An observational retrospective study of the efficacy of oral appliance therapy (OAT) in treating obstructive sleep apnea (OSA)

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Introduction

- Obstructive sleep apnea (OSA) affects approximately 15-30% of males and 10-15% of females in North America.
- While positive airway pressure (PAP) therapy remains the standard in the treatment of OSA, oral appliance therapy (OAT) has emerged as a viable non-invasive alternative.
- There are limited data on OAT efficacy published, so we conducted a 14-month single-center consecutive chart review to evaluate OAT efficacy.

Methods

- A manual chart review was performed for records from 11/1/2020 to 5/24/2023.
- Potential charts were identified in the electronic health record by searching for prescriptions for OAT and orders for home sleep apnea tests (HSAT).
- If a test was performed after the prescription was written, the chart was reviewed manually for previous test results and verified that post-OAT testing was conducted.
- Extracted information included age, BMI, gender, pre-OAT HSAT apnea-hypopnea index (AHI), and post-OAT HSAT AHI.
- The 4% desaturation criteria for AHI was used in accordance with current Medicare guidelines, with the exception of 1 study that only had 3%, in which case 3% was used.
- Multi-night study results were averaged.
- Patients were excluded if pre-OAT testing demonstrated severe OSA
- A paired t-test was performed after ensuring there were no outliers and a normativity.

Results

- There were 17 patients included in the analysis: 11 women, 6 men.
- The average age was 59.1 years (95% CI 51.4-66.9), and average BMI was 28.4 (95% CI 25.9-30.8).
- Prior to intervention, 6 patients had moderate OSA with the rest mild. The average pre-OAT AHI was 12.0 (95% CI 8.4-15.6).
- After OAT device, 9 patients had mild OSA and the rest did not have residual OSA. The average post-OAT AHI was 4.8 (95% CI 3.0-6.7).
- The results of the paired t-test showed that the mean AHI was statistically significantly different between the two groups (t= -5.55 with df=16, p < 0.00005).
- The mean difference was -7.2 (95% CI -9.9 -4.4).

Discussion

- These results demonstrate efficacy of OAT in reducing the AHI in mild to moderate OSA.
- These results are not comparative to PAP therapy and should not be used as such.
- Clinicians should consider OAT as both primary and secondary therapy for mild-to-moderate OSA.

| # | BMI | Age | Pre 3% AHI | Pre 4% AHI | Post 3% AHI | Post 4% AHI |
|----|--|-----|------------|------------|-------------|-------------|
| 1 | 21.5 | 40 | 10 | 4 | 4.5 | 0.5 |
| 2 | 28.5 | 43 | 18 | 12.5 | 13 | 6 |
| 3 | 24.1 | 69 | 10 | 5.5 | 1.5 | 0.5 |
| 4 | 33.4 | 62 | 10.5 | 5.5 | 3 | 1 |
| 5 | 27.1 | 50 | 21 | 11.5 | 17 | 10.5 |
| 6 | 29.0 | 76 | 27.5 | 16 | 16.7 | 10.3 |
| 7 | 35.3 | 65 | 27.5 | 17 | 10.5 | 7 |
| 8 | 26.9 | 39 | 5 | 1.5 | 3.5 | 1 |
| 9 | 35.9 | 36 | 20.5 | 10.5 | 13 | 7 |
| 10 | 27.5 | 42 | 23 | * | 7 | 3 |
| 11 | 29.0 | 68 | * | 22.7 | 17 | 6.5 |
| 12 | 18.7 | 69 | 27.5 | 22 | 12 | 8.5 |
| 13 | 23.7 | 68 | 42.9 | 10.9 | 8.5 | 3.5 |
| 14 | 29.2 | 79 | 9 | 3 | 8.5 | 1 |
| 15 | 35.4 | 74 | 29 | 12 | 11.5 | 6 |
| 16 | 29.1 | 76 | 22.5 | 19 | 10.5 | 9 |
| 17 | 28.2 | 49 | * | 7.7 | 2 | 1 |
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Key: # = patient number; AHI = apnea hypopnea index; Pre = avg pre-treatment; Post = avg post-treatment; * = no data



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