

**EECBG: Formula Grant Application Instructions Webinar**  
 State and Community Energy Programs, US Department of Energy  
*March 23, 2023*

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**Key Takeaways**

- There are 4 pre-steps required before submitting a direct grant application:
  - Register in the System for Award Management (SAM): <https://sam.gov/content/home>
  - Register in FedConnect: <https://www.fedconnect.net/>
  - Complete and submit the Pre-Award Information Sheet - **Due April 28:** [https://www.energy.gov/sites/default/files/2023-03/Pre-Award%20Information%20Sheet\\_edited%203.21\\_vF.pdf](https://www.energy.gov/sites/default/files/2023-03/Pre-Award%20Information%20Sheet_edited%203.21_vF.pdf)
  - Review an account in Performance Accountability for Grants in Energy (PAGE) System
- All entities are required to submit an Energy Efficiency and Conservation Strategy (EECS)
  - NREL is providing one-on-one technical assistance to help participants develop your EECS
  - You can submit your EECS with your application or complete it as the first step in your award.

Webinar recording and presentation slides are available on the EECBG Program Page under

“Previous Webinars”:

<https://www.energy.gov/scep/energy-efficiency-and-conservation-block-grant-program>

### Upcoming Webinars

- April 18th at 3pm ET: Webinar about the vouchers process
- May 4th: Webinar about DOE technical assistance offerings

### **Biden-Harris Administration Priorities**

- The Administration knows there is **no greater challenge** facing our nation and our planet than climate change.
- We can turn the threat of climate change into an **opportunity** to:
  - Revitalize the U.S. energy and manufacturing sectors.
  - Create millions of high-quality, good paying jobs throughout the country.
  - Address historic environmental injustices and inequities.
- DOE is working to advance these goals and help the U.S. build a **100% clean energy economy** and reach **net-zero emissions** no later than 2050.

### **EECBG Program Overview**

#### *The Justice40 Initiative: Executive Order 14008*

E.O. 14008, Section 223, January 27, 2021

“...the Director of the Office of Management and Budget, and the National Climate Advisor, in consultation with the Advisory Council, shall jointly publish recommendations on how certain Federal investments might be made **toward a goal that 40 percent of the overall benefits flow to disadvantaged communities.**”

- Over 140 DOE programs are covered under the Justice40 Initiative, including EECBG Program

## Justice40: DOE Priorities and Screening Tool

- 1 Transform local infrastructure & economies**
  - Increasing domestic manufacturing and protecting energy supply chains
  - Driving quality job creation, including the opportunity for good paying union jobs
  
- 2 Lift up historically disadvantaged communities**
  - Establishing the goal that 40% of benefits flow to underserved, underrepresented, and frontline communities (“disadvantaged communities or ‘DACs’”)
  - Advancing justice and equality
  
- 3 Mitigate and build resilience to the climate crisis**
  - Growing private sector uptake of clean energy technologies through DOE-led demonstration and deployment programs
  - Modernizing and upgrading American energy infrastructure



For more information, visit the DOE Justice40 Website at: <https://www.energy.gov/diversity/justice40-initiative>

- Climate and Economic Justice Screening Tool: <https://screeningtool.geoplatform.gov/>
- DOE Justice40 website: <https://www.energy.gov/diversity/justice40-initiative>

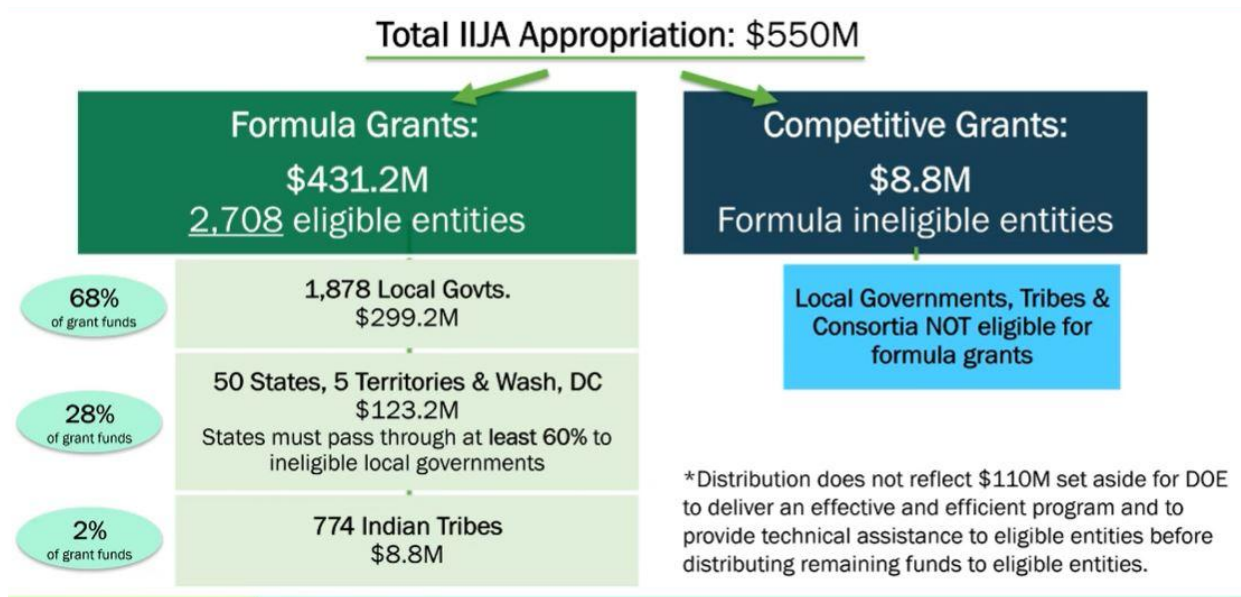
## EECBG Program

### **Program Purpose: support state, local, and tribal governments to**

- **Reduce fossil fuel emissions** in a manner that is environmentally sustainable and maximizes benefits to communities
- **Reduce their total energy use**
- **Improve energy efficiency** in the transportation, building, and other sectors

**Total IJA Appropriation: \$550 Million for** states, local governments, & tribes

## Program Eligibility and Funding Distribution



- States are required to sub-grant at least 60% of their funding allocation
- If your organization receives formula funds, you are NOT eligible for the competitive program
- NREL is helping with additional support through application process
- Additional information on the allocation formula information can be found on the Federal Register:  
<https://www.federalregister.gov/documents/2022/06/29/2022-13859/notice-of-availability-of-state-local-and-tribal-allocation-formulas-for-the-energy-efficiency-and>

### What do I need to know before applying?

1. Confirm that your state, local government or tribe is **eligible** to receive an EECBG Program formula award
  2. Review the EECBG Program Funding Announcement, including the **Administrative and Legal Requirements Document (ALRD) and Application Instructions**
  3. Be aware of application **deadlines**
  4. Review your community **goals and energy efficiency strategies** (including current goals) and determine whether you will use existing plans, or update them using EECBG Program funding.
  5. Determine the **type of activity (or activities)** that you will pursue, including:
    - Determine if you will select a voucher or a grant (local governments and Indian tribes only)
    - Ensure that each proposed activity aligns with EECBG Program eligible activities, and limitations on use of EECBG Program funds
    - Determine if there is an applicable **Blueprint** for each proposed activity
  6. Review **NEPA Statements of Work**, including options for expedited project reviews.
- First confirm that you are eligible. Eligible entities are posted on the [EECBG Application Hub](#)

- DOE encourages that organizations complete a NEPA training, especially if your project will require it

## Eligible Entities

Formula	Competitive
<p><b>Local Governments:</b></p> <p><b>Alternative 1</b></p> <ul style="list-style-type: none"> <li>• Cities &gt; p.35,000 OR in top 10 most populated cities of state</li> <li>• Counties &gt; p.200,000 people or in top 10 most populated in state</li> </ul> <p><b>Alternative 2</b></p> <ul style="list-style-type: none"> <li>• Cities &gt; 50,000 or counties &gt;200,000 people</li> </ul> <p><i>View list of eligible local governments <a href="#">here</a></i></p> <p><b>States &amp; Territories:</b></p> <ul style="list-style-type: none"> <li>• 50 States, Washington, DC, Puerto Rico, American Samoa, Guam, U.S Virgin Islands, and Northern Mariana Islands</li> </ul> <p><i>View list of eligible states and territories <a href="#">here</a></i></p> <p><b>Tribes:</b></p> <ul style="list-style-type: none"> <li>• Indian Tribes, per section 4 of the Indian Self-Determination and Education Assistance Act</li> </ul> <p><i>View list of eligible tribes <a href="#">here</a></i></p> <p><i>The EECBG Program formula allocations are established by DOE, in accordance with Section 543(e) of Title V, Subtitle E of the Energy Independence and Security Act (EISA) of 2007. For additional information, see <a href="#">Federal Register Notice 87 FR 38732, June 29, 2022</a></i></p>	<p><b>Local Governments:</b></p> <ul style="list-style-type: none"> <li>• Cities &lt; 35,000 people and NOT in the top 10 most populated cities in the state</li> <li>• Counties with populations &lt; 200,000 and NOT in the top 10 most populated counties in the state</li> </ul> <p><b>States &amp; Territories:</b> None</p> <p><b>Tribes:</b></p> <ul style="list-style-type: none"> <li>• Indian tribes NOT recognized by the Federal government, e.g. State-recognized tribes</li> </ul> <p><b>+Teams</b> of the above entities</p>

## Program Application Process and Timelines

Application Period	Application Submittal Timeframe	Application Types Accepted
Pre-Application Period	April 28, 2023	<a href="#">Pre-Award Information Sheet due (all applicants)</a>
1	Jan. 18, 2023 – Apr. 28, 2023	State, local, & tribal applications in one or more priority categories*
2	Jun. 1, 2023 – July 31, 2023	
3	Sept. 1, 2023 – Oct. 31, 2023	Local and Tribal Applications only
4	Dec. 1, 2023 – Jan. 31, 2024	

**\*Priority Categories for Applications:**

1. States
2. Entities following DOE blueprints
3. Teams
4. Entities with activities benefiting disadvantaged communities
5. Entities with activities limited to strategy development, technical consultant services, analysis & stakeholder engagement

**Average Application Processing Timelines:\*\***

- Vouchers: 30-60 days
- Grants following blueprints: Up to 60 days
- Grants not following blueprints: Minimum 90 days

\*\* Application processing timeframes may vary based on several factors, including applicant responsiveness, award scope and complexity, application volumes, and additional review requirements, such as NEPA.

- State governments MUST submit by July 31, 2023

### Grants vs Vouchers

Local and Tribal governments can choose between grants and vouchers.



**OPTION #1:**  
**Formula Grant**

Eligible local governments and tribes can apply for and directly receive an **EECBG formula grant**.

Entities may choose from any of the 14 EECBG eligible activity areas and are responsible for administering their award, including financial management, compliance and reporting to DOE.



**OPTION #2:**  
**Vouchers**

In lieu of a formula grant, eligible **local and tribal governments** can opt-in to receive EECBG formula award funds through a voucher, which covers:

1. A suite of technical assistance services AND/OR
2. Equipment rebates

*States are not eligible to apply for vouchers*

- There will be a separate [webinar on vouchers](#) coming up on April 18th
- Will need to choose one or the other (not both)

## **Stay tuned for more information on vouchers!**

- The remainder of this webinar will cover the application process for **direct formula grant applications only**.
- **Local and tribal governments** that choose vouchers will submit a separate application using a streamlined process with reduced documentation compared to a direct formula grant.
- Vouchers may be particularly beneficial for local and tribal governments that:
  - Have limited staffing capacity and resources to manage a grant
  - Do not have extensive experience managing federal grants
  - Are receiving an award less than \$250,000
- Voucher applicants must submit a Pre-Award Information Sheet by April 28. A formal voucher application will be released soon.

*Join us on April 13 for a webinar on vouchers!*

- Vouchers have reduced documentation compared to direct formula grant applications
- Vouchers are particularly beneficial for local and tribal governments with limited staffing and limited experience with grants

## Eligible Uses of Funds for Grants

- ✓ Project areas range from strategy development to clean energy deployment
- ✓ Funds can be used community-wide; not just government buildings and facilities
- ✓ Don't have to do capital *projects*, can do *programs*

- |                                                                             |                                                                                                                                                                       |
|-----------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. Strategy Development*                                                    | 9. Energy Distribution Tech. for Energy Efficiency                                                                                                                    |
| 2. Technical Consultant Services*                                           | 10. Material Conservation Programs                                                                                                                                    |
| 3. Building Energy Audits                                                   | 11. Reduction and Capture of Methane and Greenhouse Gases                                                                                                             |
| 4. Financial Incentive Programs                                             | 12. Traffic Signals and Street Lighting                                                                                                                               |
| 5. Energy Efficiency Retrofits                                              | 13. Renewable Energy Technologies on Government Buildings                                                                                                             |
| 6. Energy Efficiency and Conservation Programs for Buildings and Facilities | 14. Programs for Financing, Purchasing, and Installing Energy Efficiency, Renewable Energy, and Zero-Emission Transportation (and associated infrastructure) Measures |
| 7. Development and Implementation of Transportation Programs                |                                                                                                                                                                       |
| 8. Building Codes and Inspections                                           |                                                                                                                                                                       |

New activity added by IJA

\*Categories 1 and 2 only available to local governments and tribes

- Grant funds can be used community-wide not just government facilities
  - Both residential and commercial buildings as well as other types of buildings in the community
- New category (#14) authorizes additional activities including development and implementation of programs

## Ineligible Uses of Funds

- Any costs identified as specifically unallowable in [2 CFR Part 200, Sub-Part E – Cost Principles](#)
  - Any activities initiated **prior to receiving DOE approval** (e.g., budget approval, eligible activity, NEPA reviews)
  - Proposed activities that appear to **primarily serve a purpose other than those intended under the program** will require clarification from the applicant and are likely ineligible. For example, replacing water-damaged carpeting with recycled-content carpeting is ineligible.
  - The **purchase of land** is not eligible
  - **New construction of a building or facility** is not eligible
  - **Urban forestry/green landscaping/tree-planting** activities that do not use siting or other techniques to directly reduce the energy consumption of buildings are ineligible.
  - EECBG is a deployment-focused program. Activities **supporting the commercialization of new technologies or using pre-commercialization equipment or systems** are not eligible.
- Applicants should review that specific costs that aren't allowable for any grant program
  - Ex. Building maintenance and repairs are not eligible

## Limitations on Use of Funds

### Local and tribal governments

- May use up to **20 percent or \$250,000**, whichever is greater, of EECBG Program formula grant funds for the establishment of **revolving loan funds**.
- May use up to **20 percent or \$250,000**, whichever is greater, of EECBG Program formula grant funds for the provision of sub-grants to **nongovernmental organizations** for the purpose of assistance with overseeing, establishing and monitoring the EECBG Program activities of the applicant.
- Must limit **administrative expenses to 10% or \$75,000 of their funds** (excluding the cost of the reporting requirements)

- Review limitations for each project
- Blueprint projects will be published soon
  - Can be used as templates to structure the programs and activities

### States and territories:

- **At least 60%** of the amount provided to the State **must be subgranted** to entities ineligible for formula awards **in their state, within 180 days from the award start date\***
- No more than **10% of funds may be used for administrative expenses** (excluding the cost of the reporting requirements)

*\*The District of Columbia, American Samoa, the Commonwealth of the Northern Mariana Islands, Guam, Hawaii, and the U.S. Virgin Islands are exempt from the 60% sub-granting requirement*

## Pre-Steps for Grant Applications

There are 4 pre-steps required before submitting a direct grant application

	Pre-Application Step	Notes
1	Register in the System for Award Management (SAM)	Allows eligible entities to obtain a Unique Entity ID (UEI #), which is required for later steps in the application process. Register at: <a href="https://www.sam.gov/">https://www.sam.gov/</a>
2	Register in FedConnect	Allows eligible entities to receive and acknowledge their award. Register at: <a href="https://www.fedconnect.net/">https://www.fedconnect.net/</a>
3	Complete Pre-Award Information Sheet	<b>Due by April 28, 2023.</b> The Pre-Award Information Sheet is available <a href="#">here</a> .
4	Receive an account in Performance Accountability for Grants in Energy (PAGE) System	Eligible entities will be invited to create a PAGE account via email after completing the steps above.

*Eligible entities should complete these steps in order.*

- SAM: <https://sam.gov/content/home>
- FedConnect: <https://www.fedconnect.net/>
- Pre-Award Information Sheet - **Due April 28:** [https://www.energy.gov/sites/default/files/2023-03/Pre-Award%20Information%20Sheet\\_edited%203.21\\_vF.pdf](https://www.energy.gov/sites/default/files/2023-03/Pre-Award%20Information%20Sheet_edited%203.21_vF.pdf)



## Pre-Award Information Sheet Requirements

- **Contact and location information:** including
  - Unique Entity ID (UEI), obtained through System for Award Management (SAM) registration. For **GRANTS ONLY**.
  - Information for Business Officer and Principal Investigator (contact names, emails, and phone #s required)
    - **Principal Investigator:** person who will be the main point of contact for the EECBG Program Formula grant (such as program or project manager)
    - **Business Officer:** person who will be responsible for administering the budget and financial aspects of the grant (such as accounting or finance staff)
- **Funding mechanism:** a non-binding decision to select a direct grant or voucher
- **Business assurances:** disclosures of potential improprieties and conflicts of interest
- **Performance and financial information:** including information about any prior federal awards & audits
- **Accounting system information:** to ensure adequate systems for estimating, accounting & billing for funds received
- **Signature of Authorized Official:** Person who is typically in charge of receiving and disbursing money, such as the CFO or Agency Director

Note: Pre-Award Information Sheets are due to [EECBG@hq.doe.gov](mailto:EECBG@hq.doe.gov) by April 28, 2023

## Steps for Direct Grant Application Submission and Review

- 1. Submit pre-award information sheet to EECBG Program mailbox ([eecbg@hq.doe.gov](mailto:eecbg@hq.doe.gov))**
  - Applicant will receive an email from PAGE inviting them to apply
- 2. Complete online application in PAGE**
  - Include required documents (see checklist), including
  - Energy Efficiency and Conservation Strategy (optional template provided),
    - Proposed EECBG Program activities, including budget, description and planned metrics
    - NEPA Statement of Work (optional)
    - Authorizations and assurances
- 3. Initial screening- submit missing or incomplete application materials**
- 4. Award review and negotiations**
  - Budget
  - Proposed activities
  - Required additional documentation- e.g. NEPA SOWs or NEPA EQ-1 (if required)
  - Financial Risk assessment
- 5. DOE prepares award documents**
- 6. Receive Assistance Agreement**
  - Award Special Terms & Conditions
  - Federal Assistance Reporting Checklist
  - Payment method (ASAP advance or approval required, including invoice documentation)
  - Award conditions (e.g., additional NEPA review, subrecipients approvals, activities not identified in application)

- 
- Once you have submitted your Pre-Award Information Sheet, you will get an email to join and apply on PAGE
  - Application processing can take 60-90 days depending on the NEPA review

## Elements of the Direct Grant Application

1. **Standard Application and Budget Forms**
  - ✓ Standard Form 424 (Application)
  - ✓ Standard Form 424A (Budget)
  - ✓ Budget Justification
2. **Energy Efficiency and Conservation Strategy (EECS)**
3. **EECBG Program Activities File**
4. **Other Forms**
  - ✓ Indirect Rate Agreement or Rate Proposal
  - ✓ Certifications Regarding Lobbying
  - ✓ NEPA statements of work

For more information, see the ALRD Application Instructions, Appendix I, Application Materials Checklist  
[Source: EECBG Program Application Hub](#)

*Reminder: slides that follow describe the application process for EECBG Program direct formula grants only. Additional guidance on the voucher application will be shared in the 4/13 webinar.*

- Online documents are submitted through PAGE

### Element #1: Standard Form 424 (Application)

**This online form provides basic information about your entity, including contact information**

- To complete this form in PAGE, you will need:
  - Information about the eligibility entity
  - Contact information for a primary point of contact
  - Your Unique Entity ID number (UEI#), provided through the System for Award Management (SAM) system
  - Estimated funding amount
  - A descriptive title of your project
- Add an attachment with the name and contact information for the Principal Investigator and Business Officer.
- When completing SF-424, please note:
  - Applicants should select "New" in section 2.
  - A list of certifications referenced in Field 21 can be found [here](#). Verify compliance with Intergovernmental Review ([SPOC List](#)).
  - Information on the Congressional Districts and project/performance site locations is required
- Instructions for completing SF-424 can be found in Section 6.3.A. of the [Application Instructions](#) and in the [Help Section](#) of the PAGE site
- The form is completed and submitted online through PAGE

- Need to list the primary point of contact

## Element #1: Standard Form 424A (Budget)

- Standard Form 424A (SF-424A) consists of:
  - Budget Summary
  - Budget Categories
- Applicants must also provide a Budget Justification for each cost category (i.e. personnel, fringe benefits, travel, equipment, supplies, contracts, etc.)
- Instructions for completing SF-424A can be found in Section 6.3.B. of the [Application Instructions](#) and in the PAGE Help Section
- Applicants of \$250,000 or greater must provide an SF-424A and detailed Budget Justification for all sub-awards.
- This form is completed and submitted through the PAGE application site

Grant Search: [ ] Grant #: [ ] Grantee: [ ] Status: Active

**Budget**

Budgets: [Program Year 2023 (Revision 1): In-process]

Program: Energy Efficiency and Conservation Block Grant Program - Bipartisan Infrastructure Law 2021 CPDA: [ ]

State: [ ] Year: 2023

Revision: 1 Period: [ ]

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**Section A - Budget Summary**

Grant Program	Federal	Estimated Unobligated Funds	New/Revised Budgets	Total	Action
Federal	[ ]	\$0.00	\$0.00	\$0.00	[ ] [ ]
<b>Total</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	

[Add New Funding Source]

To access the budget justification information, click on the object class category name. For example, click on "Personnel" in order to access the budget justification associated with personnel.

Using the "Object Class Categories" in the SF-424A Budget form, justify the costs in each category. The proposed budget costs are estimated values and invoicing shall be calculated from actual costs incurred for each of the cost categories. Fee or profit will not be paid to award grantees or subgrantees of financial assistance awards. Contingencies are expressly unavailable, as indicated in 2 CFR, Part 225 (all estimated costs should be allocated to a cost category).

Items identified as direct costs to the project may not be duplicative costs included in the indirect pool that is the basis of any indirect rate applied for this project.

**Section B - Budget Categories**

[Edit Budget Columns]

- You will be provided with areas to provide specific costs within the category
- Need to provide information if you intend on making sub-awards over \$250,000

## Element #1: Budget Justification

- Consists of detailed explanations of object class categories, including:
  - Personnel
  - Supplies
  - Fringe Benefits
  - Contractual
  - Travel
  - Other Direct Costs
  - Equipment
  - Indirect Costs
- Instructions for completing the Budget Justification can be found in Section 6.3.B. of [the Application Instructions](#)
- The budget justification is accessed and submitted by selecting each cost category in PAGE

Performance and Accountability for Grants in Energy (PAGE)

Grant Search: [ ] Grant #: [ ] Grantee: [ ] Status: Active

**Budget**

Budgets: [Program Year 2023 (Revision 1): In-process]

Program: Energy Efficiency and Conservation Block Grant Program - CPDA: [ ]

State: [ ] Year: 2023

Revision: 1 Period: [ ]

**Section A - Budget Summary**

Grant Program	Federal	Estimated Unobligated Funds	New/Revised Budgets	Total	Action
Federal	[ ]	\$0.00	\$0.00	\$0.00	[ ] [ ]
<b>Total</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	

[Add New Funding Source]

To access the budget justification information, click on the object class category name. For example, click on "Personnel" in order to access the budget justification associated with personnel.

Using the "Object Class Categories" in the SF-424A Budget form, justify the costs in each category. The proposed budget costs are estimated values and invoicing shall be calculated from actual costs incurred for each of the cost categories. Fee or profit will not be paid to award grantees or subgrantees of financial assistance awards. Contingencies are expressly unavailable, as indicated in 2 CFR, Part 225 (all estimated costs should be allocated to a cost category).

Items identified as direct costs to the project may not be duplicative costs included in the indirect pool that is the basis of any indirect rate applied for this project.

**Section B - Budget Categories**

[Edit Budget Columns]

Access budget justification here

## Element #2: Energy Efficiency and Conservation Strategy (EECS)

- State, local, and tribal governments have different EECS requirements
- DOE has provided streamlined EECS Templates for:
  - [States](#)
  - [Local Governments](#)
  - [Tribes](#)
- Use of the Templates is not required, but all EECS must include the information outlined in Part A of the Template
- DOE has 120 days to approve or disapprove EECS from date of submission in PAGE. There will be opportunities for revision following a disapproval

National Renewable Energy Lab (NREL) is available to provide one-on-one assistance to EECBG Program participants to help develop your EECS.

This is a great option if you need help getting started or could use some more analysis around your community's energy use baseline, and benefits and costs of various clean energy goal options.

Email [eeecs\\_ta@nrel.gov](mailto:eeecs_ta@nrel.gov) to get access to your NREL expert support today!

- EECS describes how your organization intends to use funds
- You are not required to use the Template to develop your EECS
- NREL is providing one-on-one technical assistance to help participants develop your EECS

## Element #2 (cont'd): State EECS Requirements

### Each State and Territory must submit a proposed EECS that:

- 1) Establishes a **process for subgranting at least 60% of funds to local governments** that are not eligible for direct formula grants from DOE (within 180 days of DOE approval of the State's application)
- 2) Includes a plan for **how EECBG Program funds will help to achieve state clean energy goals** and further existing energy efficiency and conservation strategies

*State EECS are due with the application, on July 31, 2023.*

- States must outline how it furthers the clean energy goals

## Element #2 (cont'd): Local and Tribal EECS Requirements

### Each local & tribal government must submit an EECS that includes:

1. A description of goals for increased energy efficiency and conservation; and
2. A plan for the use of the grant in achieving those goals in accordance with the eligible use of funds

### Additionally, strategies for local governments shall:

1. Take into account any plans for the use of funds by adjacent eligible local governments that receive grants under the EECSBG Program; and
2. Coordinate and share information with the state in which the eligible local government is located to maximize the energy efficiency and conservation benefits

Local and tribal govts. may submit the EECS with their application through the PAGE system when they apply OR they may develop and submit an EECS within one year of receiving the award

- EECS should demonstrate coordination at local and state levels to ensure you are coordinating with other jurisdictions to further these goals

## Element #3: EECSBG Program Activities File

- The EECSBG Program Activities File describes each activity and funding amount for which the applicant is proposing to use EECSBG Program formula funds
- It should include:
  - Proposed sector(s), technologies and blueprint category (if applicable)
  - Concise but sufficiently detailed descriptions of all activities
  - Funds budgeted for the activity
  - Milestones and qualitative or quantitative goals for each activity, including estimated energy savings
  - Note: activities outside of NEPA statement of work will be subject to additional review
- Each activity should be associated with at least one relevant process metric
  - Select one or more process metrics for each activity in the Milestones section of the Activities File
- Additional Instructions for completing the Activities file can be found in Section 6.3.D. of the [Application Instructions](#) and in the Help section in PAGE
- This form is completed and submitted through PAGE

The screenshot shows the 'Energy Efficiency and Conservation Block Grant Program (EECSBG) Activity File' form in the PAGE system. The form is titled 'Energy Efficiency and Conservation Block Grant Program (EECSBG) Activity File' and includes a description of the activity file. The form is divided into several sections:

- Activity File:** This section includes a dropdown for 'Activity File' (set to 'Program Year 2022 (Revision 1) - In Progress') and a text area for 'Activity'.
- Milestones:** This section includes a list of milestones with checkboxes for selection. The milestones are:
  - 1. Energy Planning
  - 2. Energy Efficiency
    - a. Energy Efficiency Building Audit and Retrofits, including grid interactivity and identification
    - b. Energy Savings Performance Contracts for Efficiency & Electrification in Municipal Buildings
      - i. Building Efficiency & Electrification Campaign
      - ii. Building Performance Standards for Existing Buildings and Stretch Codes for New Construction
  - 3. Renewables
    - a. Solar (and battery storage) Renter Purchase Agreement
    - b. Community Solar
    - c. Solarize Campaign
    - d. Renewable Resource Planning for Communities
  - 4. Transportation
    - a. Electric Vehicles for Municipal Fleets
    - b. EV Charging Infrastructure for the Community
  - 5. Unlocking Sustainable Financing Solutions for Energy Projects and Programs
  - 6. Workforce Development

- Sectors:** This section includes a grid of checkboxes for selecting sectors. The sectors are:
- Agriculture / Agricultural
- Commercial
- Higher Education
- Industrial
- K-12 Schools
- Local Government
- Low / Limited Income
- Nonprofit
- Not Applicable
- Residential
- State or Territorial Government
- Transportation
- Tribal / Native American

- This file includes the sectors and technologies planned to address
- Indicate whether or not you are including a blueprint
- Include milestones for goals
- Must select one of the process metrics

#### Element #4: Other Forms to be uploaded to PAGE

1. Indirect Rate Agreement or Rate Proposal
2. Applicant's latest single audit as required by 2 CFR 200 Subpart F (provide link or attach electronic copy of document)
3. Certifications regarding Lobbying ([SF-LLL Disclosure Form to report lobbying](#))
4. NEPA Statement of Work (optional)  
*\*Note: if not utilized, a statement of work will need to be developed for the NEPA review*
5. Authorized applicant documentation (Assurance Letter or Tribal Resolution)
  - Can be provided as a link or attachment
  - NEPA statement of work
    - Encouraged to utilize the existing NEPA statement of work documents as much as possible

#### Policy Requirements

**EECBG Program applications must also demonstrate compliance with:**

1. National Environmental Policy Act (NEPA) and Historic Preservation
2. Davis Bacon Act
3. Build America, Buy America requirements

#### Policy Requirements: National Environmental Policy Act (NEPA) Information

##### Background

- DOE must comply with NEPA prior to authorizing the use of Federal funds. This includes consideration of the effects on historic properties and impacts on floodplains and wetlands.
- Grantees must: Review DOE's online NEPA and Historic Preservation [PowerPoint trainings](#) prior to project implementation.
- Most states and territories (including Grantees within those states and territories other than tribal governments) have a [DOE Historic Preservation Programmatic Agreement](#). These must be reviewed.
- Grantees and tribes that do not have a DOE executed Historic Preservation Agreement (PA) must follow added restrictions included in their award documents.

##### NEPA Statements of Work for Expedited Review

- NEPA Statement of Works (SOWs) can be found on the [EECBG Program Application Hub](#)
- Applicable activities from the SOW should be entered into the Activity Programs File.
- The various NEPA SOWs apply to different applicants and include either ground disturbing activities or nonground disturbing activities.
- Carefully review the SOWs to ensure the correct one is selected.
- The SOWs with ground disturbing activities require quarterly reporting for all activities.

- DOE MUST comply with NEPA prior to using federal funds
- If unsure if NEPA is required, applicants can reach out via email to [EECBG.NEPA@ee.doe.gov](mailto:EECBG.NEPA@ee.doe.gov)
- Programmatic Agreements (PA's)
  - If state doesn't have a PA need to follow additional instructions

**Actions to Take to Ensure NEPA Compliance**

1. Determine if all proposed activities fit within the NEPA Statement of Work. *These activities will have an expedited review!*
2. Sign and upload the appropriate NEPA Statement of Work with your application, if applicable.
3. If not utilizing a NEPA Statement of Work, DOE staff will require submission of an Environmental Questionnaire (EQ1) for a NEPA review through the Project Management Center (PMC).
4. Review the NEPA and Historic Preservation Training website.
5. Review the award documents including NEPA forms to ensure compliance with restrictions and documentation requirements which may require quarterly NEPA reporting for some awards with ground disturbing activities.

NEPA questions can be sent to [EECBG.NEPA@ee.doe.gov](mailto:EECBG.NEPA@ee.doe.gov) (response times will depend on volume of questions).

**Policy Requirements: Davis-Beacon Act Compliance**

***Davis-Bacon Act Information:***

Applicants must confirm that **any laborers and mechanics** performing construction, alteration, or repair work on projects over \$2,000 that are funded in whole or in part by the EECBG Program are **paid, on a weekly basis, no less than the prevailing wage for similar projects in their locality, as determined by the Secretary of Labor**

***Required Actions:***

1. Complete [DBA compliance training](#) and maintain competency in DBA compliance
2. Grant applications must include a DBA Assurance Letter

***More information about Davis-Bacon Act requirements can be found in the [Administrative and Legal Requirements Document \(ALRD\)](#) and the [DOE Desk Guide to the Davis-Bacon Act](#)***

## Policy Requirement: Build America, Buy America (BABA) Requirements

### **BABA Information:**

- BABA generally requires that:
    - All iron, steel, and manufactured products used in infrastructure work are produced in the United States;
    - All construction materials used in the infrastructure work are manufactured in the United States.
  - Waivers are available in instances when:
    - Applying the requirements would be inconsistent with public interest
    - The types of materials or products are not produced in the United States in sufficient and reasonably available quantities or of a satisfactory quality
    - Inclusion of materials/products produced in the U.S. will increase overall cost of project by more than 25%.
- Some project may be considered infrastructure
- There are waivers, but certain criteria needs to be met

### **Required Actions:**

1. Determine if these requirements are applicable to your project by consulting:
  - [www.madeinamerica.org](http://www.madeinamerica.org)
  - [White House Build America, Buy America Resources](#)
  - Section V.D.4-5 of the [Administrative and Legal Requirements Document](#) (ALRD)
2. Provide a short statement on Build America, Buy America applicability in the Activity File (enter under Field 6. Description).
3. Waiver requests must be submitted with the full application (uploaded as attachments to the SF-424)

## Technical Assistance & Webinars for All

### Technical Assistance & Webinars for All

#### During application period, DOE will..

##### Host webinars to support strategy design

- ✓ Technical support to submit applications
- 1. Voucher Applications Deep Dive  
*Thursday, April 13 at 3pm ET*
- 2. Technical Assistance Offering Overview  
*Thursday, May 4 at 3pm ET*
- 3. Justice40
- 4. Learning from past EECBG experiences
- 5. Leveraging EECBG funding into more support
- 6. Grants Management & Accountability

#### During implementation, DOE will...

##### Provide ongoing support through

- Support for comprehensive energy strategy development
- Blueprints & technical assistance to plan and execute clean energy projects and programs
- Tools and online resources to support project and program implementation
- Access to experts to build capacity and knowledge
- Webinars & workshops to promote peer learning opportunities and best practices
- Technical assistance available (from NREL) to help develop your Energy Efficiency and Conservation Strategy (EECS)



## Contact the EECBG Program Team



### EECBG@hq.doe.gov email inbox

- The EECBG Program team monitors the inbox daily
- Aims to return to all questions within 10 business days
- Consult the [EECBG Program Formula Grant Application Hub](#) for helpful information and key documents



### **Pilot: Bi-Weekly Office Hours**

- The EECBG Program Team is planning to host bi-weekly virtual office hours. First session will be Tues, April 4
- Format is subject to change based on interest & need

- Inbox is monitored daily and respond within 10 business days
- EECBG Program Formula Grant Application Hub:  
<https://www.energy.gov/scep/eecbg-program-formula-grant-application-hub>
- Office Hours Sign-Up Form:  
<https://forms.office.com/Pages/ResponsePage.aspx?id=zD4Ya1VL1U6z-H9kvhxBOJmJS H5xDrxLm1aj8TvtYW1URDIMMU5INIFONFBVSDdQSkpLSEg5VVEExSy4u>

## Questions & Answer

- Do cities need to go to council to apply for funding?
  - Depends on what approval is required for applying for a federal grant
  - DOE doesn't have a specific opinion. It is up to your jurisdiction's requirements
- Can EECBG be used in chorus with other DOE funding to support larger inergy programs?
  - Yes they can be coordinated with other activities
  - Ex. Electric vehicle infrastructure/weatherization
- Can we use EECBG funds as a cost match for other DOE funds?
  - No - cannot be used as a cost-share for other federal awards
  - This is across the board for all federal funding
- Do administrative costs include paying for in-house staff for equipment installation?
  - Administrative funds are separate from implementation funds
  - Staff providing direct implementation would not count as administrative funds
- Can you use EECBG funds to support staffing positions?
  - This is an eligible use of funds
- What is the timeline for the EECS?
  - Strategy can be submitted with application
  - DOE encourages organizations to develop strategy prior to award
  - Strategy could be develop as indicated the first step. However, you won't be able to be eligible for additional funding unless the strategy is completed
    - 1-year timeframe to prepare the initial application with the strategy as your first step
- What coordination are you expected to do with adjacent entities through the EECS?

- DOE wants local governments to coordinate with adjacent jurisdictions
- This looks different depending on the place
- Want to make sure activities are consistent to build off activities in the surrounding communities