide in this Part.

Pursuant to the relevant legislation:-

I. 'Retail Client' is a client that is neither a professional client nor an eligible counter party.

II. 'Professional Client' is a client who possesses the experience, knowledge and expertise to make its own investment decisions and properly assess the risks that it incurs.

NOTE: With regard to Natural persons, for a Client to be categorised as a 'Professional Client', he should be Commodity and commodity derivatives dealers or an institutional investor.

CLIENT CATEGORISATION AND INVESTMENT PROFILE

⇒ In accordance with the information provided by the Client and the provisions of the relevant legislation, the Client is CATEGORISED as a:

RETAIL CLIENT PROFESSIONAL CLIENT

⇒ In accordance with the information provided to Sharelink, the Client's INVESTMENT PROFILE is:

Conservative Balanced Aggressive

CHECKED BY:	<input style="width:95%;" type="text"/>	<input style="width:95%;" type="text"/>	DATE: ___ / ___ / _____
(Sharelink Officer)	FULL NAME	SIGNATURE	

Request for a different client treatment (As a professional client or Non Professional):

A Retail Client has the right to request to be treated as a professional client by Sharelink, but, shall receive a lower level of protection. Sharelink is not obliged to offer services to the Client on this basis.

In case you have been categorised as a RETAIL CLIENT:-

- i. but wish to be treated as a PROFESSIONAL CLIENT, or
 - ii. your circumstances may change in the future and on the basis of the new set of facts, you wish to be treated as a PROFESSIONAL CLIENT,
- you must notify Sharelink in writing on your request to be treated as a PROFESSIONAL CLIENT.

In accordance with the Law, in order to allow to clients-Natural persons to waive part of their protection and be treated as PROFESSIONAL CLIENTS, an appropriate assessment must take place. In this assessment, the Client should satisfy at least two of the following three criteria:

- I.** To possess significant financial knowledge and expertise or to possess or to have possessed an executive position in a regulated investment firm or bank;
- II.** To have carried out transactions of significant size on the same financial instruments at an average frequency of ten (10) transactions per quarter over the previous four quarters;
- III.** To possess a financial instrument portfolio including cash deposits of at least €500.000.

A Professional Client has the right to request not to be treated as a professional client and Sharelink may accept to provide him with a higher level of protection. In case you have been categorised as a PROFESSIONAL CLIENT but wish not to be treated as a PROFESSIONAL CLIENT by Sharelink, you may communicate your request by marking with X the field below:

I hereby request that the rules of professional conduct that govern the relationship of Investment Firms and **RETAIL CLIENTS** be applied in my case. I declare that I understand the consequences of not being treated as a Professional Client by Sharelink.

It is noted that, in accordance with the Law, the highest level of protection shall be offered if the Client which is deemed to be a professional client, enters into a written, separate agreement with Sharelink to the effect that it shall not be treated as a professional client for the purposes of the applicable conduct of business rules.

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F. SERVICES IN FINANCIAL INSTRUMENTS

Please mark with an X or √ the investment and other services you wish to be provided with by Sharelink:

- Reception and Transmission of Orders.**
- Execution of Orders on behalf of clients** (Execution of Orders shall be effected on markets of which Sharelink is a member) .
- Provision of Investment Advice - Appendix 3** must be signed.
- Facilities for the Electronic Transmission of Orders - Appendix 4** must be signed.
- Provision of services for the performance of transactions in one or more Financial Instruments, within the scope of an Investment Scheme, - Appendix 5** must be signed.
- Discretionary Portfolio Management – Appendix 6** must be signed.

G. ADDITIONAL INFORMATION ON ANNOUNCEMENTS REGARDING TRANSACTIONS

It is stressed that, the obligation and responsibility, pursuant to legislation, for announcing/disclosing of information regarding transactions, stays at all times with the Client and at no time is transferred to Sharelink. If Sharelink proceeds with announcements/disclosures on behalf of its clients as a courtesy to its clients, this, under no circumstances, amounts to an assumption of this obligation or responsibility by Sharelink. Although every effort is made in relation to the accuracy of the announcements/disclosures made by Sharelink on behalf of the Client as above, the Client unreservedly acknowledges and accepts that Sharelink shall, under no circumstances bear any responsibility for any mistake and/or omission.

The Client declares and confirms that he is (~~Strikethrough if not applicable~~):

- Yes / No (a) A member of the Board of Directors or an executive officer of a company listed on the CSE/ASE.
- Yes / No (b) A shareholder of over 5% of the share capital of a company listed on the CSE/ASE (If yes, please complete the table below).
- Yes / No (c) A member of the Board of Directors or an executive officer in an: i) Investment Firm ii) Mutual Fund, Open-End Investment Company iii) Credit Institution.
- Yes / No (d) A Spouse or relative up to the second degree (parents, children, siblings, grandparents, grandchildren) with a person who falls within the above-mentioned capacities (a-c).

CLIENT’S DECLARATION REGARDING DIRECT OR INDIRECT SHAREHOLDING OVER 5% IN LISTED COMPANIES:

	COMPANY NAME	PERCENTAGE OF SHAREHOLDING
1	_____	_____
2	_____	_____
3	_____	_____

MEMBER OF THE BOARD OF DIRECTORS OR HOLDER OF AN EXECUTIVE POSITION IN A LISTED COMPANY:

	COMPANY NAME	POSITION
1	_____	_____
2	_____	_____
	_____	_____

H. BASIC INFORMATION

I. BEST EXECUTION POLICY

I confirm that I have carefully read and understood the content of the Best Execution Policy of Sharelink (the 'Policy'). I accept the terms described in the aforementioned Policy and accept that this Policy shall be applied by Sharelink in the execution of my orders for the effecting of transactions in Financial Instruments.

Please note that Sharelink may be unable to execute your orders in case you do not agree with the aforementioned policy.

II. ACCEPTANCE OF POLICIES AND OTHER DOCUMENTS AND TERMS

I confirm that I have received, read and fully understood the content of the documents listed below. I accept the terms stated in these documents and accept that the policies described therein, shall be applied by Sharelink in relation to the provision of the Services.

1. Best Execution Policy
2. Conflicts of Interest Policy
3. Description of Financial Instruments and Investment Risks
4. Information Concerning the Safeguarding of Financial Instruments and Funds of Retail Clients
5. General Information to the Clients

These documents are posted on Sharelink's website and I accept that they may be amended from time to time and that Sharelink shall inform me on every amendment either through direct communication or through posting the amended document on its website.

III. CONSENT TO THE EFFECTING OF TRANSACTIONS OUTSIDE A REGULATED MARKET OR A MULTILATERAL TRADING FACILITY

I agree and acknowledge that Sharelink may:

1. Execute an order on my behalf outside a Regulated Market or a Multilateral Trading Facility as these terms are defined in the Law.
2. Act on its sole discretion on whether it shall publicize any non executed limit order under the existing market conditions.

Please note that Sharelink may be unable to execute your transactions in case you do not agree with the above.

I. IMPORTANT NOTES

- If you have completed and signed more than one questionnaire to Sharelink with regard to the same service, the one with the most recent date shall be considered to be the only valid one, as of the date it is signed.
- If you fail to provide all the required information regarding yourself, this may affect Sharelink's ability to act in your best interests and precludes the provision of investment advice to you. If you have not provided all the required information regarding yourself, due to the risks and the characteristics of the intended transactions, you hereby confirm to Sharelink that although you are not providing all information regarding yourself and despite the risks involved, you nevertheless wish to proceed with the execution of transactions.
- You are hereby informed that your personal data shall be kept and processed pursuant to the provisions of the relevant legislation.
- You are obliged to notify Sharelink in writing immediately after there has been a change in your contact details and other personal data you have provided Sharelink with, in relation to the provision of Services and facilities.
- In order for a trading account to be activated, all documents required by the relevant stock exchange or market or other service providers that may be related to or necessary for the provision of Services by Sharelink, must be fully completed and submitted.
may be related to the provision of services by Sharelink, should be completed and submitted.

Should you have any queries or need any further information/clarifications on the above, please contact Sharelink's Client Services Department on:

- (i) Tel. +357 22 554 200, Fax +357 22 750 852,**
(ii) Email: info@sharelink.com.cy.

J. CLIENT'S DECLARATION

I DECLARE THAT I UNRESERVEDLY ACCEPT THE FOLLOWING:

- i. I have carefully read the content of this Questionnaire and I have provided the relevant information regarding myself and I hereby declare and warrant that these are true and correct.
- ii. I have studied and have fully understood the above stated in Sections (E) and (H) of the Questionnaire and I accept them unreservedly. I further accept that the policies referred to in Section (H) may be amended from time to time.
- iii. I have attached all required client identification documentation and these are genuine and legitimate or Certified Copies and their content is true and correct.
- iv. Sharelink shall have no liability in case I have omitted to provide any relevant or essential information required.
- v. I undertake to notify Sharelink in writing immediately in case of changes that may affect either the details that I have provided in relation to the Agreement and/or the Questionnaire, or any rating I have received in the context of the Agreement and/or the Questionnaire.
- vi. I have received a copy of Appendix 1 prior to its signature and I had the opportunity to consult an Attorney of my choice.

SIGNATORIES	Signature and Company Seal ³	Date
1. Full Name: _____ Identification Card/ Passport no. or Company's Registration no. ¹ : _____ Capacity ¹ : _____ For and behalf of ⁴ : _____ 2. Full Name: _____ Identification Card/ Passport no.: _____	_____ _____	_____ _____

For and behalf of Sharelink

Signature

Position:

Name:

DATE: ___ / ___ / _____

WITNESSES	Signature	Date
<u>For the Client</u> 1. Full Name: _____ Identification Card/ Passport no. : _____ Address: _____	_____ _____	_____ _____
<u>For Sharelink</u> 2. Full Name: _____ Identification Card/ Passport no.: _____ Address: _____	_____ _____	_____ _____

³ Where the Client is a Legal Entity or a Legal Entity is acting on behalf of the Client.

⁴ If Applicable.

FOR SHARELINK USE ONLY – CLIENT IDENTITY VERIFICATION

- Original documents or true copies to be attached to the Agreement.

I. CLIENT'S IDENTIFICATION DOCUMENTATION ATTACHED

The following Certified Documents have been attached to the Agreement

- (a) Copy of Identification card (Republic of Cyprus) or passport (for non-residents of Cyprus).
- (b) Copy of Identification card or passport of Attorney/Trustee (if applicable).
- (c) Power of attorney document for the representation of the Client (if a representative is appointed).
- (d) A recent utility bill (not older than 6 months) or any other document issued or provided by a reliable and independent source confirming the PERMANENT address of the Client and of the administrator/guardian/representative/trustee (if applicable).

In case of appointment of an Administrator over the Client's assets:

- (a) Court order appointing the Administrator.
- (b) Application to the Court for the appointment of Administrator and accompanying affidavit.
- (c) Death Certificate.
- (d) Copy of Identification card of Administrator.
- (e) Tax exemption certificate.

In case of appointment of a Guardian:

- (a) Court order appointing the Guardian.
- (b) Copy of Identification card of Guardian.
- (c) Birth certificate of the minor.
- Other: _____

II. CLIENT CATEGORISATION BASED ON THE ASSESSMENT OF RISK IN RELATION TO THE PREVENTION OF THE CONCEALMENT OF PROCEEDS OF CRIME

As a result of the information provided and the operation of the provisions of the applicable legislation, the Client is categorized as a:

- High Risk** client and enhanced due diligence measures have had to be taken for the Client.
 - **The Client is a Politically Exposed Person (PEP)** since he replied positively to the relevant question in Section A.2.
- Low Risk** client and simplified due diligence measures were applied for the Client.
- Normal Risk** client.
- The Client falls into a category which **is not acceptable for the establishment of business relationships.**

Please state the reason why the Client was categorized as high or low risk, e.g. the Client is permanently residing abroad and was not present for the verification of his identity.

Additional client identification documentation and /or measures taken in case enhanced due diligence measures were employed in relation to the Client.

- (i) _____
- (ii) _____
- (iii) _____
- (iv) _____

I have checked all the Client identification documentation in accordance with the established practices, and I have been satisfied that the Client is the person who is claiming to be.

SHARELINK OFFICER _____ FULL NAME _____ SIGNATURE _____ DATE: ___ / ___ / _____

APPENDIX 2
FEE, COMMISSION AND OTHER EXPENSES (Clause 8 and 15 of the Terms and Conditions of the Agreement)

Sharelink's charges shall be as follows:

- (1) CSE: _____% on the value of each stock exchange transaction with a minimum charge of €5 per transaction
- (2) ASE: _____% on the value of each stock exchange transaction with a minimum charge of €5 per transaction
- (3) Foreign Markets:
 - a) Major European Markets: The Charge shall be made as a percentage on the value of each stock exchange transaction (_____%), provided that the amount accruing from the percentage charge exceeds the minimum charges. In the contrary case, the minimum charges shall apply. In relation to transactions executed through the XNET network, the minimum charge is €5 per transaction (or the equivalent amount in the relevant currency). In relation to transactions executed through licensed third parties, the minimum charge is €40 per transaction (or the equivalent amount in the relevant currency). Market and custody fees of the relevant stock exchange shall be additionally charged.
 - b) US Markets: The Charge shall be made as a percentage on the value of each stock exchange transaction (_____%), provided that the amount accruing from the percentage charge exceeds the minimum charges. In the contrary case, the minimum charges shall apply. In relation to transactions executed through the XNET network, the minimum charge is €5 per transaction (or the equivalent amount in the relevant currency). In relation to transactions executed through licensed third parties, the minimum charge is €60 per transaction (or the equivalent amount in the relevant currency). Market and custody fees of the relevant stock exchange shall be additionally charged.
 - c) Other Markets:
 - Markets.....:_____ % on the value of each stock exchange transaction and an extra charge..... per share with a minimum charge.....per transaction. Additionally, custody and other market fees will be charged.
 - Markets.....:_____ % on the value of each stock exchange transaction and an extra charge.....per share with a minimum charge.....per transaction. Additionally, custody and other market fees will be charged.

If the Client so chooses, in the context of the provision of Facilities for the Electronic Transmission of Orders, to receive additional facilities such as the communication of live feed of the prices of securities and the reception of SMS warnings, these facilitations may be charged to the Client's account in the framework of Sharelink's fee, with charges that the Client has been previously informed and approved.

- (4) Safekeeping of financial instruments: annual fee or by proportion (depending on the period of safekeeping), not greater than 0.5% of the value of the financial instruments which are safekept by Sharelink.

Note:

All costs and expenses, which are referred to in clauses 8 and 15 of the Agreement, including all kinds of transfer costs for the transfer of securities, levies, taxes, judicial expenses, interest on overdue payments, insurance premiums, telegraphy or postal charges etc in relation to all kinds of the Client's transactions with SHARELINK as well as all kinds of charges of the Central Registry – Depository of the Regulated Market shall be borne by the Client and automatically charged to his account. In the event that SHARELINK receives a lower commission / fee in relation to the provision of the Services than the aforementioned this shall not create an obligation to continue to charge the lower commission / fee in the future nor shall it be deemed to be an amendment of the Agreement unless Sharelink expressly declares as such to the Client.

Considerations received and/or paid by Sharelink

- (1) In some cases, Sharelink may receive commissions, fees or other considerations by third parties (such as portfolio managers, financial instruments providers or partners of SHARELINK) with regard to the provision of our services to you. The amount of the consideration depends on the nature of the relevant transaction, the instrument or the service provided. In some cases, Sharelink may receive two types of consideration: It may receive an upfront placement fee with the acquisition of the financial instrument and it may also receive a trailer fee during the term of the product.
- (2) The placement fees are usually calculated on the basis of the market price of the financial instrument, while the trailer fee during the term of the financial instrument is calculated on the net asset value in specific dates during the term of the financial instrument. Further information on the calculation method of the considerations shall be communicated to the Client with regard to the Financial Instruments that the Client intends to invest in.
- (3) Apart from the cases where Sharelink may receive considerations, it also may, in certain cases, pay considerations to third parties and/or its employees with regard to the promotion of the Services provided to the Client. All information mentioned in this Appendix in relation to the amount and the types of considerations that may be received, apply, accordingly, to the cases where considerations may be paid.

IN WITNESS THEREOF, Appendix 2 has been signed by or on behalf of the Parties on the date written below.

The Parties:

(Signature and Company Seal¹)

Full Name: _____

Capacity¹: _____

For and on behalf of ²

For and on behalf of Sharelink

(Signature) _____

Full Name: _____

Position: _____

¹ Where the Client is a Legal Entity or a Legal Entity is acting on behalf of the Client.

² Where applicable.

Witness:

(Signature)

Full Name: _____

Identification Card or Passport no.: _____

Home Address: _____

For and on behalf of Sharelink

(Signature) _____

Full Name: _____

Identification Card or Passport no.: _____

Home Address: _____

Date: ___ / ___ / ___