PARTICIPANT PACKET

US Department of State: https://twitter.com/StateINL | https://www.facebook.com/StateINL
US Treasury: Twitter: @ustreasury | Insta: @treasurydept | https://www.facebook.com/USTreasuryDept
AIR- Alliance for Innovative Regulation: @AIRinnovate & LinkedIn

This initiative was funded by a grant from the United States Department of State. The opinions, findings and conclusions stated herein are those of AIR and do not necessarily reflect those of the United States Department of State.
Those that abuse positions of power for private gain steal not just material wealth, but human dignity and welfare.

- US White House, Briefing

Corruption is a cancer within the body of societies—a disease that eats at public trust and the ability of governments to deliver for their citizens. The deleterious effects of corruption impact nearly all aspects of society.

- US White House, Briefing

Corruption threatens United States national security, economic equity, global anti-poverty and development efforts, and democracy itself. But by effectively preventing and countering corruption and demonstrating the advantages of transparent and accountable governance, we can secure a critical advantage for the United States and other democracies.

- President Joe Biden, June 3, 2021

Corruption corrodes public trust; makes government less effective; wastes public resources; and eats away at the foundations of democratic societies. Corruption exerts a massive cost on economies and is fundamentally unfair to citizens.

- US White House, Fact Sheet

Corruption corrodes public trust; makes government less effective; wastes public resources; and eats away at the foundations of democratic societies. Corruption exerts a massive cost on economies and is fundamentally unfair to citizens.
Historically, global organizations such as the UN and the World Bank, as well as individual governments and select private companies, have countered corruption using non-technology strategies such as public policy, regulation, and pleas for transparency.

Until recently, the opportunity to apply technology innovation to this challenge was very limited. Today, however, the worldwide explosion of digitized data, combined with data analysis techniques that leverage artificial intelligence and machine learning, are opening up the potential for new kinds of anticorruption strategies.

Now is the time to consider how we can use technology to address this formidable challenge. New technologies and new applications for existing technologies are being developed at an accelerating pace. These tools can be highly effective in detecting the flow of funds in illicit transactions, both for traditional currencies and emerging payments methods such as cryptocurrencies. APIs and cloud computing can enable instantaneous data sharing, while privacy-enhancing technologies can keep information secure.
Technologists and subject matter experts (SMEs) collaborate intensively for a short period of time to solve specific problems and build prototype solutions.

TechSprints bring together disparate members of the financial ecosystem to tackle problems that are challenging to solve in isolation.

**TechSprints:**
- Foster collaboration
- Increase shared understanding of emerging technologies
- Provide greater visibility into technological challenges in a specified policy area
- Highlight regulatory impediments that can slow innovation
TechSprints are creative ideation events, and participants are collaborative co-inventors. The most interesting ideas result from strong collaboration among participants from different organizations and disciplines.

Participants approach a TechSprint with an open mind and willingness to share.

### KEY ELEMENTS

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<thead>
<tr>
<th>Mission</th>
<th>We have a common mission to use technology for good</th>
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<tr>
<td>Collaboration</td>
<td>Share openly with your team and across teams</td>
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<tr>
<td>Diversity</td>
<td>Leverage an array of skills, experience and perspective</td>
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ABOUT THE ASET GLOBAL TECHSPRINT

The TechSprint is a creative collaboration exercise that will focus on the development of new and the elaboration of existing technologies that can be leveraged to combat corruption.

The TechSprint will bring together leaders and experts in government, law enforcement, civil society, financial institutions, financial technology (fintech), academia and the private sector to explore bold, practicable ideas in the application of technology to combat corruption.

TechSprint teams will develop their prototype solutions on June 21-23, 2022.

The Sprint culminates in a Demo Day conference on Friday, June 24, 2022 where the teams will present their innovative solutions to a distinguished panel of judges and to an audience of global leaders drawn from government, industry, and NGO's.
ASET - Anticorruption Solutions through Emerging Technologies is a multi-pronged program aimed at exploring how innovation and technology can be used to prevent, deter and combat corruption.

**Prevent, deter, and combat corruption** internationally through the adoption and use of technology.

**Increased multilateral cooperation** to share technological solutions and promote their adoption.

**Spur multiplier effect** through which stakeholders across sectors (government, civil society, and private sector) hold their own TechSprints.

**Increased public-private cooperation** to develop innovative solutions to combat corruption.

In June 2022, ASET will run two parallel innovation competitions:

1. **A global virtual TechSprint** (AIR-Alliance for Innovative Regulation)
2. **A local hackathon in South Africa** (Accountability Lab)
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<th>Objective</th>
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<td>1</td>
<td>Explore and seek to demonstrate the use of technology as a viable tool in the fight against corruption and surface solution ideas for potential development and adoption</td>
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<tr>
<td>2</td>
<td>Build awareness of TechSprints as an innovation tool to inspire the design of technological anti-corruption solutions</td>
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<tr>
<td>3</td>
<td>Foster multilateral cooperation to maximize impact and achieve scale</td>
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**ASET TECHSPRINT OVERVIEW**

**PARTICIPANTS**
- U.S. and international
- Representing: Government Agencies, International NGOs, Civil Society, Tech Firms, Fintechs, Policy Makers, Advocates, Financial Institutions, Consultants, Academics

**DATE**
- June 21 – 24, 2022
- TechSprint Registration

**FORMAT**
- Virtual event using
  - NayaOne TechSprint Platform
  - Zoom video conferencing

**HOSTS**
- The Bureau of International Narcotics and Law Enforcement Affairs (INL)
- U.S. Department of State and the Office of Terrorist Financing and Financial Crimes (TFFC) of the U.S. Department of the Treasury
- AIR – Alliance for Innovative Regulation
Creating Solutions

Based on input from industry and governing communities, teams are asked to focus on developing innovative technologies to resolve problems on **detecting corruption; data interoperability, connection, and, integration;** and **vendors/end users** for this Techsprint.

Teams are encouraged to customize the problem statements to their interest area.
**PROBLEM STATEMENTS: DETECTING CORRUPTION**

<table>
<thead>
<tr>
<th>PROBLEM STATEMENT</th>
<th>DETAILED USE CASES</th>
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<tr>
<td>1. How can we leverage technology to analyze the data and identify patterns to detect suspected corrupt activity?</td>
<td>How can technology identify transactions suspected of arising from corrupt activities or identify associated static and dynamic networks? How can we create low-cost, highly scalable, publicly available, accurate information and tools that can help governments and the private sector better detect and disrupt corruption while minimizing false positives? How can technology enable secure real-time, contextualized information that the public and interested stakeholders can use to identify and report corruption in order to take appropriate action? How can we use deep or reinforcement learning, simulation techniques, or agent-based modeling techniques to predict new approaches to hiding corrupt activities and proceeds?</td>
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<tr>
<td>2. How can technology ensure that financial, commercial, and government activities involving public assets (procurement or sale of natural resources) and their associated metadata are captured and documented accurately?</td>
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*Glossary of terms located at the end of this document*
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<th>PROBLEM STATEMENT</th>
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<tbody>
<tr>
<td>How can (emerging) technology enable <strong>interoperability</strong> and resolve inconsistencies in data formats?</td>
<td>How can we prevent data from staying siloed?</td>
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<td></td>
<td>How can we better connect public/private datasets with <strong>open data</strong> sources to identify corruption?</td>
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<tr>
<td></td>
<td>How can technology facilitate data integration to enable efficient analysis of large amounts of <strong>structured</strong> and <strong>unstructured data</strong> from both traditional and nontraditional sources to predict, report, and alert illicit behavior?</td>
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<tr>
<td></td>
<td>How can we identify new data sources that could inform how, where, why, and when corruption takes place in an organization (going beyond transactional data)?</td>
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Glossary of terms located at the end of this document
### PROBLEM STATEMENTS: VENDORS/END USER

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<th>PROBLEM STATEMENT</th>
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</table>
| How can businesses and financial institutions with limited resources and technical capacity select reliable service providers to enable them to identify and verify **beneficial ownership**? | How can we leverage technology to enable secure information sharing between law enforcement and civil society/private sector (and/or international law enforcement information sharing)?

How can businesses better use technology to share information on suppliers/third and fourth parties to reduce the burden and costs of due diligence (collective action)? |

*Glossary of terms located at the end of this document*
When creating solutions: Account for abuse, misuse, and surveillance. Think through privacy concerns and regulations. Consider national and international use. Identify who the end user would be for the solution, and what segment of anticorruption it should be applied to. Teams are encouraged to customize the problem statements to their interest area.

Corruption Segments

- Supply Chain
- Public Procurement
- Infrastructure
- Natural Resource Extraction
- Beneficial Ownership

Emerging Technologies

- Blockchain
- Machine Learning
- Natural Language Processing
- Artificial Intelligence
- Deep Learning
- Graph / network analytics
- Privacy Enhancing Technologies (PETs)
- Quantum
- Citizen Science Platforms
Each team will be made up of, but not restricted to, the roles below. An individual on a team could play many or just one role -- innovative collaboration & flexibility are key. We are happy to discuss variations that may align with your interests.

## Team Roles

**Designer** – You are the big ideas person who can make unicorns and hit moonshots. You know the right design to make the moving parts fit together seamlessly.

**Domain Expert** – You have in-depth knowledge about products, services, laws and policies related to corruption, anticorruption solutions, and/or financial crime.

**Front End Developer** – You make buttons do stuff. You make screens transition. You take the designs and make them function, making sure the user flow works correctly.

**Communicator** – You know how to sell anything. You spin product descriptions to be irresistible commodities. You will also present team updates to the TechSprint Inspiration Community.

**Back End Developer** – You work with APIs, you know data well and are prepared to manipulate it for good. You glue stuff together to make it all work seamlessly.

**Team Leader** – In addition to a team role, you will be responsible for curating your team, managing its timeline and deliverables, and acting as point person for organizers.

## Floating Roles

**Scrum Masters** – You will work with the teams, unblock the blockers and provide feedback on the solutions built during the TechSprint.

**Business Experts** – You know the corruption landscape and understand the use cases and the current issues this TechSprint is trying to solve.

**Technical Support** – You will provide help with technical issues that will arise during the TechSprint, to help teams overcome any of these hurdles that get in their way.
ILLUSTRATIVE TEAM STRUCTURE

Team

- Designer
- Domain Expert
- Communicator
- Front End Developer
- Back End Developer

Floating Roles

- Scrum Master
- Business Expert
- Technical Support

Stakeholders

- Government Agencies
- International NGOs
- Civil Society
- Tech Firms
- Fintechs
- Policy Makers
- Advocates
- Financial Institutions
- Consultants
- Academics
Date: Thursday, June 14, 2022 | 9:00 - 10:30 AM (ET)

All participants must attend

**Purpose**
Opportunity to learn about the problem statements, data, platforms and logistics

**Objectives**
- Review key dates and times
- Learn the basics of corruption and anti-corruption efforts
- Explore the TechSprint problem statements and Use Cases
- Introduce platform and data available
- Identify key resources needed
- Ask questions

**Agenda**

- Introduction
- Background
  - Challenge
  - Research
- Problem Statements / Use Cases
- Data and Platforms
- TechSprint Logistics
- Ground Rules
Sprinters will need to commit three full days to solution building and will also be required to attend Demo Day on Friday.
TECHSPRINT JUDGING

**Fast Award** – for the prototype most ready for deployment into production and use in the financial services industry.

**Eureka Award** – for the prototype which most excited and/or surprised the judges due to its creativity and ingenuity.

**Jump Award** – for the prototype with the most potential to transform and modernise the industry; to enable a giant leap forward.
SHARE THE EXCITEMENT

Participants can discuss their involvement in the TechSprint, but should follow these guidelines

**TechSprint Promotion**
Please wait to begin promoting the event until we communicates that the participants are finalized and announced publicly

**Social Media**
Participants are encouraged to post on social media after this announcement and during and after the TechSprint using #ASETtechsprint

**Press**
Any wider communications like a press release or other digital content that refer to the TechSprint need to be agreed upon with AIR prior to release

**Privacy**
A firm’s communications cannot reference other participating firms or address other firms’ data
TECHSPRINT RULES AND RELEASES

- Official TechSprint Rules
- Privacy Act Statement
- Statement of Eligibility / License / Release
We look forward to seeing you at the Boot Camp and TechSprint. If you have any further questions in advance of the event please do not hesitate to get in touch.

randy@regulationinnovation.org
rushka@regulationinnovation.org

https://www.anticorruptiontechsprint.org/
TechSprint Registration

www.RegulationInnovation.org
**Beneficial owner** is a real person who owns or controls an entity.

**Dynamic networks** vary over time; their vertices are often not binary and instead represent a probability for having a link between two nodes. Statistical approaches or computer simulations are often necessary to explore how such networks evolve, adapt or respond to external intervention.

**Interoperability** is the ability to use resources from diverse origins as if they had been designed as parts of a single system.

**Metadata** is data that describes other data, providing a structured reference that helps to sort and identify attributes of the information it describes.

**Natural resources** are natural assets (raw materials) occurring in nature that can be used for economic production or consumption.

**Open data** is data that anyone can access, use and share. Governments, businesses and individuals can use open data to bring about social, economic and environmental benefits.

**Static networks** never change. With static networks, many different properties regarding the patterns of connectivity between nodes can be quantified, for example through centrality measures, hub detection, small-world properties, clustering, and efficiency.

**Structured data** is data that adheres to a pre-defined data model and is therefore straightforward to analyze.

**Unstructured data** is information that either does not have a predefined data model or is not organized in a pre-defined manner.