

NONPROFIT RATE AGREEMENT

EIN: 1900217269A1

DATE:10/18/2017

ORGANIZATION:

FILING REF.: The preceding agreement was dated 11/04/2016

Sustainable Sciences Institute
870 Market, Suite 764
San Francisco, CA 94102

The rates approved in this agreement are for use on grants, contracts and other agreements with the Federal Government, subject to the conditions in Section III.

SECTION I: INDIRECT COST RATES

RATE TYPES: FIXED FINAL PROV. (PROVISIONAL) PRED. (PREDETERMINED)

EFFECTIVE PERIOD

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE (%)</u>	<u>LOCATION</u>	<u>APPLICABLE TO</u>
FINAL	09/01/2015	08/31/2016	28.20	All	All Programs
PROV.	09/01/2016	08/31/2018	28.20	All	All Programs

*BASE

Total direct costs excluding capital expenditures (buildings, individual items of equipment; alterations and renovations) and subawards.

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SECTION II: SPECIAL REMARKS

TREATMENT OF FRINGE BENEFITS:

The fringe benefits are specifically identified to each employee and are charged individually as direct costs. The directly claimed fringe benefits are listed below.

TREATMENT OF PAID ABSENCES

Vacation, holiday, sick leave pay and other paid absences are included in salaries and wages and are claimed on grants, contracts and other agreements as part of the normal cost for salaries and wages. Separate claims are not made for the cost of these paid absences.

The following fringe benefits are treated as direct costs:
Social Security, Health Insurance, Disability Insurance, Workers'
Compensation, and Unemployment Compensation.

DEFINITION OF EQUIPMENT

Equipment is defined as tangible nonexpendable personal property (including information technology systems) having a useful life of more than one year and an acquisition cost of \$5,000 or more per unit.

NEXT PROPOSAL DUE DATE

A proposal based on actual costs for fiscal year ending 08/31/17, will be due no later than 02/28/18.

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SECTION III: GENERAL

A. LIMITATIONS:

The rates in this Agreement are subject to any statutory or administrative limitations and apply to a given grant, contract or other agreement only to the extent that funds are available. Acceptance of the rates is subject to the following conditions: (1) Only costs incurred by the organization were included in its indirect cost pool as finally accepted: such costs are legal obligations of the organization and are allowable under the governing cost principles; (2) The same costs that have been treated as indirect costs are not claimed as direct costs; (3) Similar types of costs have been accorded consistent accounting treatment; and (4) The information provided by the organization which was used to establish the rates is not later found to be materially incomplete or inaccurate by the Federal Government. In such situations the rate(s) would be subject to renegotiation at the discretion of the Federal Government.

B. ACCOUNTING CHANGES:

This Agreement is based on the accounting system purported by the organization to be in effect during the Agreement period. Changes to the method of accounting for costs which affect the amount of reimbursement resulting from the use of this Agreement require prior approval of the authorized representative of the cognizant agency. Such changes include, but are not limited to, changes in the charging of a particular type of cost from indirect to direct. Failure to obtain approval may result in cost disallowances.

C. FIXED RATES:

If a fixed rate is in this Agreement, it is based on an estimate of the costs for the period covered by the rate. When the actual costs for this period are determined, an adjustment will be made to a rate of a future year(s) to compensate for the difference between the costs used to establish the fixed rate and actual costs.

D. USE BY OTHER FEDERAL AGENCIES:

The rates in this Agreement were approved in accordance with the authority in Title 2 of the Code of Federal Regulations, Part 200 (2 CFR 200), and should be applied to grants, contracts and other agreements covered by 2 CFR 200, subject to any limitations in A above. The organization may provide copies of the Agreement to other Federal Agencies to give them early notification of the Agreement.

E. OTHER:

If any Federal contract, grant or other agreement is reimbursing indirect costs by a means other than the approved rate(s) in this Agreement, the organization should (1) credit such costs to the affected programs, and (2) apply the approved rate(s) to the appropriate base to identify the proper amount of indirect costs allocable to these programs.

BY THE INSTITUTION:

Sustainable Sciences Institute

(INSTITUTION)



Mary Masland

(NAME)

Grants Manager

(TITLE)

October 20, 2017

(DATE)

ON BEHALF OF THE FEDERAL GOVERNMENT:

DEPARTMENT OF HEALTH AND HUMAN SERVICES

(AGENCY)

Arif M. Karim -A

Digitally signed by Arif M. Karim -A
DN: c=US, o=U.S. Government, ou=HHS, ou=PSC,
ou=People, cn=Arif M. Karim -A,
0.9.2342.19200300.100.1.1=2000212895
Date: 2017.10.20 12:58:56 -05'00'

(SIGNATURE)

Arif Karim

(NAME)

Director, Cost Allocation Services

(TITLE)

10/18/2017

(DATE) 6481

HHS REPRESENTATIVE:

Jeanette Lu

Telephone:

(415) 437-7820



RE: our negotiated indirect rate expired on 8/31/18 - requesting an extension1 message

Smith, Patrick (PSC/FMP/CAS) <Patrick.Smith@psc.hhs.gov>

Mon, Sep 17, 2018 at 11:05 AM

To: Mary C Masland <mmasland@sustainablesocieties.org>, "Ballard, Patricia A. (PSC/FMP/CAS)"

<Patricia.Ballard@psc.hhs.gov>, "Lu, Jeanette (PSC/FMP/CAS)" <Jeanette.Lu@psc.hhs.gov>

Cc: Josefina Coloma <colomaj@berkeley.edu>

Hello Mary,

Once your proposal is submitted we can let Federal agencies know that your proposal has been received and is in our workload awaiting review. Due to retirements, we have a heavy workload, but we will try to get to your assignment as soon as possible. In the meantime, if you have any inquiries from Federal awards officials regarding the rates, please let them know they can contact us and we will answer their questions. Your current rates should be acceptable until the in-house proposal is reviewed.

If you have any further questions, you can contact Jeanette. Take care.

Sincerely,

Patrick Smith

Branch Chief CAS, Grants Finance and Administrative Services

Program Support Center

U.S. Department of Health and Human Services

90 - 7th Street, Suite 4-600

San Francisco, CA 94103

Office: (415) 437-7834

Fax: (415) 437-7823

 | [website](#) | [comments](#)



From: mmasland@berkeley.edu <mmasland@berkeley.edu> **On Behalf Of** Mary C Masland
Sent: Monday, September 17, 2018 10:49 AM
To: Smith, Patrick (PSC/FMP/CAS) <Patrick.Smith@psc.hhs.gov>; Ballard, Patricia A. (PSC/FMP/CAS) <Patricia.Ballard@psc.hhs.gov>; Lu, Jeanette (PSC/FMP/CAS) <Jeanette.Lu@psc.hhs.gov>
Cc: Josefina Coloma <colomaj@berkeley.edu>
Subject: our negotiated indirect rate expired on 8/31/18 - requesting an extension

Hello,

Our DHHS negotiated indirect rate agreement expired on 08/31/18. It is attached below. We request an extension on this agreement until our most recent indirect cost rate proposal can be reviewed and a new rate agreement issued. Our most recent proposal will be submitted by September 21 to Jeanette Lu.

thank you,

Mary Masland

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Work Days: Wednesday, Thursday, Friday

Grants Manager, Sustainable Sciences Institute

[870 Market Street, Suite 764](#)

[San Francisco, CA 94102](#)

510-551-6689 (mobile)

415-772-0939 (SSI office)

mmasland@sustainablesociences.org