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# Cognitive and Neural Facets of Dissociation in a Traumatized Population

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# Abstract

**Background:** Dissociative phenomena are frequently experienced by psychologically traumatized people. However, little is known about the cognitive profiles of highly-dissociative traumatized individuals, and corresponding patterns of neural connectivity when attentional networks are engaged in the context of emotion.

**Method:** One hundred seventeen traumatized women completed the multiscale dissociation inventory (MDI) and neuropsychological testing; MDI scores were used to classify high and low-dissociative participants. Forty-six participants also underwent fMRI during performance of an attentional control task that incorporates emotionally-distracting images (Affective Number Stroop; ANS).

**Results:** Compared to low-dissociative participants, high-dissociative participants demonstrated better performance on an executive functioning task ( $F_{1,111}$ =4.64,p=.03), worse performance on a task of visual memory ( $F_{1,111}$ =9.52, p=.003), and similar performance on all other neuropsychological measures. In addition, dissociative symptoms were negatively correlated with functional connectivity between the amygdala and right anterior insula in response to trauma-related ANS trials.

**Conclusion:** These findings indicate that highly-dissociative traumatized people experience difficulties with attentional control in the context of emotionally-evocative stimuli, but in a neutral context, their overall cognitive profiles are similar to low-dissociative people. Highly dissociative participants also demonstrated weaker connectivity between the amygdala and insula in response

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to trauma-relevant images. Evocative, trauma-relevant stimuli appear to disrupt neutral networks involved with attention to salient cues and interoception in highly-dissociative traumatized individuals.

#### Keywords

Cognition; emotion; dissociation; fMRI; insula; amygdala

Dissociation is a psychological defense mechanism that involves disruptions in consciousness. Dissociation has myriad manifestations, ranging from alterations in perceptions of time and memory to a fragmented sense of identity. These defenses are often deployed when escape from a threat or stressor is thought to be either impossible or highly risky, and thus, are commonly observed in those who have experienced childhood maltreatment (Stein et al., 2013; Steuwe, Lanius, & Frewen, 2012). Dissociative symptoms emerge in a subset of traumatized individuals, and have been associated with significant psychiatric comorbidities (Stein et al., 2013). The frequent observation of dissociative responses in traumatized people (Briere, 2006) led to the creation of the first subtype of posttraumatic stress disorder (PTSD), the dissociative subtype, which is characterized by symptoms of derealization and/or depersonalization (American Psychological Association, 2013). However, there is increasing acknowledgement that many people with PTSD who do not meet criteria for this subtype experience an array of dissociative phenomena that impair their functioning (Dorahy & van der Hart, 2015). Dissociation can occur in healthy individuals in non-disruptive ways (e.g., absorption in a task, daydreaming). However, in the context of trauma and PTSD, dissociative symptoms tend to be more severe and impairing, interfering with the conduct of routine tasks as well as treatment engagement (Bae, Kim, & Park, 2016; Corrigan & Hull, 2015; Kleindienst et al., 2011; Najavits, 2015). For these individuals, dissociative phenomena are experienced regularly, in response to ostensibly minor stressors.

Dissociation has a distinct neurobiological signature that reflects increased parasympathetic tone and a blunted physiological response to stressors (Sack, Cillien, & Hopper, 2012; Zaba et al., 2015). Similarly, highly dissociative traumatized people demonstrate neural response patterns that do not fit with the fear extinction model of PTSD (Jovanovic & Ressler, 2010). This model indicates that PTSD is generally characterized by deficient prefrontal inhibition of exaggerated fear-related responses elicited by the amygdala, a brain region involved with rapid evaluation of, and response to, perceived threat. However, another model has been proposed to accommodate the differing patterns of neural response that characterize dissociative PTSD (Lanius et al., 2010); here, highly dissociative traumatized people as "overmodulators" of affect, who tend to demonstrate increased neural responses in medial prefrontal brain areas, which are involved with control of emotional responses, and dampened amygdala response as they attempt to control distressful emotional states.

Curiously, little is known about patterns of cognition in dissociative traumatized people, leaving a large gap in the current neurobiological understanding of this problem. The extant cognitive data on traumatized dissociative people has been mixed, and largely limited to tasks that do not include emotionally-salient information (i.e., traditional neuropsychological

measures). Some data suggests poorer performance on a range of cognitive domains, including attention for visual material (Reitan, 1992) and verbally-presented material, verbal list-learning (Roca, Hart, Kimbrell, & Freeman, 2006), visual-spatial and verbal memory (Parlar, Frewen, Oremus, Lanius, & McKinnon, 2016; Rivera-Velez, Gonzalez-Viruet, Martinez-Taboas, & Perez-Mojica, 2014),as well as event memory (Chae, Goodman, Eisen, & Qin, 2011). However, some studies indicate *enhanced* or equivalent cognitive functioning, particularly with respect to non-emotional material (DePrince & Freyd, 2004; DePrince, Weinzierl, & Combs, 2008). For example, (DePrince and colleagues 2004) presented trauma-relevant, neutral and positive words under variable attentional demands, and observed that high-dissociative participants recalled more neutral words than lowdissociative participants in the moderate attentional demand condition (DePrince & Freyd, 2004).

There is some evidence to suggest that enhanced executive functioning in these highdissociative traumatized individuals is associated with increased recruitment of brain regions involved with cognitive control. (Elzinga and colleagues 2007) found that, compared to controls, enhanced performance (i.e., fewer errors and shorter reaction times) on a nonemotional working memory (N-back) task in highly dissociative patients with borderline personality disorder (BPD) was accompanied by significantly greater increases in anterior prefrontal (Brodmann area 10) and dorsolateral prefrontal (DLPFC) function (Elzinga et al., 2007). This increase in BOLD response in high-dissociative participants has been observed in brain networks responsible for attentional control, salience detection and interoceptive functioning; (Krause-Utz and colleagues 2014) found that, compared to healthy controls, BPD patients showed increased connectivity between the amygdala and parahippocampal gyri, as well as with the dorsomedial prefrontal cortex (BA10), and between the dorsal ACC and the insula, to emotionally negative distractor images in the N-back (Krause-Utz et al., 2014). Furthermore, increased dissociation in the sample as a whole corresponded with greater amygdala-ACC and insula activation to emotional distractors. However, the BPD participants had poorer working memory performance compared to healthy controls (2012). The data from these studies indicate that dissociation in traumatized individuals may be linked to increased activation in brain areas related to cognitive control, but it remains unclear whether cognitive performance is similarly enhanced or impaired.

Given the limited available data on emotion and cognition in traumatized dissociative people, the present study examined how individual differences in dissociation correspond with performance on traditional measures of attention, executive functioning and memory in a sample of all-traumatized women, many of whom also exhibited symptoms of PTSD. We also examined patterns of behavioral and neural response on an attentional control task that incorporates emotionally-salient distractors, (trauma-relevant, positive and neutral images) in a subset of our larger sample. In keeping with the "overmodulator" model of dissociation in PTSD, we hypothesized that participants with more dissociative symptoms would perform equivalently on tasks of executive functioning and visual attention and memory than participants with fewer dissociative symptoms, but would demonstrate poorer performance in the context of emotional information.

Also consistent with the overmodulator model, we hypothesized that those with higher levels of dissociative symptoms would demonstrate increased connectivity between brain regions involved with emotion processing and inhibitory control. We identified the amygdala as a seed region for connectivity analyses, given its overall relevance to emotional processing and its involvement in earlier dissociation research (Krause-Utz et al., 2014). We thus examined temporal associations between amygdala activation and activation across other brain regions, hypothesizing that higher levels of dissociation would correspond with greater connectivity between the amygdala and emotional/cognitive control regions (the dorsal and ventromedial ACC, orbitofrontal cortex and DLPFC) and lesser connectivity between the amygdala and interoceptive regions, namely, the insula.

# Methods

#### **Participants**

A sample of 117 African-American women aged 18–63 (M=38.5, SD=10.6) was recruited from an ongoing NIH funded study examining genetic and environmental risk for post-traumatic psychopathology. A subset of this sample, 46 individuals aged 23–59 (M=37.7, SD=10.1), also received functional MRI. Individuals were approached in general medical clinics of a publicly-funded hospital serving low income individuals in inner-city Atlanta, Georgia. On average, participants had completed 13 years of schooling, had monthly incomes between \$1000-\$2000, and had experienced at least 4 traumatic events throughout their lifetime (see Table 1). Rates of trauma exposure were similar to our earlier studies within this population (e.g., (Fani, Bradley, Ressler, & McClure-Tone, 2010; Fani et al., 2016; Gillespie et al., 2009). All participants had experienced at least one type of trauma. A majority of participants (73%) reported having incomes \$1999 a month, indicating limited economic resources for most people in this sample.

The eligibility criterion for participation in the current study was intentionally kept broad and included the ability to understand English (assessed by a study researcher) and willingness to provide informed consent. Participants were excluded from the larger cognitive study if they had current neurological conditions or psychosis. Participants were excluded from MRI on the basis of: claustrophobia; contra-indications to MRI scanning (e.g., metal implants); current bipolar disorder or psychotic disorder (as assessed with the MINI interview (Sheehan et al., 1998); prior head injury with loss of consciousness (>5 minutes) or current substance or alcohol dependence (past 12 months). Participants were not required to have a specified period of abstinence from substances/alcohol to participate, but were given a urine drug test on the day of the scan. A total of five participants met criteria for substance abuse or tested positive for the following substances on the scan day: amphetamines (1), cannabis (3), polysubstance (1, opioid and cannabis). Four of these five participants (four participants in the total sample) endorsed psychotropic medication use: tricyclic antidepressants (2), benzodiazepine (1), citalopram (1). Participants receiving MRI were asked to refrain from caffeine consumption on the day of the scan. The Institutional Review Board of Emory University and Grady Hospital Research Oversight Committee approved all study procedures.

**Neuropsychological Assessment**—The Penn Computerized Neuropsychological Battery (CNP: http://penncnp.med.upenn.edu/) (Gur et al., 2010) was administered to the larger sample. This battery takes approximately 1.5 hours to administer, and comprises measures of attention, abstraction/cognitive flexibility, working memory, verbal and visual memory, and facial emotion recognition. The Penn CNP has demonstrated good reliability and construct validity (Gur et al., 2010), with use in both healthy and patient populations (Sachs, Steger-Wuchse, Kryspin-Exner, Gur, & Katschnig, 2004). Measures of attention, executive functioning, and memory were included in analyses, as detailed below.

**Penn Conditional Exclusion Task (PCET).**—The PCET(Kurtz, Ragland, Moberg, & Gur, 2004) is a measure of executive functioning, specifically assessing abstraction and cognitive flexibility, similar to the Wisconsin Card Sorting Test (WCST; (Heaton, 1981)). This measure requires participants to select which of four presented objects does not belong with the other three. Three strategies may be used to "sort" the objects, and participants receive feedback after each trial ("correct" or "incorrect") to determine whether they have selected the correct sorting criteria. After 10 consecutively correct responses, the sorting strategy changes. Errors on the PCET have been highly correlated with WCST errors, and thought to reflect cognitive flexibility. Number of correct and erroneous responses (including perseverative errors), were recorded and analyzed for this study. Errors were counted as perseverative if the participant made three successive incorrect responses that matched a single sorting category. The PCET demonstrates small-moderate correlations with the Penn Letter N-back task (r=.4), Penn List Learning Task (immediate recall, r=.3) and Penn Short Visual Object Learning Task (immediate recall, r=.4, delayed recall, r=.3) according to a validation study (Gur et al., 2010).

**Penn Continuous Performance Test (PCPT).**—The PCPT (Kurtz, Ragland, Bilker, Gur, & Gur, 2001) is similar in format to other continuous performance tests, such as Conners' Continuous Performance Test II (Conners, 2002), and measures facets of sustained attention, including errors of omission (inattention) and commission (impulsivity). In this task, the participant is presented with a series of numbers/non-number distractors or letters/ non-letter distractors (each presented at 1s duration); the participant is asked to press a button when they see an actual number or letter. Participants must successfully complete a brief practice round before moving on to the actual task. Number of correct responses were recorded and analyzed for each of the three conditions. The PCPT demonstrates a moderate correlation with the Penn Letter N-back task (CPT number condition, r=.5, CPT letter condition, r=.6), according to a validation study (Gur et al., 2010).

**Penn Letter N-Back Task (PLNB).**—The PLNB is a measure that assays attention as well as working memory. In this task, participants are presented with a series of letters (at 2.5s durations) and are asked to press a button to indicate their response under three different rules: the 0-back condition requires participants to press a button when they see the letter "X"; under the 1-back and 2-back conditions, participants press a button when they view a letter that appeared 1-back or 2-back from the current letter, respectively. Participants must successfully complete a practice round for each condition before moving on to the actual task. Number of correct responses were recorded and analyzed for each of the three

conditions. In addition to the PCPT, the PLNB demonstrates moderate correlations with Penn Short Visual Object Learning Test (r=.4), according to a validation study (Gur et al., 2010)

**Penn List Learning Task (PLLT).**—The PLLT assesses verbal learning and memory. Similar to the California Verbal Learning Test (Delis, Kramer, Kaplan, & Ober, 2000), a list of 16 words is orally presented to participants across five learning trials; after each trial, participants are asked to recall the words. Interference trials and semantic cue trials are included after the learning trials are completed, followed by a short-delay recall. A longdelay recall (approximately 20 minutes) recall trial is also administered. Number of correct responses for each learning trial, as well as both recall trials and slope of learning were recorded and analyzed. Immediate recall trials have been moderately correlated with the Penn Short Visual Object Learning Test (immediate recall, r=.5, delayed recall, r=.5) according to a validation study (Gur et al., 2010).

**Short Visual Object Learning Test (SVOLT).**—The SVOLT assesses visual learning and memory (Glahn, Gur, Ragland, Censits, & Gur, 1997). Participants view a series of 10 complex shapes, one at a time, presented at a rate of 5 seconds per image. Immediately following the presentation they view 20 shapes (10 novel and 10 previously-viewed shapes) and must indicate whether or not the shape was previously presented. A similar recall trial is presented following an approximately 20 minute delay. Number of correct responses on immediate and delayed recall trials were recorded and analyzed.

fMRI task: Affective Number Stroop.—The Affective Number Stroop (ANS; see Figure 2) is a measure of attentional control that has been detailed in earlier studies (Blair et al., 2007; Blair et al., 2012; White, Costanzo, Blair, & Roy, 2015). Participants are instructed to rapidly identify, via button-press, the number of numbers in a given display (3, 4, 5, or 6) while ignoring distractor images (trauma-relevant, positive, and neutral scenes) that appear prior to and following each number stimulus. In some of these trials, the number of numbers is inconsistent with the actual number displayed, posing a heightened cognitive challenge. In addition, the task includes trials with no cognitive demands ("view only" trials). The task comprises 16 trials for each of three conditions: number congruent, number incongruent, and passive viewing of distractor images. A total of 40 fixation trials (2500ms duration) are also randomly presented. Distractor and number stimuli are each presented for 400ms, followed by a blank screen (1300ms), as shown in Figure 1. Each trial includes two identical distractor stimuli. The distractor images are unique for each trial condition. We modified the task to include images that are relevant to the experiences of our study population, based off of our earlier studies, which indicate a high amount of interpersonal trauma and assault involving a weapon (Gillespie et al., 2009). These trauma-relevant images included scenes of assault and gun violence-all but one of the trauma-relevant scenes included people in the images. Nearly half of the positive stimuli were pictures that included people (43%), a minority included animals (17%), activities (theme park, 6%; sports, 23%) or nature scenes (12%). Neutral stimuli included a similar proportion of person-related images (36%) and nature scenes (14%) but also included object stimuli (50%). A majority of the images were obtained from the International Affective Picture System (IAPS; (Lang, Bradley, &

Cuthbert, 2005)). Error rates and response times were recorded analyzed for all trials. Prior to task administration, participants are given practice trials (with non-task images), and task proficiency (accuracy on a majority of trials) is required before administration of the actual task.

#### **Clinical Assessments**

The Traumatic Events Inventory (TEI) was administered as part of the parent study to measure the frequency and type of traumatic experiences and was used as a measure of environmental adversity in this analysis, as in prior studies (Fani et al., 2012; Gillespie et al., 2009). An index of lifetime trauma frequency (excluding child abuse) was calculated based on self-reported frequency of trauma exposure to 15 types of trauma. For this sample, mean TEI non-abuse trauma was 5.10 (SD=3.20, range 0–13). Child abuse exposure was measured using the Childhood Trauma Questionnaire (CTQ; (Bernstein & Fink, 1998), a 25-item selfreport measure of childhood abuse and neglect; overall severity scores of exposure were calculated and submitted to analyses. CTQ total score was highly correlated with maltreatment (physical, sexual, emotional abuse) on the TEI (Spearman's rho=.8, p<.001), indicating consistent reporting of maltreatment history. The Multiscale Dissociation Inventory (Briere, Weathers, & Runtz, 2005) was used to assess current (past month) dissociative symptoms. MDI total score ranged from 30–110, with a mean and median score of 53 (SD=19.8) for this sample. A median split was used to classify participants with low versus high current dissociative symptoms, in keeping with earlier studies of trauma and dissociation (Sack et al., 2012; Seng et al., 2013). Our categorization of participants is also consistent with a model that recognizes the prevalence and wide spectrum of dissociative features in traumatized people (Dorahy & van der Hart, 2015). The Clinician Administered PTSD Scale for DSM-IV (Blake et al., 1995) was administered to determine presence and severity of PTSD symptoms. Based on the CAPS, 37 participants met diagnostic criteria for PTSD, whereas 79 did not meet criteria for the disorder (data for one participant was not available).

#### MRI acquisition, image processing and statistical analysis

Scanning was conducted on a research-dedicated Siemens 3-Tesla TIM-Trio scanner (12 channel head coil) at Emory University Hospital. A high-resolution T1-weighted structural scan was acquired for co-registration purposes using an MPRAGE sequence (176 slices, FOV= 256 mm cubic voxels; 1mm isotropic slices; repetition time (TR)= 2600 msec; echo time (TE) = 3.02 msec; inversion time (TI)= 900msec; flip angle=8°). Functional images (190 volumes) were acquired during task administration using a T2-weighted gradient echo planar sequence (40 interleaved transaxial slices; TR= 2500msec; TE= 30 msec; FOV= 220 mm; 3 mm isotropic voxel). Statistical Parametric Mapping, version 8 (SPM8, Wellcome Trust Centre for Neuroimaging, London, UK: http://www.fil.ion.ucl.ac.uk/spm/software/ spm8/) was used for fMRI file conversion and image pre-processing.

Image pre-processing included: realignment of functional images to the first volume in the series; the mean realigned functional image was co-registered with the T-weighted scan; images were normalized to standard space (International Consortium for Brain Mapping 152-subject template) then spatially smoothed (6mm Gaussian kernel). To examine blood-

Connectivity analyses were conducted with the CONN toolbox, version 16 (Whitfield-Gabrieli & Nieto-Castanon, 2012) implemented in SPM8. This toolbox permits computation of temporal correlations between BOLD signals from selected ROIs to other voxels in the brain, and has been used in earlier functional connectivity studies of emotion processing and learning (Pecina et al., 2013; Powers, Hevey, & Wallace, 2012; Stevens et al., 2013). We utilized this toolbox to examine individual differences in dissociation (MDI total score) and psychophysiological interactions (PPIs) with connectivity for the bilateral amygdala for the abovementioned contrasts, in keeping with earlier studies of amygdala connectivity in dissociation (Nicholson et al., 2017). Amygdala masks were defined using the amygdala probability map in the SPM Anatomy Toolbox (http://www.fz-juelich.de/inm/inm-1/EN/ Forschung/\_docs/SPMAnatomyToolbox/SPMAnatomyToolbox\_node.html). MDI total scores were entered as subject-level regressors. Signal from subject motion was removed from the data. Seed-to-voxel bivariate correlations were computed for each contrast. Results were considered significant at a whole brain statistical threshold of p<.005, with cluster-level FWE correction at a threshold of p<.05 conducted with *a priori* specified regions of interest, including the ACC, orbitofrontal cortex (OFC), bilateral dorsolateral prefrontal cortex (DLPFC) and insula.

#### Neuropsychological and Affective Number Stroop Data Analysis

Given that the tests measured distinct cognitive constructs, separate univariate analyses of covariance (ANCOVAs) were conducted to examine between-group differences in performance on neuropsychological measures after accounting for the effects of age and trauma exposure (childhood and adult), given that these factors have demonstrated clear associations with test performance (Ganguli et al., 2010; Gould et al., 2012; Malarbi, Abu-Rayya, Muscara, & Stargatt, 2017; Palmer et al., 1997). High- and low-dissociation groups were the grouping variables, outcome variables were performance on the various neuropsychological measures; we also conducted correlational analyses with these variables. Separate ANCOVAs were performed with ANS data, with childhood maltreatment, nonmaltreatment trauma exposure during adulthood, and age used as covariates. Where significant between-group differences were observed, we performed partial correlational analyses (Pearson's r) to explore associations between cognitive performance and the various dissociative subscales, controlling for age and trauma exposure. The threshold for statistical significance was set at p < .05; Sidak correction was used to address potential inflation of Type I error due to multiple comparisons. Homogeneity of variance for the two groups was tested with Levene's statistic. The sample size for the present study is similar to or larger than other recent studies of dissociation in trauma (Bichescu-Burian, Stever,

Steinert, Grieb, & Tschoke, 2017; Krause-Utz, Frost, Winter, & Elzinga, 2017; Rabellino, Densmore, Theberge, McKinnon, & Lanius, 2018)

# Results

#### Dissociation

Compared to a normative population from an earlier study of the MDI (Briere et al., 2005), the following percentages represent the amount of participants within the entire sample who had scores that were two standard deviations or above for each MDI subscale; 27% for disengagement; 17% for depersonalization; 27% for derealization; 28% for emotional constriction; 25% for memory disturbance; 12% for identity disturbance. Within the high-dissociation group, the following percentages represent the amount of participants who had scores that were two standard deviations or above for each MDI subscale; 50% for disengagement; 30% for depersonalization; 53% for derealization; 51% for emotional constriction; 50% for memory disturbance; 24% for identity dissociation.

#### **Neuropsychological Measures**

Levene's tests indicated equivalent error variance for the two diagnostic groups for all analyses (*p*s>.05); thus, these data were subjected to parametric analyses. Compared to low-dissociative participants, high-dissociative participants had significantly more childhood abuse exposure overall ( $F_{1,116}$ =8.1, p<.01), particularly emotional abuse, but the groups were equivalent with respect to age, education, and non-childhood abuse-related trauma exposure over the lifetime (see Table 1).

On average, on a measure of abstraction and cognitive flexibility (PCET), participants successfully completed over two sorting categories (M=2.4, SD=.8), as compared to a mean of 1.7 for a normative population (Gur et al., 2010). Although high participants had comparable correct responses on the PCET compared to low-dissociative participants [(M=36.7, SD=6.5 vs (M=37.7, SD=7.8)], respectively), high-dissociative participants had fewer incorrect responses (see Table 2)(Gur et al., 2010) (F<sub>1,111</sub>=4.6,p=.03; Cohen's d=3.1) and marginally fewer perseverative errors (F<sub>1,111</sub>=3.5, p=.07), after covarying age, childhood trauma and lifetime trauma exposure. Partial correlational analyses revealed that PCET perseverative errors (r=-.24, p=.01) and incorrect responses (r=-.23, p=.01) were inversely correlated with MDI total score. These findings remained significant when trauma exposure was not covaried. Specifically, PCET incorrect responses were also inversely correlated with the disengagement (r=-.3, p=.01) and emotional constriction (r=-.2, p=.04) MDI subscales.

There were no significant between-group differences for correct responses on measures of working memory, attention, and list-learning (PLNB, PCPT, and PLLT, respectively). With regard to recall of visual material (SVOLT), low-dissociative (M=15.3, SE=.3) participants performed similarly to a normative population (M=15.6, SD=2.5), but high-dissociative participants performed more poorly (M=13.8; SE=.3;  $F_{1,111}$ =9.5, p=.03) after accounting for age, childhood and lifetime trauma exposure. These findings were influenced by performance on immediate recall trials, which was significantly worse for high-dissociative participants (M=7.6, SD=.2) as compared to low-dissociative participants (M=8.2, SD=.2)

after accounting for age and trauma exposure ( $F_{1,111}$ =4.6, p=.03). No significant betweengroup differences were observed with delayed recall of visual material. Partial correlational analyses revealed that SVOLT correct responses were inversely correlated with MDI total score (r=-.19, p=.04). Specifically, these correct responses were correlated with MDI depersonalization (r=-.2, p=.01), derealization (r=-.2, p=.01) and emotional constriction (r= -.2, p=.04) subscales.

#### Affective Number Stroop Behavioral Response

In the entire sample, percent error rates for trauma-related distractor trials were highest (M=20.5, SD=21.5) followed by positive (M=17.9, SD=21) and neutral distractor trials (M=15.6, SD=17.8). Error rates were proportionally higher for number congruent trials (trauma-relevant distractors, M=9.2, SD=9.4; positive distractors M=8.6, SD=11.2, neutral distractors M=6.3, SD=8) as compared to number incongruent trials (trauma-relevant distractors, M=11.3, SD=12.1; positive distractors M=11.5, SD=12.6, neutral distractors M=9.4, SD=9.9). A similar pattern was observed with response times (in milliseconds, ms) for number congruent trauma-relevant distractors M=889, SD=161.1, neutral distractors M=871.2, SD=146.3) and incongruent trials (trauma-relevant distractors, M=953.7, SD=154.1; positive distractors M=946, SD=156.1, neutral distractors M=939.1, SD=155.1).

After accounting for trauma exposure (CTQ total, TEI total) as well as age, highly dissociative participants demonstrated significantly more errors on number-incongruent trials with trauma-relevant image distractors, as compared to low-dissociative participants ( $F_{1,36}$ =4.8, p=.04). No other statistically significant differences in performance or response time were observed between low- and high-dissociative participants (see Table 2).

#### Functional Connectivity

In response to trauma-relevant vs neutral images, a significant negative correlation between dissociation severity (MDI total score) and functional connectivity was observed between the amygdala and a large cluster of activation in the right anterior insula (k=287,  $MNI_{x,y,z}=42, -22, 6$ ; see Figure 2). No other regions survived error correction for all other contrasts and conditions; Table 3 details these findings. Given these significant findings, these analyses were repeated, excluding the five participants who had endorsed substance and/or psychotropic medication use. Although no findings survived cluster-size correction, a negative correlation between MDI total score and amygdala connectivity to the anterior insula remained (k=81,  $MNI_{x,y,z}=-56, -16, 0$ ).

# Discussion

The present study compared the performance of traumatized participants with high and low levels of dissociation on measures of executive functioning, memory, and attention, both in a neutral context (standard neuropsychological measures) and in the context of emotion; we administered an attentional control task during fMRI that included trauma-salient, positive, and neutral images. Our findings indicated that, compared to participants with fewer dissociative symptoms, highly dissociative participants had better performance on a measure

of abstraction and cognitive flexibility, but poorer performance on a measure of immediate visual memory. Highly dissociative participants also performed more poorly on the ANS during fMRI trials that presented trauma-relevant distractors, and had comparatively lesser functional connectivity between the amygdala and insula in response to these distractors.

We found that particular aspects of executive functioning—namely, the ability to form abstract concepts and think flexibly and adaptively in a novel situation-were relatively enhanced in our high-dissociative participants. Compared to low-dissociative participants, high-dissociators showed fewer incorrect responses, and marginally fewer perseverative responses, on a measure of abstraction and cognitive flexibility (PCET). These findings indicate that high-dissociative participants were better able to use task-related feedback to grasp the target concepts and adjust their responses adaptively; higher-order processes like these are intrinsic to adaptive functioning. Some earlier studies have observed enhanced executive functions, including working memory and divided attention, in both traumatized (Elzinga et al., 2007) and healthy high-dissociative individuals (see McKinnon et al., 2016 for a review). For example, high-dissociative adults have shown better performance on tasks of divided attention (DePrince & Freyd, 2004) and verbal working memory span (de Ruiter, Phaf, Elzinga, & van Dyck, 2004) (Veltman et al., 2005) compared to low-dissociative adults. Notably, in the latter study, high-dissociative participants showed more extensive recruitment of the DLPFC regions during task performance as compared to low-dissociative participants (Veltman et al., 2005), which may suggest that enhanced performance may come at the price of cognitive efficiency. In this study, dissociative disengagement and emotional constriction were most highly linked to better PCET performance. This may suggest that participants with these dissociative features were more absorbed in the task, and in the absence of a time constraint (endemic to attention tasks), could think flexibly and abstractly. These findings are similar to a study of children, which found that, despite performing more poorly on a test of cognitive inhibition, highly dissociative children showed preserved rule-learning on a more complex task as compared to low-dissociative peers (Cromer, Stevens, DePrince, & Pears, 2006). Thus, in the absence of emotion, highly dissociative participants showed intact cognitive performance compared to low-dissociative peers, and even performing somewhat better on a test of abstraction. Overall, this suggests that outside of an emotional context, people who are highly dissociative may have intact higher-order cognitive functions.

In contrast to these findings, high-dissociative participants demonstrated relative decrements in attentional control (manifest by higher error rates on ANS trauma-relevant distractor trials) in an emotional context—when they were confronted with trauma-relevant images. These findings were significant even after accounting for variance associated with childhood and adult trauma exposure. Higher levels of dissociation were also linked to lesser connectivity between the amygdala and anterior insula in response to these trauma-relevant stimuli, as we had expected. Although all participants performed somewhat more poorly in the context of the trauma-relevant images, demonstrating higher error rates and slower response times during these trials, it appears that these evocative images were even more arousing and disruptive to high-dissociative participants. In keeping with the "overmodulator model" of dissociation in PTSD (Lanius et al., 2010), our highly-dissociative participants were not impaired or deficient on tasks of cognitive control/inhibition that had no emotional

component (letter n-back and continuous performance tasks), but their attentional control was significantly disrupted when trauma-salient stimuli were introduced. These findings were similar to a study of borderline personality disorder that used a different emotional stroop task, which observed that state dissociation was related to poorer performance on negative, but not positive or neutral word trials (Winter et al., 2015). For those who endorsed more frequent experiences of dissociation, being confronted with images that resembled their interpersonal trauma experiences may have generated greater physiological and emotional arousal. This arousal, in turn, could have interrupted their ability to focus their attention to the task at hand.

These findings contrast significantly with those we observed from the neuropsychological battery—highly dissociative participants demonstrated relatively equivalent performance on the latter measures in comparison to low-dissociative peers, and even showed superior performance on an executive functioning task that had no emotionally-salient features. This contrast highlights the salience of emotion in affecting cognitive processes in this population of traumatized individuals. It is possible that the relatively enhanced abstraction abilities observed in our most dissociative participants may have developed as a compensatory response to deficits in attentional control.

The decreased amygdala-insula connectivity observed in high-dissociative traumatized participants during attention to trauma images may indicate poor integration and coordination of cognitive, affective and interoceptive networks. The insula is thought of as a central hub for the central executive network, which involves control regions (like the DLPFC) and guides the processing of incoming visual and verbal stimuli, and the default mode network, which engages in self-referential processing, and involves regions such as the medial PFC and posterior cingulate cortex (Menon & Uddin, 2010). The anterior insula serves to select information and initiate attentional processes (Menon & Uddin, 2010), and has reciprocal connectivity with brain regions that engage during detection of emotionallysalient stimuli, such as the amygdala (Augustine, 1996; Hoistad & Barbas, 2008; Mufson, Mesulam, & Pandya, 1981). When a highly dissociative individual is triggered by an emotionally-salient stimulus, poorer connectivity between the amygdala and insula may lead to consequent dysregulation of stimulus- and self-referential processing networks. Participants with PTSD have shown variable patterns of amygdala-insula connectivity to trauma-relevant scripts. At rest, several researchers have observed increased amygdalainsula connectivity (Nicholson et al., 2015; Nicholson et al., 2016) in dissociative vs nondissociative PTSD and in dissociative BPD patients vs healthy controls (Krause-utz 2014). These authors have suggested that this increased connectivity at rest in high-dissociative individuals (particularly those with BPD) represents a neural substrate for increased affective arousal. The decreased connectivity we observed between the amygdala and insula in response to trauma-relevant stimuli may be indicative of general dysfunction within networks that facilitate attention to salient cues and integrate awareness of physiological states. Given the poorer performance demonstrated in the context of aversive, and traumasalient cues on this attentional measure, it is possible that highly-dissociative participants were less aware of the physiological signs of arousal and attentional disruption caused by these cues, and became more cognitively and affectively disengaged over time. Visceral awareness is useful in monitoring one's cognitive performance-being aware of increased

levels of arousal and potential negative emotions that accompany this arousal can allow the person to employ appropriate strategies to regulate their emotions and thus, their performance on the task.

Relatively diminished activation in the insula has been previously observed in women with PTSD in response to reward-related stimuli, including positive social videos and positive images (Aupperle et al., 2012; Moser et al., 2015). There is evidence to suggest that oxytocin, a neuropeptide that plays a role in social affiliation and attachment (Meyer-Lindenberg, Domes, Kirsch, & Heinrichs, 2011), may improve social reward sensitivity and normalize insula response; oxytocin administration has been found to increase insula response and enhances cooperation and feelings of trust (reviewed in Wigton et al., 2015). It is thus possible that oxytocin is an avenue through which amygdala-insula response may be normalized in dissociative traumatized people.

High-dissociative participants also performed more poorly on immediate recall trials of the visual memory task. This pattern of performance was expected, given that negative correlations between dissociation and visual memory have been observed fairly consistently in traumatized adult populations (Morgan, Doran, Steffian, Hazlett, & Southwick, 2006; Parlar et al., 2016; Rivera-Velez et al., 2014). Specifically, some studies have observed associations between derealization and poorer delayed recall of visuospatial stimuli (Parlar et al., 2016). It is likely that the high-dissociative participants experienced more difficulties with initial encoding, which interfered with their ability to correctly identify these images during immediate recall trials. However, no differences were observed with delayed recall, which suggest that overall visual memory is not impaired in high dissociators relative to low dissociators, and that initial encoding/immediate recall of items may be more sensitive to dissociation-related effects.

With respect to study limitations, several factors must be considered. We did not compare our neuropsychological findings to a normative data set, limiting our ability to draw conclusions about relative "deficits" in domains of cognitive functioning. However, given that low educational attainment and minority status has been previously been associated with lower scores on neuropsychological measures (due to factors such as familiarity with testtaking and test-related cultural bias) these standard scores would likely under-estimate participants true abilities in these domains. Further, our goal was to compare low and high dissociators within a traumatized population, and no normative dataset including a highlytraumatized, lower-education is available to our knowledge; a current goal is to create these norms for future studies. In addition, we included participants who had experienced relatively high rates of trauma exposure, which is known to have an impact on neuropsychological test performance (Malarbi et al., 2017); however, our findings were significant even after controlling for these factors. All of our participants were traumatized, and a majority of the highly-dissociative participants had PTSD-thus, our results may be most generalizable to this population. However, given that trauma and dissociation can occur in the context of numerous psychiatric disorders, such as depression (Bob et al., 2008; Parlar et al., 2016), anxiety disorders (Mula, Pini, & Cassano, 2007; Sierra, Medford, Wyatt, & David, 2012), and schizophrenia (Lysaker & Larocco, 2008; Yu et al., 2010), these findings may have broader applicability.

Our findings indicate that dissociation selectively impacts cognitive function specifically, the ability to sustain focus on a given task in the presence of trauma-related (and interpersonally-relevant) cues, such as gun violence. For our participants, who have limited economic resources and who live in environments that are rife with these reminders, these attentional problems are likely to emerge on a frequent basis.

In summary, the findings of this study shed light on patterns of cognition in highly dissociative traumatized people. The results indicate that, overall, highly-dissociative traumatized people demonstrate relatively similar cognitive abilities, compared to those who experience fewer dissociative symptoms, in the absence of a provocative emotional stimulus. However, the presence of emotional stimuli (in this case, trauma-relevant reminders) adversely affects their performance, and attention to these cues is related to poorer amygdala-insula connectivity.

Treatments that emphasize emotional awareness and acceptance, such as acceptance and commitment (ACT) or mindfulness-based treatments, may be of particular benefit to these dissociative individuals, prior to engaging in trauma-focused treatment. Augmentation of treatment with oxytocin may also serve to normalize insula response, facilitate attentional control, and enhance interoceptive ability in this group of traumatized people.

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Figure 1. Schematic representation of affective number stroop trials.

A) number congruent, B) number incongruent and C) view only trials.



# Figure 2.

Regions demonstrating decreased functional connectivity with the amygdala shown in red, following cluster-level family-wise error correction (p<.05).

#### Table 1.

## Demographic and Clinical Characteristics

	Low Dissociation	High Dissociation	
	(n=59)	(n=58)	
	Mean (SD)	Mean (SD)	Ē
Age	38.5 (11)	38.2 (10.4)	0.03
Education (in years)	13 (2)	12.6 (2)	1.2
TEI lifetime trauma (excluding abuse)	4 (2.7)	5 (2.6)	3.3
CTQ total score	37.2 (15.2)	46.7 (20.5)	8.1 ***
CTQ sexual abuse	7.4 (4.8)	9.9 (6.3)	6 <sup>*</sup>
CTQ physical abuse	7.6 (3.7)	8.9 (4.6)	2.8
CTQ emotional abuse	7.5 (3.8)	10.9 (5.7)	14.2**
MDI total score	35.4 (4)	59.4 (16.3)	120.4 ***
MDI disengagement	7.2 (1.8)	12.7 (4.3)	81.6**
MDI depersonalization	5.2 (.7)	8.1 (3.4)	41 **
MDI derealization	5.8 (1.1)	10.2 (3.8)	76.4 **
MDI emotional constriction	6.3 (2.2)	12 (4.2)	83.6**
MDI memory disturbance	5.9 (1.1)	9.5 (3.1)	72.8**
MDI identity dissociation	5 (.3)	6.8 (3.2)	17**
	Ŋ	<u>(es</u>	Chi-square
Current PTSD (CAPS diagnosis; n=)	n=8, 21.6%	n=29, 78.4%	16.5 **
	<u>No (%)</u> Yes (%)		Mann-Whitney U U UU
Currently employed	34 (57.6)	38 (65.5)	.51
Monthly Income	Ν	(%)	.38
\$0 - 249	7 (12.3)	7 (12.5)	
\$250 - 499	3 (5.3)	2 (3.6)	
\$500 - 999	13 (22.8)	16 (28.6)	
\$1000–1999	18 (31.6)	20 (35.7)	
\$2000+	16 (28.1)	11 (19.6)	

TEI = Traumatic Events Inventory

CTQ = Childhood Trauma Questionnaire

CAPS = Clinician Administered PTSD Scale

n< 05
p~.05

\*

\*\* p<.01

#### Table 2.

Neuropsychological Test and Affective Number Stroop Performance of Low- and High-Dissociative Participants

	Low Dissociation	High Dissociation	
	Mean (SE)	Mean (SD)	<u>F</u>
Penn Conditional Exclusion Task			
Correct responses	37.8 (1.3)	37.7 (1.4)	.97
Incorrect responses	41 (2.3)	33.9 (2.3)	4.63*
Perseverative errors	21.1 (1.4)	17.2 (1.5)	3.46
Penn Continuous Performance Test			
Correct responses	112.9 (1.1)	112.1 (1.1)	.25
Penn Letter N-Back Task			
0-Back	14.8 (.1)	14.6 (.1)	1.04
1-Back	14.2 (.2)	14.1 (.2)	.09
2-Back	12.6 (.3)	12 (.3)	1.7
Penn List Learning Task			
Correct responses on first learning trial	5.3 (.3)	5 (.2)	.9
Correct responses on last learning trial	11.5 (.4)	11.3 (.3)	.11
Correct responses on interference trial	5.7 (.3)	5.1 (.2)	3.2
Correct responses on short-delay recall	10.4 (.4)	9.8 (.4)	.78
Correct responses on long-delay recall	10.8 (.4)	10.5 (.4)	.34
Slope of learning	1.5 (.1)	1.5 (.1)	.00
Short Visual Object Learning Test			
Correct responses overall	15.3 (.3)	13.8 (.3)	9.93**
Correct responses on immediate recall trials	8.2 (.22)	7.6 (.22)	4.6*
Correct response on long-delay recall trial	13.8 (.3)	13.3 (.3)	1.1

	Affective Number Stroop Task						
Trial type	Percent error (SD)						
Trauma-relevant, number congruent	7.5 (.0)	11 (.0)	1.2				
Positive, number congruent	7.3 (.0)	10 (.0)	.59				
Neutral, number congruent	6.7 (.0)	6.2 (.0)	.03				
Trauma-relevant, number incongruent	6.6 (.0)	15.2 (.0)	4.67*				
Positive, number incongruent	8.9 (.0)	14 (.0)	1.5				
Neutral, number incongruent	7.5 (.0)	11 (.0)	1.00				
Overall errors	7.4 (.0)	11.2 (.0)	1.72				

\* p<.05

\*\*

\*\* p<.01

Covariates= age, CTQ total, TEI lifetime total (excluding abuse)

TEI = Traumatic Events Inventory

CTQ = Childhood Trauma Questionnaire

CAPS = Clinician Administered PTSD Scale

#### Table 3.

Anatomical locations of amygdala to brain-wide functional connectivity in response to affect number stroop distractor trials (p<.005, uncorrected)

Region	Brodmann Area	x	у	z	Cluster size (mm <sup>3</sup> )
Trauma-relevant vs Neutral Distractor Trials					
Negative Correlation					
Insula	13	42	-22	6	287
Superior Temporal Gyrus	22	-56	-16	4	170
Superior Temporal Gyrus	22	70	-38	16	110
Claustrum		-36	8	-2	79
Superior Temporal Gyrus	22	-56	8	4	76
Cuneus; Occipital Lobe	19	-2	-94	24	55
Insula	13	34	-32	18	38
Inferior Parietal Lobe	40	60	-32	36	36
Parietal Lobe, Sub-Gyral	40	-26	-46	52	34
Posterior Lobe, Pyramis		-24	-70	-36	33
Inferior Parietal Lobe	40	-66	-26	24	32
Positive Correlation					
Parahippocampal Gyrus	36	22	-32	-14	60
Middle Frontal Gyrus	9	48	34	40	43
Precuneus	31	18	-56	34	43
Middle Temporal Gyrus	21	-66	-50	-8	38
Parietal Lobe, Angular Gyrus	39	-30	-58	34	35
Superior Temporal Gyrus	39	-34	-58	30	33
Anterior Lobe		16	-58	-26	33
Claustrum		30	4	18	31
Positive vs Neutral Distractor Trials					
Negative Correlation					
Posterior Lobe, Uvula		24	-88	-32	67
Posterior Lobe, Declive		34	-80	-24	62
Posterior Lobe, Declive		-24	-88	-30	49
Putamen		-20	16	12	38
Inferior Temporal Gyrus	20	36	-2	-50	37
Occipital Lobe, Lingual Lobe	18	4	-94	-20	35
Positive Correlation					
Inferior Temporal Gyrus	37	-66	-52	-14	121
Superior Temporal Gyrus	38	28	16	-36	69
Cingulate Gyrus	31	10	-32	46	67
Inferior Parietal Lobe	40	34	-58	42	60

Region	Brodmann Area	x	у	z	Cluster size (mm <sup>3</sup> )
Brainstem, Red Nucleus		6	-20	-2	58
Inferior Temporal Lobe	37	48	-56	-2	57
Parahippocampal Gyrus	30	-18	-44	0	43
Parahippocampal Gyrus	19	-24	-56	-8	43
Posterior Cingulate	29	10	-40	12	34
Posterior Lobe, Precuneus	7	22	-62	36	32
Posterior Lobe, Precuneus	7	22	-70	52	30
Task-related vs Passive View Trials					
Negative Correlation					
Postcentral Gyrus	40	-56	-22	22	90
Posterior Lobe, Inferior Semi-Lunar		-18	-68	-42	64
Precentral Gyrus	44	58	10	6	45
Orbital Gyrus	11	-6	46	-20	43
Positive Correlation					
Parietal Lobe, Precuneus	7	16	-68	30	529
Posterior Cingulate	23	4	-44	24	355
Precentral Gyrus	9	36	14	42	211
Cingulate Gyrus	23	4	-14	24	122
Superior Parietal Lobe	7	30	-56	42	88
Anterior Cingulate	25	0	8	-12	70
Middle Temporal Gyrus	39	54	-70	22	69
Superior Frontal Gyrus	8	10	46	58	65
Occipital Lobe	19	-54	-82	12	60
Supramarginal Gyrus	40	42	-46	30	45
Fusiform Gyrus	20	46	-22	-18	44
Cingulate Gyrus	31	-14	-22	36	42
Caudate		-12	-22	28	40
Parahippocampal Gyrus	35	-24	-22	-20	37
Middle Temporal Gyrus	37	-66	-56	-6	36
Anterior Lobe, Culmen		22	-32	-20	33
Cingulate Gyrus	31	-14	-34	42	31
Middle Occipital Gyrus	18	22	-88	-14	31