



ONEOHIO Recovery FOUNDATION Grant Making Policy

I. **Purpose**

- a. The Grant Making Policy shall pursue the charitable purposes of the foundation, establishing and maintaining processes and systems that demonstrate adherence to those purposes. The Policy demonstrates the Foundation's commitment to being transparent, inclusive, responsive and deferential to the regions.
- b. The Grant Making Policy sets forth the roles and responsibilities of the Grant Oversight Committee, Expert Panel and Board of Directors in soliciting, receiving, reviewing and approving applications for the disbursement of funds.

II. **Approved Purposes**

- a. Grants shall be made to promote the health and safety of Ohioans by implementing evidence-based, forward-looking strategies for the purposes of treatment, prevention and recovery. The attached exhibit list details opioid remediation uses as detailed in the MOU.

III. **Composition and Duties of the Grant Oversight Committee**

- a. The Committee shall be composed of 12 Foundation Board members. The Committee may, as needed in the future, create subcommittees to complete the Committee's work.
- b. The Board of Directors appoints the committee members, whose composition, roles and operation are subject to the Board of Directors.
- c. The Committee and staff shall, from time to time, develop and implement a listening process informed by regional and statewide constituents to determine funding priorities.
- d. The Committee shall create a process for creating and disseminating requests for proposals that are consistent with established funding purposes and priorities and shall establish guidelines for reviewing submitted proposals in

order to assure that they comply with MOU-designated criteria. Processes and procedures shall be transparent and account for disclosing, documenting and avoiding conflicts of interest in the review process and shall include the participation of the Expert Panel.

- e. The Committee, in collaboration with the Board of Directors and Expert Panel, shall establish a regular process for fiscal and outcome performance reporting and review for all funded projects in order to ensure grantee accountability and funding impact. The process shall include the role and responsibilities of the Grant Oversight Committee, Expert Panel and Board of Directors.
- f. The Committee and staff shall work in partnership with the regions to solicit applications for recommended projects.
- g. The Committee and staff shall confer with the Expert Panel to review, approve , and make funding recommendations.
- h. The Committee shall create a process and procedure for the appeal of funding decisions, including a delineation of roles and responsibilities of staff and board members.
- i. The Committee shall annually conduct a thorough review of this policy to consider any changes needed after the grant cycle is completed.

IV. Duties of the Expert Panel

- a. The Expert Panel, as convened in compliance with the Code of Regulations and MOU, consists of 9 members whose expertise shall inform the work of the Committee in compliance with the Foundation's approved purposes. The Governor's Leading Director/Staff member on SUD shall serve as an Ex-Officio Member of the Expert Panel.
- b. The Expert Panel may consult with and advise the Committee on projects to be funded and the review of applications to ensure applications are consistent with the Foundation's purposes to assure that funded programs:
 - i. Reflect an established need
 - ii. Comply with the Evidence-Based Requirement
 - iii. Are consistent with one or more abatement strategies

iv. Do not supplant existing funding

- c. The Expert Panel will review proposals coming from the Regions to assure that they meet the Foundation's purposes and are evidence-based.
- d. The Expert Panel, as needed, may seek advice and information from outside experts.

V. Duties of the Board

- a. The Board shall annually determine the amount of funds to be disbursed during a given grant cycle, in alignment with the spending policy adopted by the Board.
- b. The Board shall receive recommendations from the Grant Oversight Committee and make final decisions on awards.
- c. The Board shall complete a conflict of interest document prior to the decision-making meeting, in accordance with an adopted conflict of interest disclosure and documentation process related to proposals.

VI. Grantmaking Process

- a. Statewide funding is defined as being for statewide programs, innovation, research and education. As funding request that represent a collaboration of two or more regions need to be authorized by each region and is not defined as statewide.
- b. The duration of grants may be single or multi-year.
- c. Through the budgetary process and in accordance with the Spending Policy, the Board shall determine the amounts available for distribution as Regional Shares and Statewide Projects.

d. Regional grants

- 1. The Foundation shall provide guidance to the regions on the Foundation's annual disbursement and timeline for accepting applications.
- 2. Applications shall come from the regions to the Grant Oversight Committee for review and recommendation as described above.
- 3. Grants shall be approved according to the region's proportionate

share.

4. Each region's share shall be carried forward into the next grantmaking cycle and shall not be redistributed to other regions or statewide projects. Funds committed in multi-year grants shall be allocated entirely from the allocation for the year in which the grant was approved.
5. Regions shall have the autonomy to create their own local process for receiving, reviewing and prioritizing regional projects and may seek assistance from the Foundation in doing so.
6. Regions shall submit the application by signature of application by a board member or another individual with authority to sign on behalf of the region.

e. **Statewide grants**

1. Statewide grants shall be for programs, innovation, research and education and may but do not have to come from regions.
2. Statewide grants must comport with recommendations of the Expert Panel.

VII. Eligible Recipients

- a. Entities eligible to receive grants from the Foundation are nonprofits, private organizations, local governmental entities and government agencies that benefit the local communities engaged in programs that meet the charitable purpose of the Foundation. Regions may collaborate and submit joint proposals. Regional and statewide grants may include contracts with for-profit companies offering services that meet the charitable purpose of the Foundation.

VIII. Application Review Process

- a. For Regional grants, the Grant Oversight Committee, designated staff and Expert Panel shall conduct a technical review to assure the proposed grants meet the criteria of the MOU.
- b. For Statewide grants, the Grant Oversight Committee will follow its established process for reviewing, scoring and approving submitted proposals, using the

Expert Panel as detailed in the process.

- c. The Grant Oversight Committee will make recommendations for funding to the Board for decision.

IX. Diligence Review Process

- a. Before recommendation by the Grant Oversight Committee, each funding request's primary applicant will be reviewed for compliance with applicable state and federal registration and reporting requirements, including checking the IRS website for current status, with findings provided to the Committee and the Board.

X. Grant Approval Process

- a. The Board shall vote on regional and statewide grant requests, with a majority affirmative needed for approval.

XI. Grant Decision Appeal Process

- a. The Regions may appeal Board decisions on the grants they submitted according to the process outlined above. The appeals will go to the Executive Committee, who will review the declined proposal and make a recommendation for the Board for a final decision on whether or not to fund the grant. Decisions by Regions on local proposals submitted to them for funding are final and not appealable to the Board.

XII. Conflicts of Interest

- a. Region representatives and Expert Panel members will comply with the Foundation's Conflict of Interest Policy, recusing themselves as detailed in the Conflict of Interest Policy.

XIII. Post-Grant Compliance

- a. All successful grantees will be required to comply with fiscal and performance reporting and evaluation requirements as set out in the grant agreement, with such requirements overseen and managed by the Foundation.
- b. The Grant Oversight Committee may, at its discretion, recommend that the Foundation offer technical assistance, networking opportunities and learning events to facilitate information sharing and dissemination of best practices among regions.
- c. In the event of an alleged misuse of funds, the Foundation will investigate such alleged misuse and may withhold any remaining grant funds until the resolution of the investigation and will require the reimbursement of any misused funds.