## Delivery Instructions

Telephone: 1-800-877-7210 or 858-450-9606 ext. 5348  
Broker Number 0075 • Symbol LPLA • Tax I.D. 95-2834236

### 1. LPL Financial LLC

1055 LPL WAY OR  
P.O. BOX 6625 (ZIP 29715-6625)  
FORT MILL, SC 29715  
(ATTN: VARIOUS DEPARTMENT)

### 2. Options

<table>
<thead>
<tr>
<th>OCC #0075</th>
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<tr>
<td>FOR FURTHER CREDIT TO LPL ACCOUNT #</td>
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<tr>
<td>FOR FURTHER CREDIT TO LPL ACCOUNT NAME</td>
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### 3. Fed Eligible Securities

- **BK OF NYC/LPL**  
  ABA #021000018  
  FOR FURTHER CREDIT TO LPL ACCOUNT # __________________  
  FOR FURTHER CREDIT TO LPL ACCOUNT NAME __________________

### 4. ACH

- Bank of New York  
  ABA #021000018  
  CREDIT TO ACCOUNT: 146000000 + LPL Account #  
  (ex. 14600000012345678)

### 5. Checks

- IRA ACCOUNTS - ATTN MONEY DESK  
  Make check payable to LPL FBO Client  
  (Include the LPL account number on the check)  
  (Please include "Trustee-to-Trustee Transfer" or "Direct Rollover" on the check depending on the delivering firm’s IRS coding.)  
- NON-IRA ACCOUNTS - ATTN MONEY DESK  
  Make check payable to LPL FBO Client  
  (Include the LPL account number on the check)  

  Mailing Address:  
  LPL Financial LLC  
  Attn: M Desk  
  P.O. BOX 6575  
  FORT MILL, SC 29715-6575

### 6. Federal Wire Funds

| ABA #021000018 THE BANK OF NEW YORK | ACCOUNT NAME: |
| LPL FINANCIAL LLC WIRE RECEIPT | CREDIT ACCOUNT NUMBER: 8900511524 |
| F/F/C (CLIENT’S LPL ACCOUNT NAME AND NUMBER) (E.G. F/F/C JOHN DOE, LPL A/C# 12345678) |

### 7. Rejected Letters

| ATTN: ACCOUNTS TRANSFERS DEPARTMENT | 1055 LPL WAY |
| FORT MILL, SC 29715 |

### 8. Alternative Investments (LPs, REITs, Private Placements)

| MAIL TO: PRIVATE TRUST COMPANY, N.A. | C/O LPL FINANCIAL LLC |
| ATTN: ACCOUNT TRANSFER DEPARTMENT - AIP PROCESSING | 1055 LPL WAY |
| FORT MILL, SC 29715 |

### 9. Precious Metals

- FIDELITRADE INC. 3601 N. MARKET ST.  
  WILMINGTON, DE 19802  
  FOR LPL A/C #19387  
  FFCT/CLIENT LPL A/C #  
  FOR FURTHER CREDIT TO LPL ACCOUNT # __________________  
  FOR FURTHER CREDIT TO LPL ACCOUNT NAME __________________

### 10. Foreign or Euroclear Securities

- Contact LPL first via email: settlements.mailbox@lpl.com  
  MERRILL LYNCH  
  A/C #64U80075  
  REFERENCE: LPL FINANCIAL LLC  
  FOR FURTHER CREDIT TO LPL ACCOUNT # __________________  
  FOR FURTHER CREDIT TO LPL ACCOUNT NAME __________________

### 11. LPL A/C # Mutual Funds (Registration Documents)

| ATTN: MUTUAL FUNDS PROCESSING DEPT. | P.O. BOX 6625 |
| FORT MILL, SC 29715-6625 |
| CONTACT: (858) 450-9606 EXT. 7766 |

### 12. DTC Eligible Securities

| DTC CLEARING #0075 |
| FOR FURTHER CREDIT TO LPL ACCOUNT # |
| FOR FURTHER CREDIT TO LPL ACCOUNT NAME |

### 13. Physicals vs. Payment

- DTC, NY WINDOW SERVICES  
  55 WATER ST, 1ST FLOOR  
  NEW YORK, NY 10041  
  BROKER #0075  
  REFERENCE: LPL FINANCIAL LLC  
  FOR FURTHER CREDIT TO LPL ACCOUNT # __________________  
  FOR FURTHER CREDIT TO LPL ACCOUNT NAME __________________

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[Logo: LPL Financial]

Member FINRA/SIPC

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