

AUPN Modern Slavery Program Overview 2021



About this Overview



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This pack provides an overview of the AUPN Modern Slavery Program; its objectives, workstreams and activities delivered during calendar year 2021

What is the AUPN?



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The Australian Universities Procurement Network (AUPN) currently has 38 member institutions working together to improve excellence in procurement practice and in the skills of procurement professionals in the higher education sector.

AUPN Vision:

To transform the universities' capability into a world class standard of professional procurement.

AUPN Mission:

To significantly improve strategic procurement practices in Australian universities through a collaborative approach, focusing on sharing expertise and business intelligence, promoting the benefits of aggregated purchasing, greater efficiency in process, professional procurement education opportunities and encouraging the development of regional and national aggregated procurement contracts.



A sector collaboration to address modern slavery



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Aiming to support all members to improve human rights transparency in their supply chains and contribute to the fulfillment of MSA reporting requirements

Why a sector approach?

New Obligation

The *Modern Slavery Act 2018* (Cth) is applicable to most AUPN Member universities, and we wish to demonstrate leadership on this important issue

Efficiency

Working together will minimise the duplication of activities and associated costs across individual universities, including risk assessment, implementation of systems and remediation

Effectiveness

Leveraging our aggregated buying power should improve our capacity to identify and action any modern slavery risks, and drive more effective changes through our supply chains.

Cooperation

AUPN members are an engaged group of procurement professionals within publicly spirited organisations, operating in a uniquely collaborative sector

Modern Slavery Statement Mandatory Criteria

Statements are required to be lodged by each university annually by 30 June

1. Identify the reporting entity
2. Describe the reporting entity's structure, operations and supply chains
3. Describe the risks of modern slavery practices in the operations and supply chains of the reporting entity and any entities it owns or controls
4. Describe the actions taken by the reporting entity and any entities it owns or controls to assess and address these risks, including due diligence and remediation processes
5. Describe how the reporting entity assesses the effectiveness of these actions
6. Describe the process of consultation with any entities the reporting entity owns or controls
7. Any other relevant information

Modern Slavery Working Group (MSWG)

Managing the AUPN MS Program objectives & activities in 2021



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Members throughout 2021	Institute	Modern Slavery Working Group Role
Paul Holland	The University of Melbourne	Co-chair, Joint Academic Advisory Board lead, Governance workstream lead
Rhiannon Jones	Swinburne University of Technology	Co-chair, Joint Academic Advisory Board lead, Data workstream lead
Nina Paleracio	The University of Melbourne	Project Manager and Technology workstream lead
Trisha Striker-Chamberlain	HES	Program Coordinator, Academic Advisory Board secretary, Technology Workstream
David Paterson	Flinders University	Framework workstream lead
Daren Murphy	University of South Australia	Communications, Education & Engagement workstream lead
Richard Ellis	Monash University	Supplier (Assess & Address) workstream lead
Liz Williams	The Australian National University	Technology workstream Member
Nathan Baker	University of Newcastle	Data workstream member
Jade Fong	Monash University	Supplier workstream member

New members always welcome!

Register your interest with Trisha (trisha.strikerchamberlain@hes.edu.au)

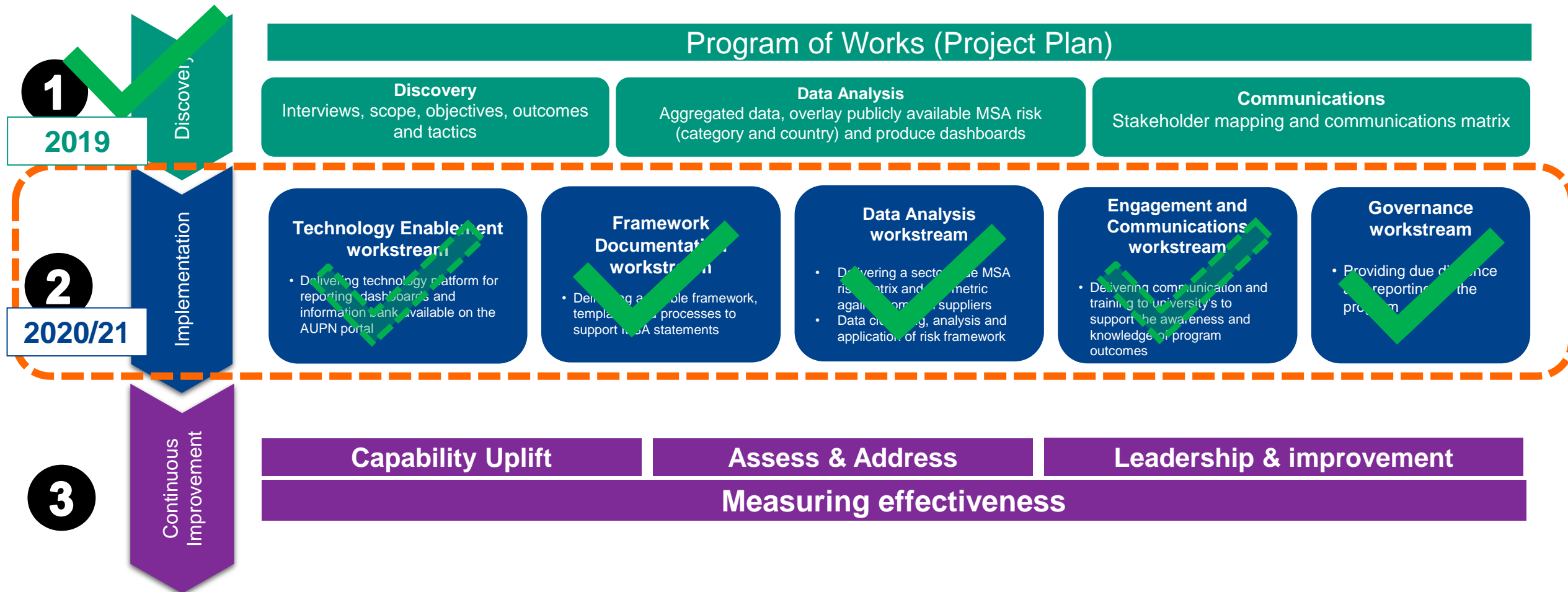
The AUPN Modern Slavery Program

Implementation Phase nearing completion in 2021



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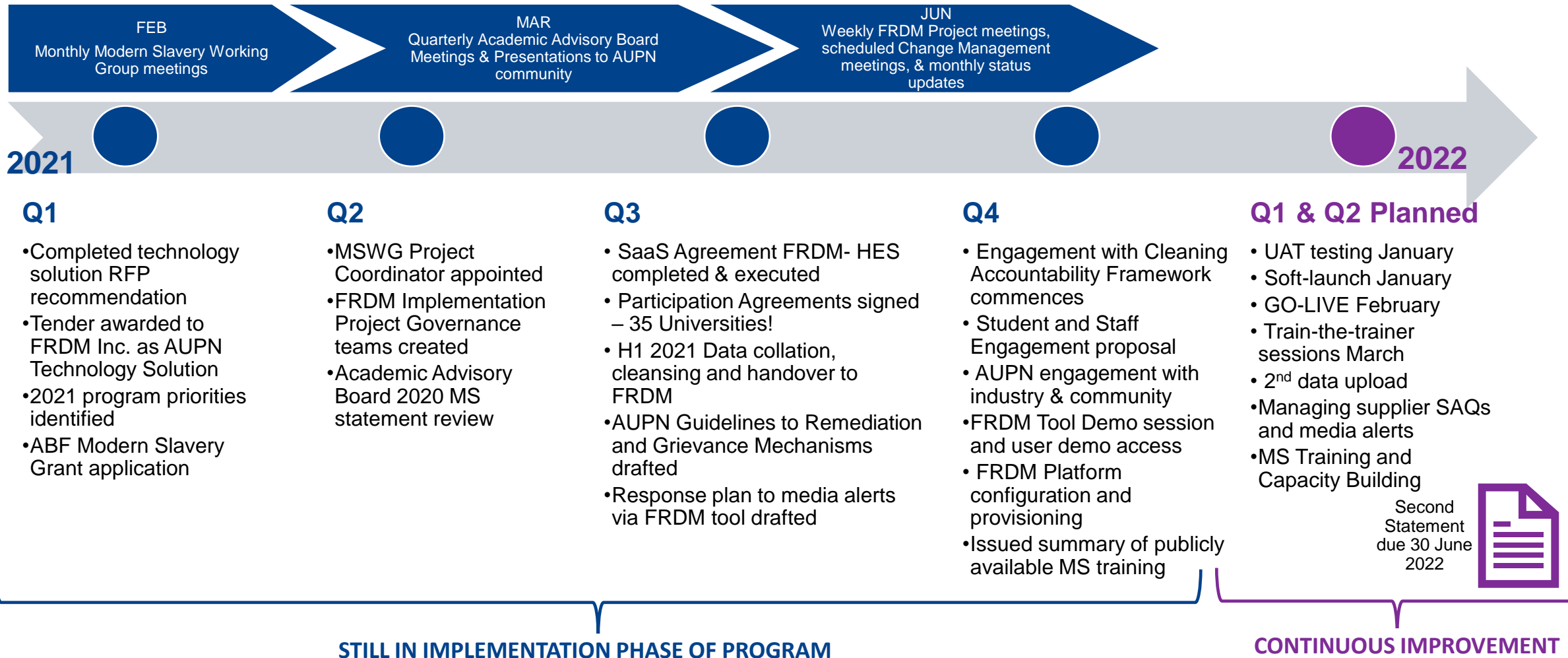


Timeline of events and key deliverables



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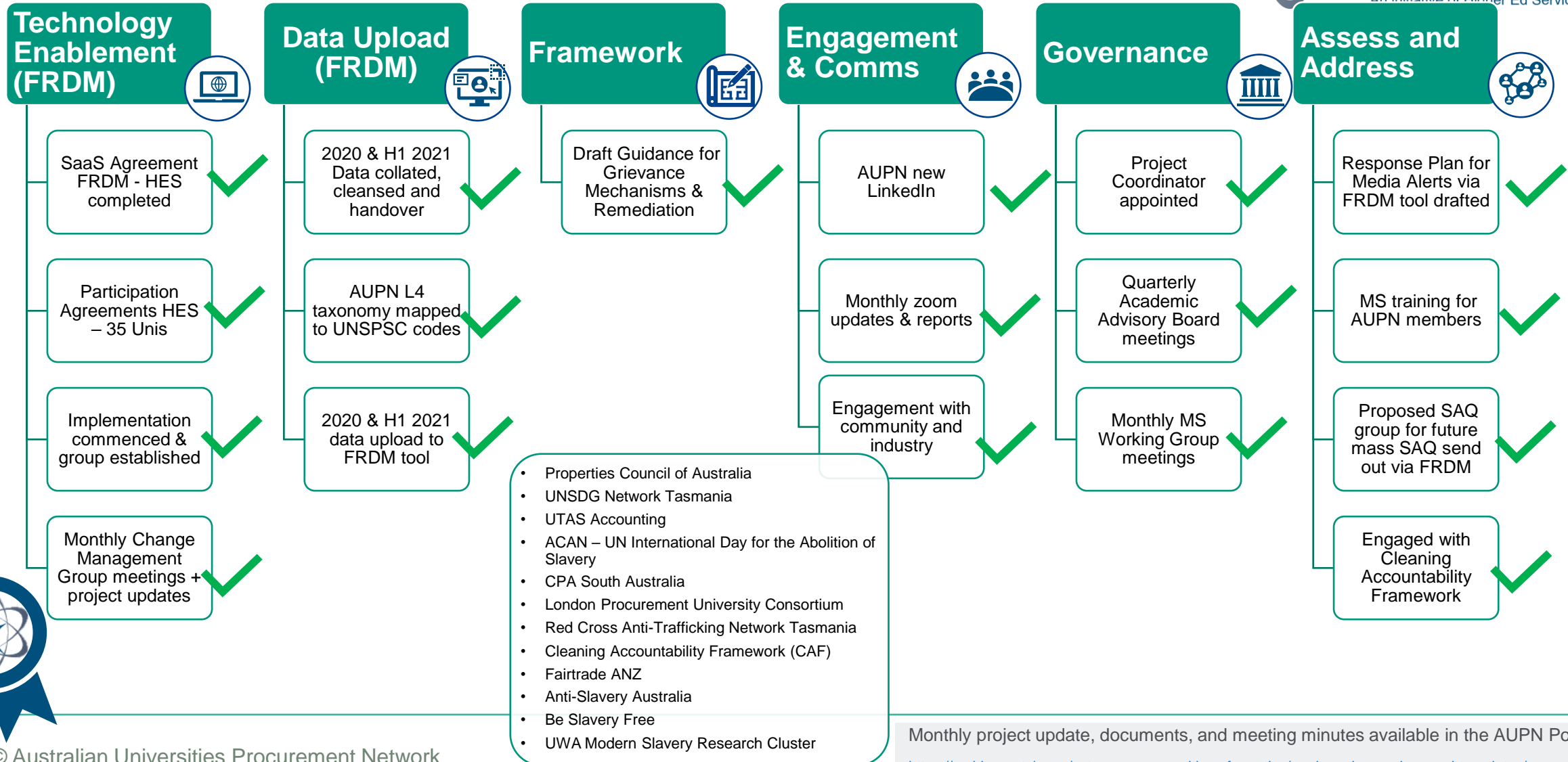


2021 AUPN MSWG Key Achievements



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Monthly project update, documents, and meeting minutes available in the AUPN Portal

<https://arcblueportal.com/category-communities-of-practice/modern-slavery-docs-and-templates/>



FRDM – Launching in Feb 2022

AUPN have partnered with FRDM to deploy a sector wide technology to tackle modern slavery within our supply chains



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RESILIENCE
=
TRANSPARENT

FRDM is the world's first supply chain mapping technology delivering a predictive bill of materials (BOM) allowing you to see risk and disruptions deep in your supply chain before they happen.



Outcomes

“What will you have access to...”

Modern Slavery Act Reporting

- Assist in developing annual university statements

Supplier Onboarding

- Qualify suppliers for modern slavery risk and other ESG standards during tender processes

Supplier Assessments and Scoring

- Manage, score and nest all questionnaires into supplier profiles

Sub Supplier Visibility

- View potential risks of lower tier suppliers where modern slavery is more at risk

Supplier Data Cleansing/Coding

- Cleanse and code data to universal standards

Adverse Media Reporting

- Get notified on articles and media on risk types tied to AUPN suppliers/industries

- FRDM (pronounced free•dom), is a supply chain technology company that will algorithmically identify modern slavery risks throughout universities' supply chains, enabling each university or the sector collectively to make informed decisions and take action to address modern slavery risk.
- In 2021, 34 participating universities engaged in the various components of the implementation phase: engaged in a Change Management Group and its activities and collated and categorised a collective \$16.2B of procurement spend (Jan 20 – Jun 21)
- AUPN aim for full go-live in February 2022.
- FRDM is part owned by Made in a Fee Word and donates 5% of gross revenues to charity.
- Founded in 2016, based in California, USA with Managing Director ANZ based in Sydney
- <https://www.frdm.co/>

Engagement and Communications

Promoting AUPN and engaging outside the network



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Month	Presentations, meetings & Seminars outside the AUPN	Details
Feb	Cleaning Accountability Framework	Re-engagement with CAF for development of pilot framework for university sector
March	Meeting with Pillar Two, modern slavery advisors	Seeking advice and guidance on Modern Slavery grant application
May	Attended workshop United Nations Global Compact Australia	Workshop on designing & implementing effective Modern Slavery grievance mechanisms
June	Meeting with Fairtrade ANZ	Promoting AUPN work, learning about Fairtrade and whether it can be included into university efforts to tackle modern slavery
	Meeting with Anti-Slavery Australia (ASA)	Promoting AUPN work and engaging with non-procurement, open proposal for future collaboration
July	UN Sustainable Development Goal Network Tasmania.	Presentation on AUPN's Modern Slavery Program & the crucial link to sustainability at University
Aug	Co-presentation to University of Tasmania's accounting students, along with Craig Deegan, Prof. of Accounting	Presentation on Modern Slavery, ethical procurement, social accounting and promoting the AUPN's Modern Slavery Program
	Meeting with The Faculty	Presentation to recap the AUPN Modern Slavery Program and what has been achieved
Sept	Meeting with WINC's Social Procurement Manager	Promoting AUPN & MSWG work, networking, discussion on audits to check for modern slavery
	Presentation to CPA South Australia	Promoting AUPN work
Oct	Meeting with UWA's Modern Slavery Research Cluster	Promoting the AUPN & MSWG, networking, discussion on response to media alerts
	Meeting with Be Slavery Free	Promoting AUPN, future collaboration on multi-stakeholder project on the Modern Slavery Act
Nov	Presentation to Red Cross Anti-Trafficking Network	Promoting AUPN work and University response to modern slavery
	Meeting with London Universities Procurement Consortium (LPUC)	Promoting AUPN work, shared learning on modern slavery efforts in UK-AUS university sector
	Meeting with Robin Mellon – Properties Council of Australia (PCA)	Promoting AUPN work, shared learning on modern slavery efforts in property & construction
Dec	Co-Facilitated seminar with Australian Catholic Anti-Slavery Network & Joel Coward (ex-BHP responsible sourcing)	UN International Day for the Abolition of Slavery – promoting AUPN work, discussion and forum around tackling modern slavery in business – why, how, self and business care

CAF Engagement

Cleaning Accountability Framework



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“Our objective is to end exploitation in property services and improve work standards through education and advocacy” – <https://www.cleaningaccountability.org.au/>

AUPN re-engaged with CAF in February 2021 to assist with the development of a compliance framework to cover contract cleaning & security at University campuses.

Key components include:

- ✓ 3 Star Standard
 - ✓ Compliance framework and assessment methodology
 - ✓ Procurement tools include a CAF Pricing Schedule
 - ✓ Associated policies, guidance, tools and resources
 - ✓ PILOT – 2023 and 2024, 3 university campuses
- CAF was invited on multiple occasions to present to the broader AUPN community
 - The Modern Slavery Working Group (MSWG) assisted CAF in the creation and dissemination of project information & surveys
 - The MSWG and Academic Advisory Board member assisted in discussions and engagement between CAF and interested universities
 - The MSWG worked with CAF to facilitate interest and participation by universities in a pilot program for 2023
 - CAF is currently working with around 10 universities on the development of a pilot framework for University campuses

STEP 1 Baseline Data Collection

- Anonymised baseline data on level of awareness and understanding of supply chain accountability collected via an online survey
- insights collected will help framework development

STEP 2 Contract & Tender Information

- CAF working on-on-one with procurement teams to gather information about contracts & tendering
- CAF will provide advice on areas whether labour compliance requirements can be integrated

STEP 3 Engage with University Suppliers

- CAF to engage with University suppliers to introduce the model and answer any questions

STEP 4 Pilot & Framework Development

- Interested Universities to work with CAF on development of Pilot Project
- Working group created to consider pilot draft

Academic Advisory Board (AAB)

2021 Meetings and insights



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Board overview

- The Academic Advisory Board was established in July 2020. Meetings were held quarterly for 2021.
- The Board's aim is to enrich the AUPN sector approach through leveraging academic experience and learnings and embedding best practice recommendations and insights into program activities.
- Board members were provided updates on the AUPN's program and discussions were focused on specific themes/topics (e.g., AUPN Technology solution, modern slavery program priorities, University Modern Slavery Statement feedback, AUPN approach to grievance mechanisms and remediation).

Board Member	University
Justine Nolan	University of NSW
Paul Redmond (July 20 - June 21)	University of Technology Sydney
Martijn Boersma	University of Technology Sydney
Jolyon Ford	The Australian National University
Vikram Bhakoo	University of Melbourne
Joy Murray	University of Sydney
Marie Segrave	Monash University
Ingrid Landau	Monash University
Dayna Simpson	Monash University

- **Session 1 (24/03/2021):**
 - ❖ AUPN Program & Technology solution update
 - ❖ AAB recommended priorities for 2021- awareness, preparedness, learning & collaboration, technology implementation, risk addressing, and continuous learning
 - ✓ Insights: Building awareness with decision makers, awareness outside procurement teams and to the wider community, and student lead advocacy would be most impactful
- **Session 2 (23/06/2021):**
 - ❖ AUPN program, CAF & Technology Solution Update
 - ❖ University Modern Slavery Statement discussion and feedback
 - ✓ Insights: Statements were a good first start. Suggestion to deeper dive in policies, documents and include case studies in future statements. Creating effective grievance mechanisms and remediation action is difficult, refer to reputable resources.
- **Session 3 (22/09/2021):**
 - ❖ Discussion and feedback on draft AUPN Guidance and Information on Grievance Mechanisms and Remediation sent to AAB
 - ❖ Discussion and feedback on proposed response to media alerts received via FRDM tool
 - ✓ Insights: Creating a step-by-step remediation action plan for all AUPN members is very difficult due to the complexity of the issue. Universities to work with their governance teams to incorporate modern slavery into existing reporting and remediation mechanisms. The AUPN should instead use its collaborative leverage to enact change and create high-level principles that guide a wide variety of issues.