

Delivery Instructions

Telephone: 1-800-877-7210 or 858-450-9606 ext. 5348
Broker Number 0075 • Symbol LPLA • Tax I.D. 95-2834236

1. LPL Financial LLC

1055 LPL WAY OR
P.O. BOX 6625 (ZIP 29715-6625)
FORT MILL, SC 29715
(ATTN: VARIOUS DEPARTMENT)

3. Fed Eligible Securities

BK OF NYC/LPL
ABA #021000018
FOR FURTHER CREDIT TO LPL ACCOUNT # _____
FOR FURTHER CREDIT TO LPL ACCOUNT NAME _____

5. Checks

IRA ACCOUNTS - ATTN MONEY DESK
Make check payable to LPL FBO Client
(Include the LPL account number on the check)
(Please include "Trustee-to-Trustee Transfer" or "Direct Rollover" on the check depending on the delivering firm's IRS coding.)

NON-IRA ACCOUNTS - ATTN MONEY DESK
Make check payable to LPL FBO Client
(Include the LPL account number on the check)

Mailing Address:

LPL Financial LLC
Attn: M Desk
P.O. BOX 6575
FORT MILL, SC 29715-6575

7. Fed Wire Funds

ABA #021000018 THE BANK OF NEW YORK
ACCOUNT NAME:
LPL FINANCIAL LLC WIRE RECEIPT
CREDIT ACCOUNT NUMBER: 8900511524
F/F/C (CLIENT'S LPL ACCOUNT NAME AND NUMBER)
(E.G. F/F/C JOHN DOE, LPL A/C# 12345678)

9. Reject Letters

ATTN: ACCOUNTS TRANSFERS DEPARTMENT
1055 LPL WAY
FORT MILL, SC 29715

11. LPL A/C # Mutual Funds (Registration Documents)

ATTN: MUTUAL FUNDS PROCESSING DEPT.
P.O. BOX 6625
FORT MILL, SC 29715-6625
CONTACT: (858) 450-9606 EXT. 7766

13. Precious Metals

FIDELITRADE INC. 3601 N. MARKET ST.
WILMINGTON, DE 19802
FOR LPL A/C #19387
FFCT/CLIENT LPL A/C # _____
FOR FURTHER CREDIT TO LPL ACCOUNT # _____
FOR FURTHER CREDIT TO LPL ACCOUNT NAME _____

2. Options

OCC #0075
FOR FURTHER CREDIT TO LPL ACCOUNT # _____
FOR FURTHER CREDIT TO LPL ACCOUNT NAME _____

4. ACH

Bank of New York
ABA #021000018
CREDIT TO ACCOUNT: 146000000 + LPL Account #
(ex. 14600000012345678)

6. Physical Certificates (IRA & Non-IRA Accounts)

ATTN: CUSTODY DEPT.
(Please include the LPL account number on the certificate along with any required documentation)
P.O. BOX 6275
FORT MILL, SC 29715-6275

For overnight delivery, use street address in SECTION 1

8. Alternative Investments (LPs, REITs, Private Placements)

MAIL TO: PRIVATE TRUST COMPANY, N.A.
C/O LPL FINANCIAL LLC
ATTN: ACCOUNT TRANSFER DEPARTMENT - AIP PROCESSING
1055 LPL WAY
FORT MILL, SC 29715

10. Foreign or Euroclear Securities

Contact LPL first via email: settlements.mailbox@lpl.com
MERRILL LYNCH
A/C #64U80075
REFERENCE: LPL FINANCIAL LLC
FOR FURTHER CREDIT TO LPL ACCOUNT # _____
FOR FURTHER CREDIT TO LPL ACCOUNT NAME _____

12. DTC Eligible Securities

DTC CLEARING #0075
FOR FURTHER CREDIT TO LPL ACCOUNT # _____
FOR FURTHER CREDIT TO LPL ACCOUNT NAME _____

14. Physicals vs. Payment

DTC, NY WINDOW SERVICES
55 WATER ST, 1ST FLOOR
NEW YORK, NY 10041
BROKER #0075
REFERENCE: LPL FINANCIAL LLC
FOR FURTHER CREDIT TO LPL ACCOUNT # _____
FOR FURTHER CREDIT TO LPL ACCOUNT NAME _____

